## CSE/STAT 416

#### **Convolutional Neural Networks** Pre-Lecture Videos

Tanmay Shah Paul G. Allen School of Computer Science & Engineering University of Washington

May 3 , 2024



#### Video 1

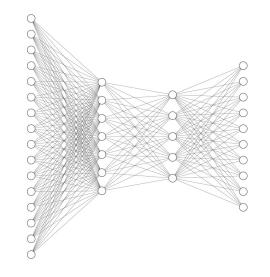
Recap Neural Networks

#### Deep Learning



A lot of the buzz about ML recently has come from recent advancements in **deep learning**.

When people talk about "deep learning" they are generally talking about a class of models called **neural networks** that are a loose approximation of how our brains work.

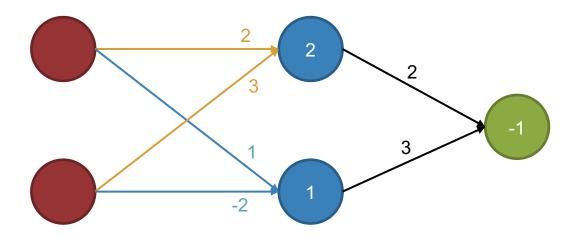




2 mins

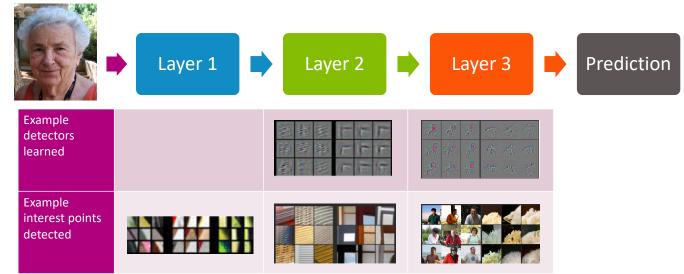


Compute the output for input (0, 1). There is a sign activation function on the hidden layers and output layer.



#### NNs to the Rescue

Neural Networks implicitly find these low level features for us!

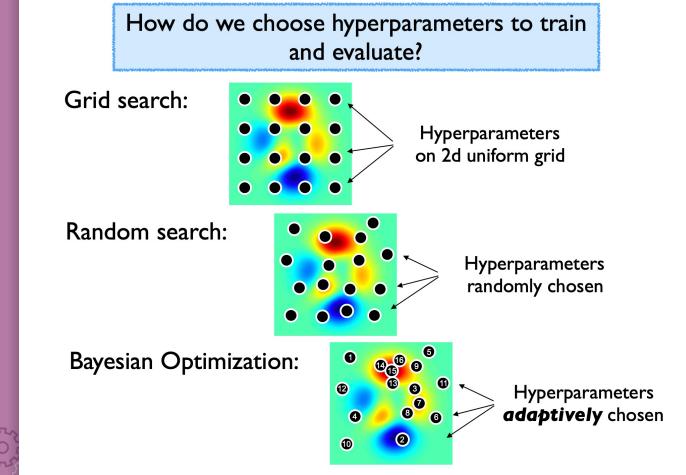


[Zeiler & Fergus '13]

Each layer learns more and more complex features



#### Hyperparameter Optimization



#### Video 2

Convolutions

#### Image Challenges



Images are extremely high dimensional CIFAR-10 dataset are very small: 3@32x32 - # inputs:

#### For moderate sized images: 3@200x200

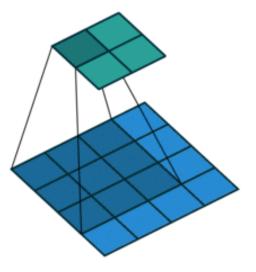
- # inputs:

Images are structured, we should leverage this

#### Convolutional Neural Networks

**Idea:** Reduce the number of weights that need to be learned by looking at local neighborhoods of image.

Use the idea of a **convolution** to reduce the number of inputs by combing information about local pixels.



