

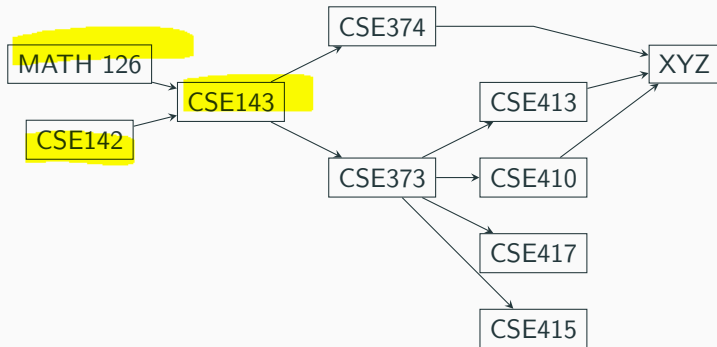
CSE 373: Topological Sort and Minimum Spanning Trees

Michael Lee

Friday, Feb 23, 2018

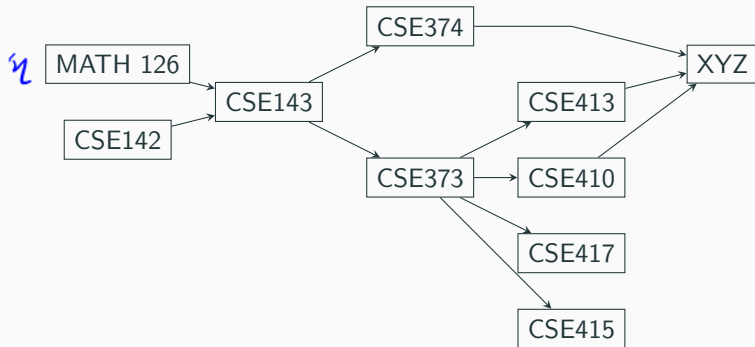
Topological sort

Design question: suppose we have a bunch of classes with pre-requisites.



Topological sort

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Goal: list out classes in a “valid” order

For example: 126, 142, 143, 374, 373, 417, 410, 413, XYZ, 415

Topological sort

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Given a *directed, acyclic graph* (DAG), running **topological sort** on that graph will produce a list of all the vertices in an order such that no vertex appears before another vertex that has an edge to it.

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Example applications:

- ▶ Any scheduling problem (scheduling courses, scheduling threads)
- ▶ Computing order to recompute cells in spreadsheet
- ▶ Determining order to compile files using a MAkefile

In general: taking a dependency graph and coming up with order of execution.

Topological sort



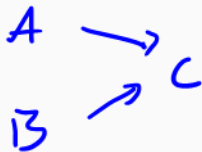
Questions

why can't we

- ▶ ~~Can we~~ perform topo-sort on graphs containing cycles?

X

- ▶ Is there always one unique output per graph?



A, B, C
B, A, C

Questions

- ▶ Can we perform topo-sort on graphs containing cycles?
No: how do we decide which node comes first?
- ▶ Is there always one unique output per graph?
No: see example on inked slides

Intuition:

- ▶ The only nodes we can start with are also nodes that have in-degree 0

Topological sort: algorithm

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Topological sort: algorithm

Intuition:

- ▶ The only nodes we can start with are also nodes that have in-degree 0
- ▶ So, start by adding those to the list
- ▶ Is there some way of “repeating” this process?

Setup

- ▶ Look at each vertex and record its in-degree somewhere

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Core loop

- ▶ Choose an arbitrary vertex a with in-degree 0

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- ▶ Output a and conceptually remove it from the graph

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- ▶ Choose an arbitrary vertex a with in-degree 0
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- ▶ For each vertex b adjacent to a , decrement the in-degree of b

