
Compilers

CSE 413, Autumn 2002
Programming Languages

<http://www.cs.washington.edu/education/courses/413/02au/>

Credits

- Most of the material in the following lectures is derived from lectures taught by Hal Perkins for CSE 413 and CSE 582
- He also credits previous classes ...
 - » Cornell CS 412-3 (Teitelbaum, Perkins)
 - » Rice CS 412 (Cooper, Kennedy, Torczon)
 - » UW CSE 401 (Chambers, Ruzzo, et al)
 - » Many books (particularly Cooper/Torczon; Aho, Sethi, Ullman [Dragon Book], Appel)

Books

- *Engineering a Compiler* by Keith Cooper & Linda Torczon
 - » Not yet available in bookstores
 - » Preprints available at Professional Copy & Print, Univ. Way & 42nd St. (~ \$40, tax incl.)
- *Compilers: Principles, Techniques, and Tools*, by Aho, Sethi, Ullman
 - » the “Dragon Book”
- *Modern Compiler Implementation in Java*, by Appel

Why are we doing this?

- Execute this!

```
int nPos = 0;
int k = 0;
while (k < length) {
    if (a[k] > 0) {
        nPos++;
    }
}
```

- How?

Interpreters & Compilers

- Interpreter
 - » A program that reads an source program and produces the results of executing that program
- Compiler
 - » A program that translates a program from one language (the *source*) to another (the *target*)

Common Issues

- Compilers and interpreters both must read the input – a stream of characters – and “understand” it; *analysis*

```
w h i l e ( k < l e n g t h ) { <nl> <tab> i f ( a [ k ] > 0  
) <nl> <tab> <tab> { n P o s + + ; } <nl> <tab> }
```

Interpreter

- Interpreter
 - » Execution engine
 - » Program execution interleaved with analysis

```
running = true;
while (running) {
    analyze next statement;
    execute that statement;
}
```
 - » May involve repeated analysis of some statements (loops, functions)

Compiler

- Read and analyze entire program
- Translate to semantically equivalent program in another language
 - » Presumably easier to execute or more efficient
 - » Should “improve” the program in some fashion
- Offline process
 - » Tradeoff: compile time overhead (preprocessing step) vs execution performance

Typical Implementations

- Compilers
 - » FORTRAN, C, C++, Java, C#, COBOL, etc. etc.
 - » Strong need for optimization, etc.
- Interpreters
 - » PERL, Python, awk, sed, sh, csh, postscript printer, Java VM
 - » Effective if interpreter overhead is low relative to execution cost of language statements
 - » Functional languages like Scheme and Smalltalk where the environment is dynamic

Hybrid approaches

- Well-known example: Java
 - » Compile Java source to byte codes – Java Virtual Machine language (.class files)
 - » Execution
 - Interpret byte codes directly, or
 - Compile some or all byte codes to native code (particularly for execution hot spots)
 - Just-In-Time compiler (JIT)
- Variation: VS.NET
 - » Compilers generate MSIL
 - » All IL compiled to native code before execution

Why Study Compilers? *Programmer*

- Become a better programmer
 - » Insight into interaction between languages, compilers, and hardware
 - » Understanding of implementation techniques
 - » What is all that stuff in the debugger anyway?
 - » Better intuition about what your code does

Why Study Compilers? *Designer*

- Compiler techniques are everywhere
 - » Parsing (little languages, interpreters)
 - » Database engines
 - » AI: domain-specific languages
 - » Text processing
 - Tex/LaTeX -> dvi -> Postscript -> pdf
 - » Hardware: VHDL; model-checking tools
 - » Mathematics (Mathematica, Matlab)

Why Study Compilers? *Theoretician*

- Fascinating blend of theory and engineering
 - » Direct applications of theory to practice
 - Parsing, scanning, static analysis
 - » Some very difficult problems (NP-hard or worse)
 - Resource allocation, “optimization”, etc.
 - Need to come up with good-enough solutions

Why Study Compilers? *Education*

- Ideas from many parts of CSE
 - » AI: Greedy algorithms, heuristic search
 - » Algorithms: graph algorithms, dynamic programming, approximation algorithms
 - » Theory: Grammars DFAs and PDAs, pattern matching, fixed-point algorithms
 - » Systems: Allocation & naming, synchronization, locality
 - » Architecture: pipelines & hierarchy management, instruction set use
- You might even write a compiler some day!
 - » You'll almost certainly write parsers and interpreters if you haven't already

