

Lecture 25: Final Review

CSE 373: Data Structures and Algorithms

Announcements

Asks of you

- Course evals by Sunday
- Course survey for 3 Socrative ec points
- TA nominations

Grades

- HW4 & HW6 scores go out later today
- HW

Logistics

- Final is on TUESDAY March 19th 8:30-10:20am here in Smith
- Erik Review: TODAY 3:30-5:30

What comes after 373?

- 417
- Projects
- TA
- internships

On the exam

Graphs

Graph definitions

- Directed vs undirected
- Weighted vs unweighted
- Walks vs paths vs cycles
- Self-loops and parallel edges
- Simple vs non-simple graphs (e.g. multigraphs)
- Trees, DAGs
- Strongly connected components

Graph representations

- Adjacency list
- Adjacency matrix
- Pros and cons of each

Graph algorithms

- Graph traversals: BFS and DFS
- Single-source shortest-path algorithms: Dijkstra's algorithm
- Topological sorts
- MST algorithms: Prim and Kruskal
- Disjoint sets

Sorting

- Quadratic sorts: insertion sort, selection sort
- Faster sorts: heap sort, merge sort, quick sort
- Understand the runtimes of all of the above (in the best and worst case)

Memory and Locality

- Basics of memory architecture
- Spatial and temporal locality

P vs NP

- Definitions of P, NP and NP Complete
- Understand what a reduction is

Design Decisions

- Given a scenario, what ADT, data structure implementation and/or algorithm is best optimized for your goals?
 - What is the purpose of the ADTs we've learned?
 - Given a scenario, determine whether one data structure would be a better fit then another (and explain why)
 - What is the optimal implementation of an ADT for a given situation?
- What is the runtime of a data structure's implementations of each ADT behavior?
- How can you leverage an algorithm to answer a given question?

NOT on the exam

- Java generics and Java interfaces.
- JUnit.
- Java syntax.

Algorithms you're responsible for

For each of the listed algorithms make sure you understand:

In what situations it is useful

- What will this tell us about the data
- What state should the data be in to use it?

What the pros and cons of applying that algorithm are

- Runtime
- Memory usage

Heaps

- percolateUp
- percolateDown
- Floyd's Build Heap

Sorting

- Insertion
- Selection
- Merge
- Quick
- Heap

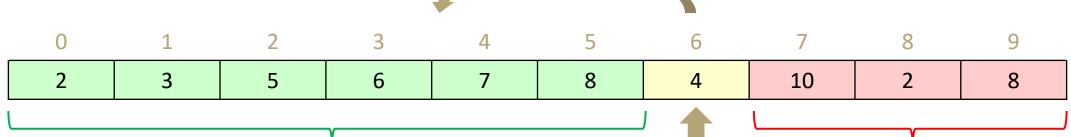
Graphs

- Breadth First Search (BFS)
- Depth First Search (DFS)
- Dijkstra's
- Topological Sort
- Prim's MST
- Kruskal's MST

Disjoint Sets

- Union by rank
- Path compression

Insertion Sort



Sorted Items

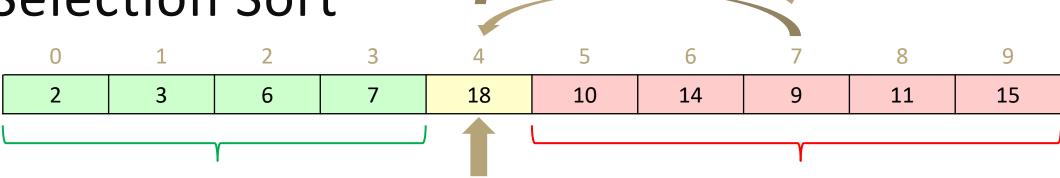
```
public void insertionSort(collection) {
   for (entire list)
     if(currentItem is bigger than nextItem)
        int newIndex = findSpot(currentItem);
        shift(newIndex, currentItem);
   }
public int findSpot(currentItem) {
   for (sorted list)
        if (spot found) return
}
public void shift(newIndex, currentItem) {
   for (i = currentItem > newIndex)
        item[i+1] = item[i]
   item[newIndex] = currentItem
}
```

```
Worst case runtime? O(n²)
```

Current Item

Unsorted Items

Selection Sort



Current Item

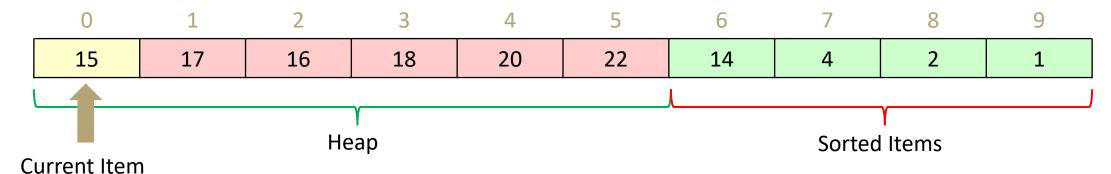
```
public void selectionSort(collection) {
   for (entire list)
      int newIndex = findNextMin(currentItem);
      swap(newIndex, currentItem);
public int findNextMin(currentItem) {
  min = currentItem
  for (unsorted list)
      if (item < min)</pre>
         min = currentItem
   return min
public int swap(newIndex, currentItem) {
  temp = currentItem
   currentItem = newIndex
  newIndex = currentItem
```

Sorted Items

```
Worst case runtime? O(n^2)
Best case runtime? O(n^2)
Average runtime? O(n^2)
Stable? Yes
In-place? Yes
```

Unsorted Items

In Place Heap Sort



```
public void inPlaceHeapSort(collection) {
    E[] heap = buildHeap(collection)
    for (n)
       output[n - i - 1] = removeMin(heap)
}
```

Complication: final array is reversed!