Setup

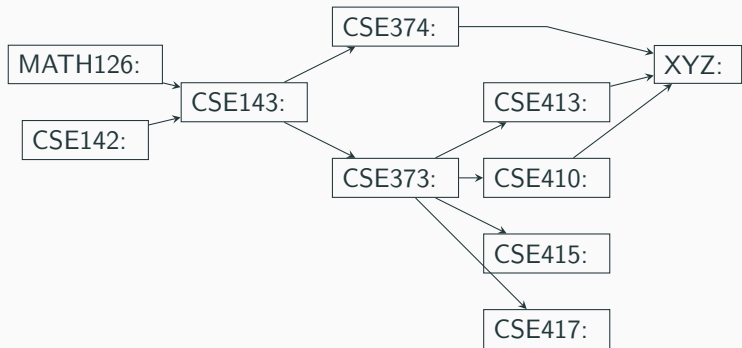
- ▶ Look at each vertex and record its in-degree somewhere

Core loop

- ▶ Choose an arbitrary vertex a with in-degree 0
- ▶ Output a and conceptually remove it from the graph
- ▶ For each vertex b adjacent to a , decrement the in-degree of b
- ▶ Repeat

Topological sort: Example 1

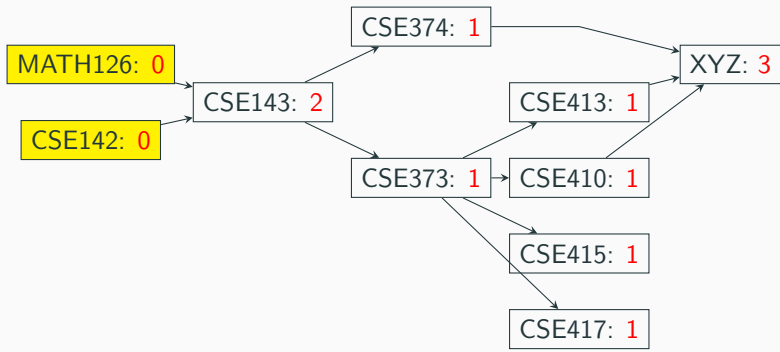
Example again:



Output:

Topological sort: Example 1

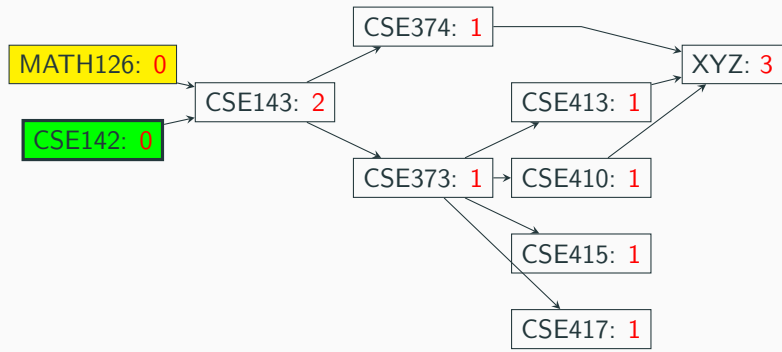
Example again:



Output:

Topological sort: Example 1

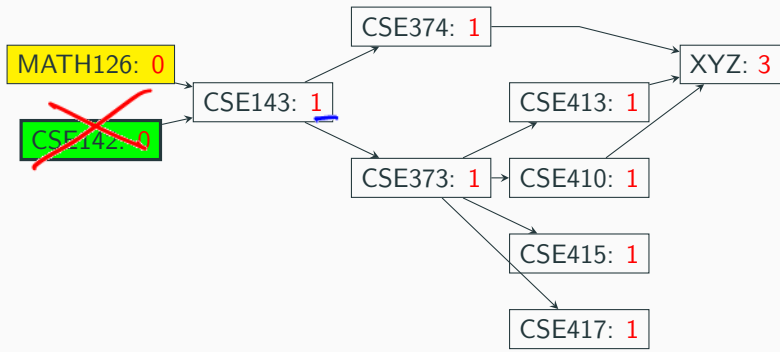
Example again:



