# CSE 401/M501 – Compilers

Dynamic Languages
Hal Perkins
Autumn 2025

#### Administrivia

- CSE 401 project reports due tonight 11:59 pm no late submissions accepted
- Hw4 due Thur. night 12/4; if you have late days you can use them
- Lectures this week: dynamic languages today, memory models Wed.; GC and wrapup Fri.
- Sections this week: last-minute hw4 Q&A, instruction scheduling and register allocation, quarter wrapup, and whatever you and your instructors want ©
- CSE M 501 compiler project due Saturday 11:59 pm
  - Report due Sunday night 11:59 pm
  - No late submissions on either of these (see m501 additions writeup)
- Please fill out course evals when they are available
- Please nominate great TAs for the Bandes award when available
  - Both for CSE 401/M501 and for other courses

#### References

 An Efficient Implementation of Self, a dynamically-typed object-oriented language based on prototypes, Chambers, Unger, Lee, OOPSLA 1989

 Earlier versions of this lecture by Vijay Menon, CSE 501, adapted from slides by Kathleen Fisher

## Dynamic Typing (reminder)

JavaScript:

#### Overview

- Self
  - 35(!) year old research language
  - One of earliest JIT compilation systems
  - Pioneered techniques used today

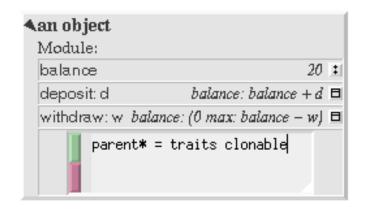
- JavaScript
  - Self with Java-like syntax (plus other things...)
  - Lots of interest in making it fast in recent years

#### Self

- Prototype-based pure object-oriented language
- Designed by Randall Smith (Xerox PARC) and David Ungar (Stanford University)
  - Successor to Smalltalk-80
  - "Self: The power of simplicity" at OOPSLA '87
  - Initial implementation done at Stanford; then project shifted to Sun Microsystems Labs
  - Vehicle for implementation research
- Current version available from selflanguage.org

## Design Goals

- Occam's Razor: Conceptual economy
  - Everything is an object.
  - Everything done using messages.
  - No classes
  - No variables
- Concreteness
  - Objects should seem "real"
  - GUI to manipulate objects directly



#### How successful?

- Very well-designed language, but...
- Few users: not a popular success
- However, many research innovations
  - Very simple computational model
  - Enormous advances in compilation techniques
  - Influenced the design of Java compilers
  - JavaScript object model based on Self

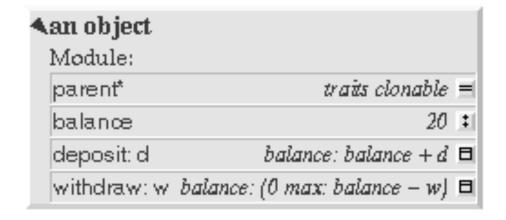
#### Language Overview

- Dynamically typed
- Everything is an object
- All computation via message passing
- Creation and initialization done by copying example (prototype) object
- Operations on objects:
  - send messages
  - add new slots
  - replace old slots
  - remove slots

# **Objects and Slots**

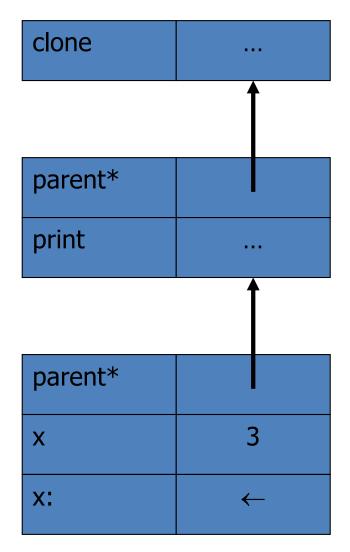
#### Object consists of named slots.

