

### 0. Structural Induction: CharTrees

#### Recursive Definition of CharTrees:

- Basis Step: Null is a **CharTree**
- Recursive Step: If  $L, R$  are **CharTrees** and  $c \in \Sigma$ , then  $\text{CharTree}(L, c, R)$  is also a **CharTree**

Intuitively, a **CharTree** is a tree where the non-null nodes store a `char` data element.

#### Recursive functions on CharTrees:

- The preorder function returns the preorder traversal of all elements in a **CharTree**.

$$\begin{aligned}\text{preorder}(\text{Null}) &= \varepsilon \\ \text{preorder}(\text{CharTree}(L, c, R)) &= c \cdot \text{preorder}(L) \cdot \text{preorder}(R)\end{aligned}$$

- The postorder function returns the postorder traversal of all elements in a **CharTree**.

$$\begin{aligned}\text{postorder}(\text{Null}) &= \varepsilon \\ \text{postorder}(\text{CharTree}(L, c, R)) &= \text{postorder}(L) \cdot \text{postorder}(R) \cdot c\end{aligned}$$

- The mirror function produces the mirror image of a **CharTree**.

$$\begin{aligned}\text{mirror}(\text{Null}) &= \text{Null} \\ \text{mirror}(\text{CharTree}(L, c, R)) &= \text{CharTree}(\text{mirror}(R), c, \text{mirror}(L))\end{aligned}$$

- Finally, for all strings  $x$ , let the “reversal” of  $x$  (in symbols  $x^R$ ) produce the string in reverse order.

#### Additional Facts:

You may use the following facts:

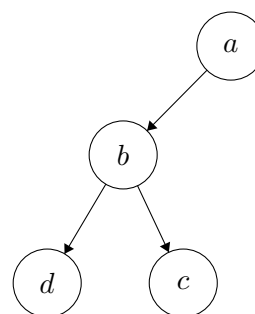
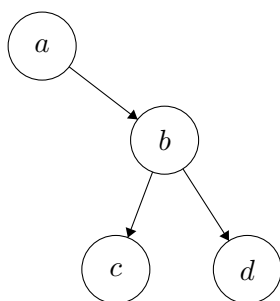
- For any strings  $x_1, \dots, x_k$ :  $(x_1 \cdot \dots \cdot x_k)^R = x_k^R \cdot \dots \cdot x_1^R$
- For any character  $c$ ,  $c^R = c$

#### Statement to Prove:

Show that for every **CharTree**  $T$ , the reversal of the preorder traversal of  $T$  is the same as the postorder traversal of the mirror of  $T$ . In notation, you should prove that for every **CharTree**,  $T$ :  $[\text{preorder}(T)]^R = \text{postorder}(\text{mirror}(T))$ .

There is an example and space to work on the next page.

**Example for Intuition:**



Let  $T_i$  be the tree above.  
 $\text{preorder}(T_i) = \text{"abcd"}$ .  
 $T_i$  is built as  $(\text{null}, a, U)$   
 Where  $U$  is  $(V, b, W)$ ,  
 $V = (\text{null}, c, \text{null}), W = (\text{null}, d, \text{null})$ .

This tree is  $\text{mirror}(T_i)$ .  
 $\text{postorder}(\text{mirror}(T_i)) = \text{"dcba"}$ ,  
 "dcba" is the reversal of "abcd" so  
 $[\text{preorder}(T_i)]^R = \text{postorder}(\text{mirror}(T_i))$  holds for  $T_i$

**Solution:**

Let  $P(T)$  be " $[\text{preorder}(T)]^R = \text{postorder}(\text{mirror}(T))$ ". We show  $P(T)$  holds for all **CharTrees**  $T$  by structural induction.

**Base case** ( $T = \text{Null}$ ):  $\text{preorder}(T)^R = \varepsilon^R = \varepsilon = \text{postorder}(\text{Null}) = \text{postorder}(\text{mirror}(\text{Null}))$ , so  $P(\text{Null})$  holds.

**Inductive hypothesis:** Suppose  $P(L) \wedge P(R)$  for arbitrary **CharTrees**  $L, R$ .

**Inductive step:** We want to show  $P(\text{CharTree}(L, c, R))$ ,  
 i.e.  $[\text{preorder}(\text{CharTree}(L, c, R))]^R = \text{postorder}(\text{mirror}(\text{CharTree}(L, c, R)))$ .

Let  $c$  be an arbitrary element in  $\Sigma$ , and let  $T = \text{CharTree}(L, c, R)$

$$\begin{aligned}
 \text{preorder}(T)^R &= [c \cdot \text{preorder}(L) \cdot \text{preorder}(R)]^R && \text{defn of preorder} \\
 &= \text{preorder}(R)^R \cdot \text{preorder}(L)^R \cdot c^R && \text{Fact 1} \\
 &= \text{preorder}(R)^R \cdot \text{preorder}(L)^R \cdot c && \text{Fact 2} \\
 &= \text{postorder}(\text{mirror}(R)) \cdot \text{postorder}(\text{mirror}(L)) \cdot c && \text{by I.H.} \\
 &= \text{postorder}(\text{CharTree}(\text{mirror}(R), c, \text{mirror}(L))) && \text{recursive defn of postorder} \\
 &= \text{postorder}(\text{mirror}(\text{CharTree}(L, c, R))) && \text{recursive defn of mirror} \\
 &= \text{postorder}(\text{mirror}(T)) && \text{defn of } T
 \end{aligned}$$

So  $P(\text{CharTree}(L, c, R))$  holds.

