

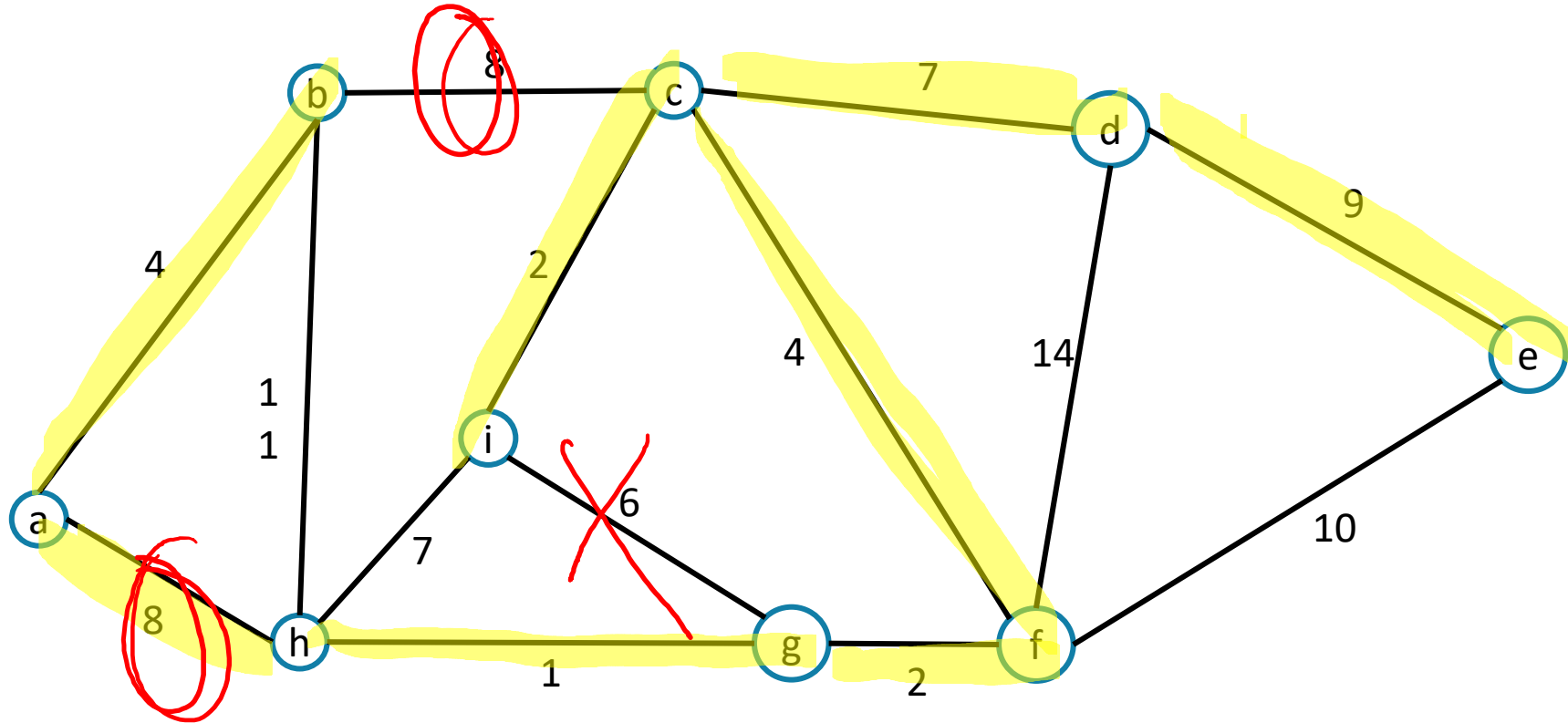


# Disjoint Sets

Data Structures and Algorithms

# Warm Up

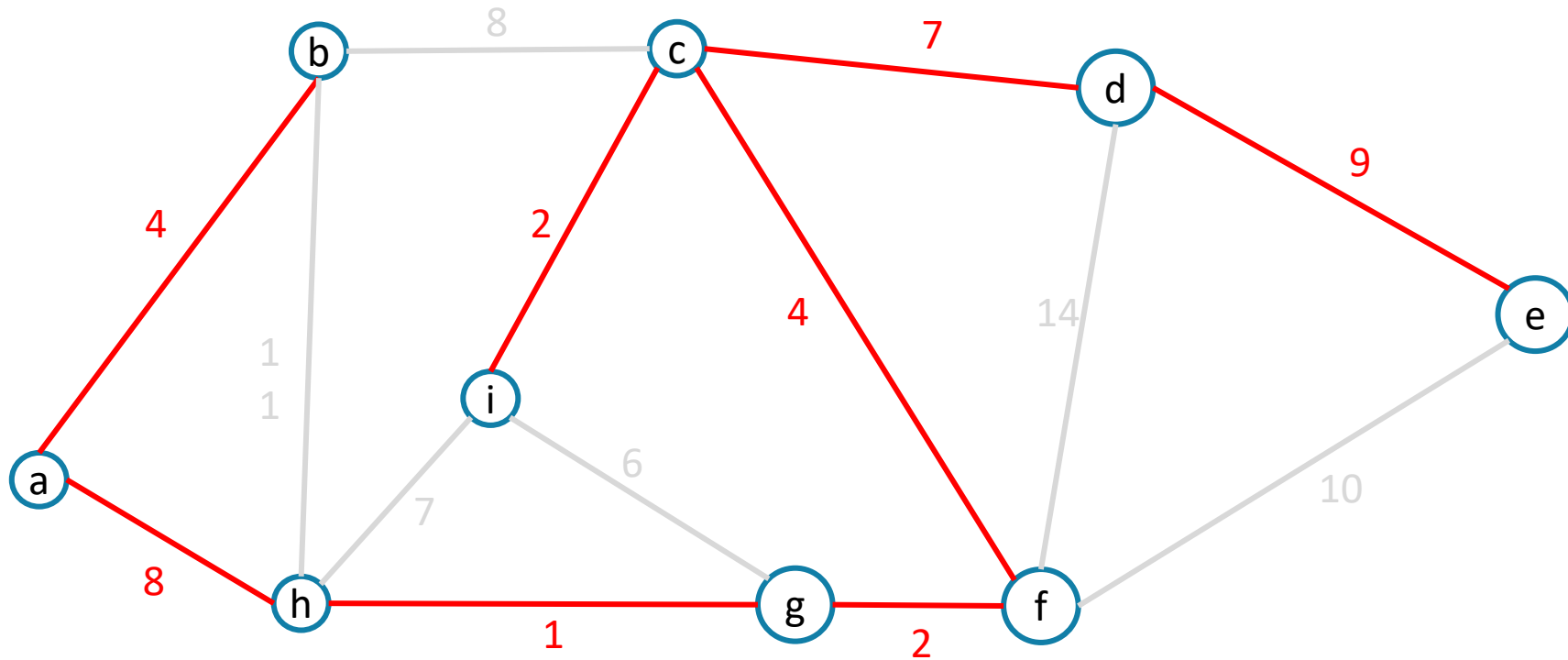
Finding a MST using Kruskal's algorithm





# Warm Up

Finding a MST using Kruskal's algorithm



# New ADT

## Set ADT

### state

Set of elements

- Elements must be unique!
- No required order

Count of Elements

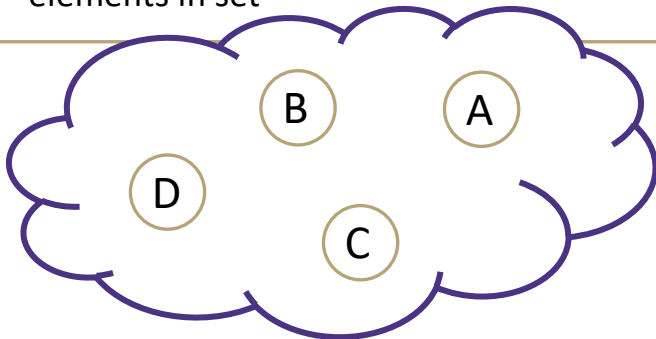
### behavior

**create(x)** - creates a new set with a single member, x

**add(x)** - adds x into set if it is unique, otherwise add is ignored

**remove(x)** - removes x from set

**size()** - returns current number of elements in set



## Disjoint-Set ADT

### state

Set of Sets

- **Disjoint:** Elements must be unique across sets