- Data
  - Such slots return contents upon evaluation; so act like variables
- Assignment
  - Set the value of associated slot
- Method
  - Slot contains Self code
- Parent
  - References an object to inherit its slots

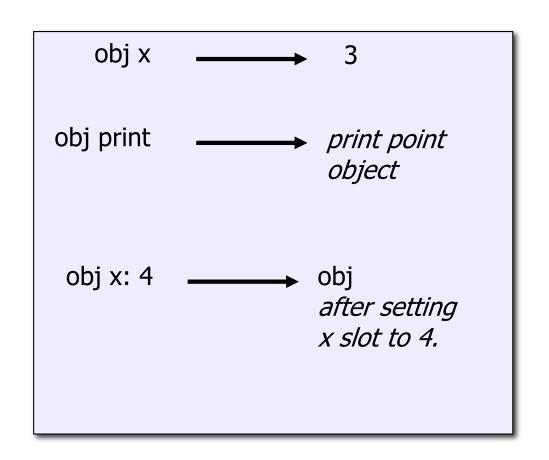


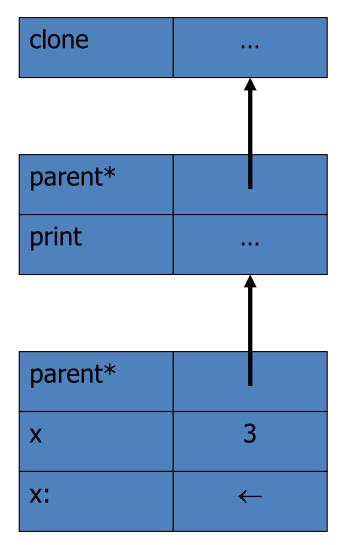
#### Messages and Methods

- When a message is sent, search the receiver object for a slot with that name
- If none found, all parents are searched
  - Runtime error if more than one parent has a slot with the same name
- If slot found, its contents are evaluated and returned
  - Runtime error if no slot found

