# Minimum Spanning Trees CSE 373 Winter 2020

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#### **Teaching Assistants:**

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#### Announcements

- HW7 coming soon
  - HW6 and HW7 will be out concurrently; please prefix Piazza posts with "HW6: ..." or "HW7: ..."
  - HW7 exercises a different set of skills: reading/understanding a large codebase and figuring out where to plug in
  - Read the spec carefully; HW7 substantially longer than last quarter's because we added a ton of hints
- 20sp instructors want current students to TA next quarter!
  - Check Piazza or course webpage for more details
- Did you find the midterm review session useful? Come to a workshop!
  - Wed 2:30-3:20 and Fri 11:30-12:20 @ CSE 203
- **Cite your sources**. PLEASE. It's a crucial habit to get into.

# Feedback from Reading Quiz

- I don't understand the cut property and/or how it relates to MSTs
- Will we be studying non-greedy algorithms later?
- How is a MST different from a weighted tree?



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How'd the midterm go?

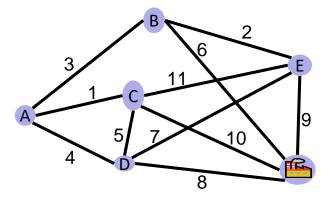
- A. Crushed it
- B. Tough, but I think it went ok
- c. Coulda done better
- D. Ugh
- E.
- F. I'm not sure ...

#### **Lecture Outline**

- \* Introduction to Minimum Spanning Trees
- Prim's Algorithm
- & Kruskal's Algorithm
- Applications of MSTs

#### **Problem Statement**

- Your friend at the electric company needs to connect all these cities to the power plant
- She knows the cost to lay wires between any pair of cities and wants the cheapest way to ensure electricity gets to every city

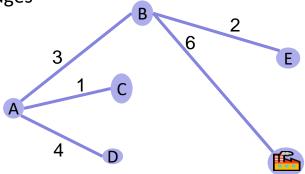


Assume:

- All edge weights are positive
- The graph is undirected

#### **Solution Statement**

- We need a set of edges such that:
  - Every vertex touches at least one edges ("the edges span the graph")
  - The graph using just those edges is connected
  - The total weight of these edges is minimized
- Claim: The set of edges we pick never forms a cycle. Why?
  - V-1 edges is the exact number of edges to connect all vertices
  - Taking away 1 edge breaks connectiveness
  - Adding 1 edge makes a cycle

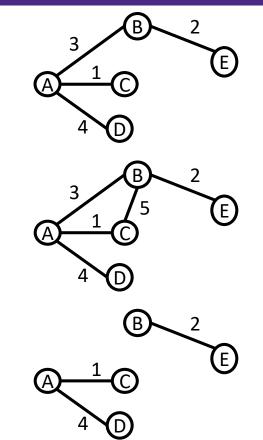


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# **I** Poll Everywhere

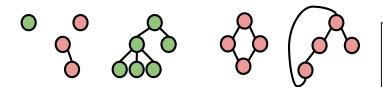
Which of these are trees?

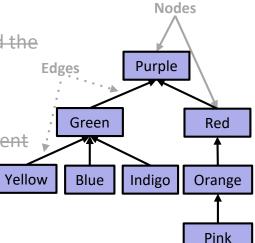
- A. Tree / Not-Tree / Not-Tree
- B. Tree / Tree / Not-Tree
- c. Tree / Not-Tree / Tree
- D. Tree / Tree / Tree
- E. I'm not sure ...



# Review (AGAIN?!?!): The Tree Data Structure

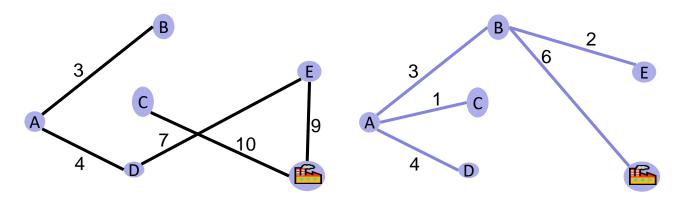
- A Tree is a collection of nodes; each node has <= 1 parent and >= 0 children
  Nodes
  - Root node: the "top" of the tree and the only node with no parent
  - Leaf node: a node with no children
  - Edge: the connection between a parent and child two nodes
  - There is exactly one path between any pair of nodes





# Solution Statement (v2)

- We need a set of edges such that Minimum Spanning Tree:
  - Every vertex touches at least one edges ("the edges span the graph")
  - The graph using just those edges is connected
  - The total weight of these edges is minimized

