

---

# Synchronization Part 1

CSE 410, Spring 2007  
Computer Systems

<http://www.cs.washington.edu/410>

5/12/2007

cse410-23-synchronization-pl © 2006-07 Perkins DW Johnson and University of Washington

1

---

## Readings and References

- Reading

- » Chapter 7, *Operating System Concepts*, Silberschatz, Galvin, and Gagne. Read the following sections: 7.1, 7.2 (skim subsections), 7.3

- Other References

- » Chapter 6, *Multithreaded Programming with Pthreads*, First edition, Bil Lewis and Daniel J. Berg, Sun Microsystems Press
- » Sections 5.8.3, Atomicity and Atomic Changes, 5.8.4, Critical Regions with Interrupts Enabled, *See MIPS Run*, Dominic Sweetman

5/12/2007

cse410-23-synchronization-pl © 2006-07 Perkins DW Johnson and University of Washington

2

---

## Too Much Milk

	<b>You</b>	<b>Your Roommate</b>
3:00	Look in fridge; no milk	
3:05	Leave for store	
3:10	Arrive at store	Look in fridge; no milk
3:15	Buy milk	Leave for store
3:20	Arrive home; put milk away	Arrive at store
3:25		Buy milk
3:30		Arrive home; put milk away
		<b>Oh no, too much milk!</b>

5/12/2007

cse410-23-synchronization-pl © 2006-07 Perkins DW Johnson and University of Washington

3

---

## Modeling the Problem

- Model you and your roommate as threads
- “Looking in the fridge” and “putting away milk” are reading/writing a variable

**YOU:**

```
// look in fridge
if( milkAmount == 0 ) {
    // buy milk
    milkAmount++;
}
```

**YOUR ROOMMATE:**

```
// look in fridge
if( milkAmount == 0 ) {
    // buy milk
    milkAmount++;
}
```

5/12/2007

cse410-23-synchronization-pl © 2006-07 Perkins DW Johnson and University of Washington

4

## Correctness Properties

- Decomposed into safety and liveness
  - » safety
    - the program never does anything bad
  - » liveness
    - the program eventually does something good
- Although easy to state, these properties are not always easy to meet (or prove!)

5/12/2007

cse410-23-synchronization-pl © 2006-07 Perkins DW Johnson and University of Washington

5

## Synchronization Definitions

- Synchronization
  - » coordinated access by more than one thread to shared state variables
- Mutual Exclusion
  - » only one thread does a particular thing at a time. One thread doing it excludes all others.
- Critical Section
  - » only one thread executes in a critical section at once

5/12/2007

cse410-23-synchronization-pl © 2006-07 Perkins DW Johnson and University of Washington

6

## Locks

- A lock provides mutual exclusion
  - » Only one thread can hold the lock at a time
  - » A lock is also called a mutex (for mutual exclusion)
- Thread must *acquire the lock* before entering a critical section of code
- Thread *releases the lock* after it leaves the critical section

5/12/2007

cse410-23-synchronization-pl © 2006-07 Perkins DW Johnson and University of Washington

7

## Too Much Milk: A Solution

*YOU:*

```
MilkLock->Acquire();
if( milkAmount == 0 ){
    // buy milk
    milkAmount++;
}
MilkLock->Release();
```

*YOUR ROOMMATE:*

```
MilkLock->Acquire();
    |
    | delay
    |
if( milkAmount == 0 ){
    // buy milk
    milkAmount++;
}
MilkLock->Release();
```

5/12/2007

cse410-23-synchronization-pl © 2006-07 Perkins DW Johnson and University of Washington

8

## Lock Implementation Issue

- A context switch can happen *at any time*
  - » very simple acquire/release functions don't work
  - » in this case, both threads think they set lockInUse

```
Lock::Release() {
    lockInUse = false;
}
Lock::Acquire() {
    while( lockInUse ) {}
    lockInUse = true;
}

Lock::Acquire() {
    while( lockInUse ) {}
    lockInUse = true;
}
```

5/12/2007

cse410-23-synchronization-pl © 2006-07 Perkins DW Johnson and University of Washington

9

## Disable interrupts during critical section

- disable interrupts to prevent a context switch
  - » simple but imperfect solution

```
Lock::Acquire() {
    disable interrupts;
}
Lock::Release() {
    enable interrupts;
}
```

- Kernel can't get control when interrupts disabled
- Critical sections may be long
  - » turning off interrupts for a long time is very bad
- Turning off interrupts is difficult and costly in multiprocessor systems

5/12/2007

cse410-23-synchronization-pl © 2006-07 Perkins DW Johnson and University of Washington

10

## Disable Interrupts with flag

Only disable interrupts when updating a lock flag

```
initialize value = FREE;

Lock::Acquire() {
    disable interrupts;
    while(value != FREE){
        enable interrupts;
        disable interrupts;
    }
    value = BUSY;
    enable interrupts;
}

Lock::Release() {
    disable interrupts;
    value = FREE;
    enable interrupts;
}
```

5/12/2007

cse410-23-synchronization-pl © 2006-07 Perkins DW Johnson and University of Washington

11

## Atomic Operations

- An *atomic operation* is an operation that cannot be interrupted
- On a multiprocessor disabling interrupts doesn't work well
- Modern processors provide **atomic read-modify-write** instruction or equivalent
- These instructions allow locks to be implemented on a multiprocessor

5/12/2007

cse410-23-synchronization-pl © 2006-07 Perkins DW Johnson and University of Washington

12

## Examples of Atomic Instructions

- **Test and set** (many architectures)
  - » sets a memory location to 1 and returns the previous value
  - » if result is 1, lock was already taken, keep trying
  - » if result is 0, you are the one who set it so you've got the lock
- **Exchange** (x86)
  - » swaps value between register and memory
- **Compare & swap** (68000)

```
read location value
if location value equals comparison value
  store update value, set flag true
else
  set flag false
```

5/12/2007

cse410-23-synchronization-pl © 2006-07 Perkins DW Johnson and University of Washington

13

## Locks with Test and Set

```
Lock::Release() {
    value = 0;
}

Lock::Acquire() {
    while(TestAndSet(value)) {}
}
```

This works, but take a careful look at the while loop ... when does it exit?

5/12/2007

cse410-23-synchronization-pl © 2006-07 Perkins DW Johnson and University of Washington

14

## Busy Waiting

- CPU cycles are consumed while the thread is waiting for value to become 0
- This is very inefficient
- Big problem if the thread that is waiting has a higher priority than the thread that holds the lock

5/12/2007

cse410-23-synchronization-pl © 2006-07 Perkins DW Johnson and University of Washington

15

## Locks with Minimal Busy Waiting

- Use a queue for threads waiting on the lock
- A guard variable provides mutual exclusion

```
Lock::Acquire() {
    while(TestAndSet(guard)){}
    if( value != FREE ) {
        Put self on wait queue;
        guard = 0 and switch();
    } else {
        value = BUSY;
        guard = 0;
    }
}

Lock::Release() {
    while(TestAndSet(guard){}
    if(anyone on wait queue){
        move thread from wait
        queue to ready queue;
    } else {
        value = FREE;
    }
    guard = 0;
}
```

5/12/2007

cse410-23-synchronization-pl © 2006-07 Perkins DW Johnson and University of Washington

16

## Synchronization Summary

---

- Threads often work independently
- But sometimes threads need to access shared data
- Access to shared data must be mutually exclusive to ensure **safety** and **liveness**
- **Locks** are a good way to provide *mutual exclusion*