

CSE 373: P vs NP; reductions

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1

Warmup

Warmup

Remind your neighbor:

- ▶ What is a decision-problem?
A yes-or-no question
- ▶ What is P, EXP, and NP?
 1. P is the set of all decision problems that can be solved in worst-case polynomial time
 2. EXP is the set of all decision problems that can be solved in worst-case exponential time
 3. NP is the set of all decision problems where we can verify all "yes" answers in worst-case polynomial time

2

Final

Final logistics:

- ▶ Thursday, March 15
- ▶ 2:30 to 4:20
- ▶ Gowen 301

If you need to take the final at a different date:

- ▶ If you've already sent me an email, no action needed
- ▶ Otherwise, send me an email by the end of today

Review sessions:

- ▶ Monday, Mar 12: EEB 125, 4:30 to 6:30
- ▶ Tuesday, Mar 13: EEB 105, 4:30 to 6:30

3

Final

The final will be cumulative, but skewed towards new material.

Post-midterm topics:

1. Heaps
2. Sorting, basic divide-and-conquer
3. The tree method and the master method
4. Graphs
 - ▶ Definitions
 - ▶ Representation
 - ▶ Traversal
 - ▶ Dijkstra's
 - ▶ Topological sort
 - ▶ MSTs (Prim, Kruskal, disjoint sets)
5. P and NP

4

Final

The final will be cumulative, but skewed towards new material.

Pre-midterm topics:

1. Asymptotic analysis, modeling code as equations
2. Anything related to dictionaries
3. Caching and locality

5

Final

General study tips for mechanical problems:

1. Drill until you can complete them very quickly
2. Invent your own problems and check them using online tools

General study tips for non-mechanical problems:

1. Do tons of practice
2. Minor differences matter; make sure you ask about them
3. Definitions are important; make sure you know them
4. For each data structure and algorithm we've studied, try writing a document summarizing (a) the high-level idea of how to implement them and (b) the best, average, and worst-case runtimes.
5. Think about what would happen if you were to tweak some aspect of a data structure or algorithm

6

Final

General tips when asked to analyze algorithms or code:

1. Don't make assumptions about what the code is doing, actually read it
2. Try mentally running the code on *specific* examples

General tips when asked to write pseudocode:

1. Keep a mental list of every data structure and algo we've studied. When stuck, go through that list one-by-one and try and find one that seems applicable
2. Try writing an algorithm that works on a specific example first, then figure out how to generalize.

7

Final

Syllabus change:

Previously:

- ▶ Midterm was 20% of grade
- ▶ Final was 20% of grade

Now:

- ▶ Your lowest-scoring exam will be 15% of grade
- ▶ Your highest-scoring exam will be 25% of grade

8

Recap

Last time:

- ▶ Introduced the idea of decision problems and complexity classes
- ▶ Introduced the complexity classes P and EXP
- ▶ Found some (useful!) problems are, unfortunately, in EXP
- ▶ But many of those problems are also in NP!
- ▶ Question: if there are problems where we can *verify* answers efficiently, does that mean we can also *find* answers efficiently?

9

Is CIRCUIT-SAT in NP?

Question: is CIRCUIT-SAT in NP?

CIRCUIT-SAT

Given a boolean expression such as "a && (b || c)" and the truth values for *some* of the variables, is there a way to set the remaining variables so that the output is T?

Step 1: Assume you have a magical solver, and it said "yes" for some boolean expression *B*.

Step 2: Three questions to answer.

1. How do we modify the solver so it returns a convincing certificate for *B*?
2. How do we check the certificate, whatever it is?
3. Does our verifier actually run in polynomial time?

10

Is CIRCUIT-SAT in NP?

Step 2a: How do we modify the solver so it returns a convincing certificate?

Idea: return a map of the variable assignments!

```
{a=true, b=false, c=true, d=false, ...}
```

2b: How do we check the certificate, whatever it is?

