Section 9: Solutions

Section Problems

1. Reduction: Shortest Paths

Design an efficient algorithm for the following problem: Given a weighted, directed graph $G$ where the weights of every edge in $G$ are all integers between 1 and 10, and a starting vertex $s$ in $G$, find the distance from $s$ to every other vertex in the graph (where the distance between two vertices is defined as the weight of the shortest path connecting them, or infinity if no such path exists).

Your algorithm must run faster (asymptotically) than Dijkstra’s.

Show the runtime of your algorithm in terms of $V$ and $E$ (the number of vertices and edges in $G$).

Solution: See section slides.

2. Design Decisions Out the Wazoo

(a) Given each of the following scenarios, choose the appropriate sorting algorithm and justify your choice with a short sentence.

*insertion sort*, *selection sort*, *merge sort*, *quick sort*, *heap sort*

(i) You are writing a program that sorts applicants for a prestigious fellowship. Each application is given a numerical score to provide an ordered ranking of applications. If two applications have the same score, the application that was received first will be selected, so the order in which the applications were submitted should be maintained.

Solution: Merge Sort. Needs to be stable, so can only be Insertion or Merge and Merge Sort is faster.

(ii) You are a triage nurse and you are in charge of the queue that determines who sees the doctor first. You keep a single sorted list in a spreadsheet, and as new patients arrive you insert them into the queue based on the severity of their injury. If two patients have the same severity the patient that arrived first should get to see the doctor first.

Solution: Insertion sort. Needs to be stable. You’re adding new patients to an already sorted list, and Insertion Sort’s “almost sorted” case is $O(n)$ so it’s the fastest stable sort we have in this case.

(iii) You are writing a program that sorts test scores for a class’ final. The finals will be received in the order they are finished which generally means that higher scores will be received before lower scores, but not perfectly. The sorting will be used to determine the exam statistics, so the ordering of equivalent scores does not matter.

Solution:
Quick sort. Doesn’t need to be stable. Generally fast and can be optimized for this situation by picking pivots that take advantage of the fact that our data is roughly reverse sorted.

(iv) You are a teacher and you release your students for lunch. The students know they are supposed to let younger students go first, but in their excitement, they forget and line up all out of order. Students don’t like letting younger ones cut but are willing to swap line positions with a younger student if you ask them. There is not space in the cafeteria for an extra line, so you have to direct the students within the same line.

Solution:

Selection sort or Insertion sort. Needs to be in place and needs to swap adjacent elements.

(v) At class pictures the photographers usually sort the children by height before assigning places. The photographer doesn’t care who was originally where in line but he/she does need to get them sorted as fast as possible and there’s a lot of extra space in the room to arrange them.

Solution:

Merge sort or Heap sort. Sort is not in-place and any sort that is $O(n \log n)$ in the worst case is good.

(vi) A company has lists of numbers that each need to be sorted. Because the lists can be long, short, reversed, in-order or a mix of all these situations, they need a sort that will always have the same tight big-O runtime regardless of the condition of the list.

Solution:

Merge sort. Guarantees $O(n \log n)$ runtime in all cases.

(vii) When you were younger, you most likely had a box of Crayola crayons. When you first buy them however, all the colors are not sorted in order. Which sort can you use to sort the crayons by color in the box such that you only take one or two of the crayons out of the box at any given time?

Solution:

Selection sort, Insertion sort, In-Place Quick sort, or In-Place Heap sort. One or two crayons can represent local variables. Sort must be in place.

(viii) A customer requires that you use a sort that has recursion. Which one can you choose? Explain why this request is not a good idea.

Solution:

Merge sort or Quick sort. If our input is too large, we may run out of memory in the Stack because there are too many recursive calls.

(b) Given each of the following scenarios choose the appropriate ADT, justify your choice with a short sentence.

List, Stack, Queue, Dictionary, Heap, Disjoint Sets
(i) You are writing a program to manage a todo list with a very specific approach to tasks. This program will order tasks for someone to tackle so that the most recent task is addressed first. How would you store the transactions in appropriate order?

Solution:

Stack. Most recent task would be the first popped off.