- No required order
- Each set has representative

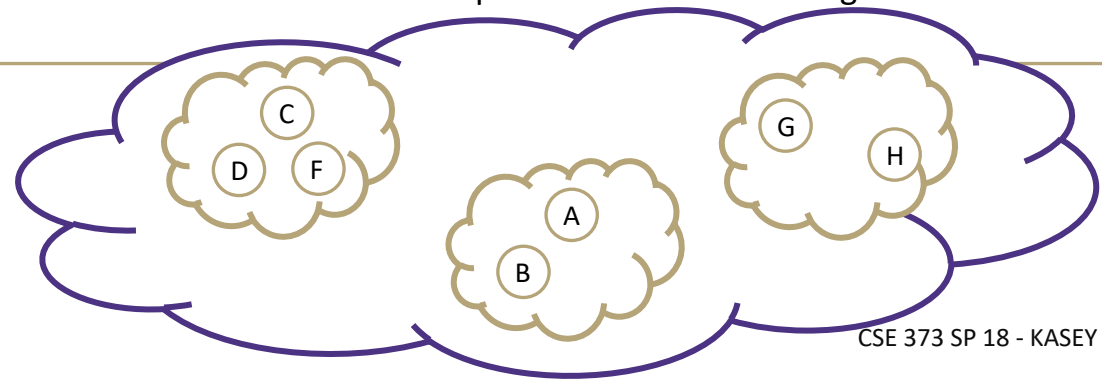
Count of Sets

### behavior

**makeSet(x)** - creates a new set within the disjoint set where the only member is x. Picks representative for set

**findSet(x)** - looks up the set containing element x, returns representative of that set

**union(x, y)** - looks up set containing x and set containing y, combines two sets into one. Picks new representative for resulting set



# Example

new()

makeSet(a)

makeSet(b)

makeSet(c)

makeSet(d)

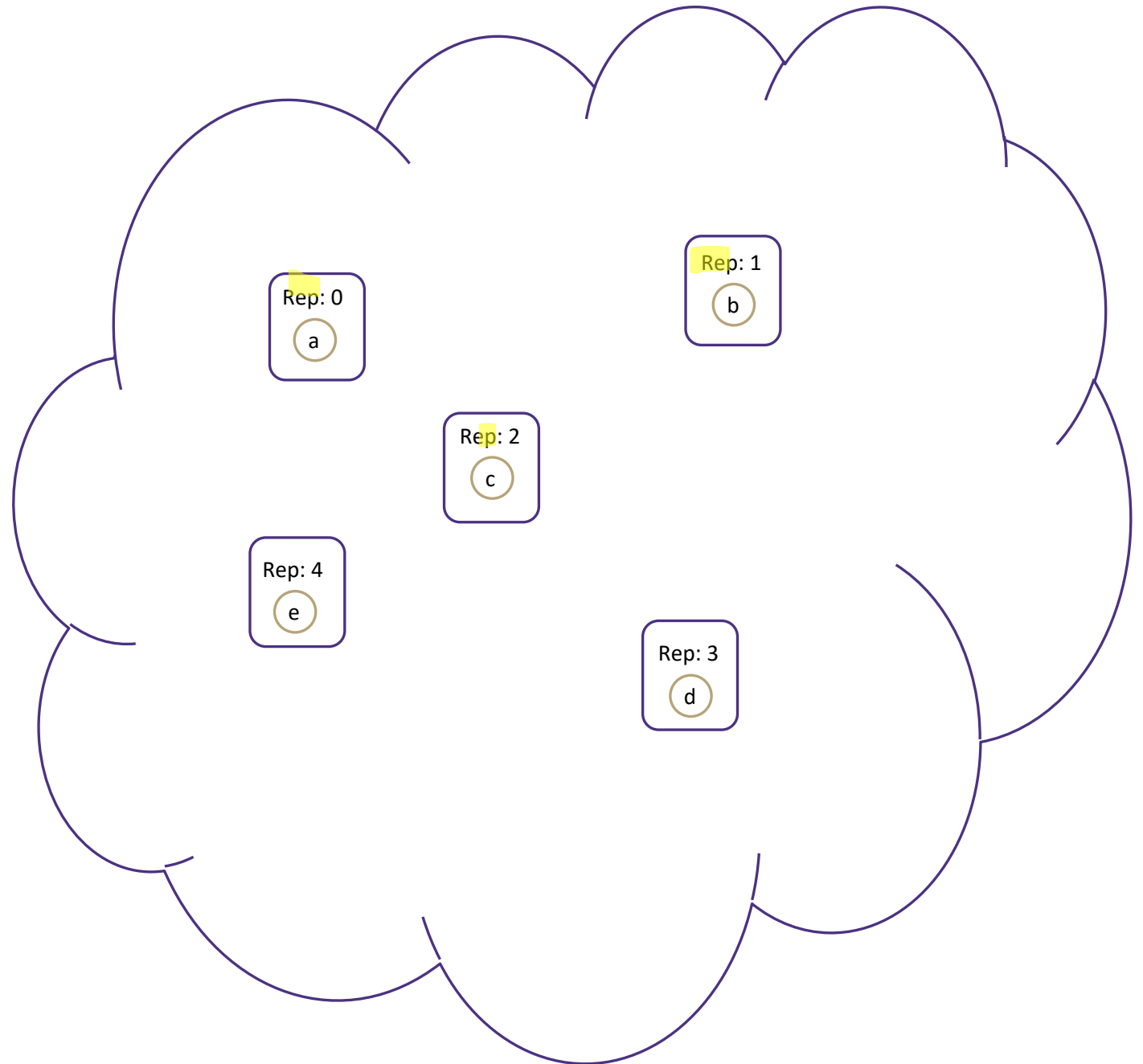
makeSet(e)

findSet(a)

findSet(d)

union(a, c)

03



# Example

new()

makeSet(a)

makeSet(b)

makeSet(c)

makeSet(d)