Output: CSE142,

Topological sort: Example 1

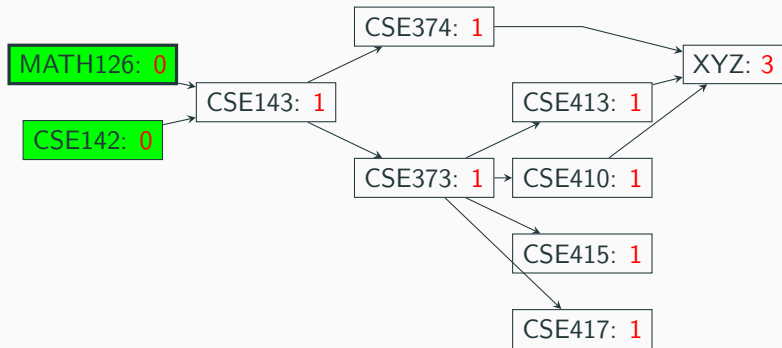
Example again:



Output: CSE142,

Topological sort: Example 1

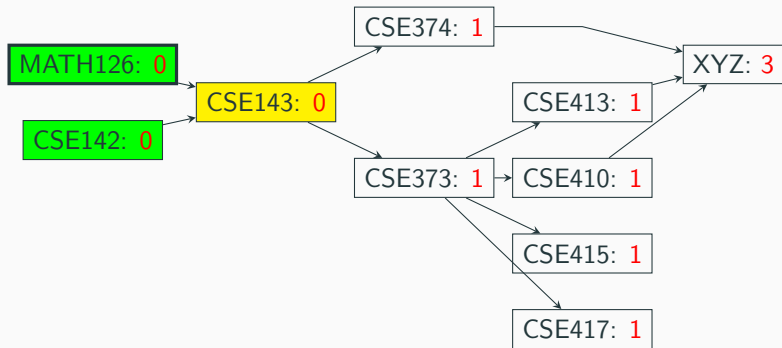
Example again:



Output: CSE142, MATH126,

Topological sort: Example 1

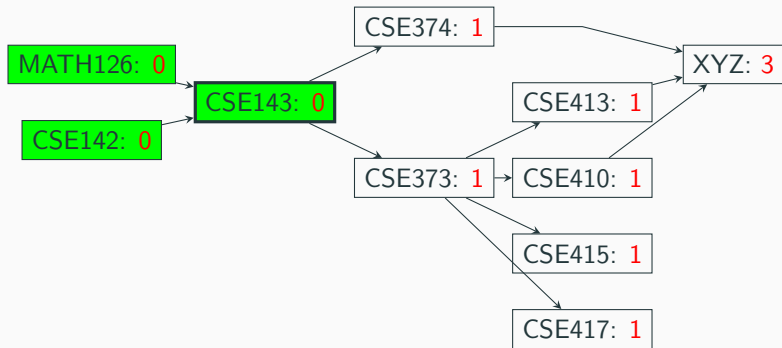
Example again:



Output: CSE142, MATH126,

Topological sort: Example 1

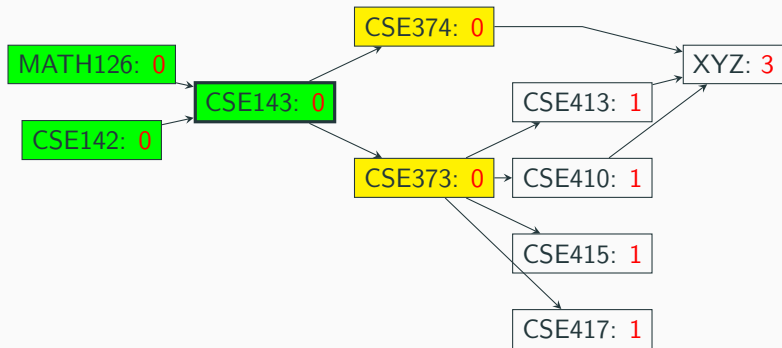
Example again:



Output: CSE142, MATH126, CSE143,

Topological sort: Example 1

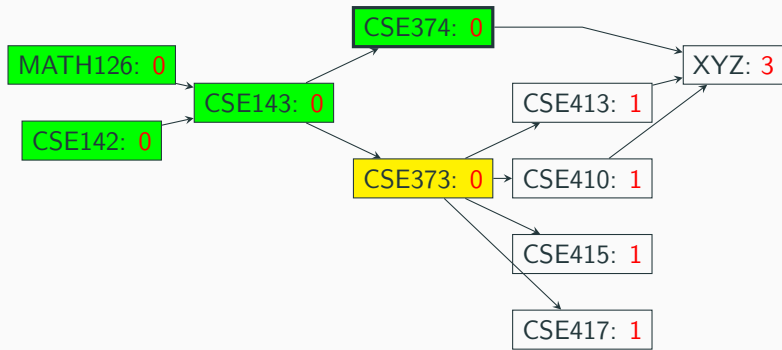
Example again:



Output: CSE142, MATH126, CSE143,

Topological sort: Example 1

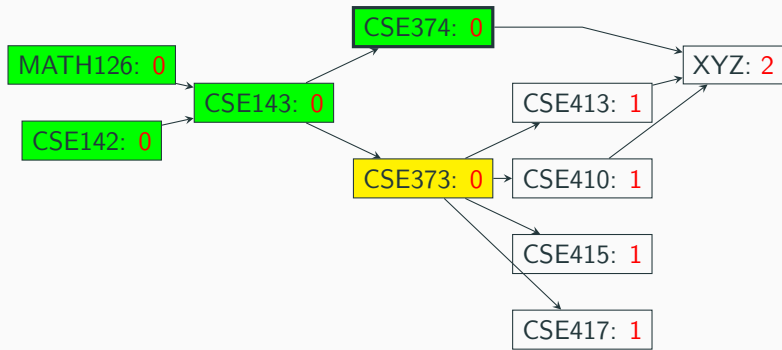
Example again:



Output: CSE142, MATH126, CSE143, CSE374,

Topological sort: Example 1

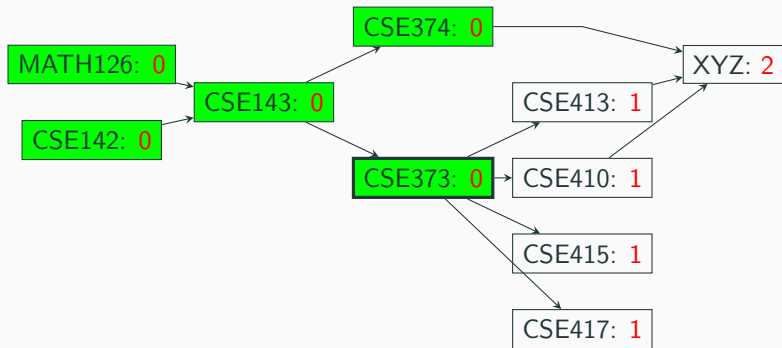
Example again:



Output: CSE142, MATH126, CSE143, CSE374,

Topological sort: Example 1

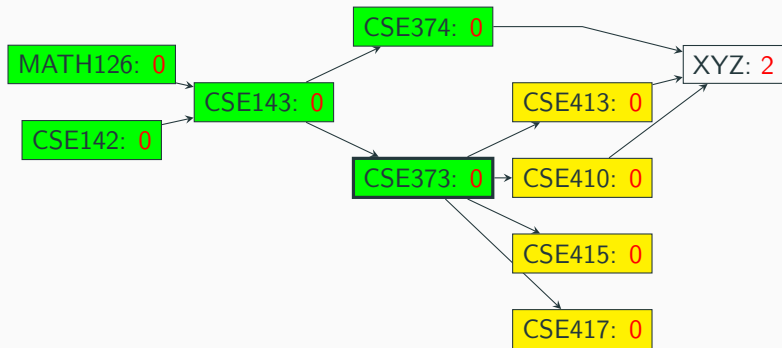
Example again:



Output: CSE142, MATH126, CSE143, CSE374, CSE373,

Topological sort: Example 1

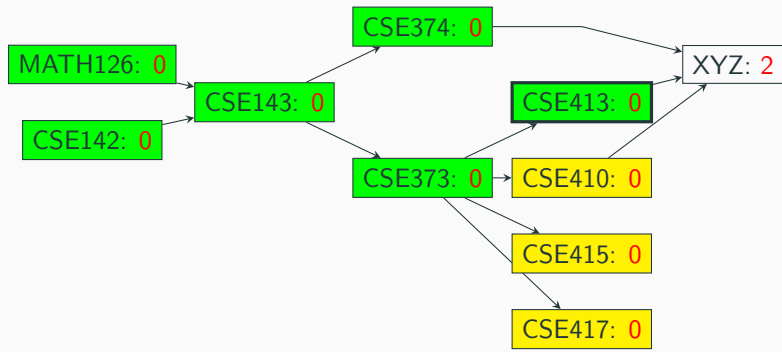
Example again:



Output: CSE142, MATH126, CSE143, CSE374, CSE373,

Topological sort: Example 1

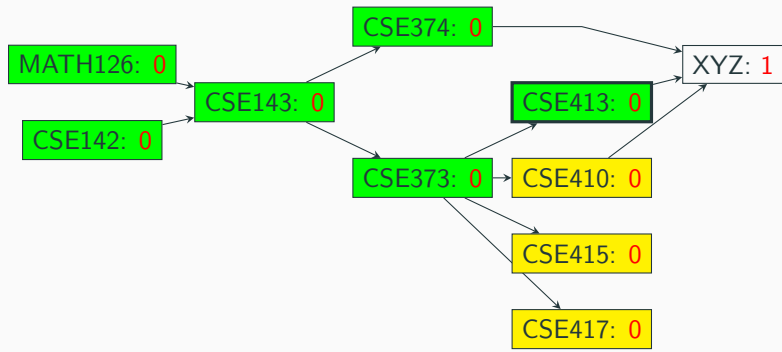
Example again:



Output: CSE142, MATH126, CSE143, CSE374, CSE373, CSE413,

Topological sort: Example 1

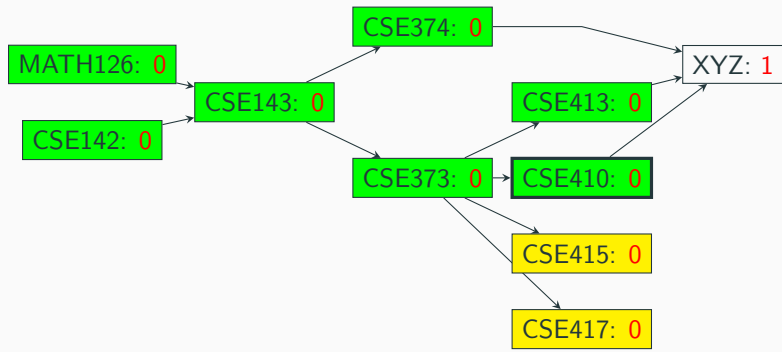
Example again:



Output: CSE142, MATH126, CSE143, CSE374, CSE373, CSE413,

Topological sort: Example 1

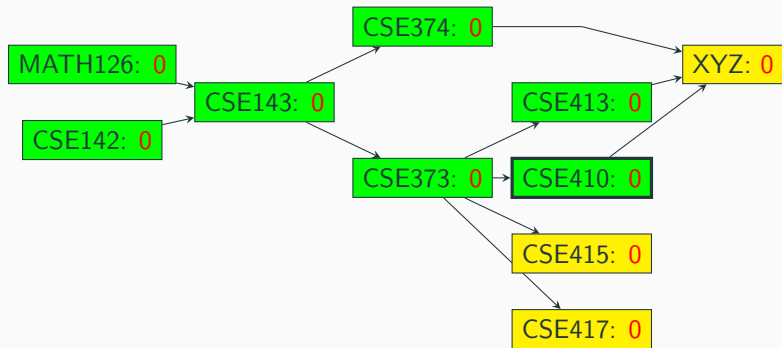
Example again:



Output: CSE142, MATH126, CSE143, CSE374, CSE373, CSE413, CSE410,

Topological sort: Example 1

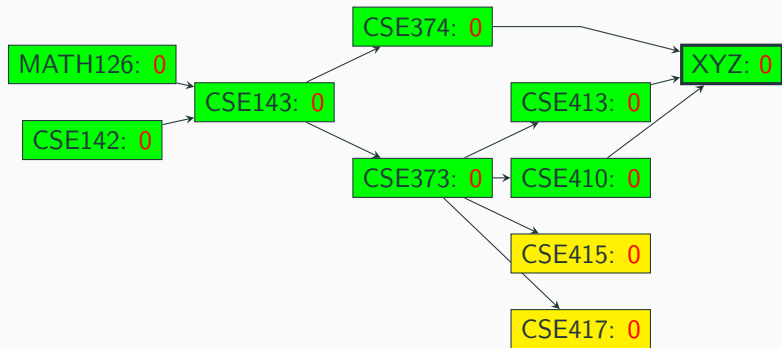
Example again:



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Topological sort: Example 1

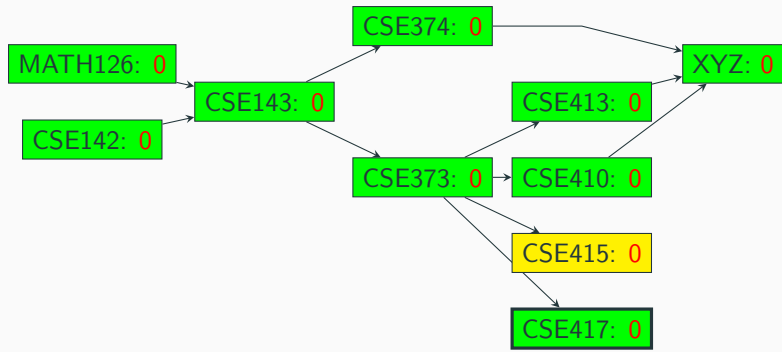
Example again:



Output: CSE142, MATH126, CSE143, CSE374, CSE373, CSE413,
CSE410, XYZ,

Topological sort: Example 1

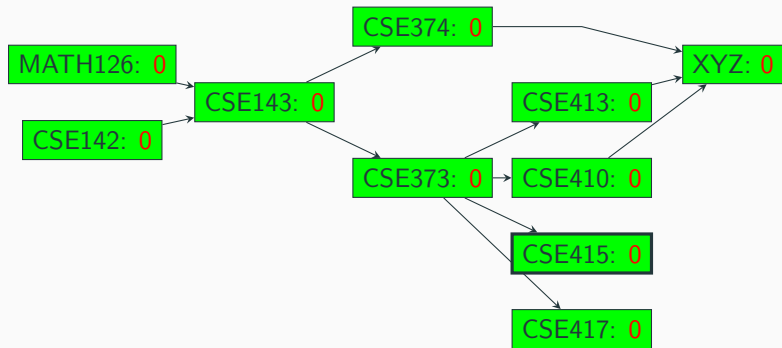
Example again:



Output: CSE142, MATH126, CSE143, CSE374, CSE373, CSE413,
CSE410, XYZ, CSE417,

Topological sort: Example 1

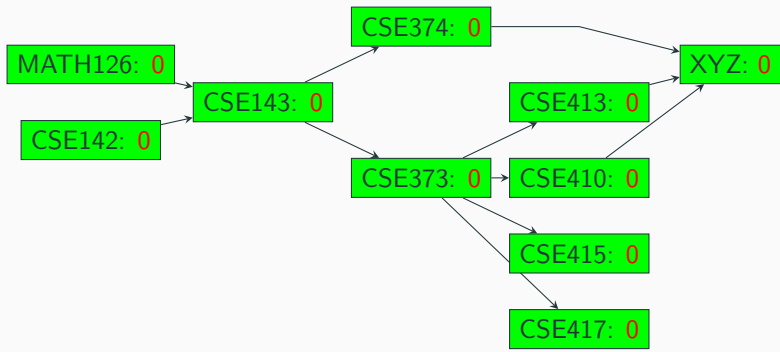
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Topological sort: Example 1