(ii) You are writing a study support program that creates flash cards where each flash card has two pieces of crucial information: the question to be asked and the correct answer. How would you store these flash card objects?

Solution:

Dictionary. Key = question, Value = answer. Use question to look up the answer.

(iii) You are writing a program to store the history of transactions for a small business. You need to maintain the order in which the transactions occurred and be able to access entries based on the order in which they were received.

Solution:

List. Best at maintaining order, can get any element without manipulating the data.

(iv) You are writing a program to surface candidates for a job. As candidates are met and evaluated, they are added to a collection from which hiring managers can request the next best applicant whenever they have an open job position. How would you store the candidates and their evaluations for hiring managers to be able to quickly get the next best applicant?

Solution:

(Max) heap. Where priority = goodness of candidate. Will return the best applicants quickly.

(v) You are writing a program to schedule jobs sent to a laser printer. The laser printer should process these jobs in the order in which the requests were received. How would you store the jobs in appropriate order?

Solution:

Queue. Processes jobs in order received - first job requested should be first job printed, which uses FIFO properties.

(vi) You are developing a video game where you play as the Roman Empire. Every time you conquer a city-state, that city-state and everything they own is added to your empire.

Solution:

Disjoint Sets. Each city-state and the Roman Empire are sets. Whenever a city-state is taken over, it’s added to the Roman Empire set.

(c) Given each of the following scenarios, choose the appropriate dictionary implementation and justify your choice with a short sentence.

(i) You are writing a program to store incidents in a software service you maintain. The keys will be time stamps, so you know they will be unique. You will be adding incidents as they occur and removing them as they are resolved. You are more likely to need to access and remove incidents that have recently been added to the collection.

Solution:

LinkedList. Add to front, won’t need to traverse for a “put”.

(ii) You are writing a program that implements a company directory. The directory will store employee ids mapped to contact information. You will also implement auto complete, so that as someone types in a user id you will suggest for them possible entries. Your directory will need to grow and shrink as people join and leave the company.

Solution:

AVL. Auto complete uses all options in a sub-tree. Stays balanced when size changes.

(iii) You are writing a program to store an extremely large dictionary of English vocabulary. You will know how many entries you will store at the onset of the program and will not add any more after the initial processing. You want to optimize for quick look ups of definitions.

Solution:

Hash. Won’t resize, very fast lookups.

(iv) You are writing a program to store a rather small dictionary that maps exam questions to the average score for that question across all students. The questions are numbered sequentially starting at 0. Often you will want to read the entire set of scores in the order of the test.

Solution:

Array. Can quickly iterate over values.

3. More Sorting

During lecture, we focused on five different sorting algorithms: insertion sort, merge sort, quick sort, selection sort, and heap sort.

(a) Suppose we want to sort an array containing 50 strings. Which of the above four algorithms is the best choice?

Solution:

Given the small size, it’s possible insertion sort will be fast enough to be optimal. It may be worst-case $\Theta(n^2)$, but it also has a low constant factor, which may end up making it good enough in this case.

Using any of merge sort, quick sort, or heap sort instead might also be reasonable choices.
(b) Suppose we have an array containing a few hundred elements that is almost entirely sorted, apart from a one or two elements that were swapped with the previous item in the list. Which of the algorithms is the best way of sorting this data?

**Solution:**

Here, insertion sort is definitely the best choice – it’ll run in $\Theta(n)$ time here.

(c) Suppose we want to sort an array of ints, but also want to minimize the amount of extra memory we want to use as much as possible. Which of the above algorithms is the best choice?

**Solution:**

We instead want to use an in-place algorithm. In that case, quicksort is likely the best answer. We could also use heap sort at the cost of (probably) being (slightly) slower if you wanted guaranteed $O(n \log n)$ performance.

(d) Suppose we have a version of quick sort which selects pivots randomly and creates partitions in the manner described in lecture. Explain how you would build an input array that would cause this version of quick sort to always run in $O(n^2)$ time.

Your answer should explain on a high level what your array would look like and what happens when you try running quick sort on it. You do not need to give a specific example of such an array, though you may if you think it will help make your explanation more clear.