Use a **kernel** that slides across the image, computing the sum of the element-wise product between the kernel and the overlapping part of the image

Image

3	3	2	1	0
0	0	1	3	1
3	1	2	2	3
2	0	0	2	2
2	0	0	0	1



0	1	2
2	2	0
0	1	2



3,	3,	$2_2$	1	0
02	02	$1_0$	3	1
3,	$1_1$	$2_2$	2	3
2	0	0	2	2
2	0	0	0	1

12	12	17
10	17	19
9	6	14

3	30	$2_1$	$1_2$	0
0	$0_2$	$1_2$	30	1
3	1,0	$2_1$	$2_{2}$	3
2	0	0	2	2
2	0	0	0	1

12	12	17
10	17	19
9	6	14



3	3	$2_0$	$1_1$	02
0	0	$1_2$	$3_2$	$1_0$
3	1	$2_0$	$2_1$	32
2	0	0	2	2
2	0	0	0	1

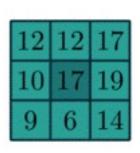
12	12	17
10	17	19
9	6	14



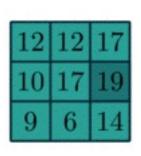
3	3	2	1	0
0,	0,	12	3	1
32	12	20	2	3
20	0,	02	2	2
2	0	0	0	1

12	12	17
10	17	19
9	6	14

3	3	2	1	0
0	00	1,	32	1
3	12	22	20	3
2	00	0,	22	2
2	0	0	0	1



3	3	2	1	0
0	0	1,0	3,	$1_2$
3	1	22	22	30
2	0	0,	2,	22
2	0	0	0	1



3	3	2	1	0
0	0	1	3	1
3.	1,	22	2	3
$2_{2}$	02	00	2	2
20	0,	02	0	1

12	12	17
10	17	19
9	6	14

3	3	2	1	0
0	0	1	3	1
3	1,0	2,	22	3
2	02	02	20	2
2	00	0,	02	1

12	12	17
10	17	19
9	6	14

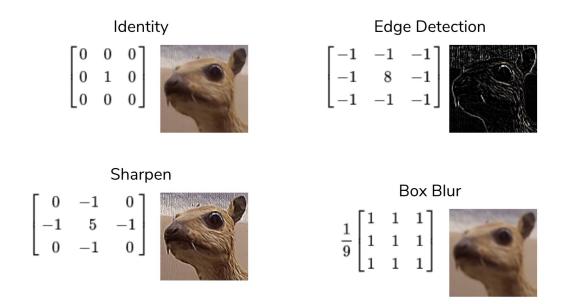
3	3	2	1	0
0	0	1	3	1
3	1	$2_0$	$2_1$	32
2	0	$0_2$	$2_{2}$	$2_0$
2	0	0,	0,	$1_2$

12	12	17
10	17	19
9	6	14



#### Special Kernels

The numbers in the kernels determine special properties



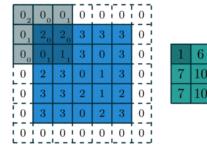


Convolutional Neural Networks (CNNs) learn the right weights for each kernel they use! Generally not interpretable! Hyperparameters of a Single Convolution



You can specify a few more things about a kernel Kernel dimensions Padding size and padding values Stride (how far to jump) values

For example, a 3x3 kernel applied to a 5x5 image with 1x1 zero padding and a 2x2 stride



### CSE/STAT 416

**Convolutional Neural Networks** 

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### HW4 Walkthrough

# - Nobody

- Google Colab:

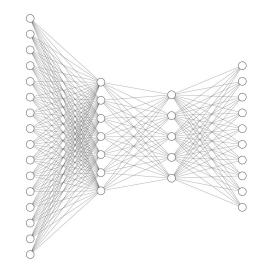


#### Deep Learning



A lot of the buzz about ML recently has come from recent advancements in **deep learning**.

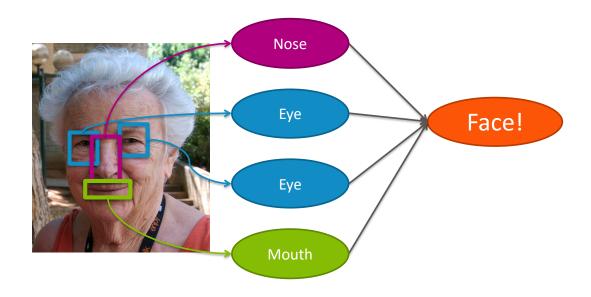
When people talk about "deep learning" they are generally talking about a class of models called **neural networks** that are a loose approximation of how our brains work.