Structure of a Compiler

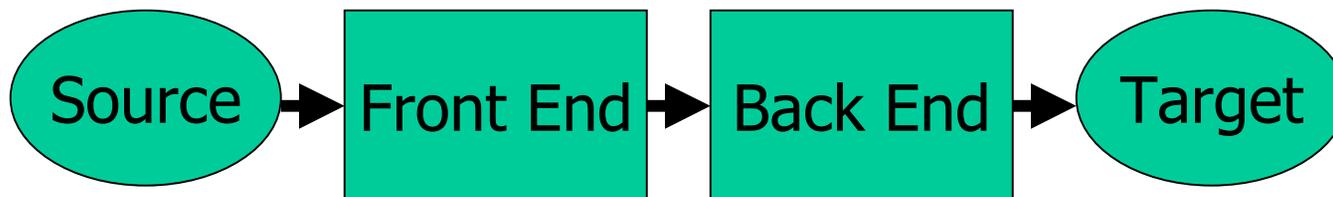
- First approximation

- » Front end: analysis

Read source program and understand its structure and meaning

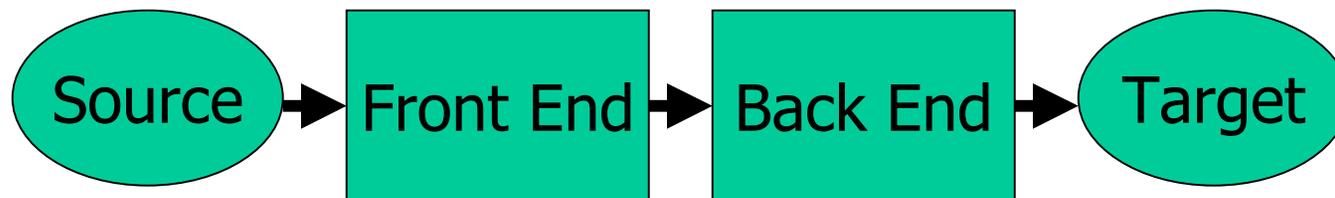
- » Back end: synthesis

Generate equivalent target language program



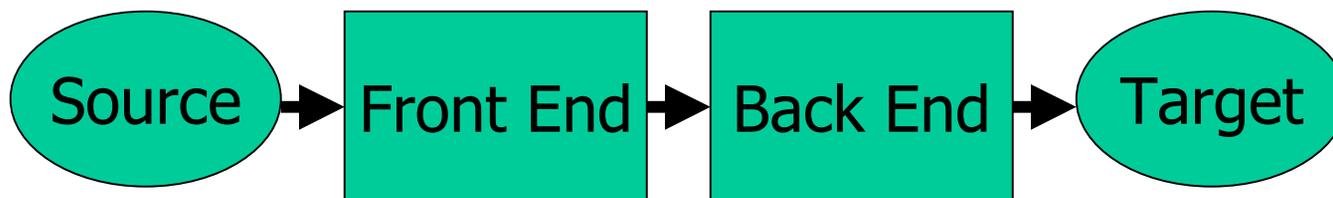
Implications

- Must recognize legal programs (& complain about illegal ones)
- Must generate correct code
- Must manage storage of all variables
- Must agree with OS & linker on target format

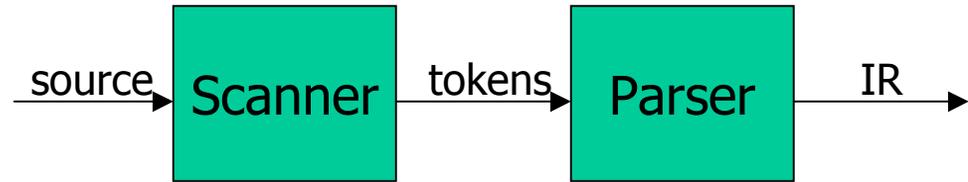


More Implications

- Need some sort of Intermediate Representation (IR)
- Front end maps source into IR
- Back end maps IR to target machine code



Front End



- Split into two parts
 - » Scanner: Responsible for converting character stream to token stream
 - Also strips out white space, comments
 - » Parser: Reads token stream; generates IR
- Both of these can be generated automatically or by hand
 - » Source language specified by a formal grammar
 - » Tools read the grammar and generate scanner & parser (either table-driven or hard coded)

Tokens

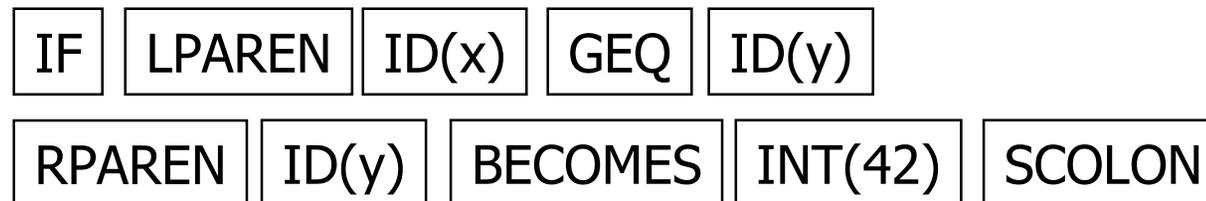
- Token stream: Each significant lexical chunk of the program is represented by a token
 - » Operators & Punctuation: {}[]!+-=*;: ...
 - » Keywords: if while return goto
 - » Identifiers: id & actual name
 - » Constants: kind & value; int, floating-point character, string, ...