- Run reverse afterwards (O(n))
- Use a max heap
- Reverse compare function to emulate max heap

Worst case runtime? O(nlogn)

Best case runtime? O(nlogn)

Average runtime? O(nlogn)

Stable? No

In-place? Yes

Merge Sort

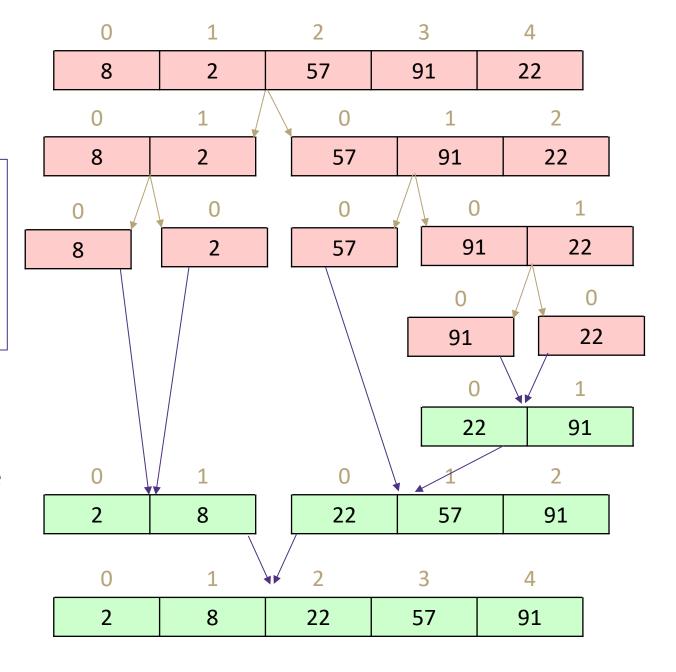
```
mergeSort(input) {
   if (input.length == 1)
      return
   else
      smallerHalf = mergeSort(new [0, ..., mid])
      largerHalf = mergeSort(new [mid + 1, ...])
      return merge(smallerHalf, largerHalf)
}
```

Worst case runtime?

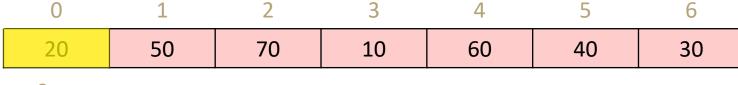
Best case runtime? $T(n) = \begin{cases} 1 & \text{if } n \leq 1 \\ 2T(n/2) + n & \text{otherwise} \end{cases}$ Average runtime? $= O(n \log n)$

Stable? Yes

In-place? No



Quick Sort



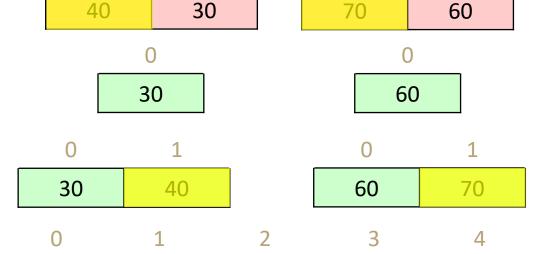
70

50

30

10

```
quickSort(input) {
   if (input.length == 1)
      return
   else
      pivot = getPivot(input)
      smallerHalf = quickSort(getSmaller(pivot, input))
      largerHalf = quickSort(getBigger(pivot, input))
      return smallerHalf + pivot + largerHalf
```



50

60

40

30

Worst case runtime?
$$T(n) = \begin{cases} 1 & \text{if } n <= 1 \\ n + T(n-1) & \text{otherwise} \end{cases}$$

Best case runtime?

$$T(n) = \begin{cases} 1 & \text{if } n \leq 1 \\ n + 2T(n/2) & \text{otherwise} \end{cases}$$

Average runtime?

No Stable?

3 5 6 10 20 30 40 50 60 70

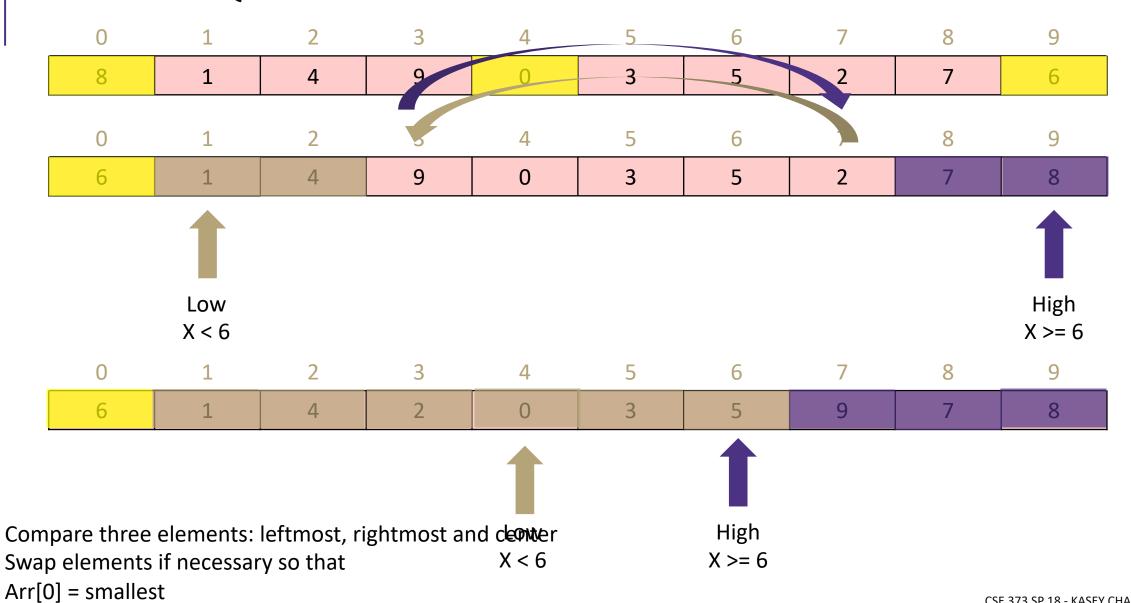
40

No In-place?

70

60

Better Quick Sort



Arr[contor] - modian of throa

Better Quick Sort

U	T	2	3	. 4	5	6	/	8	9
6	1	4	2	0	3	5	9	7	8

```
quickSort(input) {
  if (input.length == 1)
    return
  else
    pivot = getPivot(input)
    smallerHalf = quickSort(getSmaller(pivot, input))
    largerHalf = quickSort(getBigger(pivot, input))
    return smallerHalf + pivot + largerHalf
}
```



Worst case runtime?

Best case runtime?

$$T(n) = \begin{cases} 1 & \text{if } n \leq 1 \\ n + 2T(n/2) & \text{otherwise} \end{cases}$$

Average runtime?

Stable? No

In-place? Yes

Graph: Formal Definition

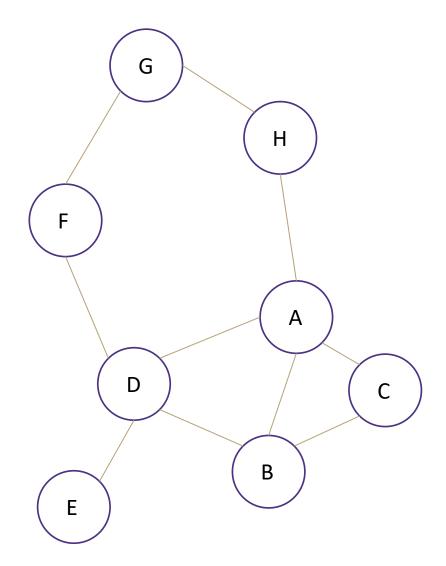
A graph is defined by a pair of sets G = (V, E) where...