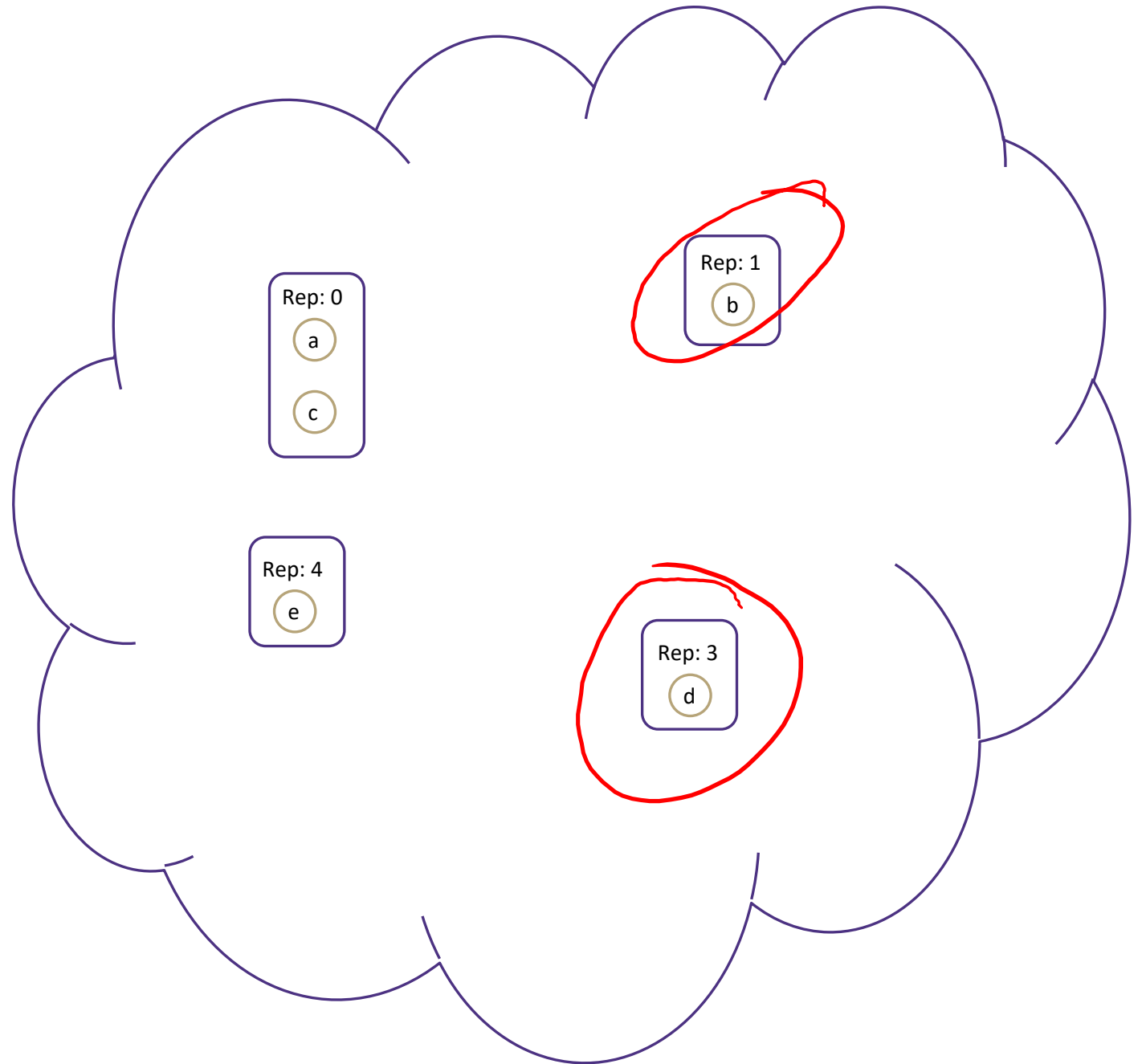
makeSet(e)

findSet(a)

findSet(d)

union(a, c)

union(b, d)



# Example

new()

makeSet(a)

makeSet(b)

makeSet(c)

makeSet(d)

makeSet(e)

findSet(a)

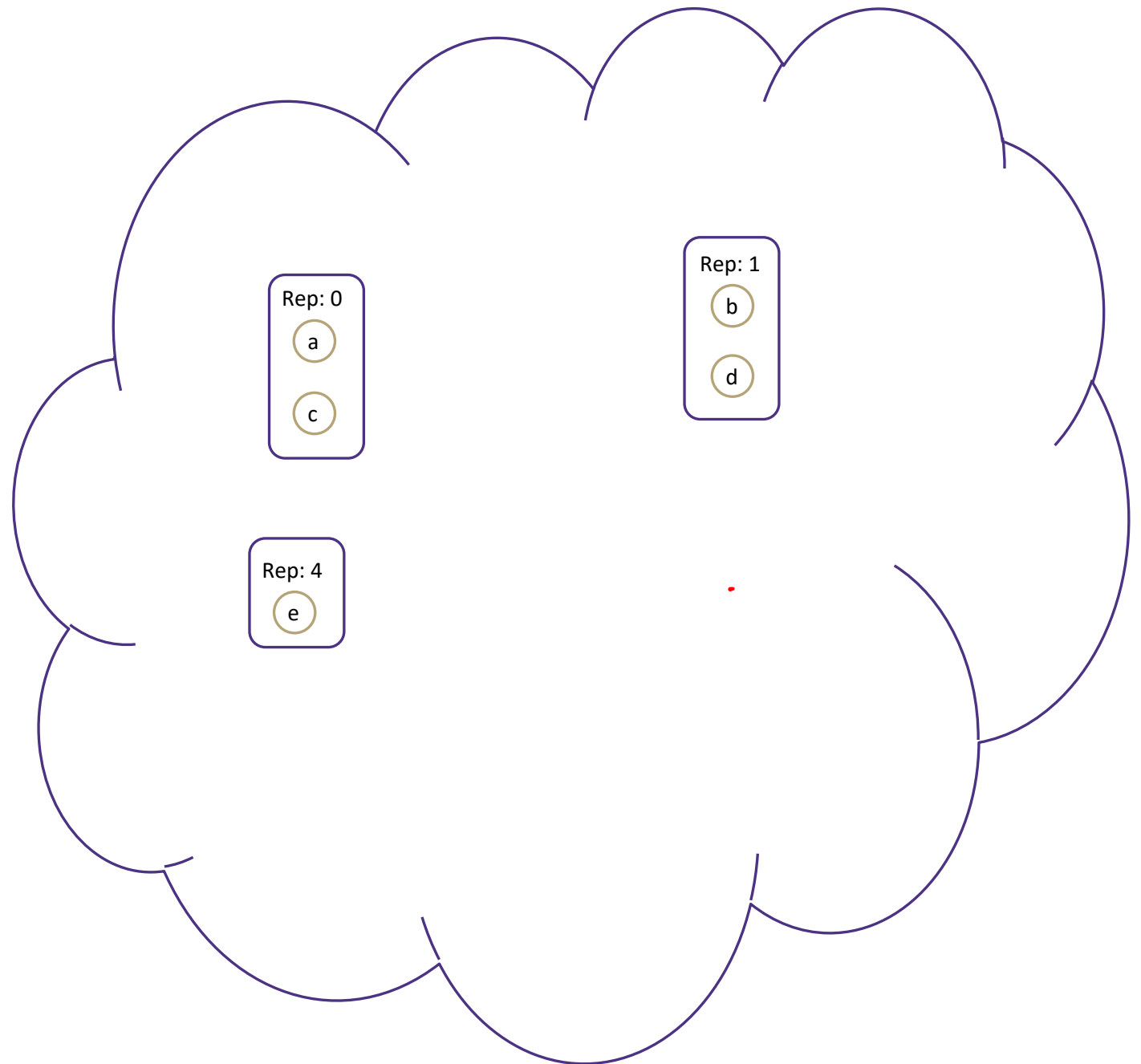
findSet(d)

union(a, c)

union(b, d)

findSet(a) == findSet(c)

findSet(a) == findSet(d)