Idea: try evaluating the expression!

```
boolean verifyCircuitSat(Boolexpr B, Dictionary<String, Boolean> certificate) {  
    return evaluateExpr(B, certificate);  
}  
private boolean evaluateExpr(B, certificate) {  
    // Do something similar to toDoubleHelper, back from project 1  
}
```

2c: Does our verifier actually run in polynomial time?

Yes: we visit each node and edge in the tree a constant number of times.

11

Ranking problems

So far, we've talked about *classifying* problems into classes.

Is there some way of "ranking" problems by difficulty?

For example, is...

- ▶ 2-COLOR easier or harder than 3-COLOR?
- ▶ 3-COLOR easier or harder than CIRCUIT-SAT?

12

Ranking problems

Yes, using *reductions*.

Reductions

Given two decision problems A and B , we can show that A is "harder then or the same difficulty as" B by...

1. Assuming we have some magical solver for A
2. Create an algorithm which calls the magical solver to solve B

Core ideas: If solving A lets us also solve B , then...

- ▶ A was "harder then" (or the same as) B
- ▶ The B was really a special case of A all along!
- ▶ We've *reduced* the number of distinct problems in the world by one.

13

Showing 2-COLOR reduces to 3-COLOR

We want to show that 2-COLOR reduces to 3-COLOR: that 3-COLOR is "harder then" 2-COLOR.

Step 1: Assume we have a magical solver for 2-COLOR

Step 2: Using this magical solver, how do we solve an instance of 2-COLOR?

Answer:

1. Start by adding a new vertex to the graph
2. Connect this vertex to all other nodes
3. Give this vertex some color. This forces all other vertices to have a only one of two colors!
4. Run the solver for 3-COLOR, return the result

14

Showing problems are the same

New question: How do we show two problems are the same?

Intuition:

- ▶ To show two numbers a and b are the same, we can show $a \geq b$ and $a \leq b$.
- ▶ To show two functions $f(n)$ and $g(n)$ are asymptotically the same, we can show that $f(n)$ both dominates and is dominated by $g(n)$
- ▶ To show two decision problems A and B are the same, we can show that A reduces to B and B reduces A !

15

LONG-PATH and HAM-PATH

LONG-PATH

Given a graph G and some integer k , does G contain some path that uses k edges?

HAM-PATH

Given a graph G , does G have a path that visits every vertex?

Goal: Show that LONG-PATH and HAM-PATH are the same

Step 1:

Reduce HAM-PATH to LONG-PATH

```
boolean hamPathSolver(G) {  
    return longPathSolver(G, |V| - 1)  
}
```

Step 2:

Reduce LONG-PATH to HAM-PATH

```
boolean longPathSolver(G, k) {  
    for (G2 = (v1, v2, ..., vk) : G) {  
        if (hamPathSolver(G2))  
            return true;  
    }  
    return false;  
}
```

16

Equivalent problems

Punchline: HAM-PATH and LONG-PATH are actually the same problem in disguise!

Question: Are there other problems that are secretly the same problem in disguise?

Yes! It turns out that...

- ▶ CIRCUIT-SAT
- ▶ 3-COLOR
- ▶ HAM-PATH
- ▶ LONG-PATH

...are all the same problem.

17

NP-HARD and NP-COMPLETE

Is there some problem that's "harder then or same as" all of the problems we've seen so far?

Yes! For example, CIRCUIT-SAT (and therefore HAM-PATH and LONG-PATH and 3-COLOR).

NP-HARD

A decision problem is NP-HARD if that decision problem is "harder then or as hard as" any other problem in NP.

Alternative phrasing: if every single decision problem in NP reduces to X , then X is NP-HARD.

NP-COMPLETE

A decision problem is NP-COMPLETE if it is both in NP and in NP-HARD.

18

NP-HARD and NP-COMPLETE

Punchline: If we have a way of solving any NP-HARD problem, we have a way of solving every problem we've looked at so far.

