Verification and Validation

CSE 403, Winter 2005
Software Engineering

http://www.cs.washington.edu/education/courses/403/05wi/

Verification and Validation

Verification: “Did we build the system right?”
- Design and Implementation verification
- Does the system do specific tasks correctly?
- Developer / Tester has the knowledge

Validation: “Did we build the right system?”
- Requirements validation
- Does the system do the required set of tasks?
- Customer / Integrator has the knowledge

Readings and References

- References
  » *If You Didn’t Test It, It Doesn’t Work*, Bob Colwell, IEEE Computer
    • http://www.computer.org/computer/homepage/0502/Random/

- Acknowledgment
  » much of the content of this lecture is derived from a similar lecture by G. Kimura in an earlier instance of CSE 403

Some Approaches to Verification

- Process
  » Improving the likelihood that code is correct

- Testing
  » A dynamic approach

- Proof of correctness
  » Use formal analysis to show an equivalence between a specification and a program
Process

- Process includes a broad set of ideas and approaches
  - Software inspections, walkthroughs, reviews
  - Capability maturity model, ISO 9000
  - etc
- Software correctness depends on thousands and thousands of details being correct
  - Good processes help you avoid making mistakes
  - Processes are not magic

Testing vs. Proving

- Dynamic Testing
  - Builds confidence (not certainty)
    - Can only show the presence of bugs, not their absence
  - Used widely in practice
  - Costly
- Static Proving
  - Proofs are human processes - mistakes are possible!
  - Applicability is limited in practice
  - Extremely costly

Testing

- Testing is by far the dominant approach to demonstrating that code does what it supposed to (whatever that means!)
- Testing is a lot like the weather
  - everybody complains about it
  - but nobody seems to do much about it
- However, unlike the weather, you can actually do something about it!

Engineering: intelligent compromise

- Dynamic techniques are unattractive because they are unsound
  - you can believe something is true when it’s not
- Static techniques are unattractive because they are often very costly
  - and can overlook fundamental problems
- The truth is that they should be considered to be complementary, not competitive
Terminology

- An error
  » mistake the programmer made in design or implementation
- leads to a defect
  » inappropriate code
- that leads to a fault
  » when a program’s internal state is inconsistent with what is expected
- that causes a failure.
  » when the program doesn’t satisfy its specification

Root cause analysis

- Track a failure back to an error
  » Failures are precious information because an error has finally become visible
- Identifying errors is important because it can
  » help identify and remove other related defects
    • other defects might not cause visible failures yet
  » help a programmer (and perhaps a team) avoid making the same or a similar error again
    • If an error is made once, it is very likely made twice

Discreteness

- Testing software is different from testing widgets
  » In general, physical widgets can be analyzed in terms of continuous mathematics
  » Software is based on discrete mathematics
- Why does this matter?
- In continuous math, a small change in an input corresponds to a small change in the output
  » This allows safety factors to be built in
- In discrete math, a small change in an input can correspond to a huge change in the output

Kinds of testing

- Unit
- White-box
- Black-box
- Gray-box
- Bottom-up
- Top-down
- Boundary condition
- Syntax-driven
- Big bang
- Integration
- Acceptance
- Stress
- Regression
- Alpha
- Beta
- etc
Picking Test Cases

- A goal of picking a test case is that it be characteristic of a class of other tests
- That is, one case builds confidence in how other cases will perform

Cover the behavior space

- The overall objective is to cover as much of the behavior space as possible
  » It’s an infinite space ...
- In general, it’s useful to distinguish the notions of common vs. unusual cases for testing

Black box testing

- Treat the unit under test as a black box
  » You can hypothesize about the way it is built, but you can’t see inside it
- Depend on a specification, formal or informal, for determining whether it behaves properly
- How to pick cases that cover the space of behaviors for the unit?
  » equivalence partitioning, boundary values, etc
  » independent testers

Equivalence partitioning

- Based on input conditions
  » If input conditions are specified as a range, you have one valid class (in the range) and two invalid classes (outside the range on each side)
  » If specified as a set, then you can be valid (in the set) or invalid (outside the set)
  » Etc.
Boundary values

- Problems tend to arise on the boundaries of input domains than in the middle
- So, extending equivalence partitioning, make sure to pick added test cases that exercise inputs near the boundaries of valid and invalid ranges

Off-the-wall testing

- Real life and real people are not interested in what you thought the specification said
  - Life takes strange turns
  - Users are not focused on treating your program with kid gloves
- When your program is released in the wild, it *will* get knocked around
  - welcome the comments of the tester who pushes your program to its limits, don’t shout them down

White box testing

- In this approach, the tester has access to the actual software
  - They needn’t guess at the structure of the code, since they can see it
  - The focus tends to shift from how the system behaves to what parts of the code are exercised
    - this can be very useful, and very misleading
- The tester’s challenge: Can you find a defect that leads to a fault that causes a failure?

White box coverage

- In black box, the tests are usually intended to cover the space of behavior
- In white box, the tests are usually intended to cover the space of parts of the program
Statement coverage

- One approach is to cover all statements
  » Develop a test suite that exercises all of a program’s statements
- What’s a statement?

```c
max = (x > y) ? x : b;
if x > y then
  max := x
else
  max := y
endif
```

Weakness

- Coverage may miss some obvious issues
  » In this example (due to Ghezzi et al.) a single test (any negative number for x) covers all statements
  » But it’s not satisfying with respect to input condition coverage, for example

```c
if x < 0 then
  x := -x;
endif;
z := x;
```

More Coverage

- Edge coverage
  » Use control flow graph (CFG) representation of a program
  » Ensure that the suite covers all edges in the CFG
- Condition coverage
  » Complex conditions can confound edge coverage
    ```c
    if ((p != NULL) && (p->left < p->right)) …
    ```
  ▶ Is this a single conditional statement in the CFG?
  ▶ How are short-circuit conditionals handled?
- Path coverage
  » Edge coverage is in some sense very static
  » Edges can be covered without covering paths (sequences of edges)
  » Paths are better models of the actual execution

Path Coverage and Loops

- In general, we can’t bound the number of times a loop executes
- So there are an unbounded number of paths in general
  » We resort to heuristics like those from black box testing to exercise these loops
Some more practical aspects

- Who tests the tests, especially a large complicated test?
  » If your test program generates random data, who confirms the results?
  » Another example is testing trig functions.
- Testing the error cases can be a wider set of inputs. You have two problems
  » Making sure you have proper test coverage and
  » Making sure the results are correct.
- Fault injection is another way of testing systems.
  » For example, injecting I/O failures in a disk controller can test the error cases for the disk driver and file system.
  » Another example is injecting memory allocation errors, to see how programs behave when they run out of memory.

Final note on testing

- It’s unsound and based on heuristics
- It’s extremely useful and important

- Good testing requires a special mindset
  » “I’m going to find a way to make that system fail!”
  » “My test case is a success - it found a system problem.”
- Good coding requires a special mindset
  » “Nobody’s going to break my code!”
  » “Good thing we found the failure now, not in real life.”