# Implementation

## Disjoint-Set ADT

### state

Set of Sets

- **Disjoint:** Elements must be unique across sets
- No required order
- Each set has representative

Count of Sets

### behavior

`makeSet(x)` – creates a new set within the disjoint set where the only member is x. Picks representative for set

`findSet(x)` – looks up the set containing element x, returns representative of that set

`union(x, y)` – looks up set containing x and set containing y, combines two sets into one. Picks new representative for resulting set

## TreeDisjointSet<E>

### state

`Collection<TreeSet> forest`  
`Dictionary<NodeValues, NodeLocations> nodeInventory`

### behavior

`makeSet(x)` – create a new tree of size 1 and add to our forest

`findSet(x)` – locates node with x and moves up tree to find root

`union(x, y)` – append tree with y as a child of tree with x

## TreeSet<E>

### state

`SetNode overallRoot`

### behavior

`TreeSet(x)`

`add(x)`

`remove(x, y)`

`getRep()` – returns data of overallRoot

## SetNode<E>

### state

`E data`

`Collection<SetNode> children`

### behavior

`SetNode(x)`

`addChild(x)`

`removeChild(x, y)`



# Implement makeSet(x)

makeSet(0)

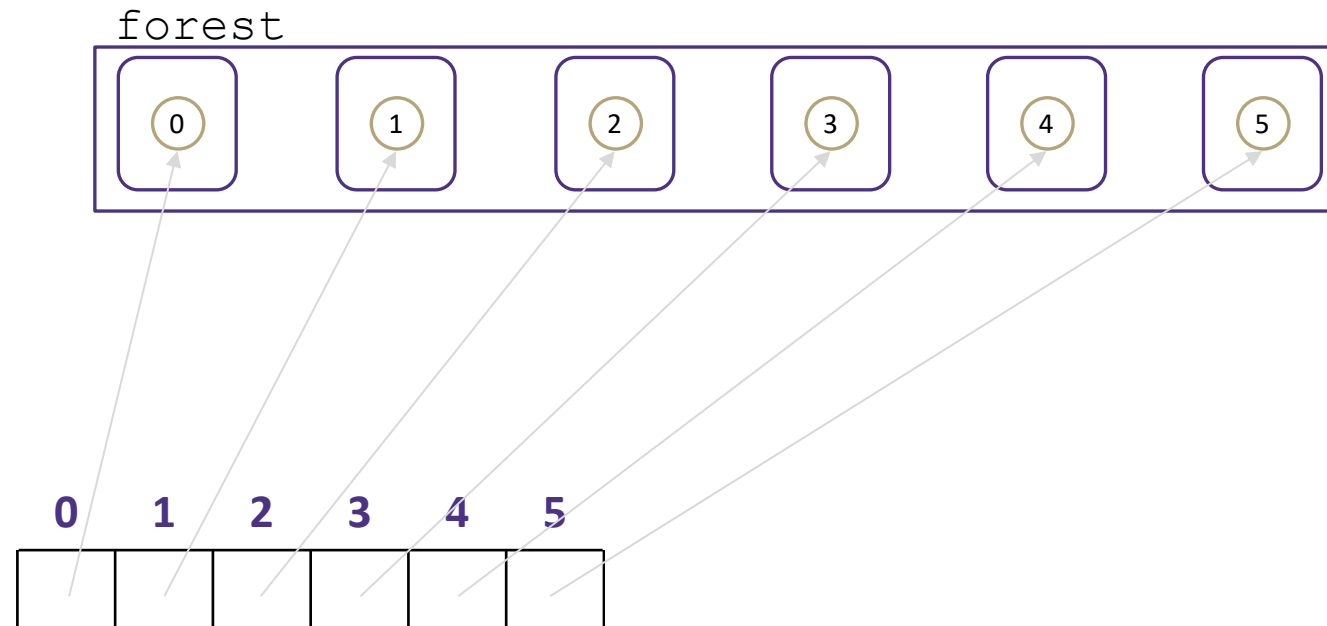
makeSet(1)

makeSet(2)

makeSet(3)

makeSet(4)

makeSet(5)



## TreeDisjointSet<E>

### state

Collection<TreeSet> forest  
Dictionary<NodeValues,  
NodeLocations> nodeInventory

### behavior

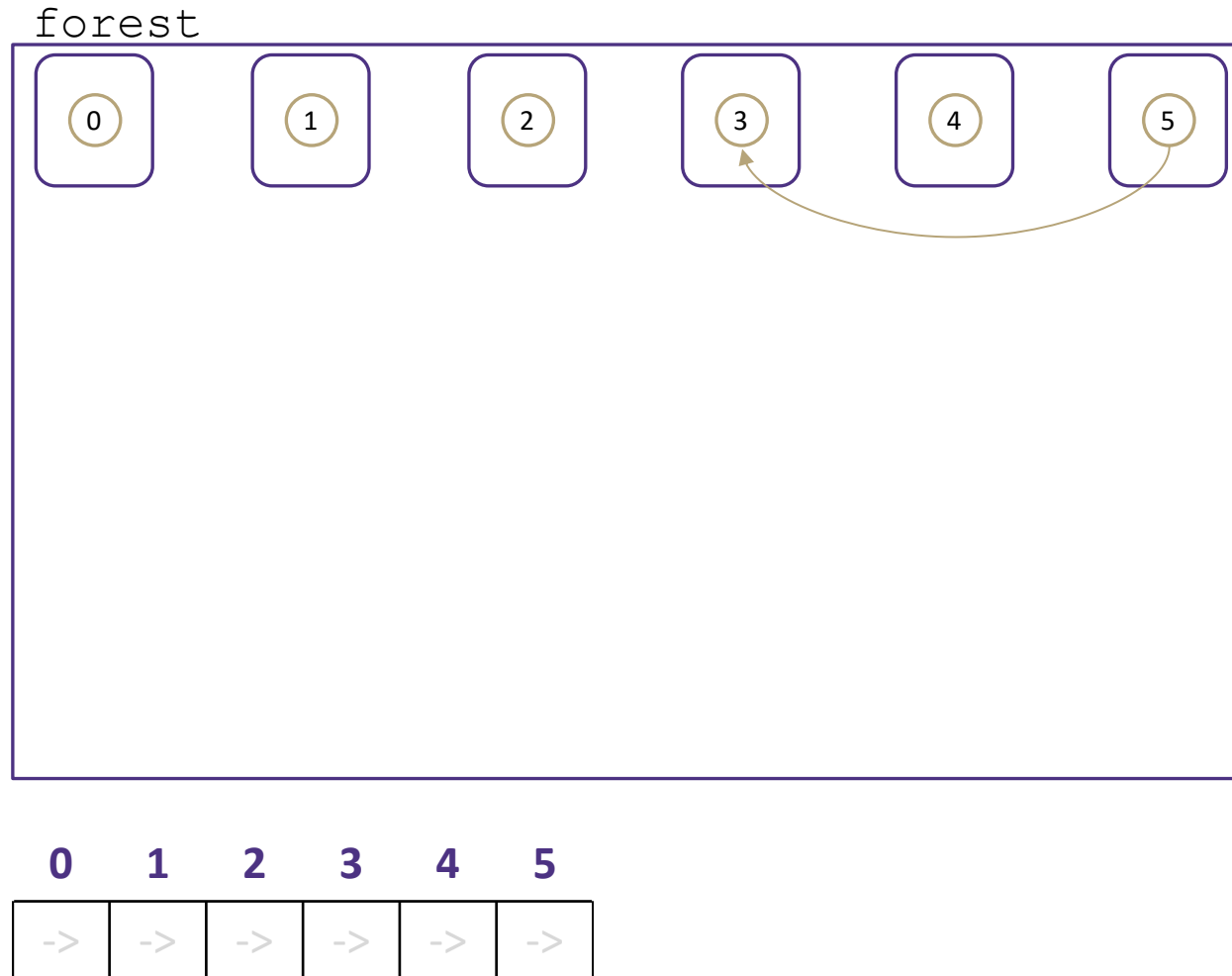
`makeSet(x)` - create a new tree of size 1 and add to our forest  
`findSet(x)` - locates node with x and moves up tree to find root  
`union(x, y)` - append tree with y as a child of tree with x