- V is a set of **vertices**
 - A vertex or "node" is a data entity

$$V = \{ A, B, C, D, E, F, G, H \}$$

- E is a set of **edges**
 - An edge is a connection between two vertices

```
E = { (A, B), (A, C), (A, D), (A, H),
(C, B), (B, D), (D, E), (D, F),
(F, G), (G, H)}
```



Graph Vocabulary

Graph Direction

- Undirected graph — edges have no direction and are two-way

- Directed graphs – edges have direction and are thus one-way

$$V = \{ A, B, C \}$$

 $E = \{ (A, B), (B, C), (C, B) \}$

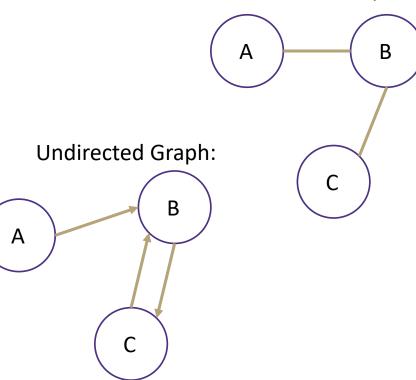
Degree of a Vertex

- **Degree** – the number of edges containing that vertex

- In-degree – the number of directed edges that point to a vertex

Out-degree – the number of directed edges that start at a vertex

Undirected Graph:

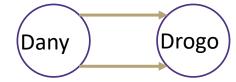


Graph Vocabulary

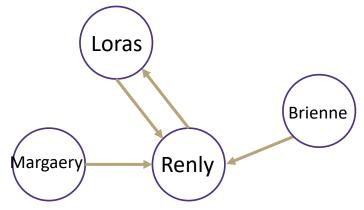
Self loop – an edge that starts and ends at the same vertex



Parallel edges – two edges with the same start and end vertices



Simple graph – a graph with no self-loops and no parallel edges



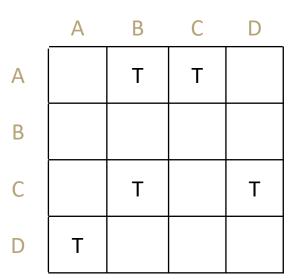
Adjacency Matrix

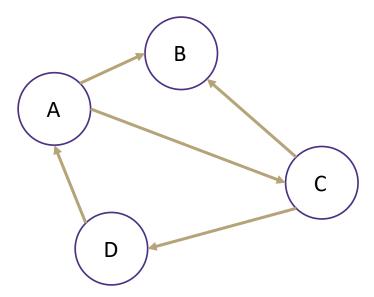
Assign each vertex a number from 0 to V-1Create a $V \times V$ array of Booleans If $(x,y) \in E$ then arr[x][y] = true

Runtime (in terms of V and E)

- get out edges for a vertex O(v)
- get in edges for a vertex O(v)
- decide if an edge exists O(1)
- insert an edge O(1)
- delete an edge O(1)
- delete a vertex
- add a vertex

How much space is used? V²





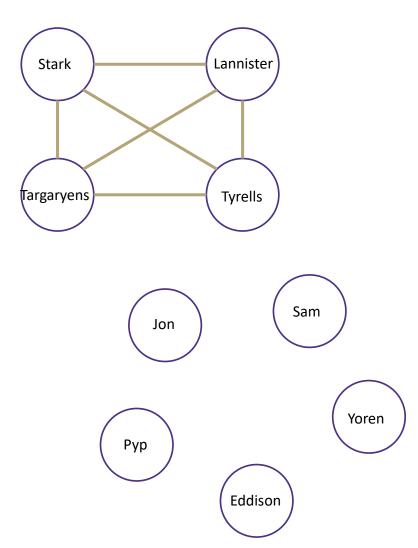
Graph Vocabulary

Dense Graph – a graph with a lot of edges

 $E \in \Theta(V^2)$

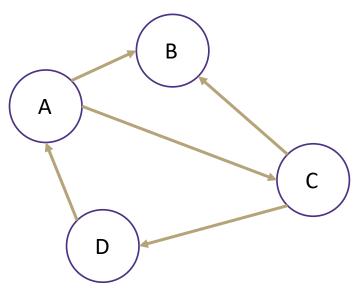
Sparse Graph – a graph with "few" edges $E \in \Theta(V)$

An Adjacency Matrix seems a waste for a sparse graph...



Adjacency List

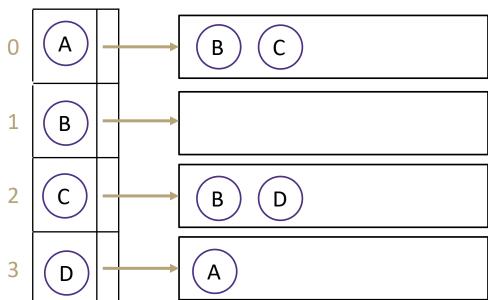
Create a Dictionary of size V from type V to Collection of E If $(x,y) \in E$ then add y to the set associated with the key x



Runtime (in terms of V and E)

- get out edges for a vertex O(1)
- get in edges for a vertex O(V + E)
- decide if an edge exists O(1)
- insert an edge O(1)
- delete an edge O(1)
- delete a vertex
- add a vertex

How much space is used? V + E

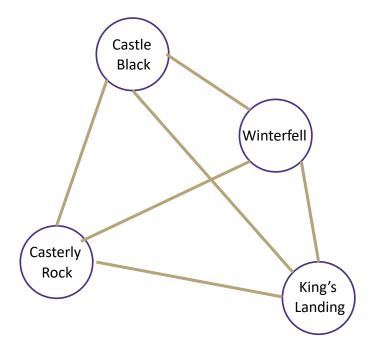


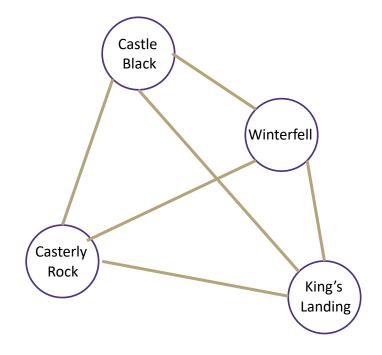
Walks and Paths

Walk – continuous set of edges leading from vertex to vertex

A list of vertices where if I is some int where 0 < 1 < Vn every pair (Vi, Vi+1) in E is true

