## Database Systems CSE 414

Lectures 8: Relational Algebra (Ch. 2.4, \& 5.1)

## Announcements

- WQ3 is due Sunday 11 pm
- Azure codes will be sent out Wed/Thu
- Don't miss section tomorrow
- will go through Azure setup and basic use
- HW3 will be posted by Thu night
- due on Tuesday, 4/25 (in 13 days)


## Where We Are

- Motivation for using a DBMS for managing data
- SQL:
- Declaring the schema for our data (CREATE TABLE)
- Inserting data one row at a time or in bulk (INSERT/.import)
- Modifying the schema and updating the data (ALTER/UPDATE)
- Querying the data (SELECT)
- Next step: More knowledge of how DBMSs work
- Client-server architecture
- Relational algebra and query execution


## Query Evaluation Steps



## The WHAT and the HOW

- $\operatorname{SQL}=$ WHAT we want to get from the data
- Relational Algebra $=$ HOW to get the data we want
- Move from WHAT to HOW is query optimization
- SQL ~> Relational Algebra ~> Physical Plan
- Relational Algebra = Logical Plan


## Relational Algebra

## Sets v.s. Bags

- Sets: $\{a, b, c\},\{a, d, e, f\},\{ \}, \ldots$
- Bags: $\{a, a, b, c\},\{b, b, b, b, b\}, \ldots$

Relational Algebra has two semantics:

- Set semantics = standard Relational Algebra
- Bag semantics = extended Relational Algebra

DB systems implement bag semantics (Why?)

## Relational Algebra Operators

- Union $\cup$, intersection $\cap$, difference -
- Selection $\sigma$
- Projection $\pi$ (П)
- Cartesian product $\times$, join $\bowtie$
- Rename $\rho$
- Duplicate elimination $\delta$
- Grouping and aggregation $\gamma$
- Sorting $\tau$


## Union and Difference

$R 1 \cup R 2$
$R 1-R 2$

What do they mean over bags?

## What about Intersection?

- Derived operator using minus

$$
R 1 \cap \mathrm{R} 2=\mathrm{R} 1-(\mathrm{R} 1-\mathrm{R} 2)
$$

- Derived using join (will explain later)

$$
R 1 \cap R 2=R 1 \bowtie R 2
$$

## Selection

- Returns all tuples which satisfy a condition


## $\sigma_{c}(R)$

- Examples
- $\sigma_{\text {satan }>40000}$ (Employee)
- $\sigma_{\text {name }}=$ "smith" (Employee)
- The condition c can be $=,<, \leq,>, \geq,<>$ combined with AND, OR, NOT


## Employee

| SSN | Name | Salary |
| :---: | :---: | :---: |
| 1234545 | John | 20000 |
| 5423341 | Smith | 60000 |
| 4352342 | Fred | 50000 |

$\sigma_{\text {salay }>40000}$ (Employee)

| SSN | Name | Salary |
| :---: | :---: | :---: |
| 5423341 | Smith | 60000 |
| 4352342 | Fred | 50000 |

## Projection

- Eliminates columns

$$
\pi_{\mathrm{A} 1, \ldots, \mathrm{An}}(\mathrm{R})
$$

- Example: project social-security number and names:
- $\Pi_{\text {ssn, Name }}$ (Employee)
- Answer(SSN, Name)

Different semantics over sets or bags! Why?

Employee |  | SSN | Name | Salary |
| :---: | :---: | :---: | :---: |
| 1234545 | John | 20000 |  |
| 5423341 | John | 60000 |  |
| 4352342 | John | 20000 |  |

$\pi_{\text {Name,Salary }}$ (Employee)

| Name | Salary |
| :---: | :---: |
| John | 20000 |
| John | 60000 |
| John | 20000 |

Bag semantics

| Name | Salary |
| :---: | :---: |
| John | 20000 |
| John | 60000 |

Set semantics

Which is more efficient?