Worst case runtime?

**$O(1)$**

# Implement union(x, y)

union(3, 5)



TreeDisjointSet<E>

## state

Collection<TreeSet> forest  
Dictionary<NodeValues,  
NodeLocations> nodeInventory

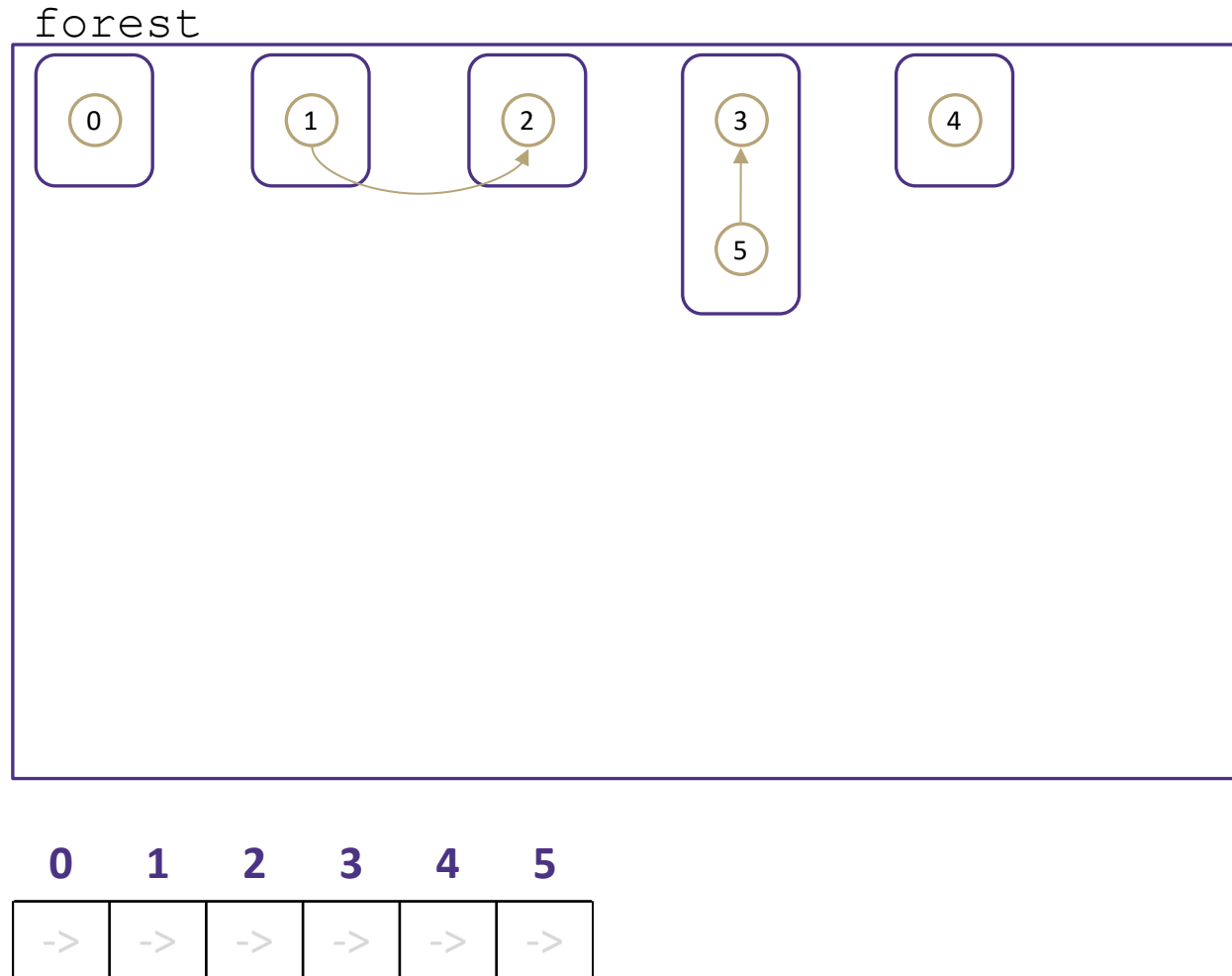
## behavior

`makeSet(x)` - create a new tree  
of size 1 and add to our  
forest  
`findSet(x)` - locates node with x  
and moves up tree to find root  
`union(x, y)` - append tree with y  
as a child of tree with x

# Implement union(x, y)

`union(3, 5)`

`union(2, 1)`



`TreeDisjointSet<E>`

## state

`Collection<TreeSet> forest`  
`Dictionary<NodeValues, NodeLocations> nodeInventory`

## behavior

`makeSet(x)` - create a new tree of size 1 and add to our forest  
`findSet(x)` - locates node with x and moves up tree to find root  
`union(x, y)` - append tree with y as a child of tree with x