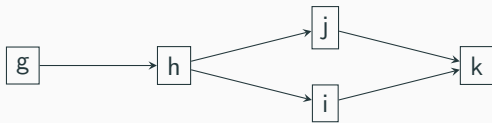
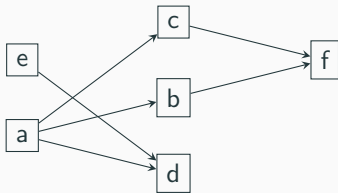
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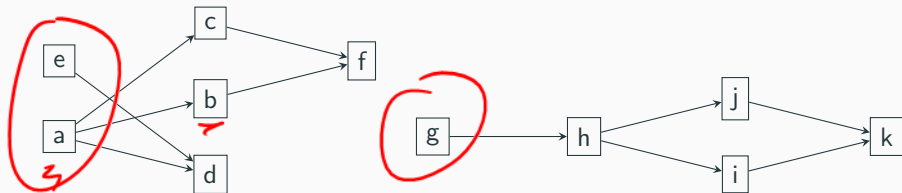
Topological sort: Example 2

Now you try. List one possible output:



Topological sort: Example 2

Now you try. List one possible output:



One possible answer: a, b, g, c, e, h, d, i, f, j, k

Topological sort: Algorithm

Our algorithm so far:

Setup

- ▶ Look at each vertex and record its in-degree somewhere

Core loop

- ▶ Choose an arbitrary vertex a with in-degree 0
- ▶ Output a and conceptually remove it from the graph
- ▶ For each vertex b adjacent to a , decrement the in-degree of b
- ▶ Repeat

Topological sort: Algorithm

One possible implementation:

```
def toposort(graph):  
    indegrees = new HashMap<Vertex, Integer>()  
    visited = new HashSet<Vertex>()  
    output = new AnyList<Vertex>()  
  
    compute all indegrees and add to dictionary  
  
    while (we still need to visit vertices):  
        current = getNextVertex(indegrees, visited)  
        add current to both visited and output  
  
        for (v : current.allNeighbors()):  
            indegrees[v] -= 1  
  
    return output  
  
def getNextVertex(indegrees, visited):  
    for (node, num : indegrees):  
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Questions:

Worst-case runtime?

$$O(|V|^2 + |E|)$$

how many vertices
do we need to
visit?

$$|V|$$

$$|E|$$

how much work
is done
overall?

how many times
do we loop?

$$\underline{|V|}$$

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```

Questions:

Worst-case runtime?

$$\mathcal{O}(|V|^2 + |E|)$$

Is this optimal?

Maybe not. Do we really need to look at each node multiple times? Can we somehow get $\mathcal{O}(|V| + |E|)$?

Topological sort: Algorithm

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How can we improve this?

Topological sort: Algorithm

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How can we improve this?

- ▶ Can we get rid of the inner loop somehow?
- ▶ Would using different/more data structures help?
- ▶ Can we collect additional information somewhere else?

Topological sort: Algorithm 2

Insight: When we're updating the indegrees, we already know which nodes now have an indegree of zero!

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    stack = new Stack<Vertex>();
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A compute all indegrees and add to dictionary

```
while (we still need to visit vertices):  
    current = stack.pop()  
    add current to both visited and output
```

```
for (v : current.allNeighbors()):  
    indegrees[v] -= 1  
    if (indegrees[v] == 0):  
        stack.push(v)
```