Scanner Example

- Input text

```
// this statement does very little  
if (x >= y) y = 42;
```

- Token Stream



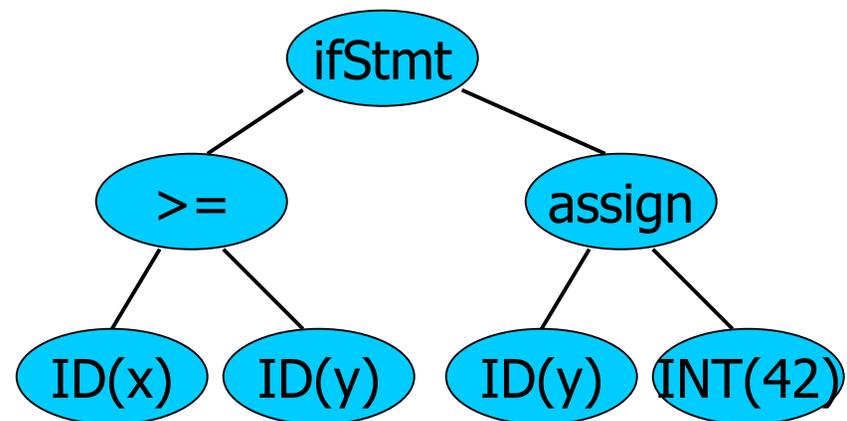
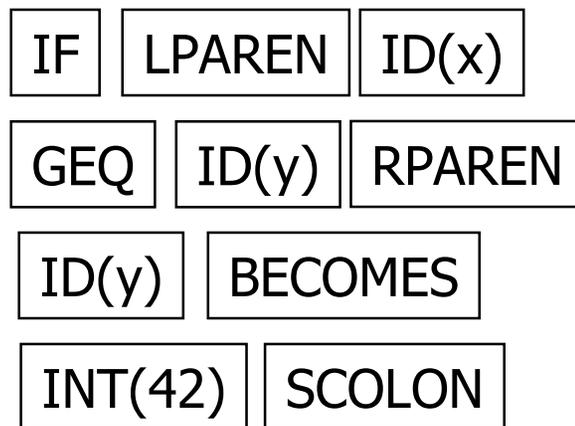
» Note: tokens are atomic items, not character strings

Parser Output (IR)

- Many different forms
 - » (Engineering tradeoffs)
- Common output from a parser is an abstract syntax tree
 - » Essential meaning of the program without the syntactic noise

Parser Example

- Token Stream Input
- Abstract Syntax Tree



Static Semantic Analysis

- During or (more common) after parsing
 - » Type checking
 - » Check for language requirements like “declare before use”, type compatibility
 - » Preliminary resource allocation
 - » Collect other information needed by back end analysis and code generation

Back End

- Responsibilities
 - » Translate IR into target machine code
 - » Should produce fast, compact code
 - » Should use machine resources effectively
 - Registers
 - Instructions
 - Memory hierarchy

Back End Structure

- Typically split into two major parts with sub phases
 - » “Optimization” – code improvements
 - May well translate parser IR into another IR
 - We won’t do much with this part of the compiler
 - » Code generation
 - Instruction selection & scheduling
 - Register allocation

The Result

- Input

```
if (x >= y)
    y = 42;
```

- Output

```
mov  eax,[ebp+16]
cmp  eax,[ebp-8]
jl   L17
mov  [ebp-8],42
L17:
```

Some Ancient History

- 1950's. Existence proof
 - » FORTRAN I (1954) – competitive with hand-optimized code
- 1960's
 - » New languages: ALGOL, LISP, COBOL
 - » Formal notations for syntax
 - » Fundamental implementation techniques
 - Stack frames, recursive procedures, etc.

Some Later History

- 1970's
 - » Syntax: formal methods for producing compiler front-ends; many theorems
- 1980's
 - » New languages (functional; Smalltalk & object-oriented)
 - » New architectures (RISC machines, parallel machines, memory hierarchy issues)
 - » More attention to back-end issues

Some Recent History

- 1990's – now
 - » Compilation techniques appearing in many new places
 - Just-in-time compilers (JITs)
 - Whole program analysis
 - » Phased compilation – blurring the lines between “compile time” and “runtime”
 - » Compiler technology critical to effective use of new hardware (RISC, Itanium, complex memories)
- “May you study compilers in interesting times...”, *Cooper & Torczon*