**Solution:**

One method would be to construct an array containing all identical elements – for example, an array containing only the number 1.

In that case, our pivot strategy doesn’t really matter: once we find one, our algorithm will always put every single remaining element into the left-most partition (the ones containing all elements $\leq$ to the pivot) and no elements into the right-most partition (the ones containing all elements $>$ than the pivot)...or vice-versa. Consequently, we get $O(n^2)$ behavior.
(e) How can you modify both versions of quicksort so that they no longer display $O(n^2)$ behavior given the same inputs?

Solution:

If we want to make both pivot strategies no longer degrade to $O(n^2)$ given an array of all duplicates, one strategy might be to partition into three groups, not two.

Previously, we partitioned all elements $\leq$ to the pivot in one group all all elements $>$ into the other; now, we want the partition and get all elements $<$ than the pivot, $=$ to the pivot, and $>$ than the pivot.

In the case of the all-duplicate array, all the elements would fall into the second pivot, and there would be no more work left to do. So our modified algorithm would sort this array in $O(n)$ time instead of $O(n^2)$ time.

4. Sorting Code Modeling

Following is a pseudocode for a sorting algorithm. Study the code and answer the following questions.

Note: You have not seen this sorting algorithm in class. Part of the exercise is to apply your analysis skills. Read the questions before analyzing the code.

```java
public void fooSort(int arr[]) {
    int n = arr.length;
    for (int i = 0; i < n-1; i++) {
        for (int j = 0; j < n-i-1; j++) {
            if (arr[j] > arr[j+1]) {
                // swap temp and arr[i]
                int temp = arr[j];
                arr[j] = arr[j+1];
                arr[j+1] = temp;
            }
        }
    }
}
```

(a) What is the worst-case tight big-O runtime?

Solution:

$O(n^2)$

(b) Does the above algorithm do an in-place sort?

Solution:

Yes.

(c) Does the above algorithm do a stable sort?

Solution:

Yes.
5. Graphs and Design

Consider the following problems, which we can both model and solve as graph problems.

For each problem, describe (i) what your vertices and edges are and (ii) a short (2-3 sentence) description of how to solve the problem.

We will also include more detailed pseudocode to make solutions.

Your description does not need to explain how to implement any of the algorithms we discussed in lecture. However, if you modify any of the algorithms we discussed, you must discuss what that modification is.

(a) A popular claim is that if you go to any Wikipedia page and keep clicking on the first link, you will eventually end up at the page about “Philosophy”. Suppose you are a given some Wikipedia page as a random starting point. How would you write an algorithm to verify this claim for the given starting point?

Solution:

Here’s one possible solution (there are others)

Setup:
Each wikipedia page is a vertex, and every hyperlink is a directed, unweighted edge such that an edge \((u, v)\) means that page \(u\) contains a link to page \(v\). Each vertex knows which of its links is the first on the page.

Algorithm description: Initialize an empty hashset. Walk vertex by vertex, going along the edge corresponding to the first link. At each vertex, check if it is “Philosophy” if so return true else, check if it is in the hash set, if so return false, otherwise add this page to the hash set, and go to the next vertex.

Pseudocode: We store our graph in adjacency list form, where the edges are sorted by the order in which they appear in that corresponding page.

Our algorithm would look roughly like the following:

```python
bool everythingGoesToPhilosophy(graph, start):
    encountered = new HashSet()
    encountered.add(start)
    curr = start
    while curr.title != 'Philosophy':
        curr = graph.getFirstLink(curr)
        if curr in encountered:
            return False
        encountered.add(curr)
    return true
```

(b) Suppose you were walking in a field and unexpectedly ran into an alien. The alien, startled by your presence, dropped a book, ran into their UFO, and flew off.

This book ended up being a dictionary for the alien language – e.g. a book containing a bunch of alien words, with corresponding alien definitions.

You observe that the alien’s language appears to be character based. Naturally, the first and burning question you have is what the alphabetical order of these alien characters are.

For example, in English, the character “a” comes before “b”. In the alien language, does the character “\(\%\)” come come before or after character “\(\varphi\)”? The world must know.