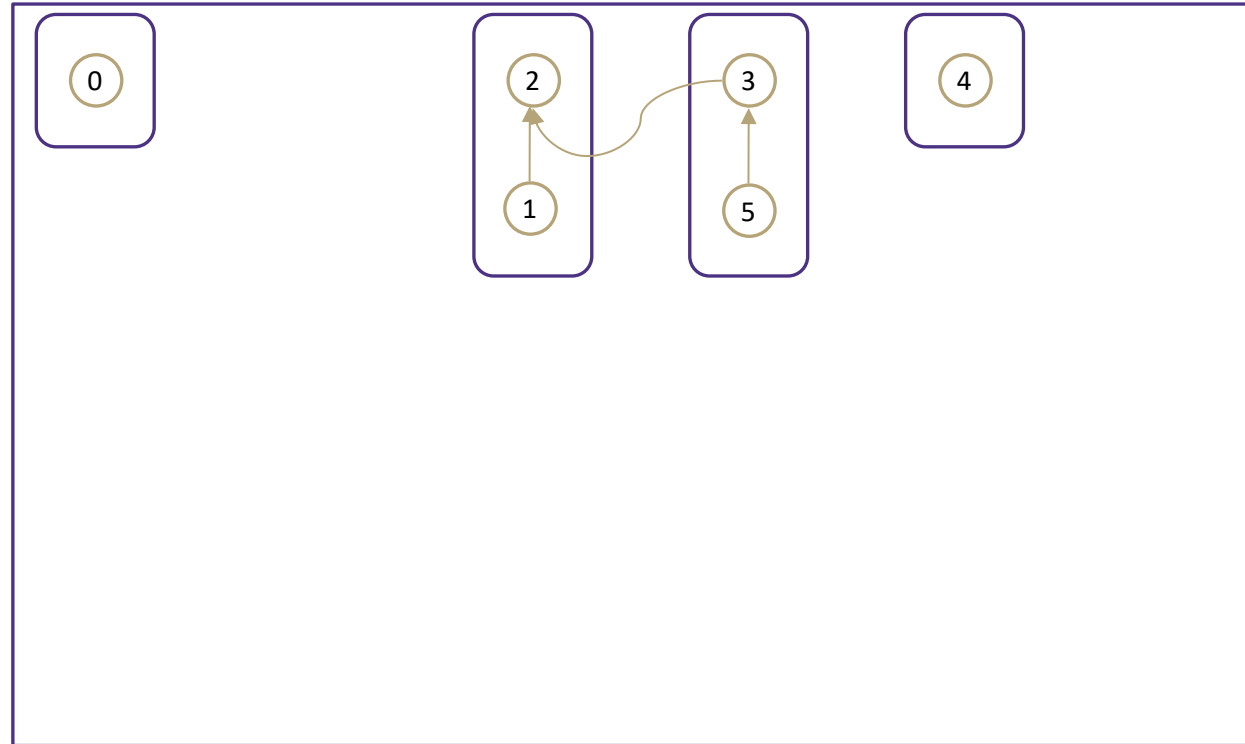
# Implement union(x, y)

union(3, 5)

union(2, 1)

union(2, 5)

forest



0	1	2	3	4	5
->	->	->	->	->	->

TreeDisjointSet<E>

## state

Collection<TreeSet> forest  
Dictionary<NodeValues,  
NodeLocations> nodeInventory

## behavior

`makeSet(x)` - create a new tree  
of size 1 and add to our  
forest  
`findSet(x)` - locates node with x  
and moves up tree to find root  
`union(x, y)` - append tree with y  
as a child of tree with x

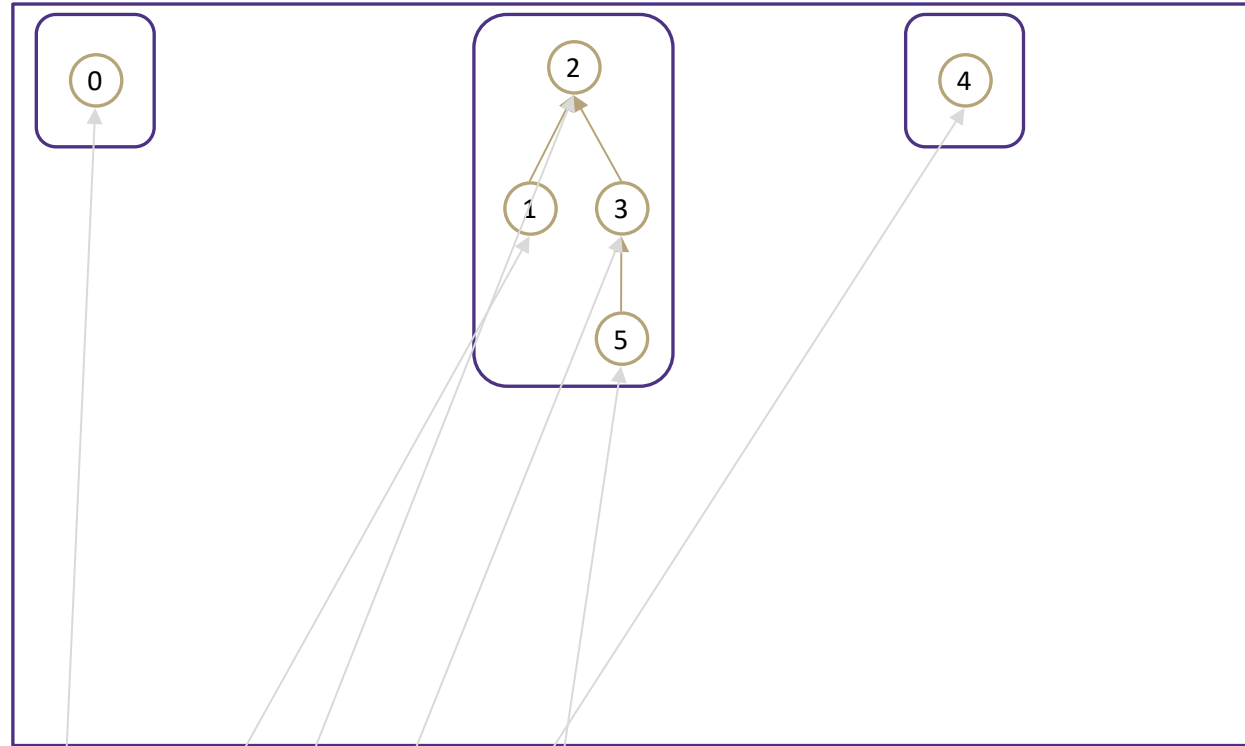
# Implement union(x, y)

`union(3, 5)`

`union(2, 1)`

`union(2, 5)`

forest



TreeDisjointSet<E>

## state

Collection<TreeSet> forest  
Dictionary<NodeValues,  
NodeLocations> nodeInventory

## behavior

`makeSet(x)` - create a new tree  
of size 1 and add to our  
forest  
`findSet(x)` - locates node with x  
and moves up tree to find root  
`union(x, y)` - append tree with y  
as a child of tree with x



