

# CSE 373: Open addressing

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Michael Lee

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## Warmup:

With your neighbor, discuss and review:

- ▶ How do we implement **get**, **put**, and **remove** in a hash table using separate chaining?
- ▶ What about in a hash table using open addressing with linear probing?
- ▶ Compare and contrast your answers: what do we do the same? What do we do differently?

In both implementations, for all three methods, we start by **finding the initial index to consider**:

```
index = key.hashCode() % array.length
```

If we're using separate chaining, we then search/insert/delete from the bucket:

```
IDictionary<K, V> bucket = array[index]  
bucket.get(key) // or .put(...) or .remove(...)
```

...and resize when  $\lambda \approx 1$ .

(When exactly to resize is a tuneable parameter)

## Warmup

If we're using linear probing, search until we find an array element where the key is equal to ours or until the array index is null:

```
while (array[index] != null
      && array[index].hashCode() != key.hashCode()
      && !array[index].equals(key)) {
    index = (index + 1) % this.array.length
}
if (array[index] == null)
    // throw exception if implementing get
    // add new key-value pair if implementing put
else
    // return or set array[index]
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How do we delete? (complicated, see section 04 handouts)

When do we resize?

# Open addressing: linear probing

## Strategy: Linear probing

If we collide, checking each next element until we find an open slot.

So,  $h'(k, i) = (h(k) + i) \bmod T$ , where  $T$  is the table size

```
i = 0
while (index in use)
  try (hash(key) + i) % array.length
  i += 1
```



## Open addressing: linear probing

Assume internal capacity of 10, insert the following keys:

38, 19, 8, 109, 10

0	1	2	3	4	5	6	7	8	9

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8	109	10						38	19

What's the problem? Lots of keys close together: a "cluster". We ended up having to probe many slots!

### **Primary clustering**

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## Open addressing: linear probing

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Happens when  $\lambda$  is large, or if we get unlucky

In linear probing, we expect to get  $\mathcal{O}(\lg(n))$  size clusters.

# Open addressing: linear probing

Questions:

- ▶ When is performance good? When is it bad?
  
- ▶ What is the maximum load factor?

## Open addressing: linear probing

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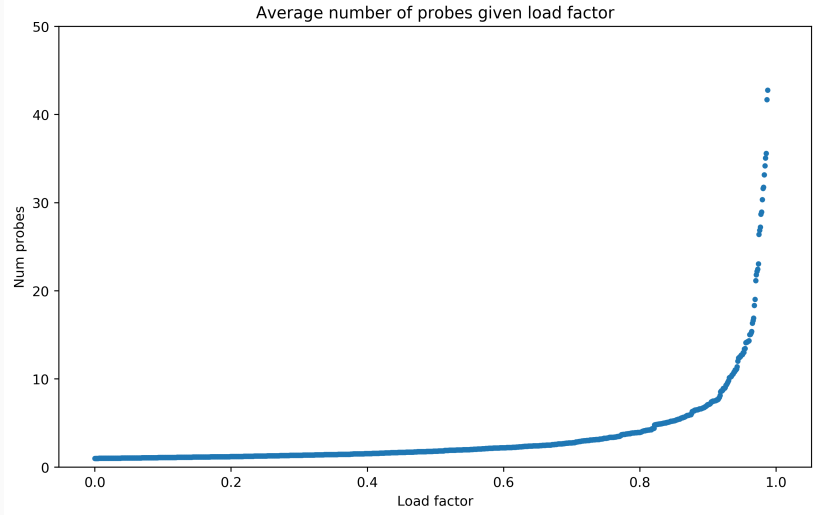
- ▶ When is performance good? When is it bad?  
Runtime is bad when table is nearly full.  
Runtime is also bad when we hit a “cluster”
- ▶ What is the maximum load factor?  
Load factor is at most  $\lambda = 1.0!$

## Open addressing: linear probing

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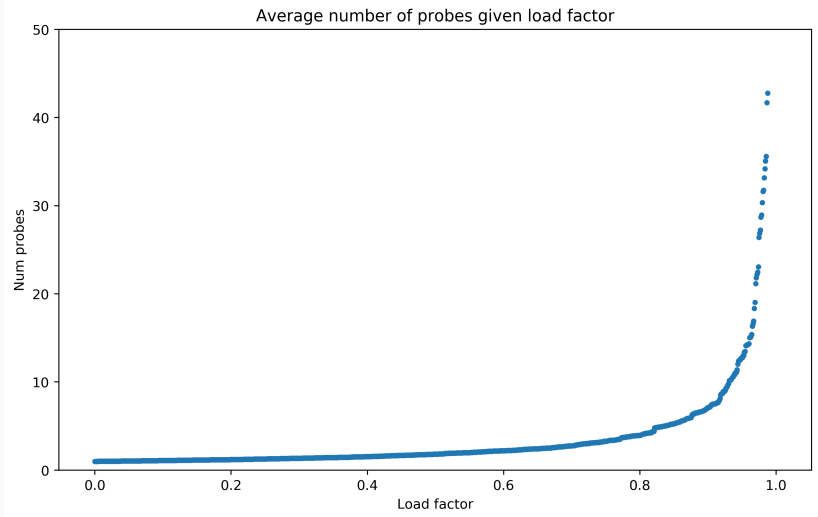
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- ▶ When do we resize?

# Open addressing: linear probing





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Punchline: clustering can be potentially bad, but in practice, it tends to be ok as long as  $\lambda$  is small

## Open addressing: linear probing

**Question: when do we resize?**

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**Nifty equations:**

- ▶ Average number of probes for successful probe:

$$\frac{1}{2} \left( 1 + \frac{1}{(1 - \lambda)} \right)$$

- ▶ Average number of probes for unsuccessful probe:

$$\frac{1}{2} \left( 1 + \frac{1}{(1 + \lambda)^2} \right)$$

\*These equations aren't important to know

## Open addressing: quadratic probing

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Can we pick a different collision strategy that minimizes clustering?

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Exercise: assume internal capacity of 10, insert the following:

89, 18, 49, 58, 79

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## Strategy: Quadratic probing

If we collide:  $h'(k, i) = (h(k) + i^2) \bmod T$ , where  $T$  is table size