Path – a walk that never visits the same vertex twice





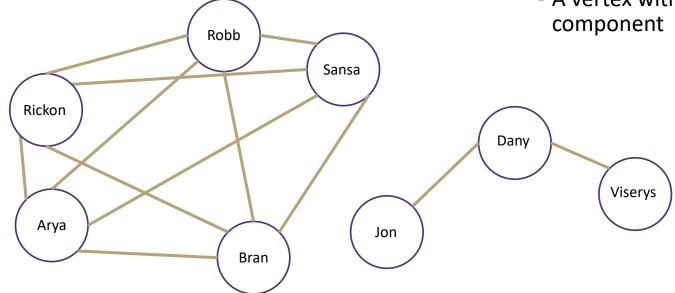
Connected Graphs

Connected graph — a graph where every vertex is connected to every other vertex via some path. It is not required for every vertex to have an edge to every other vertex

There exists some way to get from each vertex to every other vertex

Connected Component – a *subgraph* in which any two vertices are connected via some path, but is connected to no additional vertices in the *supergraph*

- There exists some way to get from each vertex within the connected component to every other vertex in the connected component
- A vertex with no edges is itself a connected component

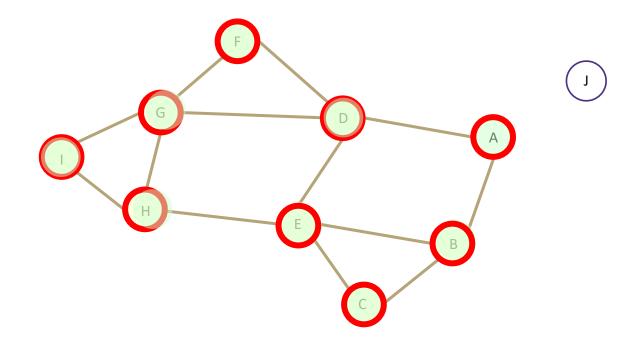


Breadth First Search

Current node: |

Queue: B D E C F G H I

Visited: A B D E C F G H I



Depth First Search

```
dfs(graph)
  toVisit.push(first vertex)
  while(toVisit is not empty)
      current = toVisit.pop()
      for (V : current.neighbors())
        if (V is not in stack)
            toVisit.push(v)
      visited.add(current)
```

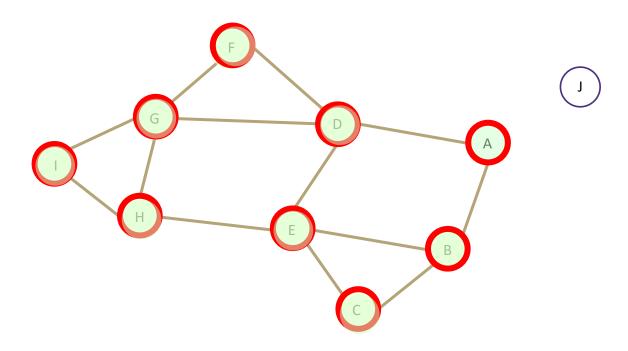
Current node: D

Stack: D B EI HG

Visited: A B E H G F I C D

How many times do you visit each node? How many times do you traverse each edge? 1 time each
Max 2 times each

- Putting them into to Visit
- Checking if they're in toVisit



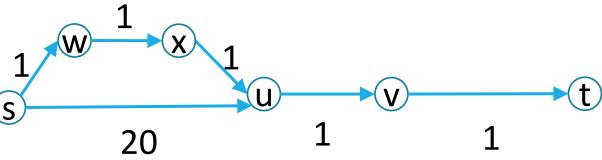
Runtime? O(V + 2E) = O(V + E) "gra

"graph linear"

Dijkstra's Algorithm

```
Dijkstra (Graph G, Vertex source)
   initialize distances to \infty
   mark source as distance 0
   mark all vertices unprocessed
   while(there are unprocessed vertices) {
       let u be the closest unprocessed vertex
       foreach(edge (u,v) leaving u) {
          if(u.dist+weight(u,v) < v.dist){</pre>
              v.dist = u.dist+weight(u,v)
              v.predecessor = u
      mark u as processed
```

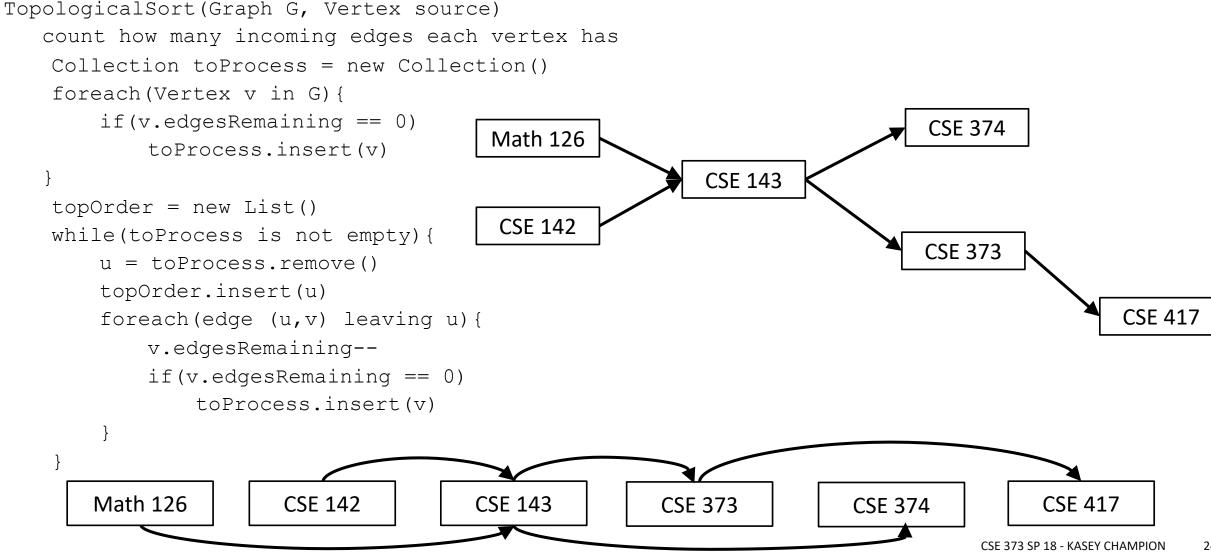
Vertex	Distance	Predecessor	Processed
S	0		Yes
W	1	S	Yes
X	2	W	Yes
u	20 3	S X	Yes
V	4	u	Yes
t	5	V	Yes



Dijkstra's Runtime

```
Dijkstra (Graph G, Vertex source)
+V for (Vertex v : G.getVertices()) { v.dist = INFINITY; }
   G.getVertex(source).dist = 0;
   initialize MPQ as a Min Priority Queue, add source
                                                                  Code Model = C_1 + V + V(logV + E(C_2 + 2logV))
   while (MPQ is not empty) {
                                                                             = C_1 + V + V \log V + V E C_2 + V E C_3 \log V
      u = MPQ.removeMin(); +|ogV
                                                                 Tight O Bound = O(VElogV)
      for (Edge e : u.getEdges(u)) {
          oldDist = v.dist; newDist = u.dist+weight(u,v)
          if(newDist < oldDist){</pre>
                                                                 +C<sub>2</sub>
                                                                        How often do we actually update
             v.dist = newDist
                                                                        the MPQ thanks to this if
             v.predecessor = u
                                                                        statement?
             if(oldDist == INFINITY) { MPQ.insert(v) } + OgV
                                                                        E times!
                                                                        Tight O Bound = O(VlogV + ElogV)
             else { MPQ.updatePriority(v, newDist) }
                                                            (assume logV)
```

How Do We Find a Topological Ordering?