## Composing RA Operators

## Patient

| no | name | zip | disease |
| :--- | :--- | :--- | :--- |
| 1 | p1 | 98125 | flu |
| 2 | p2 | 98125 | heart |
| 3 | p3 | 98120 | lung |
| 4 | p4 | 98120 | heart |

$\pi_{\text {zip,disease }}$ (Patient)

| zip | disease |
| :--- | :--- |
| 98125 | flu |
| 98125 | heart |
| 98120 | lung |
| 98120 | heart |

$\sigma_{\text {disease='heart'(Patient) }} \quad \pi_{\text {zip,disease }}\left(\sigma_{\text {disease='heart'(Patient) }}\right)$

| no | name | zip | disease |
| :--- | :--- | :--- | :--- |
| 2 | p2 | 98125 | heart |
| 4 | p4 | 98120 | heart |

## Cartesian Product

- Each tuple in R1 with each tuple in R2

$$
\mathrm{R} 1 \times \mathrm{R} 2
$$

- Rare in practice; mainly used to express joins


## Cross-Product Example

Employee

| Name | SSN |
| :--- | :--- |
| John | 999999999 |
| Tony | 777777777 |

Dependent

| EmpSSN | DepName |
| :--- | :--- |
| 999999999 | Emily |
| 777777777 | Joe |

## Employee $\times$ Dependent

| Name | SSN | EmpSSN | DepName |
| :--- | :--- | :--- | :--- |
| John | 999999999 | 999999999 | Emily |
| John | 999999999 | 777777777 | Joe |
| Tony | 777777777 | 999999999 | Emily |
| Tony | 777777777 | 777777777 | Joe |

## Renaming

- Changes the schema, not the instance


## $\rho_{\mathrm{B} 1, \ldots, \mathrm{Bn}}(\mathrm{R})$

- Example:
$-\rho_{\mathrm{N}, \mathrm{S}}$ (Employee) $\rightarrow$ Answer(N, S)
Not really used by systems, but needed on paper


## Natural Join

## R1 $\bowtie$ R2

- Meaning: R1凶R2 $=\pi_{A}\left(\sigma_{\theta}(\mathrm{R} 1 \times \mathrm{R} 2)\right)$
- Where:
- Selection $\sigma$ checks equality of all common attributes (attributes with same names)
- Projection $\pi$ eliminates duplicate common attributes


## Natural Join Example

R

| $\mathbf{A}$ | $\mathbf{B}$ |
| :---: | :---: |
| $X$ | $Y$ |
| $X$ | $Z$ |
| $Y$ | $Z$ |
| $Z$ | $V$ |

S S | $\mathbf{B}$ | $\mathbf{C}$ |
| :---: | :---: |
| $Z$ | $U$ |
| $v$ | $W$ |
| $z$ | $V$ |

$R \bowtie S=$
$\pi_{A B C}\left(\sigma_{R . B=S . B}(R \times S)\right)$

| A | B | C |
| :---: | :---: | :---: |
| $X$ | $Z$ | $U$ |
| $X$ | $Z$ | $V$ |
| $Y$ | $Z$ | $U$ |
| $Y$ | $Z$ | $V$ |
| $Z$ | $V$ | $W$ |

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## Natural Join Example 2

AnonPatient $P$

| age | zip | disease |
| :--- | :--- | :--- |
| 54 | 98125 | heart |
| 20 | 98120 | flu |

Voters V

| name | age | zip |
| :--- | :--- | :--- |
| p1 | 54 | 98125 |
| p2 | 20 | 98120 |

$\mathrm{P} \bowtie \mathrm{V}$

| age | zip | disease | name |
| :--- | :--- | :--- | :--- |
| 54 | 98125 | heart | p 1 |
| 20 | 98120 | flu | p 2 |

## Natural Join

- Given schemas $R(A, B, C, D), S(A, C, E)$, what is the schema of $R \bowtie S$ ?
- Given $R(A, B, C), S(D, E)$, what is $R \bowtie S$ ?
- Given $R(A, B), S(A, B)$, what is $R \bowtie S$ ?