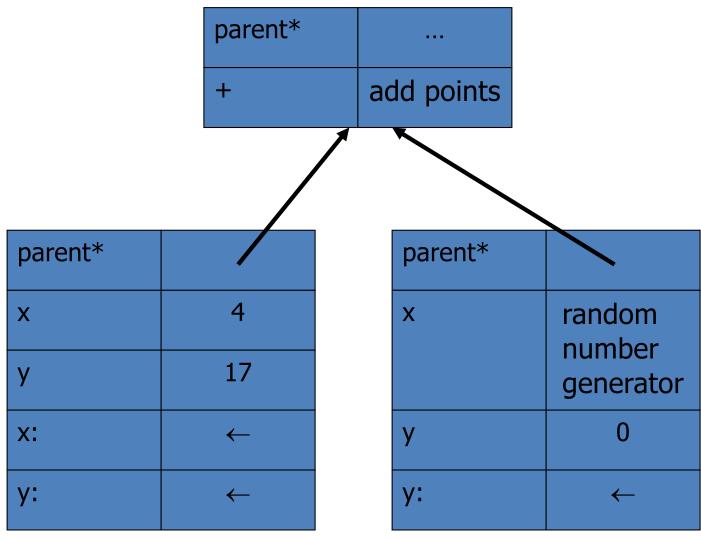


## Messages and Methods



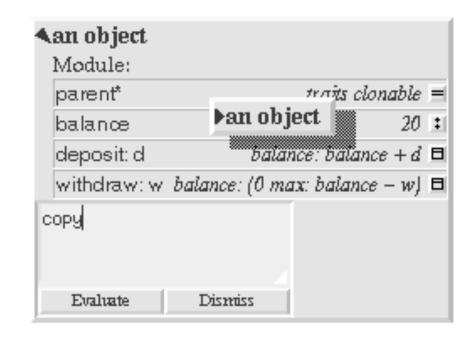


# Mixing State and Behavior



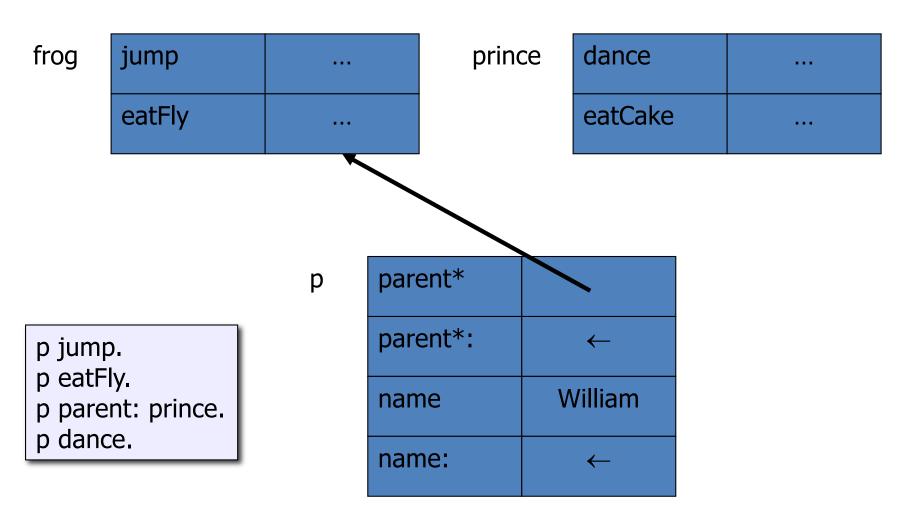
# **Object Creation**

- To create an object, we copy an old one
- We can add new methods, override existing ones, or even remove methods

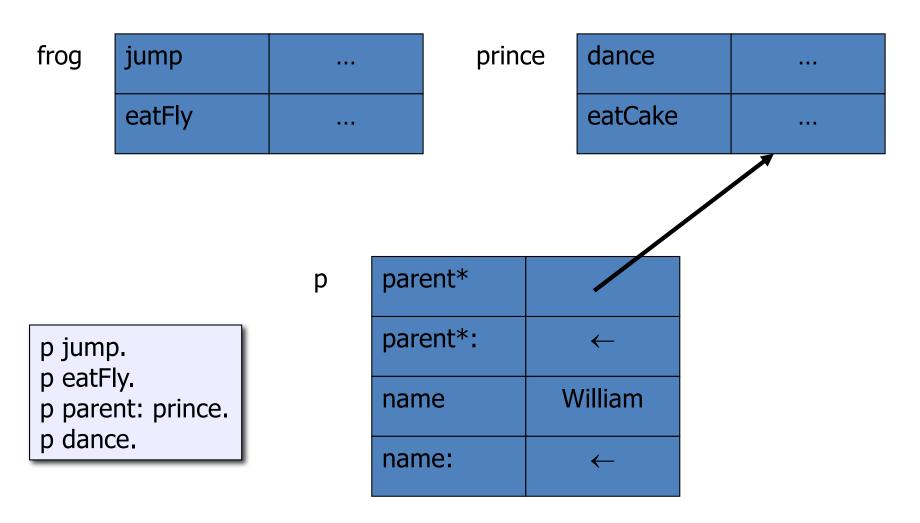


These operations also apply to parent slots

## **Changing Parent Pointers**



## **Changing Parent Pointers**



## Disadvantages of classes?

- Classes require programmers to understand a more complex model
  - To make a new kind of object, we have to create a new class first
  - To change an object, we have to change the class
  - Infinite meta-class regression (What is the class of a class? Or: Is a class an object, and if not, what is it?)
- But: Does Self require programmers to reinvent structure?
  - Common to structure Self programs with traits: objects that simply collect behavior for sharing

## Contrast with C++ / Java

- C++ / Java
  - Restricts expressiveness to ensure efficient implementation and type safety
    - "message not understood" is not possible
- Self
  - Provides unbreakable high-level model of underlying machine
  - Compiler does fancy optimizations to obtain acceptable performance

# Implementation Challenges I

- Many, many slow function calls:
  - Function calls generally somewhat expensive
  - Dynamic dispatch makes message invocation even slower than typical procedure calls
  - OO programs tend to have lots of small methods
  - Everything is a message: even variable access!

"The resulting call density of pure object-oriented programs is staggering, and brings naïve implementations to their knees" [Chambers & Ungar, PLDI 89]

# Implementation Challenges II

- No static type system
  - Each reference could point to any object, making it hard to find methods statically
- No class structure to enforce sharing
  - Copies of methods in every object creates lots of space overhead