By the principle of induction,  $P(T)$  holds for all **CharTrees**  $T$ .

# 1. Structural Induction: Strings

## Recursive Definition of a String:

- Basis Step:  $\epsilon$  is a string
- Recursive Step: If  $w$  is a string and  $a$  is a character,  $w \bullet a$  is a string (the string  $w$  with the character  $a$  appended on to the end)

## Recursive functions on String:

Length:

$$\begin{aligned}\text{len}(\epsilon) &= 0 \\ \text{len}(w \bullet a) &= 1 + \text{len}(w)\end{aligned}$$

Reverse:

$$\begin{aligned}\text{rev}(\epsilon) &= \epsilon \\ \text{rev}(w \bullet a) &= a \bullet \text{rev}(w)\end{aligned}$$

## Statement to Prove:

Prove that for any string  $x$ ,  $\text{len}(\text{rev}(x)) = \text{len}(x)$ .

## Solution:

For a string  $x$ , let  $P(x)$  be " $\text{len}(\text{rev}(x)) = \text{len}(x)$ ". We prove  $P(x)$  for all strings  $x$  by structural induction on the set of strings.

**Base Case** ( $x = \epsilon$ ): By definition of reverse,  $\text{len}(\text{rev}(\epsilon)) = \text{len}(\epsilon)$ . So  $P(\epsilon)$  holds.

**Inductive Hypothesis:** Suppose  $P(w)$  holds for some arbitrary string  $w$ . Then  $\text{len}(\text{rev}(w)) = \text{len}(w)$ .

**Inductive Step:** Goal: Show that  $P(w \bullet a)$  holds for any character  $a$ .

Let  $a$  be an arbitrary character.

$$\begin{aligned}\text{len}(\text{rev}(w \bullet a)) &= \text{len}(a \bullet \text{rev}(w)) && \text{[By Definition of reverse]} \\ &= 1 + \text{len}(\text{rev}(w)) && \text{[By Definition of length]} \\ &= 1 + \text{len}(w) && \text{[By IH]} \\ &= \text{len}(w \bullet a) && \text{[By Definition of length]}\end{aligned}$$

This proves  $P(w \bullet a)$ .

**Conclusion:**  $P(x)$  holds for all strings  $x$  by structural induction.

## 2. Structural Induction: Dictionaries

### Recursive definition of a Dictionary (i.e. a Map):

- Basis Case:  $\{\}$  is the empty dictionary
- Recursive Case: If  $D$  is a dictionary, and  $a$  and  $b$  are elements of the universe, then  $(a \rightarrow b) :: D$  is a dictionary that maps  $a$  to  $b$  (in addition to the content of  $D$ ).

### Recursive functions on Dictionaries:

$$\begin{aligned} \text{AllKeys}(\{\}) &= \{\} & \text{len}(\{\}) &= 0 \\ \text{AllKeys}((a \rightarrow b) :: D) &= a :: \text{AllKeys}(D) & \text{len}((a \rightarrow b) :: D) &= 1 + \text{len}(D) \end{aligned}$$

### Recursive functions on Sets:

$$\begin{aligned} \text{len}(\{\}) &= 0 \\ \text{len}(a :: C) &= 1 + \text{len}(C) \end{aligned}$$

### Statement to prove:

Prove that  $\text{len}(D) = \text{len}(\text{AllKeys}(D))$ .

### Solution:

*Proof.* Define  $P(D)$  to be  $\text{len}(D) = \text{len}(\text{AllKeys}(D))$  for a Dictionary  $D$ . We will go by structural induction to show  $P(D)$  for all dictionaries  $D$ .

**Base Case:**  $D = \{\}$ : Note that:

$$\begin{aligned} \text{len}(D) &= \text{len}(\{\}) \\ &= \text{len}(\text{AllKeys}(\{\})) && \text{[Definition of AllKeys]} \\ &= \text{len}(\text{AllKeys}(D)) \end{aligned}$$

**Inductive Hypothesis:** Suppose  $P(C)$  to be true for an arbitrary dictionary  $C$ .

### Inductive Step:

Let  $D' = (a \rightarrow b) :: C$ . Note that:

$$\begin{aligned} \text{len}((a \rightarrow b) :: C) &= 1 + \text{len}(C) && \text{[Definition of Len]} \\ &= 1 + \text{len}(\text{AllKeys}(C)) && \text{[IH]} \\ &= \text{len}(a :: \text{AllKeys}(C)) && \text{[Definition of Len]} \\ &= \text{len}(\text{AllKeys}((a \rightarrow b) :: C)) && \text{[Definition of AllKeys]} \end{aligned}$$

So  $P(D')$  holds.