AnonPatient (age, zip, disease)
Voters (name, age, zip)

## Theta Join

- A join that involves a predicate

$$
\mathrm{R} 1 \bowtie_{\theta} \mathrm{R} 2=\sigma_{\theta}(\mathrm{R} 1 \times \mathrm{R} 2)
$$

- Here $\theta$ can be any condition
- For our voters/patients example:
$P \bowtie_{\text {P.zip }=V . z i p ~ a n d ~ P . a g e ~}^{>=}$V.age -1 and P.age $<=$ V.age +1 V


## Equijoin

- A theta join where $\theta$ is an equality predicate
- By far the most used variant of join in practice


## Equijoin Example

AnonPatient $P$

| age | zip | disease |
| :--- | :--- | :--- |
| 54 | 98125 | heart |
| 20 | 98120 | flu |

Voters V

| name | age | zip |
| :--- | :--- | :--- |
| p1 | 54 | 98125 |
| p2 | 20 | 98120 |

$$
\mathrm{P} \bowtie_{\text {P.age }=\mathrm{V} . \mathrm{age}} \mathrm{~V}
$$

| P.age | P.zip | P.disease | P.name | V.zip | V.age |
| :--- | :--- | :--- | :--- | :--- | :--- |
| 54 | 98125 | heart | p1 | 98125 | 54 |
| 20 | 98120 | flu | p2 | 98120 | 20 |

## Join Summary

- Theta-join: $R \bowtie_{\theta} S=\sigma_{\theta}(R \times S)$
- Join of $R$ and $S$ with a join condition $\theta$
- Cross-product followed by selection $\theta$
- Equijoin: $R \bowtie_{\theta} S=\pi_{A}\left(\sigma_{\theta}(R \times S)\right)$
- Join condition $\theta$ consists only of equalities
- Natural join: $\mathrm{R} \bowtie S=\pi_{\mathrm{A}}\left(\sigma_{\theta}(\mathrm{R} \times \mathrm{S})\right.$ )
- Equijoin
- Equality on all fields with same name in $R$ and in $S$
- Projection $\pi_{\mathrm{A}}$ drops all redundant attributes


## So Which Join Is It?

When we write $R \bowtie S$ we usually mean an equijoin, but we often omit the equality predicate when it is clear from the context

## More Joins

- Outer join
- Include tuples with no matches in the output
- Use NULL values for missing attributes
- Does not eliminate duplicate columns
- Variants
- Left outer join
- Right outer join
- Full outer join


## Outer Join Example

## AnonPatient $P$

| age | zip | disease |
| :--- | :--- | :--- |
| 54 | 98125 | heart |
| 20 | 98120 | flu |
| 33 | 98120 | lung |

AnnonJob J

| job | age | zip |
| :--- | :--- | :--- |
| lawyer | 54 | 98125 |
| cashier | 20 | 98120 |

P D J | P.age | P.zip | disease | job | J.age | J.zip |
| :--- | :--- | :--- | :--- | :--- | :--- |
| 54 | 98125 | heart | lawyer | 54 | 98125 |
| 20 | 98120 | flu | cashier | 20 | 98120 |
| 33 | 98120 | lung | null | 33 | 98120 |

## More Examples

```
Supplier(sno,sname,scity,sstate)
Part(pno,pname,psize,pcolor)
Supply(sno,pno,qty,price)
```

Name of supplier of parts with size greater than 10
$\pi_{\text {sname }}\left(\right.$ Supplier $\bowtie$ Supply $\bowtie\left(\sigma_{\text {psize>10 }}\right.$ (Part))

Name of supplier of red parts or parts with size greater than 10 $\pi_{\text {sname }}\left(\right.$ Supplier $\bowtie$ Supply $\bowtie\left(\sigma_{\text {psize>10 }}(\right.$ Part $) \cup \sigma_{\text {pcolor='red' }}($ Part $\left.\left.)\right)\right)$