#### Image Features

Features in computer vision are local detectors

Combine features to make prediction



In reality, these features are much more low level (e.g. Corner?)

#### The Past

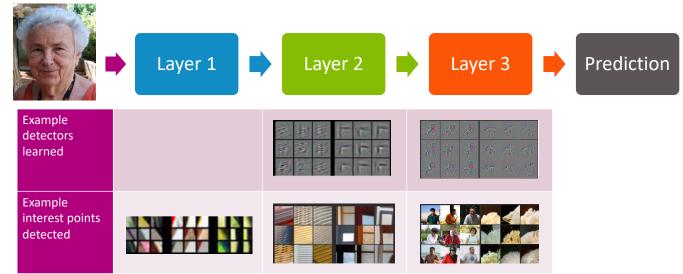
A popular approach to computer vision was to make hand-crafted features for object detection

Input Extract features Use simple classifier e.g., logistic regression, SVMs Hand-created features

Relies on coming up with these features by hand (yuck!)

#### NNs to the Rescue

Neural Networks implicitly find these low level features for us!



[Zeiler & Fergus '13]

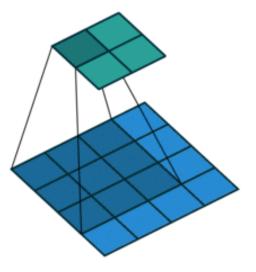
Each layer learns more and more complex features



#### Convolutional Neural Networks

**Idea:** Reduce the number of weights that need to be learned by looking at local neighborhoods of image.

Use the idea of a **convolution** to reduce the number of inputs by combing information about local pixels.

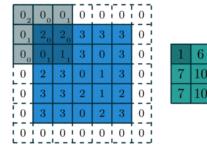


Hyperparameters of a Single Convolution



You can specify a few more things about a kernel Kernel dimensions Padding size and padding values Stride (how far to jump) values

For example, a 3x3 kernel applied to a 5x5 image with 1x1 zero padding and a 2x2 stride





3 min

What is the result of applying a convolution using this kernel on this input image?

Use 1x1 zero padding and a 2x2 stride

Image

1	2	3	4
5	6	7	8
9	10	11	12
13	14	15	16





Convolutional Neural Networks

#### Pooling



Another core operation that is similar to a convolution is a **pool**.

Idea is to down sample an image using some operation

Combine local pixels using some operation (e.g. max, min, average, median, etc.)

Typical to use **max pool** with 2x2 filter and stride 2

Tends to work better than average pool

1	1	2	4
5	6	7	8
3	2	1	0
1	2	3	4

max pool with 2x2 filters and stride 2

6 8 3 4

#### Convolutional Neural Network

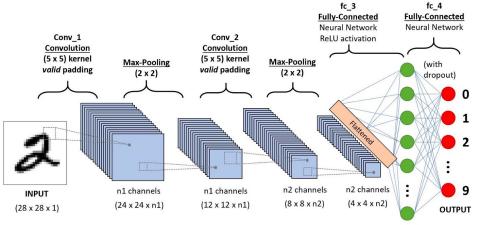
Combine convolutions and pools into pre-processing layers on image to learn a smaller, information dense representation.

Example architecture for hand-written digit recognition

Each convolution section uses many different kernels (increasing depth of channels)

Pooling layers downsample each channel separately

Usually ends with fully connected neural network



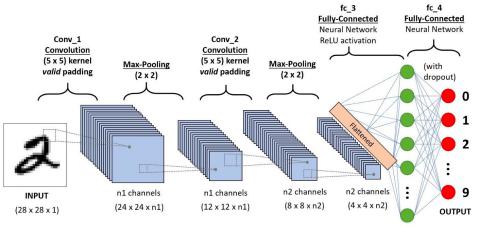
#### Convolutional Neural Network

Why does this help?

Only need to learn a small number of values (kernel weights) that get applied to the entire image region by region

- This is called weight-sharing
- Gives efficiency + shift invariance

Pooling lets us focus on features from larger and larger regions of the original image.