How Do We Find a Topological Ordering?

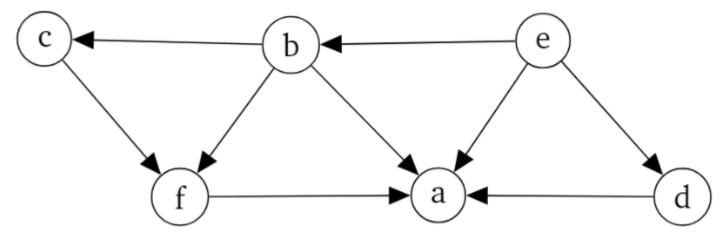
```
TopologicalSort (Graph G, Vertex source)
                count how many incoming edges each vertex has ← BFS
                →Collection toProcess = new Collection()
                                                                        Graph linear
Pick something with
                                                                        +V+E
                 foreach(Vertex v in G){
O(1) insert / removal
                    if(v.edgesRemaining == 0)
                        toProcess.insert(v)
                 topOrder = new List()
                                                                       O(V + E)
                 while(toProcess is not empty) {
                    u = toProcess.remove()
                    topOrder.insert(u)
                    foreach(edge (u,v) leaving u) {
                        v.edgesRemaining--

    Runs as most once per edge

                        if(v.edgesRemaining == 0)
                                                        +E
                            toProcess.insert(v)
```

Practice

What is a possible ordering of the graph after a topological sort?

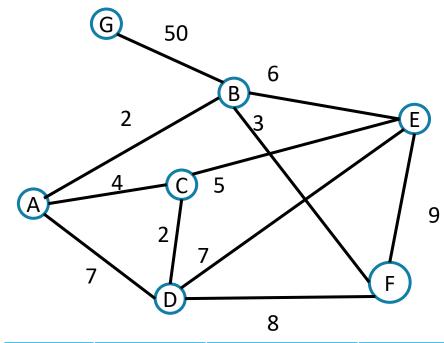


All possible orderings:

- e, d, b, c, f, a
- e, b, d, c, f, a
- e, b, c, d, f, a
- e, b, c, f, a

Try it Out

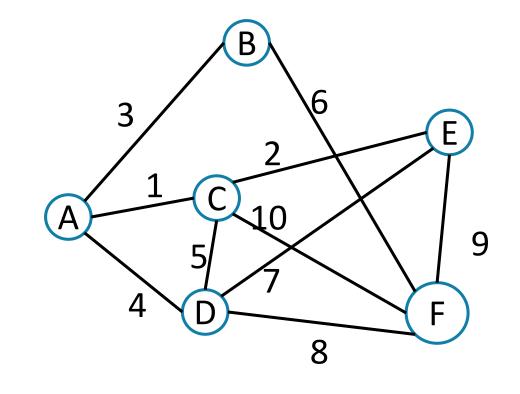
```
PrimMST(Graph G)
  initialize distances to \infty
  mark source as distance 0
  mark all vertices unprocessed
  foreach(edge (source, v) ) {
    v.dist = weight(source, v)
    v.bestEdge = (source, v)
  while(there are unprocessed vertices) {
    let u be the closest unprocessed vertex
    add u.bestEdge to spanning tree
    foreach(edge (u, v) leaving u){
      if(weight(u,v) < v.dist && v unprocessed ) {</pre>
        v.dist = weight(u, v)
        v.bestEdge = (u, v)
    mark u as processed
```



Vertex	Distance	Best Edge	Processed
Α	-	X	✓
В	2	(A, B)	√
С	4	(A, C)	√
D	7 -2	(A,-D) (C, D)	√
Е	6 -5	(B, -E) (C, E)	√
F	3	(B, F)	√
G	50	(B, G)	√

Try It Out

```
KruskalMST(Graph G)
  initialize each vertex to be an independent component
  sort the edges by weight
  foreach(edge (u, v) in sorted order){
    if(u and v are in different components){
       add (u,v) to the MST
       Update u and v to be in the same component
    }
}
```



Edge	Include?	Reason
(A,C)	Yes	
(C,E)	Yes	
(A,B)	Yes	
(A,D)	Yes	
(C,D)	No	Cycle A,C,D,A

Edge (cont.)	Inc?	Reason
(B,F)	Yes	
(D,E)	No	Cycle A,C,E,D,A
(D,F)	No	Cycle A,D,F,B,A
(E,F)	No	Cycle A,C,E,F,D,A
(C,F)	No	Cycle C,A,B,F,C

Kruskal's Algorithm Implementation

```
KruskalMST(Graph G)
  initialize each vertex to be an independent component
  sort the edges by weight
  foreach(edge (u, v) in sorted order) {
    if(u and v are in different components) {
       add (u, v) to the MST
       update u and v to be in the same component
    }
}
```

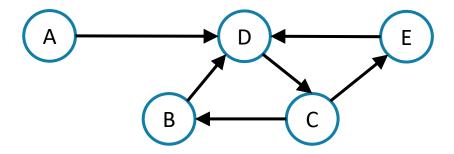
```
KruskalMST(Graph G)
foreach (V : vertices) {
    makeMST(v); +?
}
sort edges in ascending order by weight +ElogE
foreach(edge (u, v)) {
    if(findMST(v) is not in findMST(u)) {+?
        union(u, v) +?
    }
}
+E(2findMST + ElogE)
```

How many times will we call union? V - 1+E(2findMST + union) -> +Vunion + EfindMST

Strongly Connected Components

Strongly Connected Component

A subgraph C such that every pair of vertices in C is connected via some path **in both directions**, and there is no other vertex which is connected to every vertex of C in both directions.



Note: the direction of the edges matters!