# Implement findSet(x)

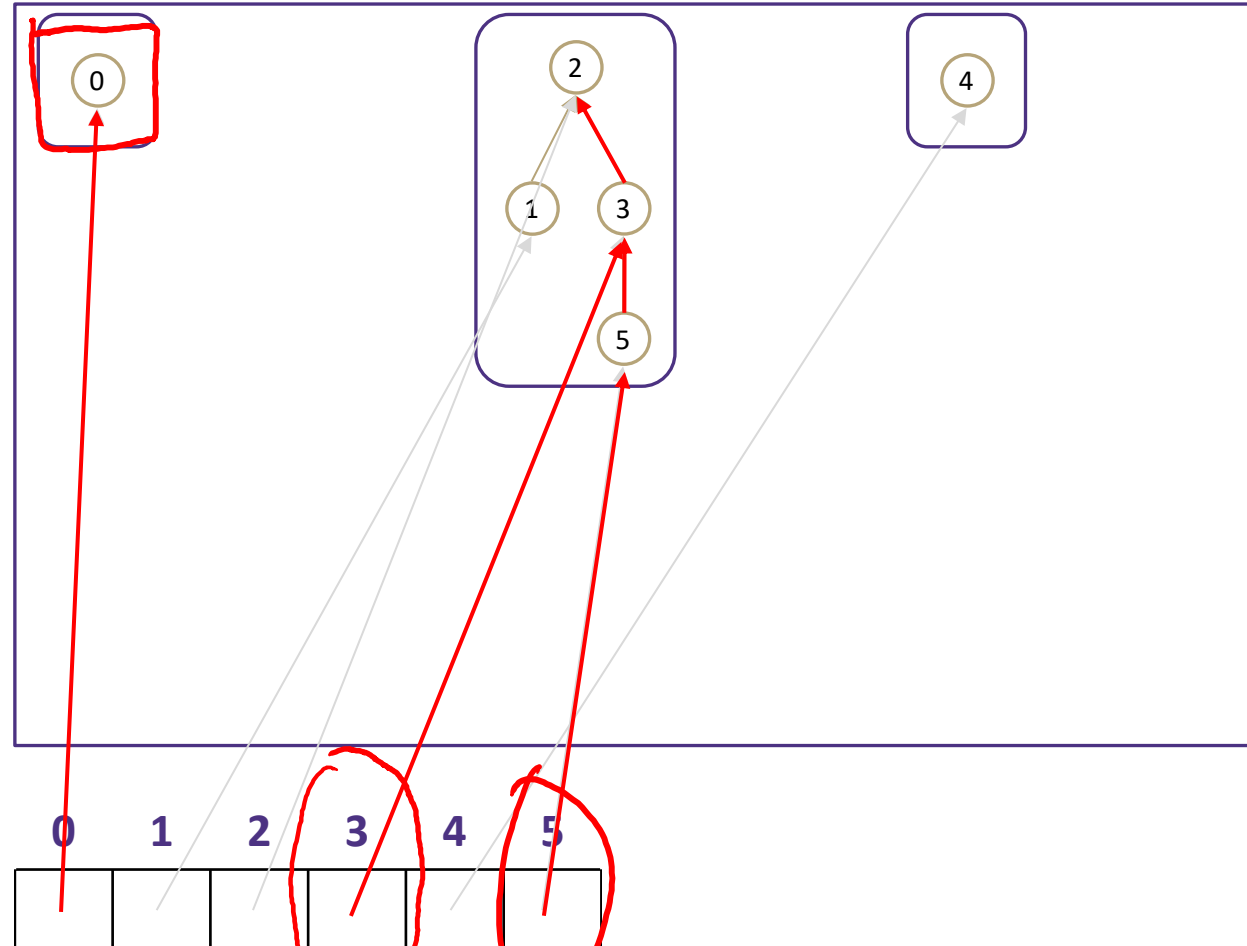
findSet(0)

findSet(3)

findSet(5)

0  
2  
2

forest



Worst case runtime?

$O(n)$

Worst case runtime of union?

$O(n)$

addn

$2n + C_u$

TreeDisjointSet<E>

**state**

Collection<TreeSet> forest  
Dictionary<NodeValues,  
NodeLocations> nodeInventory

**behavior**

makeSet(x)-create a new tree  
of size 1 and add to our  
forest  
findSet(x)-locates node with x  
and moves up tree to find root  
union(x, y)-append tree with y  
as a child of tree with x

union(5, 4)  
findSet(5)  
findSet(4)  
union

# Improving union

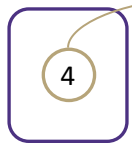
**Problem:** Trees can be unbalanced

→ DEGENERATE!

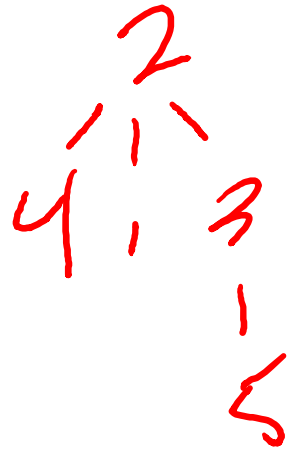
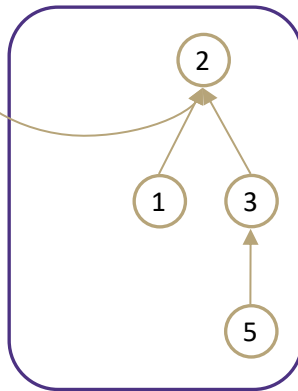
**Solution:** Union-by-rank!

- let  $\text{rank}(x)$  be a number representing the upper bound of the height of  $x$  so  $\text{rank}(x) \geq \text{height}(x)$
- Keep track of rank of all trees
- When unioning make the tree with larger rank the root
- If it's a tie, pick one randomly and increase rank by one