19

NP-HARD and NP-COMPLETE

How do these relate?

How do all relate to P?

20

Is P a subset of EXP?

Last time, we asked if P is a subset of EXP.

Answer 1: The sets are disjoint

E.g. if a problem is in P, it's not in EXP.



Answer 2: The sets overlap

E.g. some, but not all problems in P are in EXP.



Answer 3: P is a subset of EXP

All problems in P are also in EXP.



21

Is P a subset of EXP?

Last time, we asked if P is a subset of EXP.

It turns out, yes, P is indeed a subset of EXP:

Answer 3: P is a subset of EXP

All problems in P are also in EXP.



Reason: EXP is the set of decision problems where there exists an algorithm that solves the problem in *worst-case exponential time*.

So, if we can find a polynomial-time algorithm to a problem, we can definitely find an exponential one!

22

Is P a subset of NP?

New question: is a P a subset of NP?

Answer 1: The sets are disjoint

E.g. if a problem is in P, it's not in NP.



Answer 2: The sets overlap

E.g. some, but not all problems in P are in NP.



Answer 3: P is a subset of NP

All problems in P are also in NP.



23

Is P a subset of NP?

New question: is a P a subset of NP?

It turns out, yes.

Answer 3: P is a subset of NP

All problems in P are also in NP.



24

Is P a subset of NP?

Reason: Let's say we have some decision problem X.

Step 1: Assume we have a magical solver for X, and it said "yes" for some input.

Step 2: Three questions to answer.

1. How do we make the solver so it returns a convincing certificate?

One possible certificate: return the string "`0010101`".

2. How do we check the certificate, whatever it is?

Idea: just ignore the certificate

```
boolean verifyP(input, certificate) {  
    return solverX(input);  
}
```

3. Does our verifier actually run in polynomial time?

Yep. If X was originally in P, then we know by definition solverX runs in polynomial time.

25

Is P a subset of NP?

Punchline: For any problem in P, we can build a verifier by just re-using the solver!

26

Is P = NP?

Third question: is $P = NP$?

Answer 1: No

P is a subset of NP, but that's it.



Answer 2: Yes

Not only is a P a subset of NP, they're exactly the same



Answer: We don't know.

27

What if $P \neq NP$?

What if $P \neq NP$?

Answer 1: No

P is a subset of NP, but that's it.



- ▶ Have your name be immortalized in CS textbooks forever
- ▶ Win 1 million dollars for solving a Millennium Prize problem
- ▶ The world otherwise looks the same

28

What if $P \neq NP$?

If $P \neq NP$, and we have an NP problem, what do we do?

- ▶ Try and find approximate solutions
- ▶ Use probabilistic algorithms
- ▶ Use solvers that work efficiently on many (but not all!) instances of NP-COMPLETE problems.
(E.g. programs like z3, which solve CIRCUIT-SAT)
- ▶ Find a way of reducing your problem into some famous NP-HARD problem and use a solver
- ▶ Crowdsolve. Observation: lots of games are actually NP (e.g. sudoku).
Actual example: Foldit, a protein folding "game"
- ▶ Something something quantum computing? (Lots of caveats, not practical right now, doesn't solve everything, even if they work.)

29

What if $P = NP$?

What if $P = NP$?

What if this is reality?



AND what if we have an efficient way of solving any NP-COMPLETE problem?

30

What if $P = NP$?

- ▶ Have your name be immortalized in CS textbooks forever
- ▶ Win 1 million dollars for solving a Millenium Prize problem
- ▶ Finding a way of generating a proof of anything (assuming the proof is a reasonable length)
- ▶ Win 5 million *more* dollars for solving the *remaining* Millenium Prize problems
- ▶ Crack all of modern encryption, and have *all* the dollars
- ▶ Crack all of modern encryption, and have access to all information, public or private
- ▶ Literally cure cancer