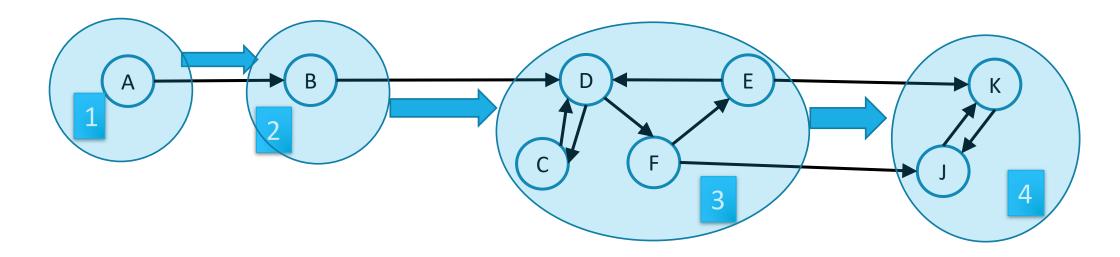
Why Find SCCs?

Graphs are useful because they encode relationships between arbitrary objects.

We've found the strongly connected components of G.

Let's build a new graph out of them! Call it H

- Have a vertex for each of the strongly connected components
- Add an edge from component 1 to component 2 if there is an edge from a vertex inside 1 to one inside 2.



Implement makeSet(x)

makeSet(0)

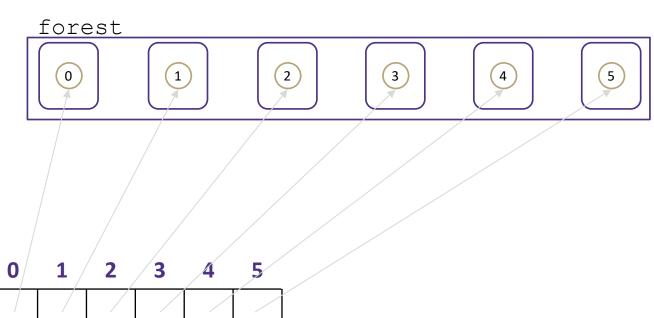
makeSet(1)

makeSet(2)

makeSet(3)

makeSet(4)

makeSet(5)



Worst case runtime?

0(1)

TreeDisjointSet<E>

state

Collection<TreeSet> forest
Dictionary<NodeValues,
NodeLocations> nodeInventory

behavior

makeSet(x)-create a new tree
of size 1 and add to our
forest

findSet(x)-locates node with x and moves up tree to find root union(x, y)-append tree with y as a child of tree with x

Implement findSet(x)

findSet(0)

findSet(3)

findSet(5)

forest 0 4

Worst case runtime?

O(n)

Worst case runtime of union?

O(n)

TreeDisjointSet<E>

state

Collection<TreeSet> forest
Dictionary<NodeValues,
NodeLocations> nodeInventory

behavior

 $\begin{array}{lll} \text{makeSet} \, (\textbf{x}) \, \text{-create a new tree} \\ \text{of size 1 and add to our} \\ \text{forest} \end{array}$

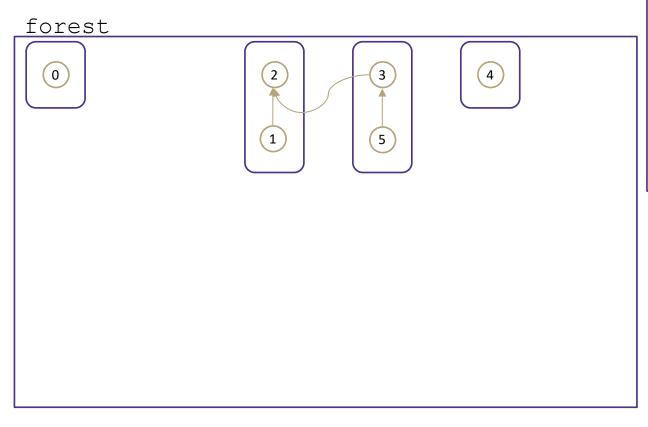
findSet(x)-locates node with x and moves up tree to find root union(x, y)-append tree with y as a child of tree with x

Implement union(x, y)

union(3, 5)

union(2, 1)

union(2, 5)



0 1 2 3 4 5 -> -> -> -> ->

TreeDisjointSet<E>

state

Collection<TreeSet> forest
Dictionary<NodeValues,
NodeLocations> nodeInventory

behavior

makeSet(x)-create a new tree
of size 1 and add to our
forest
findSet(x)-locates node with

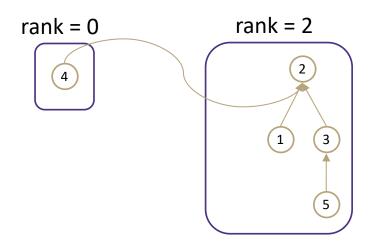
findSet(x)-locates node with x and moves up tree to find root union(x, y)-append tree with y as a child of tree with x

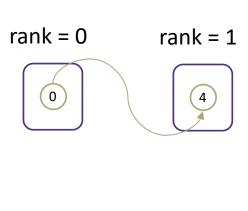
Improving union

Problem: Trees can be unbalanced

Solution: Union-by-rank!

- let rank(x) be a number representing the upper bound of the height of x so rank(x) \geq height(x)
- Keep track of rank of all trees
- When unioning make the tree with larger rank the root
- If it's a tie, pick one randomly and increase rank by one





Improving findSet()

Problem: Every time we call findSet() you must traverse all the levels of the tree to find representative

Solution: Path Compression

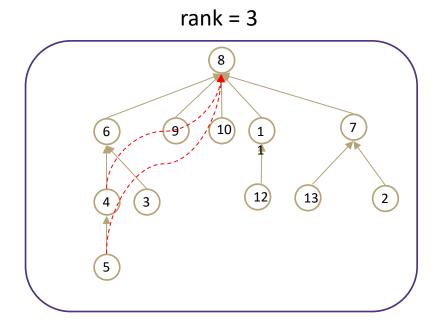
- Collapse tree into fewer levels by updating parent pointer of each node you visit
- Whenever you call findSet() update each node you touch's parent pointer to point directly to overallRoot

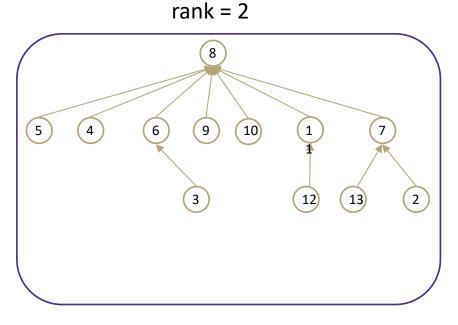
findSet(5)

findSet(4)

Does this improve the worst case runtimes?

findSet is more likely to be O(1) than O(log(n))

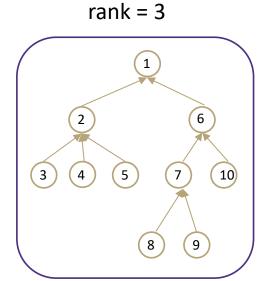


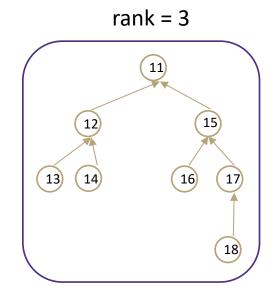


Array Implementation

rank = 0







0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
-1	-4	1	2	2	2	1	6	7	7	7	-4	11	12	12	11	15	15	17

Store (rank * -1) - 1

Optimized Disjoint Set Runtime

makeSet(x)

Without Optimizations O(1)

With Optimizations O(1)

findSet(x)

Without Optimizations O(n)

With Optimizations Best case: O(1) Worst case: O(logn)

union(x, y)

Without Optimizations O(n)

With Optimizations Best case: O(1) Worst case: O(logn)

You are going to Disneyland for spring break! You've never been, so you want to make sure you hit ALL the rides.

