

# Binary Heaps

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# Problems

## 1. Merging multiple sorted arrays

- `OutArray[k] = min(Array1[i1], Array2[i2])` // for 2 sorted arrays
- `OutArray[k] = min(Array1[i1], Array2[i2], ..., Arrayk[ik])` // for k sorted arrays

## 2. Given n 2D points, find k points which are closest to point P(x, y)

S = Set of k distances

For each point Q in the remaining points:

if `dist(P, Q)` is less than `max(S)`

removeMax from S

Insert `dist(P, Q)` in S

## 3. Priority queues: Job schedulers

- Among all the job in a queue, get the job with the highest priority: `removeMax(Priority Queue)`

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# Desired behavior: Get extreme values (min or max)

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# Min Priority Queue ADT

- Collection where elements ordered based on priority.
- Behavior:
- **removeMin()**: return element with smallest priority, removes element from collection
- **peekMin()**: find, but do not remove, the element with smallest priority
- **insert(element)**: add element to the collection
- Max Priority Queue ADT:
  - Same as Min Priority Queue ADT, just returns the largest instead of the smallest

# Binary heap data structure

- Invented in 1964 for sorting
- Priority Queues is one of the main applications for binary heaps
- Lots of other applications: greedy algorithms, shortest path
- Basically, min-heap (or max-heap) is ideal when you want to maintain a collection of elements where you need to add arbitrary values but need an efficient removeMin (or removeMax).

# Binary heap

Binary heap is a

1. binary tree
2. that satisfies the heap property, and
3. where every heap is a “complete” tree

# Binary heap: Heap property



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*max-heap:* Every node is larger than (or equal to) its children

*min-heap:* Every node is smaller than (or equal to) its children

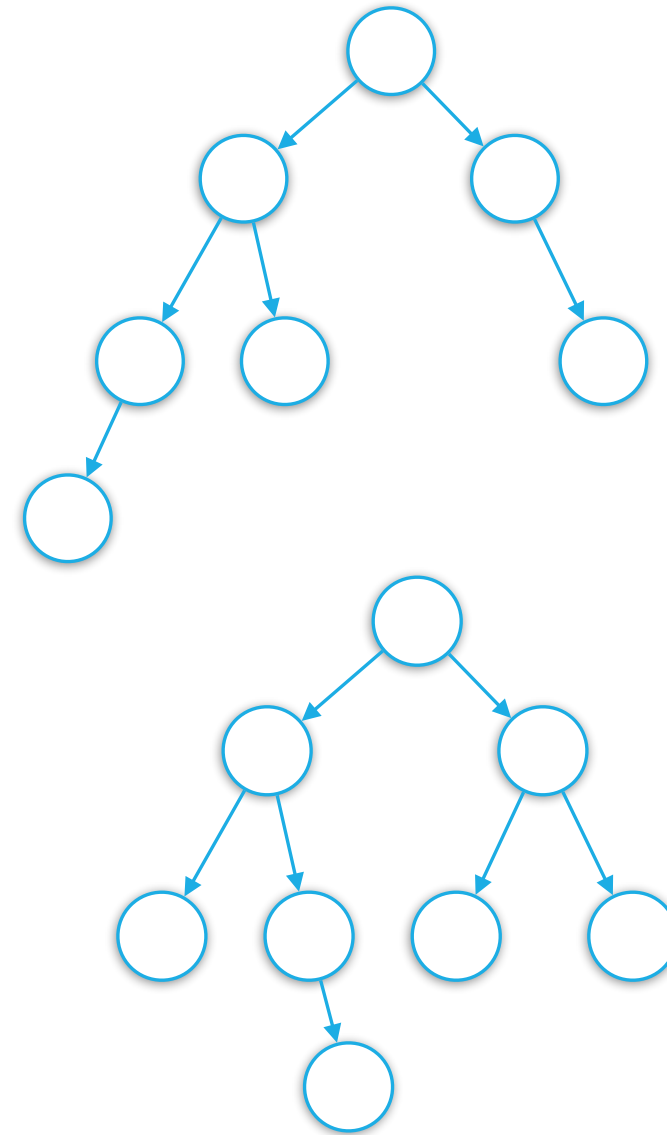
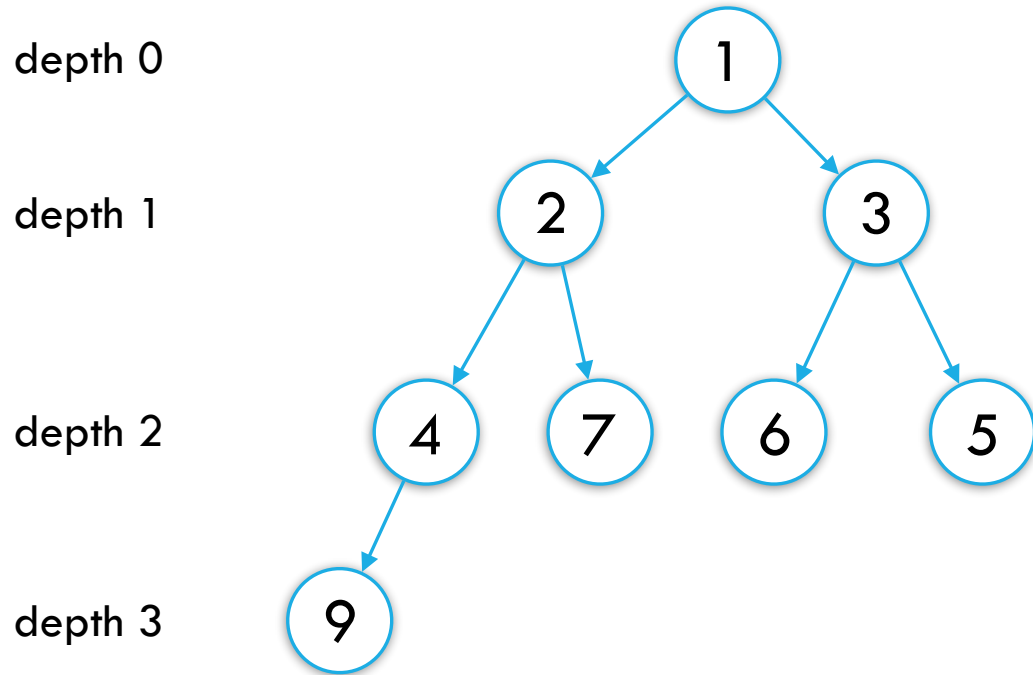
# Binary heap: Complete binary tree