Assuming the dictionary is sorted by the alien character ordering, design an algorithm that prints out all plausible alphabetical orderings of the alien characters.
Solution:

Initially, a naive solution might be to take the first characters of each alien word and print out the characters in that order. However, what if there are certain alien words that never start with a particular character? E.g. what if there are no words that start with ϱ?

In this case, a more sophisticated solution is needed.

One way we can do this is to represent each character as a vertex and add an edge whenever we deduce information about which character comes after the next.

For example, if we were looking at an English dictionary and saw the words:

- apology
- apple
- banana

...we’d know the following facts:

(i) The character ‘a’ comes before the character ‘b’
(ii) The character ‘o’ comes before the character ‘p’.

We can add a directed edge for both.

Once we do, we have a DAG. We can then traverse this graph and print out all possible topological orderings.

This problem is admittedly much harder than anything that might show up on the final, so we’ll omit the pseudocode.

6. More Graph Design Decisions

For each of the following graph-based computational tasks, specify the type of graph most appropriate for the data in question in terms of undirected or directed, and unweighted or weighted.

In addition, choose the graph algorithm from the following list best suited to computing a solution:

BFS, DFS, MST (e.g. Prim’s or Kruskal’s), Dijkstra’s, Topological Sort

(a) You want to model a collection of Java files in a project. You know which files exist and which other Java files they depend on using (i.e. other classes you’re importing). You want to determine the order that the files have to be compiled in before a given file f can be compiled and run.

Solution:

Directed and unweighted graph. Topological Sort.

(b) You want to model how a tweet gets re-tweeted by followers on Twitter. You have data on who the users of Twitter are and all the followers of each user. Given a source, a tweet can be re-tweeted by any follower of that source. If a tweet gets arbitrarily re-tweeted k times, you want to know which users could have seen the tweet.

Solution:

Directed and unweighted graph. BFS/DFS to a level/depth of k.
7. Greenland Always Survives

Bob runs a pizza shop in a small town in Greenland. There are 100 houses in the town connected by roads. Bob delivers to every house in the town. Unfortunately, Bob can only carry one pizza at a time, so after delivering to one house, he has to come back to the shop to pick up another delivery.

Last week, there was a storm that left 4 feet of snow on all the roads in the town. Clearing snow on a road costs Bob some money, but once the snow is cleared the cost to travel on the cleared road (in either direction) is zero. Bob decides that instead of clearing snow from all the roads on his delivery routes at once, he will clear the snow while delivering pizzas.

(a) Bob knows he will get an order for each of the 100 houses (they're all regular customers), so he'd like to plan in advance which roads he is going to clear. How should he choose which roads to use to minimize the total cost?

Solution:
Bob should find an MST for the town.

(b) When each new order comes in, how should Bob determine what roads to take to get to that house?

Solution:
Bob should run BFS or DFS on the MST. Since in a MST there is only one path between any two vertices (no cycles), that is the only path Bob can take to get to that house. Running Dijkstra’s on the MST also works but is suboptimal because it's slower than running BFS or DFS.

8. Frodo and Sam

Frodo and Sam are on their way to Mordor to destroy the ring, and along their path they have to pass through several human villages on their way, some of which are now deserted and likely Orc territory. They learn that there are some paths that are being heavily guarded by Orcs, and they want to avoid those paths at all costs. Friendly spies tell Frodo and Sam that they should avoid the road between two deserted villages, as it is more likely be monitored by Orcs.

(a) How would you represent a graph to capture this problem? Answer the following questions:
What do your vertices represent? If you store extra information in each vertex, what is it?
What do your edges represent? Your answer may be a real-world object or an abstract description of what edges will exist. If you store extra information in each edge, what is it?
Is your graph directed or undirected? Briefly explain why in 1-2 sentences.
Is your graph weighted or unweighted? Briefly explain why in 1-2 sentences.

Solution:
Undirected, unweighted graph. Vertices are villages, edges are roads between villages. Each vertex stores information about whether it is deserted or occupied. Graph contains parallel edges, as there can be different roads connecting two villages. No self-loops, since adding those does not affect the solution.