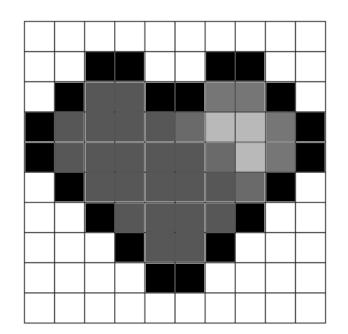




2 min



Input: 10x10x1 image (grayscale image of 10x10 pixels)Convolution: 5x5 kernel, stride 1MaxPool: 2x2, stride 2What is the size of the resulting image?

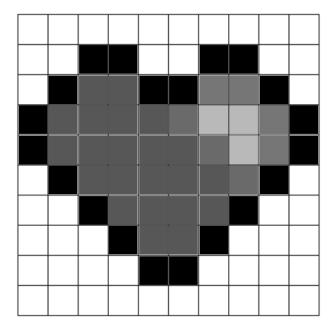


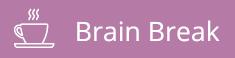
**Input**: 10x10x1 image (grayscale image of 10x10 pixels)

**Convolution**: 5x5 kernel, stride 1

MaxPool: 2x2, stride 2

What is the size of the resulting image?







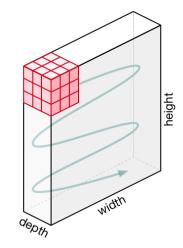


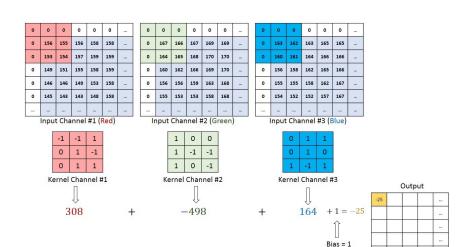
Number of Weights / Parameters

# CNN with Color Images

How does this work if there is more than one input channel?

Usually, use a 3-dimensional **tensor** as the kernel to combine information from each input channel



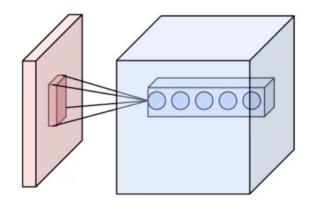


# CNN with Color Images

Another way of thinking about this process is each kernel is a (hidden-layer) neuron that looks at the kernel-size pixels in a neighborhood

If there are 5 output channels in a conv layer, only need to learn the weights for the 5 neurons

These neurons are a bit different since they look at the pixels that overlap with the window at each position.



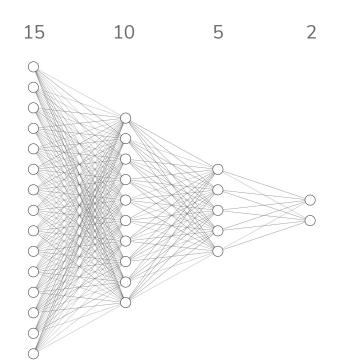


2 min



Consider a plain neural network below, how many weights need to be learned?

Completely ignore intercept terms



# Weight Sharing

Consider solving a digit recognition task on 28x28 grayscale images. Suppose I wanted to use a fully connected hidden layer with 84 neurons

Without Convolutions:



# Weight Sharing

Consider solving a digit recognition task on 28x28 images. Suppose I wanted to use a fully connected hidden layer with 84 neurons **With Convolutions** (assume n1=10, n2=20) (not counting intercepts)

fc\_3 fc\_4 **Fully-Connected Fully-Connected** Neural Network Neural Network Conv 1 Conv<sub>2</sub> **ReLU** activation Convolution Convolution (5 x 5) kernel (5 x 5) kernel Max-Pooling Max-Pooling (with valid padding valid padding (2 x 2) (2 x 2) dropout) 0 2 n2 channels n2 channels 9 n1 channels n1 channels INPUT  $(4 \times 4 \times n2)$ (8 x 8 x n2) (24 x 24 x n1) (12 x 12 x n1) (28 x 28 x 1) OUTPUT CNN Applications & Transfer Learning

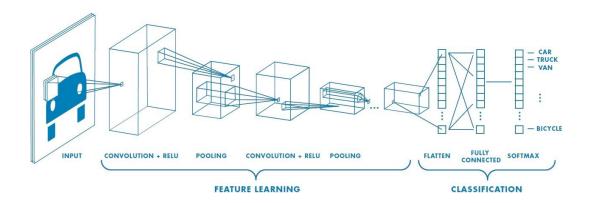
## General CNN Architecture



A series of Convolution + Activation Functions and Pooling layers. It's very common to do a pool after each convolution.