**Conclusion:** Thus, the claim holds for all dictionaries  $D$  by structural induction. □

### 3. Structural Induction: CFGs

Consider the following CFG:

$$S \rightarrow SS \mid 0S1 \mid 1S0 \mid \epsilon$$

Prove that every string generated by this CFG has an equal number of 1's and 0's.

**Hint:** You may wish to define the functions  $\#_0(x)$ ,  $\#_1(x)$  on a string  $x$ .

#### Solution:

First we observe that the language defined by this CFG can be represented by a recursively defined set. Define a set  $S$  as follows:

**Basis Rule:**  $\epsilon \in S$

**Recursive Rule:** If  $x, y \in S$ , then  $0x1, 1x0, xy \in S$ .

Now we perform structural induction on the recursively defined set. Define the functions  $\#_0(t)$ ,  $\#_1(t)$  to be the number of 0's and 1's respectively in the string  $t$ .

*Proof.* For a string  $t$ , let  $P(t)$  be defined as " $\#_0(t) = \#_1(t)$ ". We will prove  $P(t)$  is true for all strings  $t \in S$  by structural induction.

**Base Case** ( $t = \epsilon$ ): By definition, the empty string contains no characters, so  $\#_0(t) = 0 = \#_1(t)$

**Inductive Hypothesis:** Suppose  $P(x)$ ,  $P(y)$  hold for some arbitrary strings  $x, y$ .

#### Inductive Step:

**Case 1:** Goal is to show  $P(0x1)$  holds.

By the IH,  $\#_0(x) = \#_1(x)$ . Then observe that:

$$\#_0(0x1) = \#_0(x) + 1 = \#_1(x) + 1 = \#_1(0x1)$$

Therefore  $\#_0(0x1) = \#_1(0x1)$ . This proves  $P(0x1)$ .

**Case 2:** Goal is to show  $P(1x0)$  holds.

By the IH,  $\#_0(x) = \#_1(x)$ . Then observe that:

$$\#_0(1x0) = \#_0(x) + 1 = \#_1(x) + 1 = \#_1(1x0)$$

Therefore  $\#_0(1x0) = \#_1(1x0)$ . This proves  $P(1x0)$ .

**Case 3:** Goal is to show  $P(xy)$  holds.

By the IH,  $\#_0(x) = \#_1(x)$  and  $\#_0(y) = \#_1(y)$ . Then observe that:

$$\#_0(xy) = \#_0(x) + \#_0(y) = \#_1(x) + \#_1(y) = \#_1(xy)$$

Therefore  $\#_0(xy) = \#_1(xy)$ . This proves  $P(xy)$ .

So by structural induction,  $P(t)$  is true for all strings  $t \in S$ . □

## 4. Regular Expressions

(a) Consider the following Regular Expression (RegEx):

$$1(45 \cup 54)^*1$$

List 5 strings accepted by the RegEx and 5 strings from  $T := \{1, 4, 5\}^*$  rejected by the RegEx. Then, summarize this RegEx in your own words.

### Solution:

#### Accepted:

- 1451
- 1541
- 145541
- 1454545451
- 11

#### Rejected:

- 1
- 1441
- 45
- 14451
- 111

This RegEx accepts exactly those strings that start and end with a 1, and have one or more pairs of 45 or 54 in the middle.

(b) Consider the following Regular Expression (RegEx):

$$0^*(0 \cup 1)^*((01) \cup (11) \cup (10) \cup (00))1^*(0 \cup 1)^*$$

List 3 strings accepted by the RegEx and 3 strings from  $S := \{0, 1\}^*$  rejected by the RegEx. Then, summarize this RegEx in your own words and write a simpler RegEx that accepts exactly the same set of strings.

### Solution:

#### Accepted:

- 01
- 10
- 10100100101

#### Rejected:

- $\epsilon$
- 0
- 1

This RegEx accepts all binary strings that are 2 or more characters long. A simpler RegEx for this is  $(0 \cup 1)(0 \cup 1)^*$ .

## 5. Constructing Regular Expressions

For each of the following, construct a regular expression for the specified language.

- (a) Strings from the language  $S := \{a\}^*$  with an even number of  $a$ 's.

**Solution:**

$$(aa)^*$$

- (b) Strings from the language  $S := \{a, b\}^*$  with an even number of  $a$ 's.

**Solution:**

$$b^*(b^*ab^*ab^*)^*$$

- (c) Strings from the language  $S := \{a, b\}^*$  with odd length.

**Solution:**

$$(aa \cup ab \cup ba \cup bb)^*(a \cup b)$$

- (d) (Challenge) Strings from the language  $S := \{a, b\}^*$  with an even number of  $a$ 's or an odd number of  $b$ 's.

**Solution:**

$$b^*(b^*ab^*ab^*)^* \cup (a^* \cup a^*ba^*ba^*)^*b(a^* \cup a^*ba^*ba^*)^*$$