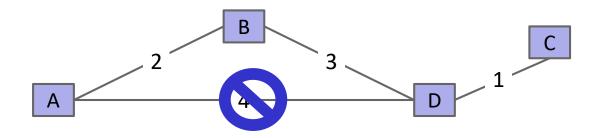


#### **Lecture Outline**

- Minimum Spanning Trees
- \* Prim's Algorithm
- & Kruskal's Algorithm
- Applications of MSTs

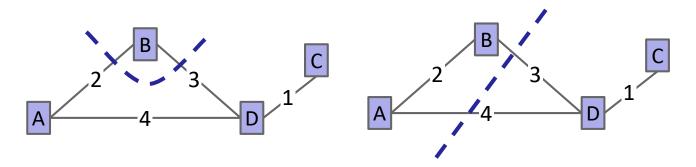
### **Cycle Property**

 Given any cycle, the heaviest edge along it must NOT be in the MST



### **Cut Property**

- Given any cut, the minimum-weight crossing edge must be IN the MST
  - A cut is a partitioning of the vertices into two sets
  - (other crossing edges can also be in the MST)



If only we knew of an algorithm that repeatedly divided the vertices into two sets and chose the minimum edge between the two sets ...

# **Graph Algorithms We Know**

#### DFS

Point-to-point connectivity verification

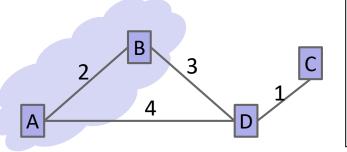
#### BFS

- All-pairs shortest paths in an unweighted graph
- » Dijkstra's
  - All-pairs shortest paths in a weighted graph
- A\* Search
  - Point-to-point shortest path in a weighted graph

#### Demos: https://qiao.github.io/PathFinding.js/visual/

# **Dijkstra's Review**

- Dijkstra's grows the set of "vertices for which we know the shortest path from s"
- Dijkstra's visits vertices in order of distance from the source
- Dijkstra's relaxes an edge based on its distance from the source



```
dijkstras(Node s, Graph g) {
  PriorityQueue unvisited;
  unvisited.addAll(g.allNodes(), ∞)
  unvisited.changePriority(s, 0);
  Map<Node, Integer> distances;
  Map<Node, Node> previousNode
  while (! unvisited.isEmpty()) {
    Node n = unvisited.removeMin();
    for (Node i : n.neighbors) {
      if (distances[i]
        < distances[n]
          + g.edgeWeight(n, i)) {
        continue:
      } else {
        distances[i] = distances[n]
          + g.edgeWeight(n, i);
        unvisited.changePriority(i,
          distances[i]);
        previousNode[i] = n;
      } } } }
```

# Adapting Dijkstra's Algorithm

- MSTs don't have a "source vertex"
  - Replace "vertices for which we know the shortest path from s" with "vertices in the MST-under-construction"
  - Visit vertices in order of distance from MST-under-construction
  - Relax an edge based on its distance from source
- Note:
  - Prim's algorithm was developed in 1930 by Votěch Jarník, then independently rediscovered by Robert Prim in 1957 and Dijkstra in 1959. It's sometimes called Jarník's, Prim-Jarník, or DJP

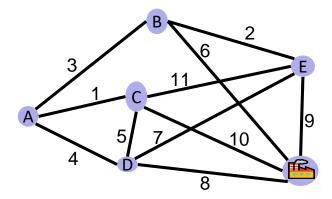
### **Prim's Algorithm**

```
prims(Node s, Graph g) {
  PriorityQueue unvisited;
  unvisited.addAll(g.allNodes(), ∞)
  unvisited.changePriority(s, 0);
  Map<Node, Integer> distances;
  Map<Node, Node> previousNode
  while (! unvisited.isEmpty()) {
    Node n = unvisited.removeMin();
    for (Node i : n.neighbors) {
      if (distances[i]
        < g.edgeWeight(n, i)) {
        continue;
      } else {
        distances[i] =
          q.edgeWeight(n, i);
        unvisited.changePriority(i,
          q.edgeWeight(n, i));
        previousNode[i] = n;
      } } } }
```

```
dijkstras(Node s, Graph q) {
  PriorityQueue unvisited;
  unvisited.addAll(q.allNodes(), ∞)
  unvisited.changePriority(s, 0);
  Map<Node, Integer> distances;
  Map<Node, Node> previousNode
  while (! unvisited.isEmpty()) {
    Node n = unvisited.removeMin();
    for (Node i : n.neighbors) {
      if (distances[i]
        < distances[n]
          + g.edgeWeight(n, i))
        continue;
      } else {
        distances[i] = distances[n
          + g.edgeWeight(n, i);
        unvisited.changePriority(i,
          distances[i]);
        previousNode[i] = n;
      } } } }
```