(b) How should Frodo and Sam find the shortest and safest path to Mordor? State the runtime of your solution.

Solution:
While traversing the graph we can identify unsafe edges by checking whether both vertices are deserted. Traverse the graph with BFS, identify all unsafe edges, and then remove them. Run BFS again to find the shortest path. (NOTE: This works since the graph is unweighted.) The runtime is $O(|E| + |V|)$ (two calls to BFS).

9. Get Zucked

Suppose we model Facebook users with the following graph representation. An undirected, unweighted adjacency list where vertices are users and edges represent ‘friend’ relationships. Thus, if two users are friends, then we have an edge between those two users.

Given this graph representation, explain how to solve the following problems.

(a) How would you find a person with the most friends in the network? State the runtime of your solution.

Solution:

Iterate through the graph and find a vertex with the maximum degree.

Runtime is $O(|V|)$ to iterate through adjacency list keys and find the value (list) with the largest size(), or $O(|V| + |E|)$ for BFS or DFS.

(b) The company wants to introduce a new metric for each user called networkSize. The networkSize of a user $u$ is the number of users $v$ such that $u \rightarrow v$, i.e., there is a path from $u$ to $v$. Describe how you would calculate networkSize for a user $u$. State the runtime of your solution.

Solution:

Run BFS or DFS from $u$ and count the number of unique vertices visited.

Runtime is $O(|V| + |E|)$.

(c) Suppose you won a competition at Facebook, and you are granted 3 “free” friend requests, i.e., any three users you choose will automatically become your friends. You want to choose three users who can maximize your networkSize. Describe an efficient solution for choosing three such users. State the runtime of your approach.

Solution:

Use BFS/DFS to identify all the components in the graph. Pick the top 3 largest components by size (number of users) apart from the one you’re in. Select any user from each of those 3 components and choose them to be your friends.

Runtime is $O(|V| + |E|)$.

10. Memory, Locality, and Dictionaries

(a) In lecture, we discussed three different optimizations for disjoint sets: union-by-size, path compression, and the array representation.

If we implement disjoint sets using Node objects with a “data” and “parent” field and implement the first two optimizations, our find and union methods will have a nearly-constant average-case runtime.

In that case, why do we bother with the array representation?
Solution:

Although the array representation will not help make our data structure more asymptotically optimal, we still use it for several reasons:

(i) It lowers the overall amount of memory we consume, especially in Java. Java adds extra bytes of overhead whenever you create a new object – this means that depending on exactly how your system is configured, we may end up using anywhere from 16 to 32 bytes per each element if we were to use the Node and pointer approach.

In contrast, if we use an array, we use only 4 bytes per each element – just enough to store the int.

(ii) Using an array will likely be faster than a linked list due to space locality. Every time we access something in the array, we will also likely drag in the next several elements.

This may end up not helping if we need to jump to wildly distant locations in the array (e.g. if we were to visit indices 1, 2000, then 300, for example, space locality probably doesn't help). However, it may help if we end up needing to “probe” or jump to nearby locations in the array.

(b) Suppose you implement a dictionary with a sorted array and another with an AVL tree. Consider the time needed to iterate over the key-value pairs of a SortedArrayDictionary vs an AvlDictionary. It turns out that iterating over the SortedArrayDictionary is nearly 10 times faster than iterating over the AvlDictionary. Think about why that might be.

Now, suppose we take those same dictionaries and try repeatedly calling the get(...) method a few hundred thousand times, picking a different random key each time.

Surprisingly, we no longer see such an extreme difference in performance. The SortedArrayDictionary is at most only about twice as fast as the AvlDictionary.

Why do you suppose that is? Be sure to discuss both (a) why the difference in performance is much less extreme and (b) why SortedArrayDictionary is still a little faster.

Solution:

Iterating over the SortedArrayDictionary was significantly faster than iterating over the AvlDictionary primarily because the iterator for SortedArrayDictionary was able to take full advantage of spatial locality. When we visit the initial item in the array, we load in the next several elements, speeding up the time needed to access and return the next batch of element.