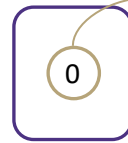
rank = 0



rank = 2



rank = 0

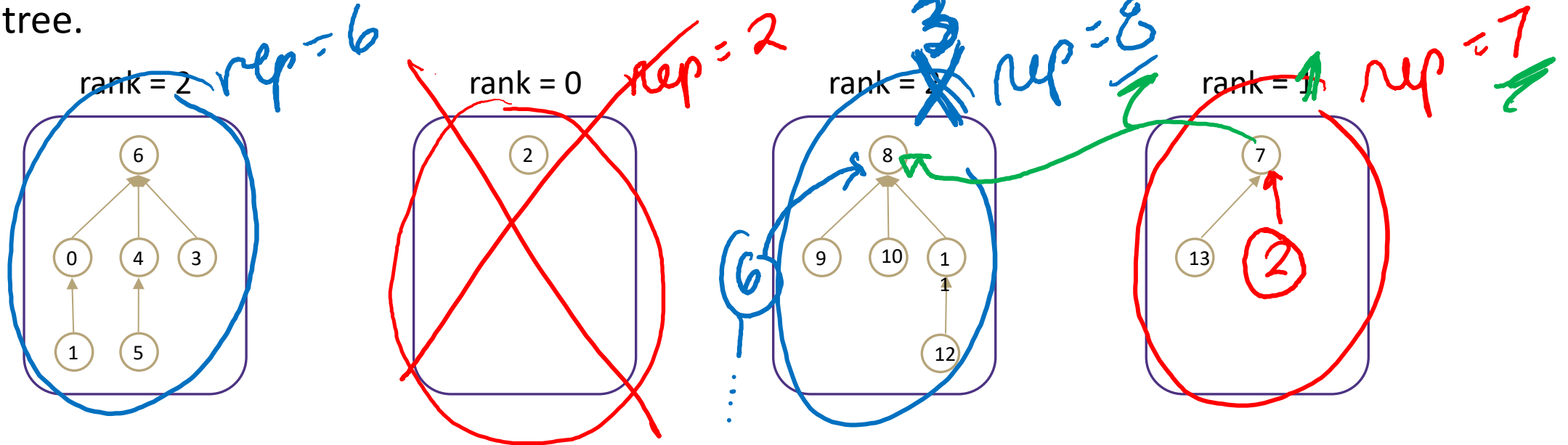


rank = 1



# Practice

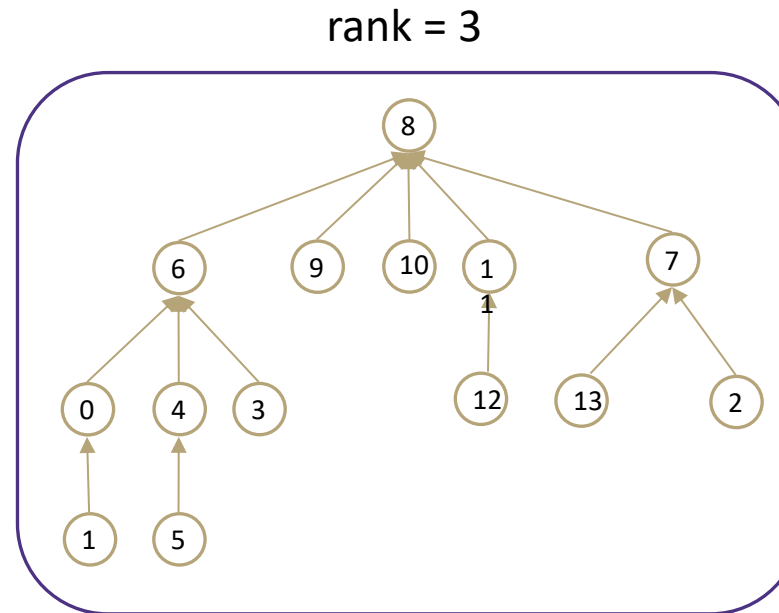
Given the following disjoint-set what would be the result of the following calls on union if we add the “union-by-rank” optimization. Draw the forest at each stage with corresponding ranks for each tree.



- 1 union(2, 13)
- 2 union(4, 12)
- 3 union(2, 8)

# Practice

Given the following disjoint-set what would be the result of the following calls on union if we add the “union-by-rank” optimization. Draw the forest at each stage with corresponding ranks for each tree.



`union(2, 13)`

`union(12, 4)`

`union(2, 8)`

Does this improve the worst case runtimes?

`findSet` is more likely to be  $O(\log(n))$  than  $O(n)$

# Improving findSet()

**Problem:** Every time we call findSet() you must traverse all the levels of the tree to find representative

**Solution: Path Compression**

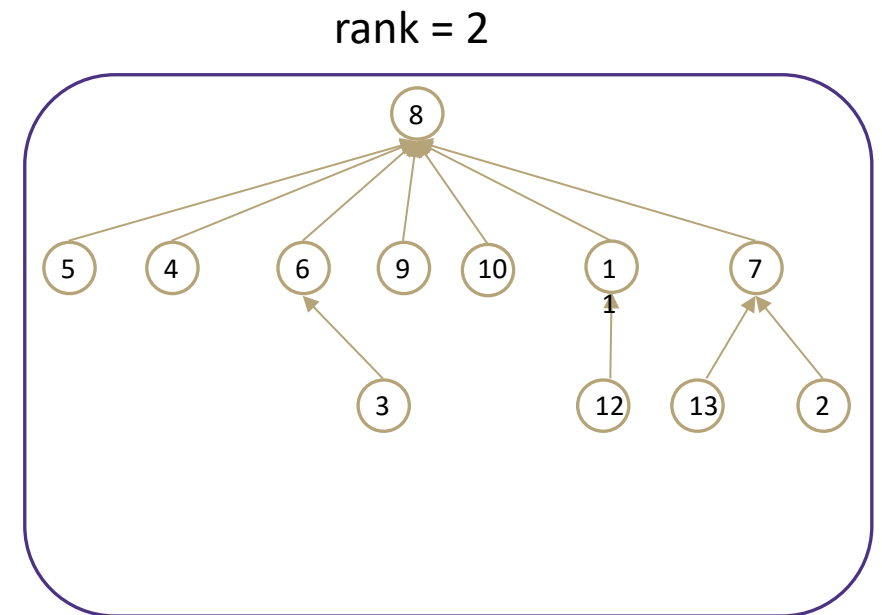
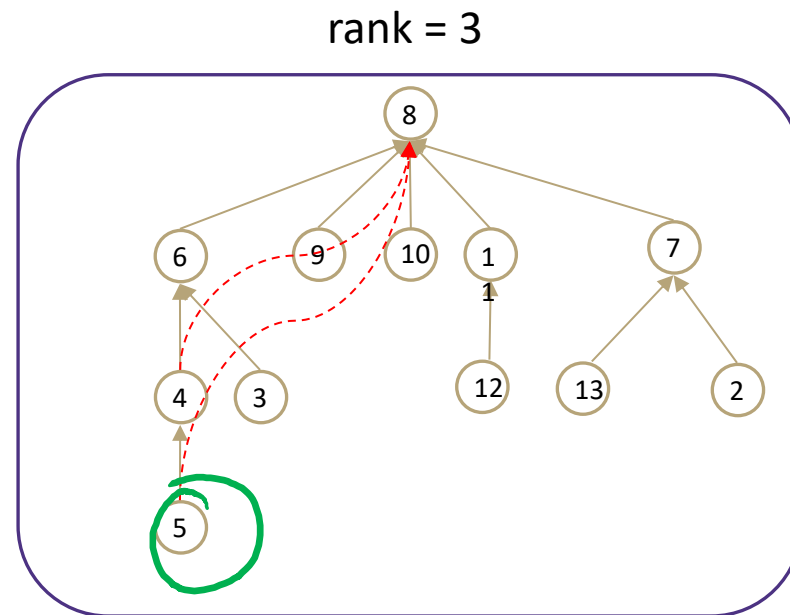
- Collapse tree into fewer levels by updating parent pointer of each node you visit
- Whenever you call findSet() update each node you touch's parent pointer to point directly to overallRoot

findSet(5)

findSet(4)

Does this improve the worst case runtimes?

findSet is more likely to be  $O(1)$  than  $O(\log(n))$

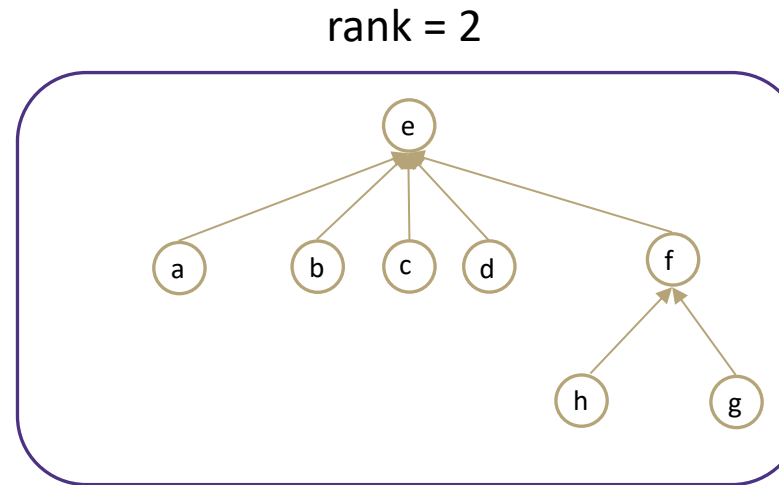




# Example

Using the union-by-rank and path-compression optimized implementations of disjoint-sets draw the resulting forest caused by these calls:

1. `makeSet(a)`
2. `makeSet(b)`
3. `makeSet(c)`
4. `makeSet(d)`
5. `makeSet(e)`
6. `makeSet(f)`
7. `makeSet(h)`
8. `union(c, e)`
9. `union(d, e)`
10. `union(a, c)`
11. `union(g, h)`
12. `union(b, f)`
13. `union(g, f)`
14. `union(b, c)`



# Array Representation

Like heaps, pretend the tree exists, but use an Array for actual implementation