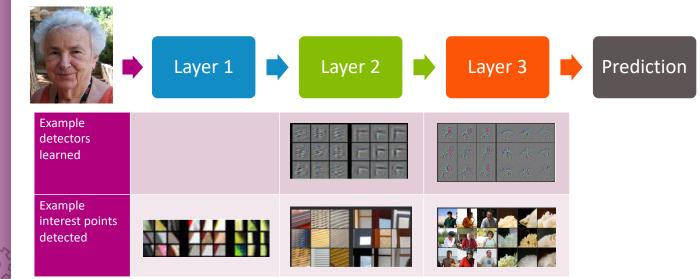
Each set of operations lowers the size of the image but increases the number of features.

Then after some number of these operations, flatten the image to work with the final neural network

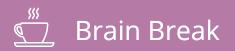


#### Features

The learned kernels are exactly the "features" for computer vision! They start simple (corners, edges) and get more complex after more layers



[Zeiler & Fergus '13]

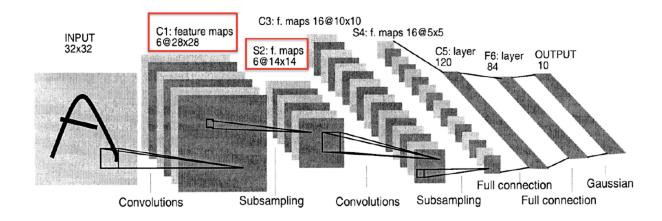






CNNs have had remarkable success in practice

LeNet, 1990s





LeNet made 82 errors on MNIST (popular hand-written digit dataset of size 60K). 99.86% accuracy

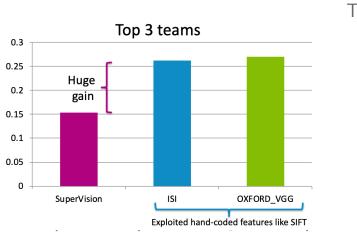
**7** 7->8 **7** 8->7 0 3->7 2->7 0->6 8->3 9->4 8~>0 9->4 3 5->3 4->8 6->0 9->8 4->9 6->1 9->4 9->1 6->1 3->5 3->2 9->5 6->0 6->8 6->0 6->0 2->7 4 -> 39->4 9->4 7->3 4->6 9->7 9 -> 43->8 3->8 9->8 4->2 8->4 3->5 8->4 6->5 Q  $\mathcal{O}$ 1 2->1 9->8 0->2 9->5 0->7 5 Z

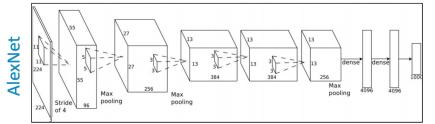
ImageNet 2012 competition:

1.2M training images

1000 categories

Error (best of 5 guesses)

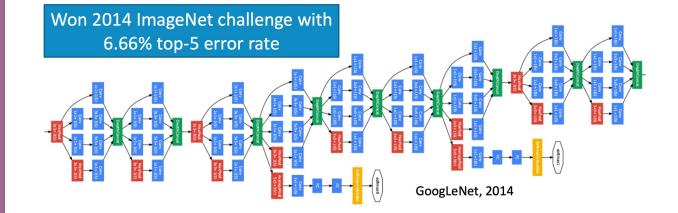




Winner: SuperVision

8 layers, 60M parameters [Krizhevsky et al. '12]

Top-5 Error: 17%



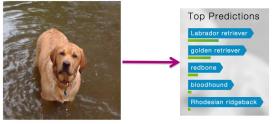
Huge CNN depth has proven helpful in recognition systems... Maybe because images contain hierarchical structure (faces contain eyes contain edges, etc.)