In contrast, when we visit random keys, we don't really take advantage of spatial locality. The get(...) method likely finds the key-value pairs by using binary search, and binary search will, for the most part, probe distant locations in the array. So, if we initially check index 1000, we might check index 500 next, then index 750...

These numbers are far enough apart that we aren't really taking full use of spatial locality. If we visit index 1000, we might load in the surrounding 64 bytes or so, but that doesn't help us when we look at index 750 next. This problem is further exacerbated by the random keys we pass into get(...).

The AvlDictionary is still likely a little slower than the SortedArrayDictionary possibly because binary search still can take advantage of spatial locality to at least a limited extent – once the search narrows down to a small range of numbers, we can make use of the cache.

In contrast, objects in Java aren't guaranteed to be located anywhere in particular. They might end up being laid out right next to each other, but it's highly unlikely for that to happen, so it's likely that spatial locality doesn't help us at all.
11. Code Modeling

Consider the following problems. Don’t forget the cheat sheet at the end of this worksheet, which has some summations you can use.

(a) What is the simplified tight \( O \) bound for the runtime of each of the loops below? What is the simplified tight \( O \) bound for the runtime of method1?

```java
public void method1(int n) {
    for (int i = 10; i < n; i++) {
        System.out.println("373");
    }
    for (int i = n; i >= 1; i /= 2) {
        System.out.println("is");
    }
    for (int i = 0; i < 999999; i++) {
        System.out.println("cool");
    }
    for (int i = n; i >= n - 4; i-=1) {
        System.out.println("I guess");
    }
}
```

Solution:

Loop 1: \( O(n) \)
Loop 2: \( O(\log n) \)
Loop 3: \( O(1) \)
Loop 4: \( O(1) \)
Overall runtime: \( O(n) \)

(b) This time, write out the summation of method2 as well as give the simplified tight oh bound for the runtime of this method in terms of \( n \).

```java
public void method2(int n) {
    for (int i = 0; i < n; i++) {
        for (int j = 0; j < i; j++) {
            for (int k = 0; k < i; k++) {
                System.out.println("Hi, my name is “ + n);
            }
        }
    }
}
```

Solution:

\[
\sum_{i=0}^{n-1} \sum_{j=0}^{i-1} \sum_{k=0}^{i-1} 1
\]

Overall runtime: \( O(n^3) \)
(c) What is the simplified tight oh bound for the runtime of each of the loops below? Ignore the context of the if conditions for now. Finally, give the overall simplified runtime of method3.

```java
public void method3(int n) {
    if (n < 10000) {
        for (int i = 0; i < n * n * n; i++) {
            System.out.println(":0");
        }
    } else if (n == 10001) {
        for (int i = 0; i < n * n * n * n; i++) {
            System.out.println(":/");
        }
    } else {
        for (int i = 0; i < 2 * n; i++) {
            System.out.println(":D");
        }
    }
}
```

Solution:

Loop 1: $O(1)$ if considering if condition, $O(n^3)$ otherwise
Loop 2: $O(1)$ if considering if condition, $O(n^4)$ otherwise
Loop 3: $O(n)$
Overall runtime: $O(n)$

(d) What is the simplified tight oh bound for the runtime of each of the loops above? Ignore the context of the if conditions for now. Finally, give the overall simplified runtime of method4.

```java
public void method4(int n) {
    for (int i = 0; i < n; i++) {
        if (i == 0) {
            for (int j = 0; j < n; j++) {
                System.out.println("lol");
            }
        } else if (n < 1000) {
            for (int j = 0; j < n * n; j++) {
                System.out.println("rofl");
            }
        } else {
            for (int j = 0; j < 10000; j++) {
                System.out.println("haha");
            }
        }
    }
}
```

Solution:

Outer Loop: $O(n)$

Inner Loop 1: $O(n)$ but will only run once (no matter how many times the outer loop runs) because of the if-condition.

Inner Loop 2: $O(1)$ if considering if condition, $O(n^2)$ otherwise
12. Debugging

Suppose we are in the process of implementing a hash map that uses open addressing and quadratic probing and want to implement the delete method.

(a) Consider the following implementation of delete. List every bug you can find.