A complete binary tree is a binary tree in which every level, except possibly the last, is completely filled, and all nodes are as far left as possible.

There are no “gaps” in a complete tree.

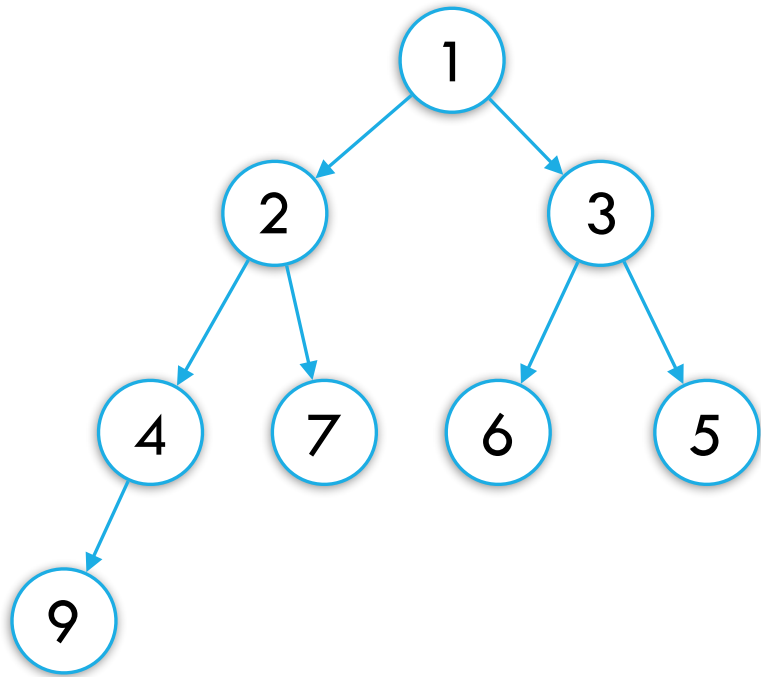
# Complete binary tree

**Complete binary tree**



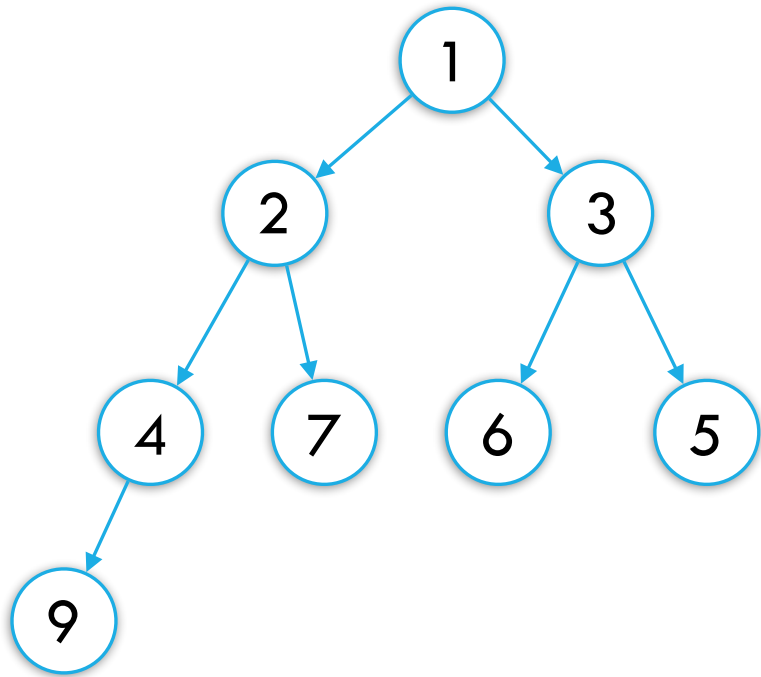