## Applications



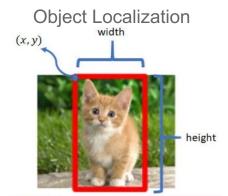
#### Image Classification



Input: x Image pixels



Predicted object



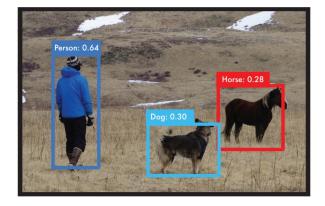
#### Scene Parsing [Farabet et al. '13]



## Applications



Object Detection [Redmon et al. 2015] (http://pjreddie.com/yolo/)



#### Product Recommendation





3 min



For each of the Computer Vision Tasks below, what do you think the output layer of the neural network would look like? What would each output neuron represent?

**Image Classification**: Given an image with a single object, output the class of the object.

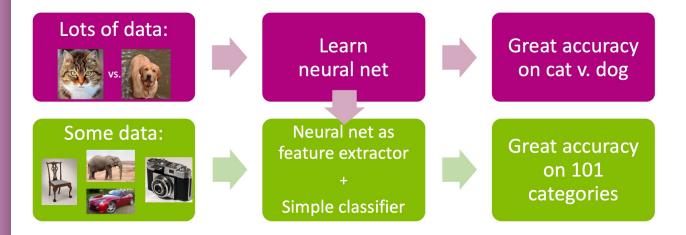
**Object Localization**: Given an image with a single object, output the class **and** bounding box (x,y,w,h) of the object.

**Object Detection**: Given an image with possibly multiple objects, output the bounding box **and** class for **<u>each</u>** object.

Image Classification: Given an image with a single object, output the class of the object.

**Object Localization**: Given an image with a single object, output the class **and** bounding box (x,y,w,h) of the object. **Object Detection**: Given an image with possibly multiple objects, output the bounding box **and** class for <u>each</u> object.

## A Tale of 2 Tasks



If we don't have a lot of data for Task 2, what can we do?

**Idea:** Use a model that was trained for one task to help learn another task.

An old idea, explored for deep learning by Donahue et al. '14 & others

## CNNs

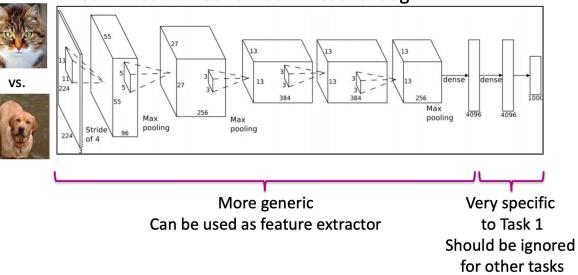


What is learned in a neural network?

Initial layers are low-level and very general.

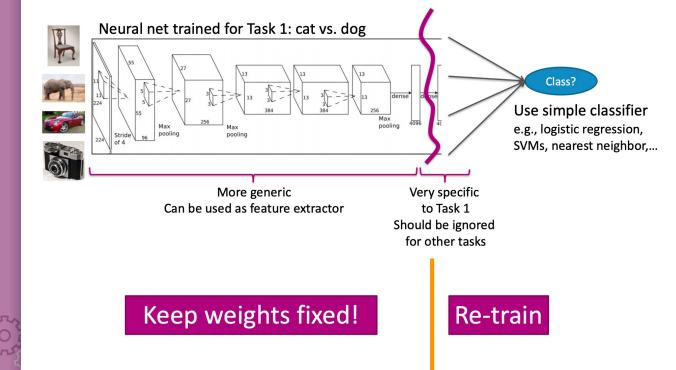
Usually not sensitive/specific to the task at hand

Neural net trained for Task 1: cat vs. dog



# Transfer Learning

Share the weights for the general part of the network



# Transfer Learning

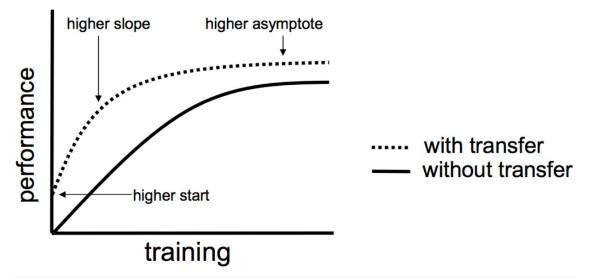


If done successfully, transfer learning can really help. Can give you

A higher **start** 

A higher **slope** 

A higher **asymptote** 



Deep Learning in Practice

#### Pros



No need to manually engineer features, enable automated learning of features

Impressive performance gains Image processing Natural Language Processing Speech recognition