Note: You can assume that the given code compiles. Focus on finding run-time bugs, not compile-time bugs.

```java
public class QuadraticProbingHashTable<K, V> {
    private Pair<K, V>[] array;
    private int size;

    private static class Pair<K, V> {
        public K key;
        public V value;
    }

    // Other methods are omitted, but functional.

    /**
     * Deletes the key-value pair associated with the key, and
     * returns the old value.
     *
     * @throws NoSuchKeyException if the key-value pair does not exist in the method.
     */
    public V delete(K key) {
        int index = key.hashCode() % this.array.length;

        int i = 0;
        while (this.array[index] != null && !this.array[index].key.equals(key)) {
            i += 1;
            index = (index + i * i) % this.array.length;
        }

        if (this.array[index] == null) {
            throw new NoSuchKeyException("Key-value pair not in dictionary");
        }

        this.array[index] = null;
        return this.array[index].value;
    }
}
```

Solution:

The full list of all bugs:

(i) If the dictionary contains any null keys, this code will crash. (See the call to .equals(...) in the while loop condition.)

(ii) If the key parameter is null, the code will crash. (See the call to .hashCode(...) at the top of the method.)
(iii) If the key’s hashCode is negative, this code will crash. (We try indexing a negative element).

(iv) We probe the array incorrectly. If $s$ is the initial position we check, we ought to be checking $s, s+1, s+4, s+9, s+16$...

Instead, we check $s, s+1, s+5, s+14, s+30$...

(v) Nulling out the array index will break all subsequent deletes. Suppose we have a collision, and our algorithm ends up checking index locations 0, 1, 5, 14, and 30 respectively.

If we null out index 5, then all subsequent probes starting at index 0 will be unable to find whatever’s located at 14 or 30.

(vi) The final return has a null pointer exception – we null out that pair before fetching the value.

(b) Let’s suppose the Pair array has the following elements (pretend the array fit on one line):

```
```

And, that the following keys have the following hash codes:

<table>
<thead>
<tr>
<th>Key</th>
<th>Hash Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>“bathtub”</td>
<td>9744</td>
</tr>
<tr>
<td>“resource”</td>
<td>4452</td>
</tr>
<tr>
<td>“lily”</td>
<td>7410</td>
</tr>
<tr>
<td>“spill”</td>
<td>2269</td>
</tr>
<tr>
<td>“wage”</td>
<td>8714</td>
</tr>
<tr>
<td>“castle”</td>
<td>2900</td>
</tr>
<tr>
<td>“satisfied”</td>
<td>9251</td>
</tr>
<tr>
<td>“refund”</td>
<td>8105</td>
</tr>
<tr>
<td>“spring”</td>
<td>6494</td>
</tr>
<tr>
<td>“hard”</td>
<td>9821</td>
</tr>
</tbody>
</table>

What happens when we call delete with the following inputs? Be sure write out the resultant array, and to do these method calls in order. (Note: If a call results in an infinite loop or an error, explain what happened, but don’t change the array contents for the next question.)

(i) delete(“lily”)

**Solution:**

Nothing bad happens:

```
```

(ii) delete(“spring”)

**Solution:**

We don’t probe correctly in general (see bug above), but we do happen to loop around eventually to remove “spring”. This code is inefficient, and won’t always work out like this, but in this case, we managed a successful delete:

```
```

(iii) delete(“castle”)

---

15
Solution:
We stop after we see array[0] is null, and we throw a NoSuchKeyException, without continuing to probe.

(iv) delete("bananas")

Solution:
NoSuchKeyException, but that's what we wanted, so no bugs caught.

(v) delete(null)

Solution:
NullPointerException. Note, this is not what we wanted, because Pairs support null keys. This code should have returned a NoSuchKeyException.

(c) List four different test cases you would write to test this method. For each test case, be sure to either describe or draw out what the table’s internal fields look like, as well as the expected outcome (assuming the delete method was implemented correctly). Hint: You may use the inputs previously given to help you identify tests, but it’s up to you to describe what kind of input they are testing generally.