```
i = 0
while (index in use)
    try (hash(key) + i * i) % array.length
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## Open addressing: quadratic probing

What problems are there?

**Problem 1:** If  $\lambda \geq \frac{1}{2}$ , quadratic probing may fail to find an empty slot: it can potentially loop forever!



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**Problem 2:** Still can get clusters (though not as badly)

# Open addressing: quadratic probing

## Secondary clustering

When using quadratic probing, we sometimes need to probe a sequence of table cells (that are not necessary next to each other). This problem is known as “secondary clustering”.

Ex: inserting 19, 39, 29, 9:

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Ex: inserting 19, 39, 29, 9:

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Secondary clustering can also be bad, but is generally milder than primary clustering

Note: let  $s = h(k)$

► **Linear probing:**

$s + 0, s + 1, s + 2, s + 3, s + 4, \dots$

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**Observation:** For both probing strategies, there are just  $\mathcal{O}(T)$  different “probe sequences” – distinct ways we can probe the array.

**Idea:** Can we increase the number of distinct probe sequences to decrease odds of collision?

## Open addressing: double-hashing

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Basic pattern: try  $h'(k, i) = (h(k) + i \cdot g(k)) \bmod T$

In pseudocode:

```
i = 0
while (index in use)
    try (hash(key) + i * jump_hash(key)) % array.length
    i += 1
```

## Open addressing: double-hashing

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Ways we can do this:

- ▶ If  $T$  is a power of two, make  $g(k)$  return any odd integer
- ▶ If  $T$  is a prime, make  $g(k)$  return any smaller, non-zero integer (e.g.  $g(k) = 1 + (k \bmod (T - 1))$ )

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**Result:** in practice, double-hashing is very effective and commonly used “in the wild”.

## Summary

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No obvious answer: both implementations are common.

Separate chaining:

- ▶ Don't have to worry about clustering
- ▶ Potentially more “compact” ( $\lambda$  can be higher)

Open addressing:

- ▶ Managing clustering can be tricky
- ▶ Less compact (we typically keep  $\lambda < \frac{1}{2}$ )
- ▶ Array lookups tend to be a constant factor faster than traversing pointers

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Yes!

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Lots of possible applications, ranging from cryptography to biology.

**Important:** Depending on the application, we might want our hash function to have different properties.

# Applications of hash functions

How would you implement the following using hash functions?

For each application, also discuss what properties you want your hash function to have.

- ▶ Suppose we're sending a message over the internet. This message might become mildly corrupted. How can we detect if corruption probably occurred?
- ▶ Suppose you have many fragments of DNA and want to see where they appear in a (significantly longer) segment of DNA. How can we do this efficiently?



# Applications of hash functions

Same question as before:

- ▶ Suppose you're designing a video uploading site and want to detect if somebody is uploading a pirated movie. A naive way to do this is to check if the movie is byte-for-byte identical to some movie. How can we do this more efficiently?
- ▶ Suppose you're designing a website with a user login system. Directly storing your user's passwords is dangerous – what if they get stolen? How can you store password in a safe way so that even if they're stolen, the passwords aren't compromised?

# Applications of hash functions

Same question as before:

- ▶ You are trying to build an image sharing site. Users upload many images, and you need to assign each image some unique ID. How might you do this?
- ▶ Suppose we have a long series of financial transactions stored on some (potentially untrustworthy) computer. Somebody claims they made a specific transaction several months ago. Can you design a system that lets you audit and determine if they're lying or not? Assume you have access to just the very latest transaction, obtained from a different trustworthy source.