Making huge impacts in most fields

#### Cons



Requires a LOT of data

Computationally really expensive

Environmentally, extremely expensive (Green AI)

Hard to tune hyper-parameters

Choice of architecture (we've added even more hyperparameters)

 Size of kernels, stride, 0 padding, number of conv layers, depth of outputs of conv layers,

Learning algorithm

Still not very interpretable

## NN Failures



While NNs have had amazing success, they also have some baffling failures.



#### **"panda"** 57.7% confidence

"No one adds noise to things in real applications"

#### Not true!

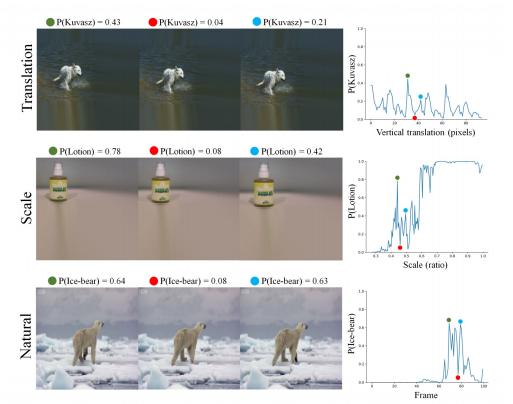
Hackers will hack

Sensors (cameras) are noisy!

## NN Failures

They even fail with "natural" transformations of images

[Azulay, Weiss https://arxiv.org/abs/1805.12177]

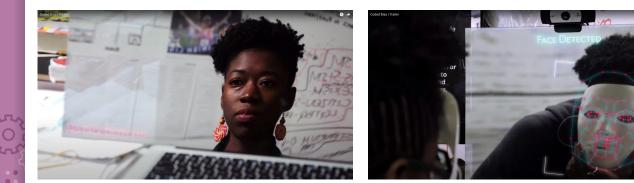


64

## NN Failures

#### Objects can be created to trick neural networks!



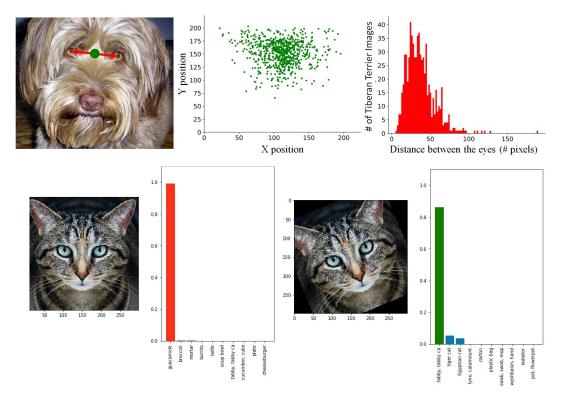


65

### Dataset Bias



#### Datasets, like ImageNet, are generally biased



One approach is to augment your dataset to add random permutations of data to avoid bias.

Demo: Adversarial Neural Networks to Promote Fairness

https://godatadriven.com/blog/towards-fairness-in-ml-withadversarial-networks/

#### Dataset: Adult UCI

- Predict whether a person's income will be > \$50K or ≤ \$50K based on factors like:
  - Age
  - Education level
  - Marital status
  - Served in Armed Services?
  - Hours per week worked
  - Occupation sector
  - Etc.

Further Readings on Deep Learning



Dealing with Variable Length Sequences (e.g. language)

Recurrent Neural Networks (RNNs)

Long Short Term Memory Nets (LSTMs)

http://colah.github.io/posts/2015-08-Understanding-LSTMs/

Reinforcement Learning

Google DeepMind AlphaGo Zero

Generative Adversarial Networks

How to learn synthetic data

Green Al

#### Recap

Theme: Details of convolutional neural networks Ideas: Convolutions

MaxPool

Number of Parameters in a (C)NN

Weight Sharing

**CNN** Applications

Transfer Learning

**NN** Failures

Using NNs to promote algorithmic fairness