Solution:
Some test cases include:

• Picking a key not present in the dictionary. This should trigger an exception (and not change the size).

• Picking a key present in the dictionary. This should succeed, and return the old value (and decrease the size by 1).

• Inserting and attempting to delete a null key. This should succeed (and decrease the size by 1).

• Deleting a key that forces us to probe a few times. This should succeed (and decrease the size, etc).

• Deleting a key in the middle of some probe sequence. All subsequent calls to delete/get/etc should correctly.

• Using a key with a negative hashcode should behave as expected.

13. Heaps 1: Insertion

Insert the string aa into the ternary max heap denoted by the following array representation. Remember that in a ternary heap, each node has three children. Write out what the final array representation would look like.

To make things a little spicy, we’re also going to use a custom compareTo function with our strings. A string with more characters is considered larger than one with fewer. In the event that two strings have the same number of characters, the tie can be broken by whichever string contains more copies of the letter “a”.

Here is the starting array representation: bat, ka, ba, rv, la, ty, bh.

Solution:
See section slides.
14. Heaps 2: Range

Consider the following min heap. Specify the exact range of values that, when inserted into this heap as the next element, would cause exactly one swap to occur.

Solution:

Let's say we insert some new number $x$ into this heap. The first thing we should realize is that (because of the “complete tree” rule for heaps), $x$ will go under 15, and to the right of 17.

Now we need to make sure $x$ swaps with 15. This is a min heap, so every node needs to be less than its children. So picking any value $x < 15$ will trigger a swap with 15.

However, we only want to percolate/swap once. This means that once $x$ takes the place of 15 it should be “happy” when it sees 2 (its new parent) and not want to swap with it. This will occur if $x \geq 2$ (note that we don’t swap on a tie).

Putting it all together, the correct range is $15 > x \geq 2$.

15. Disjoint Sets 1: Array Representation

Fill in the correct array representation for the following disjoint sets structure given a mapping of items to their indices. Use the table below, and assume we are using the implementation from your project.
16. Disjoint Sets 2: Find Set

Using the disjoint sets from Problem 3, perform findSet(2) with path compression. Give the return value (i.e. the index of the representative), draw the updated array, and draw the resulting disjoint sets.

Solution:

Returned Representative Index: 1.

Updated Array:

Resulting Disjoint Sets:
17. Disjoint Sets 3: Union

Using the disjoint sets from Problem 3, instead perform \texttt{union(3, 14)}. Use both the path compression and union-by-size optimizations. Draw the resulting array.

Solution:

See section slides.
Master Theorem

For recurrences in this form, where $a, b, c, e$ are constants:

\[
T(n) = \begin{cases} 
  d & \text{if } n \leq \text{some constant} \\
  aT(n/b) + e \cdot n^c & \text{otherwise}
\end{cases}
\]

$T(n)$ is

\[
\begin{align*}
  \Theta(n^c) & \quad \text{if } \log_b(a) < c \\
  \Theta(n^c \log n) & \quad \text{if } \log_b(a) = c \\
  \Theta(n^{\log_b(a)}) & \quad \text{if } \log_b(a) > c
\end{align*}
\]

Useful summation identities

Splitting a sum

\[
\sum_{i=0}^{b} (x + y) = \sum_{i=0}^{b} x + \sum_{i=0}^{b} y
\]

Factoring out a constant

\[
\sum_{i=0}^{b} cf(i) = c \sum_{i=0}^{b} f(i)
\]

Gauss’s identity

\[
\sum_{i=0}^{n-1} i = 0 + 1 + 2 + \ldots + n - 1 = \frac{n(n - 1)}{2}
\]

Sum of squares

\[
\sum_{i=0}^{n-1} i^2 = \frac{n(n - 1)(2n - 1)}{6}
\]

Finite geometric series

\[
\sum_{i=0}^{n-1} x^i = 1 + x + x^2 + \ldots + x^{n-1} = \frac{x^n - 1}{x - 1}
\]

Infinite geometric series

\[
\sum_{i=0}^{\infty} x^i = 1 + x + x^2 + \ldots = \frac{1}{1 - x}
\]

Note: applicable only when $-1 < x < 1$