Optimized Smalltalk-80 is roughly 10 times slower than optimized C

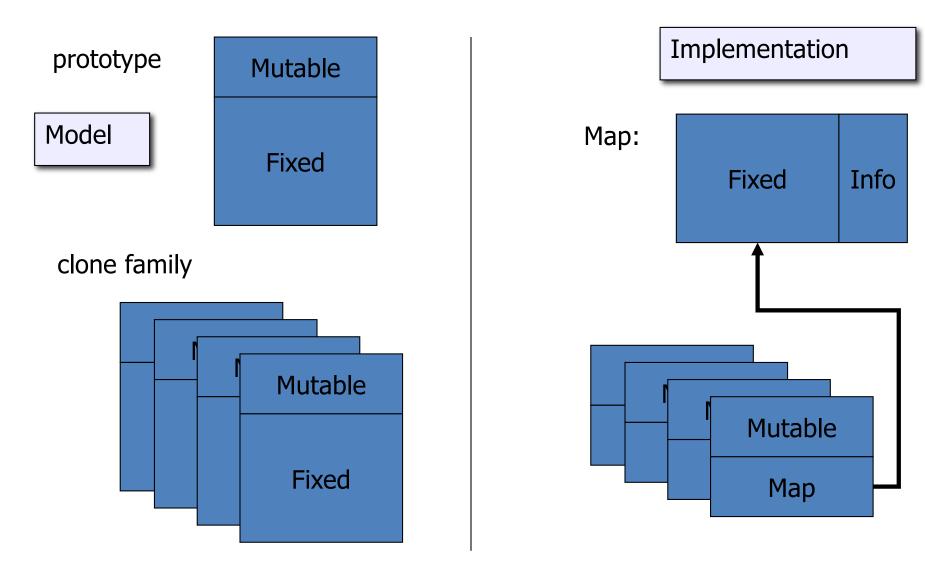
#### **Optimization Strategies**

- Avoid per-object space requirements
- Compile, don't interpret
- Avoid method lookup
- Inline methods wherever possible
  - Saves method call overhead
  - Enables further optimizations

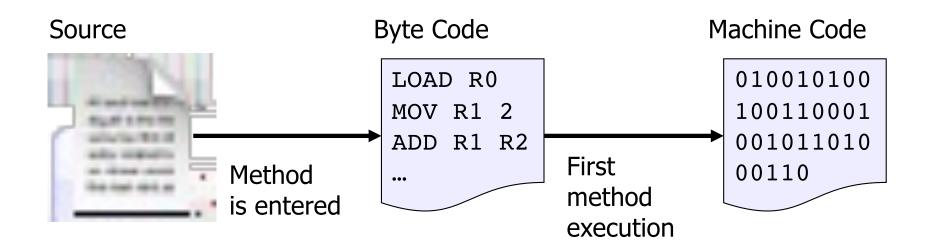
#### Clone Families

Avoid per object data

(Objects created from same prototype)



## **Dynamic Compilation**



- Method is converted to byte codes when entered into the system
- Compiled to machine code when first executed
- Code stored in cache
  - if cache fills, previously compiled method flushed
- Requires entire source (byte) code to be available at runtime

# Lookup Cache

- Cache of recently used methods, indexed by (receiver type, message name) pairs
- When a message is sent, compiler first consults cache
  - if found: invokes associated code
  - if absent: performs general lookup and potentially updates cache
- Berkeley Smalltalk would have been 37% slower without this optimization

## Static Type Prediction

- Compiler predicts types that are unknown but likely:
  - Arithmetic operations (+, -, <, etc.) have small integers as their receivers 95% of time in Smalltalk-80
  - ifTrue had Boolean receiver 100% of the time.
- Compiler inlines code (and test to confirm guess):

```
if type = smallInt jump to method_smallInt
call general lookup
```

#### **Inline Caches**

- First message send from a call site :
  - general lookup routine invoked & backpatch
- Call site back-patched previously
  - is previous method still correct?
    - yes: invoke code directly
    - no: proceed with general lookup & backpatch
- Successful about 95% of the time
- All compiled implementations of Smalltalk and Self use inline caches.

#### Polymorphic Inline Caches

- Typical call site has <10 distinct receiver types</li>
  - Often can cache all receivers
- At each call site, for each new receiver, extend patch code:

```
if type = rectangle jump to method_rect
if type = circle jump to method_circle
call general_lookup
```

- After some threshold, revert to simple inline cache (megamorphic site)
- Order clauses by frequency
- Inline short methods into PIC code

Inline methods

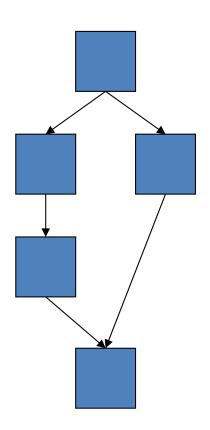
## **Customized Compilation**

- Compile several copies of each method, one for each receiver type
- Within each copy:
  - Compiler knows the type of self
  - Calls through self can be statically selected and inlined
- Enables downstream optimizations
- Increases code size