```
return output
```


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Question: Does this actually work?

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```

Question: Does this actually work?

Answer: No, there's a bug! The stack is initially empty, so first pop fails.

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    compute all indegrees and add to dictionary
    also add all nodes with indegree zero to stack

    while (we still need to visit vertices):
        current = stack.pop()
        add current to both visited and output

        for (v : current.allNeighbors()):
            indegrees[v] -= 1
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Question: Can we improve this algorithm even more?

Topological sort: Algorithm 2

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```

Question: Can we improve this algorithm even more?

Answer: Why do we need the visited set?

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$O(|V| + |E|)$

$O(|V|)$

$O(|E|)$

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Question: What's the worst-case runtime now?

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```

Question: What's the worst-case runtime now?

Answer: $\mathcal{O}(|V| + |E|)$

And now, for something completely different...

Minimum spanning trees

Punchline: a MST of a graph connects all the vertices together while minimizing the number of edges used (and their weights).

Minimum spanning trees

Given a connected, undirected graph $G = (V, E)$, a **minimum spanning tree** is a *subgraph* $G' = (V', E')$ such that...

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- ▶ There exists a path from any vertex to any other one

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Minimum spanning trees

Given a connected, undirected graph $G = (V, E)$, a **minimum spanning tree** is a *subgraph* $G' = (V', E')$ such that...

- ▶ $V = V'$ (G' is *spanning*)
- ▶ There exists a path from any vertex to any other one
- ▶ The sum of the edge weights in E' is *minimized*.

Minimum spanning trees

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Minimum spanning trees

Given a connected, undirected graph $G = (V, E)$, a **minimum spanning tree** is a *subgraph* $G' = (V', E')$ such that...

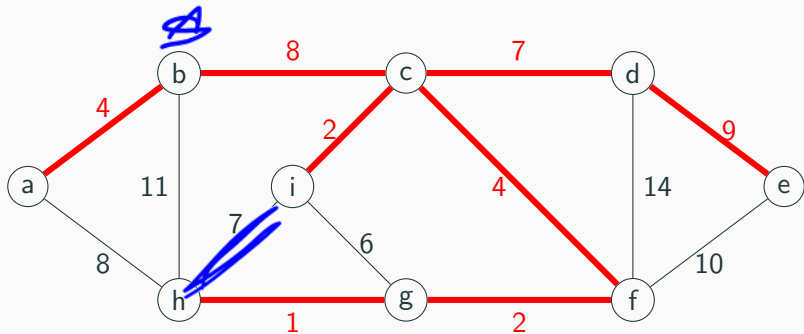
- ▶ $V = V'$ (G' is *spanning*)
- ▶ There exists a path from any vertex to any other one
- ▶ The sum of the edge weights in E' is *minimized*.

In order for a graph to have a MST, the graph must...

- ▶ ...be connected – there is a path from a vertex to any other vertex. (Note: this means $|V| \leq |E|$).
- ▶ ...be undirected.

Minimum spanning trees: example

An example of an minimum spanning tree (MST):



Minimum spanning trees: Applications

Example questions:

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Other applications:

- ▶ Implement efficient multiple constant multiplication
- ▶ Minimizing number of packets transmitted across a network
- ▶ Machine learning (e.g. real-time face verification)
- ▶ Graphics (e.g. image segmentation)

Minimum spanning trees: properties

Some questions...

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- ▶ Can a valid MST contain a cycle?
 - ▶ If we take a valid MST and remove an edge, is it still an MST?
 - ▶ If we take a valid MST and add an edge, is it still an MST?
 - ▶ If there are V vertices, how many edges are contained in the minimum spanning tree?

Minimum spanning trees: properties

Some questions...

- ▶ Can a valid MST contain a cycle?

Answer: no. If there's a cycle, we can always remove one edge to break the cycle while still leaving all nodes connected.

- ▶ If we take a valid MST and remove an edge, is it still an MST?

Answer: No. If we're already using the fewest edges possible, removing an edge would make the nodes no longer connected.

- ▶ If we take a valid MST and add an edge, is it still an MST?

Answer: No. Since all the edges are already connected, this would introduce a cycle.

- ▶ If there are V vertices, how many edges are contained in the minimum spanning tree?

Answer: $|V| - 1$

Minimum spanning trees: algorithm

Design question: how would you implement an algorithm to find the MST of some graph, assuming the edges all have the same weight?

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One idea: run DFS, and keep all the edges that don't connect back to an already-visited vertex.

Another idea: iterate through the edges, and add an edge as long as it doesn't introduce a cycle.

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In both cases, we avoid adding nodes/edges that introduce a cycle, and need to figure out how to pick the “best” node or edge.