CSE 373: Hash functions and hash tables

Michael Lee Monday, Jan 22, 2018

Warmup

Warmup: Consider the following method.

```
private int mystery(int x) {
    if (x <= 10) {
        return 5;
    } else {
        int foo = 0;
        for (int i = 0; i < x; i++)
            foo += x;
        return foo + (2 * mystery(x - 1)) + (3 * mystery(x - 2));
    }
}</pre>
```

With your neighbor, answer the following.

- 1. Construct a mathematical formula T(x) modeling the worst-case runtime of this method.
- 2. Construct a mathematical formula M(x) modeling the *integer* output of this method.

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$$T(x) = \begin{cases} 1 & \text{if } x \le 10\\ x + T(x - 1) + T(x - 2) & \text{otherwise} \end{cases}$$

2. Construct a mathematical formula M(x) modeling the *integer output* of this method.

$$M(x) = \begin{cases} 5 & \text{if } x \le 10\\ x^2 + 2T(x-1) + 3T(x-2) & \text{otherwise} \end{cases}$$

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Plan of attack

Today's plan:

Goal: Learn how to implement a hash map

Plan of attack:

- 1. Implement a limited, but efficient dictionary
- 2. Gradually remove each limitation, adapting our original
- 3. Finish with an efficient and general-purpose dictionary

Step 1:

Implement a dictionary that accepts only *integer* keys between 0 and some k.

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(This is also known as a "direct address map".)

How would you implement get, put, and remove so they all work in $\Theta\left(1\right)$ time?

Hint: first consider what underlying data structure(s) to use. An array? Something using nodes? (E.g. a linked list or a tree).

Solution: Create and maintain an internal array of size k. Map each key to the corresponding index in array:

```
public V get(int key) {
    this.ensureIndexNotNull(kev):
    return this.array[key].value;
public void put(int key, V value) {
    this.array[key] = new Pair<>(key, value);
public void remove(int kev) {
    this.ensureIndexNotNull(key);
    this.array[key] = null;
private void ensureIndexNotNull(int index) {
    if (this.array[index] == null) {
        throw new NoSuchKeyException();
```

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What's the problem?

- ► Can we even allocate an array that big?
- ▶ Potentially very wasteful: what if our data is sparse? This is also a problem with our FinitePositiveIntegerDictionary!

Step 2:

Implement a dictionary that accepts any integer key.

Idea 2: Create a smaller array, and mod the key by array length.

So, instead of looking at this.array[key], we look at this.array[key % this.array.length].

A brief interlude on mod:

The "modulus" (mod) operation

In math, " $a \mod b$ " is the remainder of a divided by b.* Both a and b MUST be integers.

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Examples (in Java syntax)

- ▶ 28 % 5 == 3
- **▶** 427 % 100 == 27
- ▶ 8 % 8 == 0
- **▶** 2 % 8 == 2

Useful when you want "wrap-around" behavior, or want an integer to stay within a certain range.

Idea 2: Create a smaller array, and mod the key by array length.

```
public V get(int kev) {
    int newKey = key % this.array.length;
    this.ensureIndexNotNull(newKey);
    return this.arrav[newKev].value
public void put(int key, V value) {
    this.array[key % this.array.length] = new Pair<>(key, value);
public void remove(int key) {
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What's the bug here?

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Suppose the array has length 10 and we insert the key-value pairs (8, ``foo'') and (18, ``bar''). What does the dictionary look like?

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Two questions:

- 1. What ADT should we use for the bucket?
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- What ADT should we use for the bucket? A dictionary!
- 2. What's the *worst-case* runtime of our dictionary, assuming we implement the bucket using a linked list?
 - $\Theta(n)$ what if everything gets stored in the same bucket?

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Let c be the capacity of the internal array.

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Assuming we use a linked list for our bucket, the average runtime of our dictionary operations is $\Theta\left(1+\lambda\right)$!

Goal: Improve the *average* runtime of our IntegerDictionary **Ideas:**

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Important: When separate chaining, we should keep $\lambda \approx 1.0$.

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Once the load factor is large enough, we resize. There are two common strategies:

- ► Just double the size of the array
- ► Increase the array size to the next prime number that's (roughly) double the array size

Three question:

- 1. How do you resize the array?
- 2. What's the runtime of resizing?
- 3. Why use prime numbers?

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- 2. Implement an integer dictionary
 - ► How can we avoid using a lot of memory?
 - ► How do we handle collisions?
 - ▶ How do we keep the *average* performance $\Theta(1)$?
- 3. Implement a general-purpose dictionary

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Solution: Use a hash function!

Hash function

A hash function is a mapping from the key set $\ensuremath{\mathcal{U}}$ to an integer.

There are many different properties a hash function could have.

Using hash functions inside dictionaries: useful properties

A hash function that is intended to be used for a dictionary should ideally have the following properties:

▶ Uniform distribution of outputs:

In Java, there are 2^{32} 32-bit ints. So, the probability that the hash function returns any individual int should be $\frac{1}{2^{32}}$.

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► Low computational cost:

We will be computing the hash function a lot, so we need it to be very easy to compute.

Exercise: hash function for strings

Analyze these hash function implementations.

►
$$h(s) = 1$$

$$h(s) = \sum_{i=0}^{|s|-1} s_i$$

$$h(s) = 2^{s_0} \cdot 3^{s_1} \cdot 5^{s_2} \cdot 7^{s_3} \cdots$$

$$h(s) = \sum_{i=0}^{|s|-1} 31^i \cdot s_i$$

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- ► Midterm on Friday, Feb 2, in-class
 - ► Review session time and locations TBD (but probably Mon 29 and Tues 30?)
 - More details on Wednesday