Is there a graph algorithm that would help?

BFS or DFS

How would you draw the graph?

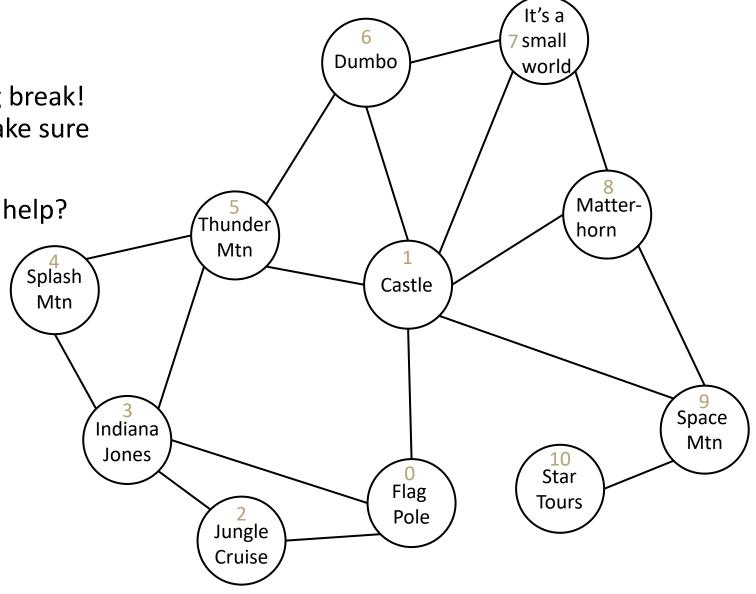
- What are the vertices?

Rides

- What are the edges?

Walkways

BFS = 0 1 2 3 5 6 7 8 9 4 10 DFS = 0 3 5 6 7 8 9 10 1 4 2



Scenario #1 continued

Now that you have your basic graph of Disneyland what might the following graph items represent in this context?

Weighted edges

- Walkway distances
- Walking times
- Foot traffic

Directed edges

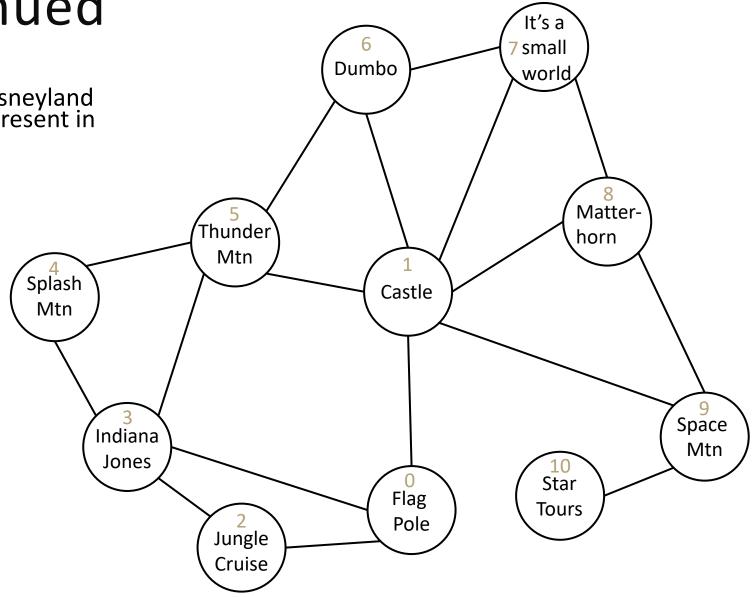
- Entrances and exits
- Crowd control for fireworks
- Parade routes

Self Loops

- Looping a ride

Parallel Edges

- Foot traffic at different times of day
- Walkways and train routes



You are a Disneyland employee and you need to rope off as many miles of walkways as you can for the fireworks while leaving guests access to all the rides.

Is there a graph algorithm that would help?

MST

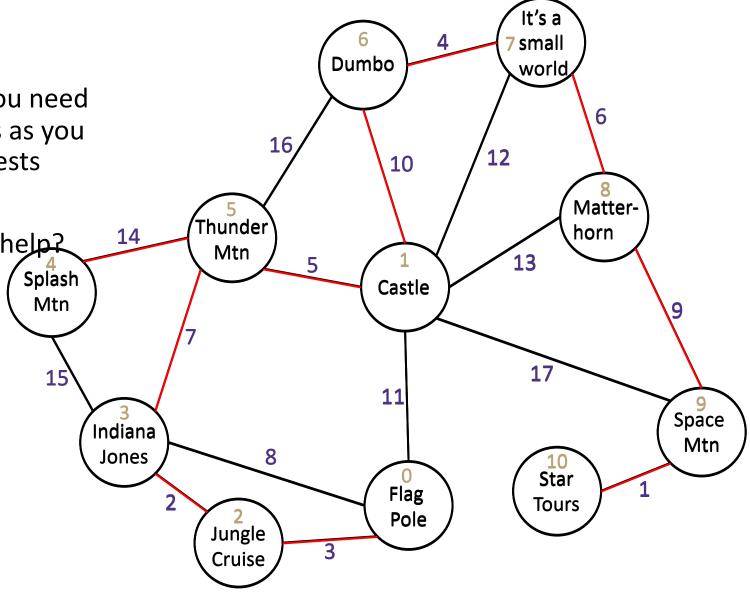
How would you draw the graph?

- What are the vertices?

Rides

- What are the edges?

Walkways with distances



You arrive at Disneyland and you want to visit all the rides, but do the least amount of walking possible. If you start at the Flag Pole, plan the shortest walk to each of the attractions.

Is there a graph algorithm that would help?