#### **Your Turn**

```
prims(Node s, Graph q) {
  PriorityQueue unvisited;
  unvisited.addAll(g.allNodes(), ∞)
  unvisited.changePriority(s, 0);
  Map<Node, Integer> distances;
  Map<Node, Node> previousNode
  while (! unvisited.isEmpty()) {
    Node n = unvisited.removeMin();
    for (Node i : n.neighbors) {
      if (distances[i]
        < g.edgeWeight(n, i)) {
        continue;
      } else {
        distances[i] =
          q.edgeWeight(n, i);
        unvisited.changePriority(i,
          distances[i]);
        previousNode[i] = n;
      } } } }
```



Node	distances	previous Node
А		
В		
С		
D		
Е		
F		

# **Prim's Demos and Visualizations**

- Dijkstra's Visualization
  - https://www.youtube.com/watch?v=1oiQ0hrVwJk
  - Dijkstra's proceeds radially from its source, because it chooses edges by path length from source
- Prim's Visualization
  - https://www.youtube.com/watch?v=6uq0cQZOyoY
  - Prim's jumps around the graph (the fringe), because it chooses edges by edge weight (there's no source)
- Demo:

https://docs.google.com/presentation/d/1GPizbySYMsUhnXSX KvbqV4UhPCvrt750MiqPPgUeCY/present?ueb=true&slide=id.g9a60b2f52 0 205

# **Prim's Algorithm: Runtime**

Assuming a binary heap implementation

	# Operations	Cost per operation	Total Cost
PQ add	V	O(log V)	O(V log V)
PQ removeMin	V	O(log V)	O(V log V)
PQ changePriority	E	O(log V)	O(E log V)

Runtime: O(V log V + V log V + E log V) = O(V log V + E log V)

#### **Lecture Outline**

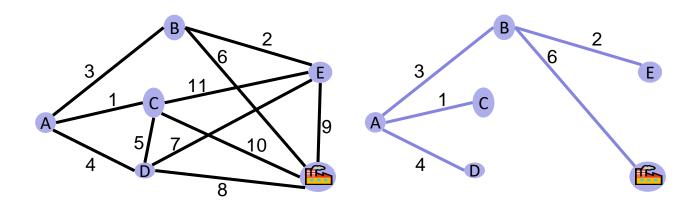
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# **A Different Approach**

- Prim's thinks vertex by vertex
  - Eg, add the closest vertex to the currently reachable set
- What if you think edge by edge instead?
  - Eg, start from the lightest edge; add it if it connects new things to each other (don't add it if it would create a cycle)

#### **Your Turn**

Can you find an MST in this graph by considering edges in sorted order?



# Kruskal's Algorithm

Visualization:

https://www.youtube.com/watch?v=ggLyKfBTABo

Conceptual demo:

https://docs.google.com/presentation/d/1RhRSYs9Jbc335P24p7vR-6PLXZUI-

1EmeDtgieL9ad8/present?ueb=true&slide=id.g375bbf9ace 0 645

```
kruskals(Graph g) {
    msts = {}
    for (n in g.allNodes()) {
        msts.add(makeMST(n));
    }
    finalMST = {};
    for ((u, v) in sort(g.allEdges()) {
        uMST = msts.find(u);
        vMST = msts.find(v);
        if (uMST != vMST) {
            finalMST.add(u, v);
            msts.union(uMST, vMST);
        }}
}
```

# Kruskal's Algorithm: Runtime

 Assuming an unknown data structure for "msts", Kruskal's runtime looks like:

	# Operations	Cost per operation	Total Cost
add	V	?	
find	2E	?	
union	V-1	?	

Runtime: O(V \* union + E \* find)

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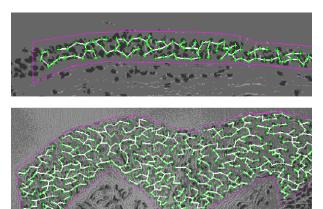
# **Applications of MSTs**

- Handwriting recognition
  - http://dspace.mit.edu/bitstrea m/handle/1721.1/16727/4355 1593-MIT.pdf;sequence=2



Figure 4-3: A typical minimum spanning tree

- Medical imaging
  - e.g. arrangement of nuclei in cancer cells



For more, see: http://www.ics.uci.edu/~eppstein/gina/mst.html

## tl;dr

- Minimum Spanning Trees are a subgraph that "covers" all the vertices but not all the edges
  - Lots of cool applications!
- Two algorithms for finding MSTs:
  - Prim's and Kruskal's
  - Prim's is reasonably fast greedy algorithm that looks like Dijkstra's
  - Same with Kruskal's, but we need another data structure before we can complete it