Inline methods

# Type Analysis

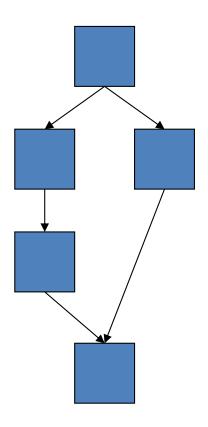
- Constructed by compiler using flow analysis
- Type: set of possible maps for object
  - Singleton: know map statically
  - Union/Merge: know expression has one of a fixed collection of maps
  - Unknown: know nothing about expression
- If singleton, we can inline method
- If type is small, we can insert type test and create branch for each possible receiver (type casing)



Inline methods

# Message Splitting

- Type information above a merge point is often better
- Move message send "before" merge point:
  - duplicates code
  - improves type information
  - allows more inlining



#### PICS as Type Source

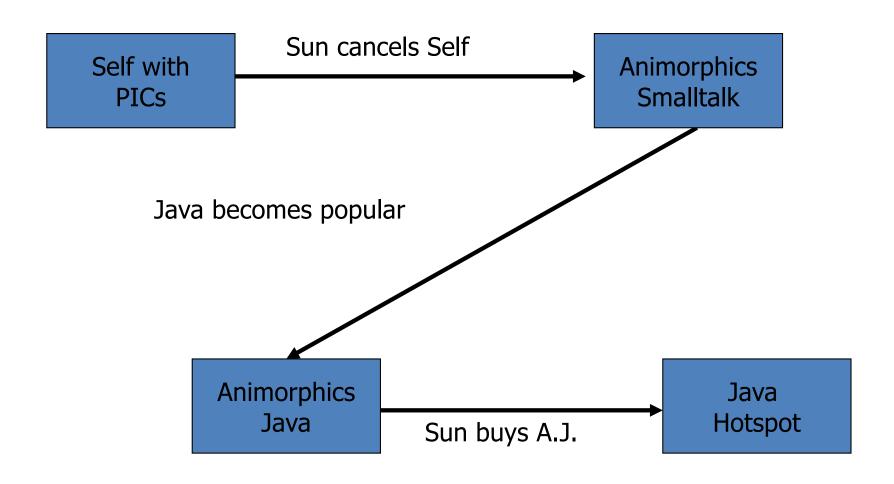
- Polymorphic inline caches build a call-site specific type database as the program runs
- Compiler can use this runtime information rather than the result of a static flow analysis to build type cases
- Must wait until PIC has collected information
  - When to recompile?
  - What should be recompiled?
- Initial fast compile yielding slow code; then dynamically recompile – hotspots

## Performance Improvements

- Initial version of Self was 4-5 times slower than optimized C
- Adding type analysis and message splitting got within a factor of 2 of optimized C
- Replacing type analysis with PICS improved performance by further 37%

Fairly recent Self compiler is within a factor of 2 of optimized C.

#### Impact on Java



# Summary of Self

- "Power of simplicity"
  - Everything is an object: no classes, no variables
  - Provides high-level model that can't be violated (even during debugging)
- Fancy optimizations recover reasonable performance
- Many techniques now used in Java compilers
- Papers describing various optimization techniques available from Self web site

## JavaScript

- Self-like language with Java-like syntax
  - Dynamic OO language
  - Prototypes instead of classes
  - Nothing to do with Java beyond syntax

Originated in Netscape

"Standard" on today's browsers

# High-performance JavaScript

- Self approach:
  - V8 (Google Chrome)
  - SquirrelFish Extreme (Safari / WebKit)
- Trace compilation:
  - TraceMonkey (Firefox)
  - Tamarin (Adobe Flash/Flex)

# V8 (Google Chrome)

- Three primary features
  - Fast property access
    - Hidden classes
  - Dynamic compiler
    - Compile on first invocation
    - Inline caching with back patching
  - Generational garbage collection
    - Segmented by types
- See http://code.google.com/apis/v8/design.html

#### **Trace-Based Compilation**

- Interpret initially
- Record trace information
  - Single entry, multiple exit
  - Loop header is typically trace start
- Compile hot trace (hot path through flowgraph)
  - Interpreter jumps to trace code when available
  - Stitch multiple traces together
- Specialize hot path (omit redundant checks)
  - Claim this achieves benefits of inline caching

#### Conclusions?

• For you to decide...