# Scheduling

CSE 410 - Computer Systems November 19, 2001

### Readings and References

#### Reading

> Chapter 6, Sections 6.1 through 6.5, and section 6.7.2, *Operating System Concepts*, Silberschatz, Galvin, and Gagne

#### Other References

> Chapter 6, Section "Thread Scheduling", *Inside Microsoft Windows 2000*, Third Edition, Solomon and Russinovich

### **Process State**

- A process can be in one of several states
  - > new, ready, running, waiting, terminated
- The OS keeps track of process state by maintaining a queue of PCBs for each state
- The ready queue contains PCBs of processes that are waiting to be assigned to the CPU

### Windows 2000 Thread States

- 7 Unknown
- 6 Transition
- 5 Wait (for something to complete)
- 4 Terminated
- 3 Standby (on-deck circle)
- 2 Running (at bat)
- 1 Ready (eligible to be selected)
- 0 Initialized

### The Scheduling Problem

- Need to share the CPU between multiple processes in the ready queue
  - > OS decides which process gets the CPU next
  - Once a process is selected, OS does some work to get the process running on the CPU

### How Scheduling Works

- The short-term scheduler is responsible for choosing a process from the ready queue
- The scheduling algorithm implemented by this module determines how process selection is done
- The scheduler hands the selected process off to the dispatcher which gives the process control of the CPU

### Scheduling Decisions - When?

- Scheduling decisions are always made:
  - > when a task is terminated
  - > when a task switches from running to waiting
- Scheduling decisions are also made when an interrupt occurs in a preemptive system

## Scheduling Decisions - Why?

- Maximize throughput and resource utilization
  - > Need to overlap CPU and I/O activities.
- Minimize response time, waiting time and turnaround time
- Share CPU in a "fair" way
- Conflicting constraints
  - > constantly need to make tradeoffs

# Non-preemptive scheduling

- Non-preemptive scheduling
  - > The scheduler waits for a running task to voluntarily relinquish the CPU (task either terminates or blocks)
- Simplifies kernel
- Simplifies hardware
- But it also makes it difficult to manage the system's performance effectively

# Preemptive scheduling

- Preemptive scheduling
  - > The OS can force a running task to give up control of the CPU, allowing the scheduler to pick another task
  - > OS gains control on a regular interrupt schedule
- A little more overhead
- But allows much better control of the overall system performance

## Non-preemptive/Preemptive

#### • Non-preemptive scheduling

- > The task decides when it stops
- > The scheduler must wait for a running task to voluntarily relinquish the CPU
- > Used in the past, now only in real-time systems

#### • Preemptive scheduling

- > OS can force a running task to give up control of the CPU and pick another task to run
- > Used by all major OS's today

### CPU and I/O Bursts

- Typical process execution pattern:
  - > use the CPU for a while (CPU burst)
  - > then do some I/O operations (I/O burst)
- CPU bound processes have long CPU bursts and perform I/O operations infrequently
- I/O bound processes spend most of their time doing I/O and have short CPU bursts

### First Come First Served

- Scheduler selects the process at the head of the ready queue; typically non-preemptive
- Example: 3 processes arrive at the ready queue in the following order:

```
P1 ( CPU burst = 240 ms), P2 ( CPU burst = 30 ms),
P3 ( CPU burst = 30 ms)
```

- + Simple to implement
- Average waiting time can be large

### Round Robin

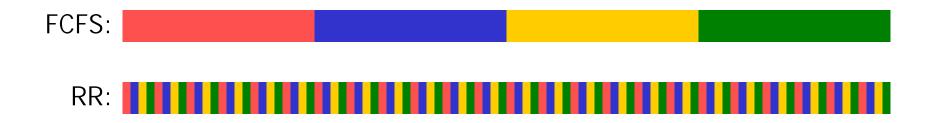
- FCFS + preemptive scheduling
- Ready queue is a circular queue
- Each process gets the CPU for a time quantum (a time slice), typically 10 100 ms
- A task runs until it uses up its time slice or blocks

## Round Robin Examples

Short jobs don't get stuck behind long jobs



• Average response time for jobs of same length is bad



### Round Robin Pros and Cons

- + Works well for short jobs; typically used in timesharing systems
- High overhead due to frequent context switches
- Increases average waiting time, especially if CPU bursts are the same length and need more than one time quantum

# Priority Scheduling

- Select the process with the highest priority
- Priority is based on some attribute of the process (e.g., memory requirements, owner of process, etc.)
- Starvation problem
  - > low priority jobs may wait indefinitely
  - can prevent starvation by aging (increase process priority as it waits)

## **Priority Inversion**

- Three tasks with priorities: HI, MED, LOW
- Suppose LOW locks resource that HI needs
  - > LOW prevents HI from running
  - MED prevents LOW from running
  - > HI can't run until MED finishes and LOW unlocks
- This is known as priority inversion
- Solution: increase priority of a process holding a lock to the max priority of a process waiting on the lock
  - > LOW -> LOW until it releases the lock

### Shortest Job First

- Special case of priority scheduling
  - > priority = expected length of CPU burst
- Scheduler chooses the process with the shortest remaining time to completion
  - > think about waiting at the copy machine
- Example: What's the average waiting time?



### Shortest Job First Pros and Cons

- + It's the best you can do to minimize average response time
  - > can prove the algorithm is optimal
- Difficult to predict the future
  - Use past behavior of the task to predict length of its next CPU burst
- Unfair-- possible starvation
  - > many short jobs can stall long jobs

# An Aside: Exponential Average

• 
$$0 <= \alpha <= 1$$

• 
$$T_{n+1} = \alpha \cdot t_n + (1 - \alpha) \cdot T_n$$

• 
$$T_{n+1} = T_n + \alpha \cdot (t_n - T_n)$$

- $value_{n+1} = value_n + \alpha \cdot (target value_n)$
- etc, etc

### Multi-level Queues

- Maintain multiple ready queues based on task "type" (e.g., system, interactive, batch)
- Each task is assigned to a particular queue
  - > Each queue has a priority
  - May use a different scheduling algorithm in each queue
  - > There are policies implicit in these choices
- Also need to schedule between queues

### Multi-level Feedback Queues

- Adaptive algorithm: task priority changes based on past behavior
- Task starts with high priority
  - > because it's probably a short job
- Decrease priority of tasks that hog the CPU (CPU-bound jobs)
- Increase priority of tasks that don't use the CPU much (I/O-bound jobs)