Dijkstra's

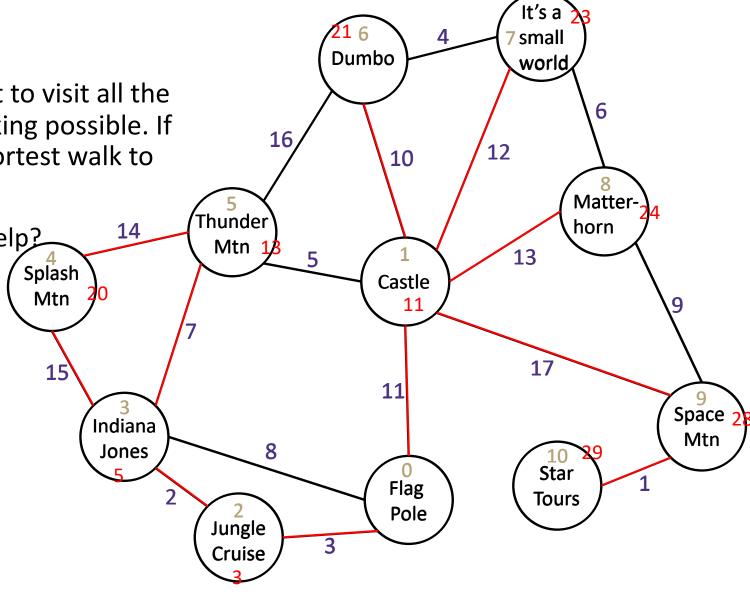
How would you draw the graph?

- What are the vertices?

Rides

- What are the edges?

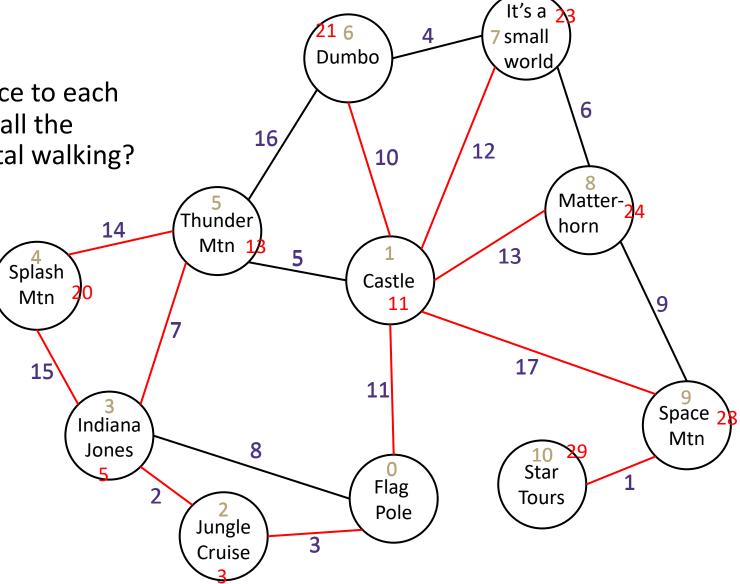
Walkways with distances



Now that you know the shortest distance to each attraction, can you make a plan to visit all the attractions with the least amount of total walking?

Nope! This is the travelling salesman problem which is much more complicated than Dijkstra's.

(NP Hard, more on this later)



You have great taste so you are riding Space Mountain. Your friend makes poor choices so they are riding Splash Mountain. You decide to meet at the castle, how long before you can meet up?

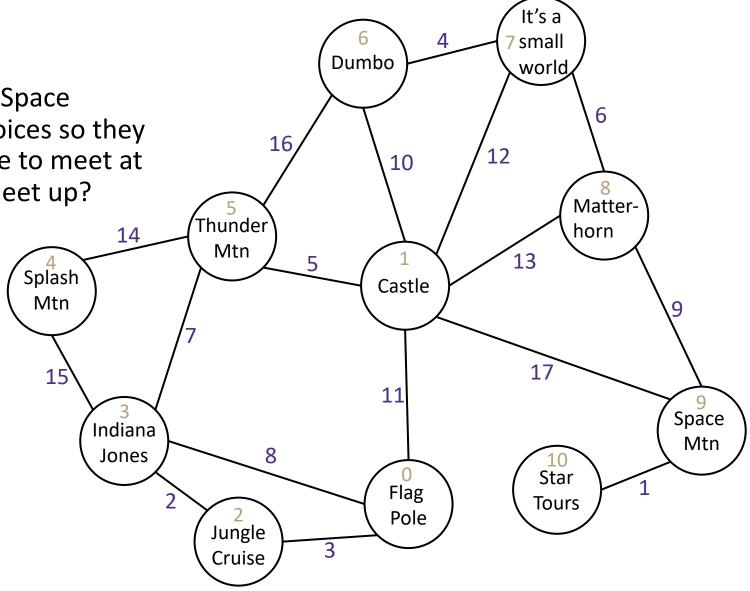
Is there a graph algorithm that would help? Dijkstra's

What information do our edges need to store?

Walking times

How do we apply the algorithm?

- Run Dijkstra's from Splash Mountain.
- Run Dijkstra's from Space Mountain.
- Take the larger of the two times.



Types of Problems

Decision Problem – any arbitrary yes-or-no question on an infinite set of inputs. Resolution to problem can be represented by a Boolean value.

- IS-PRIME: is X a prime number? (where X is some input)
- IS-SORTED: is this list of numbers sorted?
- EQUAL: is X equal to Y? (for however X and Y define equality)

Solvable – a decision problem is solvable if there exists some algorithm that given any input or instance can correctly produce either a "yes" or "no" answer.

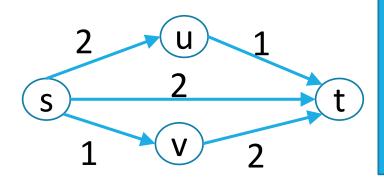
- Not all problems are solvable!
 - Example: Halting problem

Efficient algorithm – an algorithm is efficient if the worst case bound is a polynomial. The growth rate of this is such that you can actually run it on a computer in practice.

- Definitely efficient: O(1), O(n), O(nlogn), O(n²)
- Technically efficient: O(n1000000), O(100000000000000)

Everything we've talked about in class so far has been **solvable** and **efficient**...

Weighted Graphs: A Reduction



Transform Input

Transform Output

Unweighted Shortest Paths

P (stands for "Polynomial")

The set of all decision problems that have an algorithm that runs in time $O(n^k)$ for some constant k.

The decision version of all problems we've solved in this class are in P.

P is an example of a "complexity class"

A set of problems that can be solved under some limitations (e.g. with some amount of memory or in some amount of time).

I'll know it when I see it.

More formally,

NP (stands for "nondeterministic polynomial")

The set of all decision problems such that if the answer is YES, there is a proof of that which can be verified in polynomial time.

It's a common misconception that NP stands for "not polynomial" Please never ever ever ever say that.

Please.

Every time you do a theoretical computer scientist sheds a single tear.

(That theoretical computer scientist is me)