**There as not complete binary trees**

# Question: Valid min-heap?



Complete binary tree?  
Heap property satisfied?

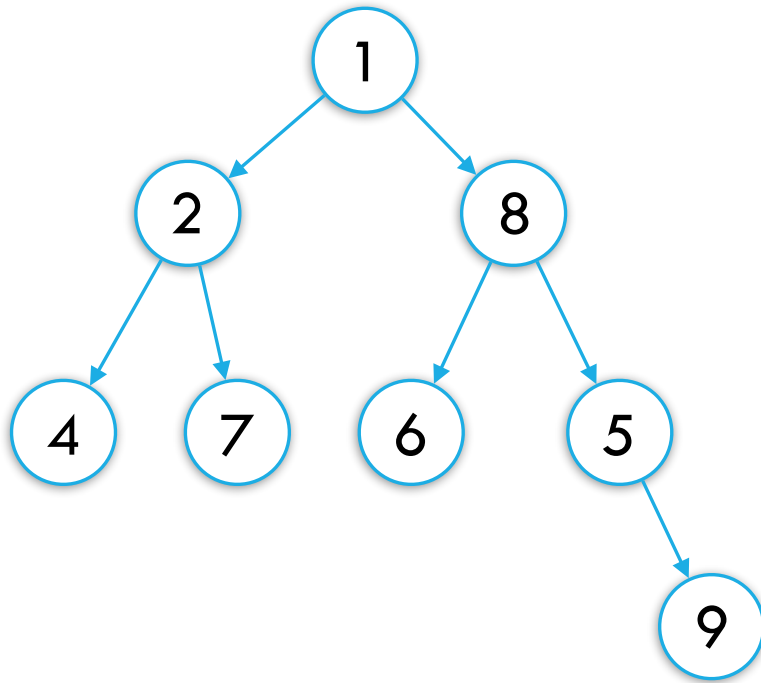
# Question: Valid min-heap?



Complete binary tree? Yes!

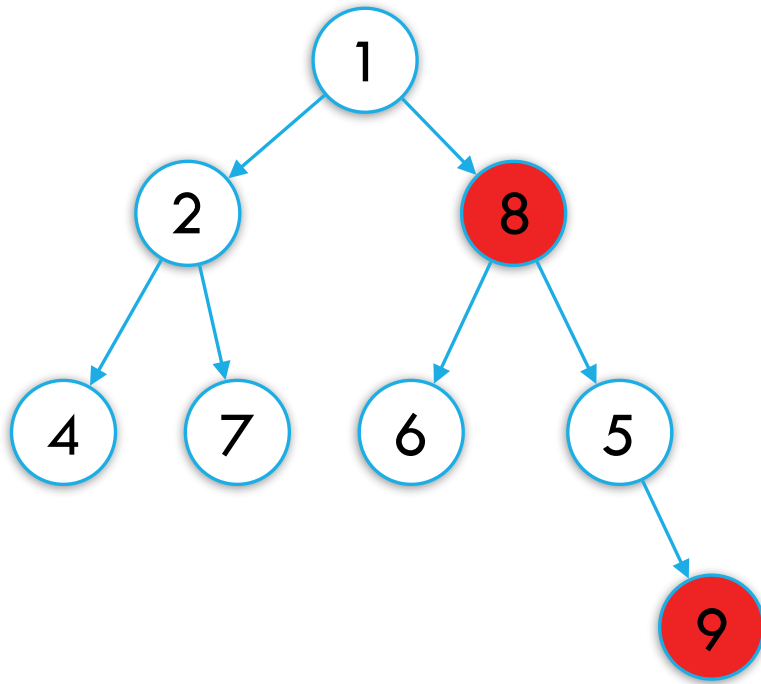
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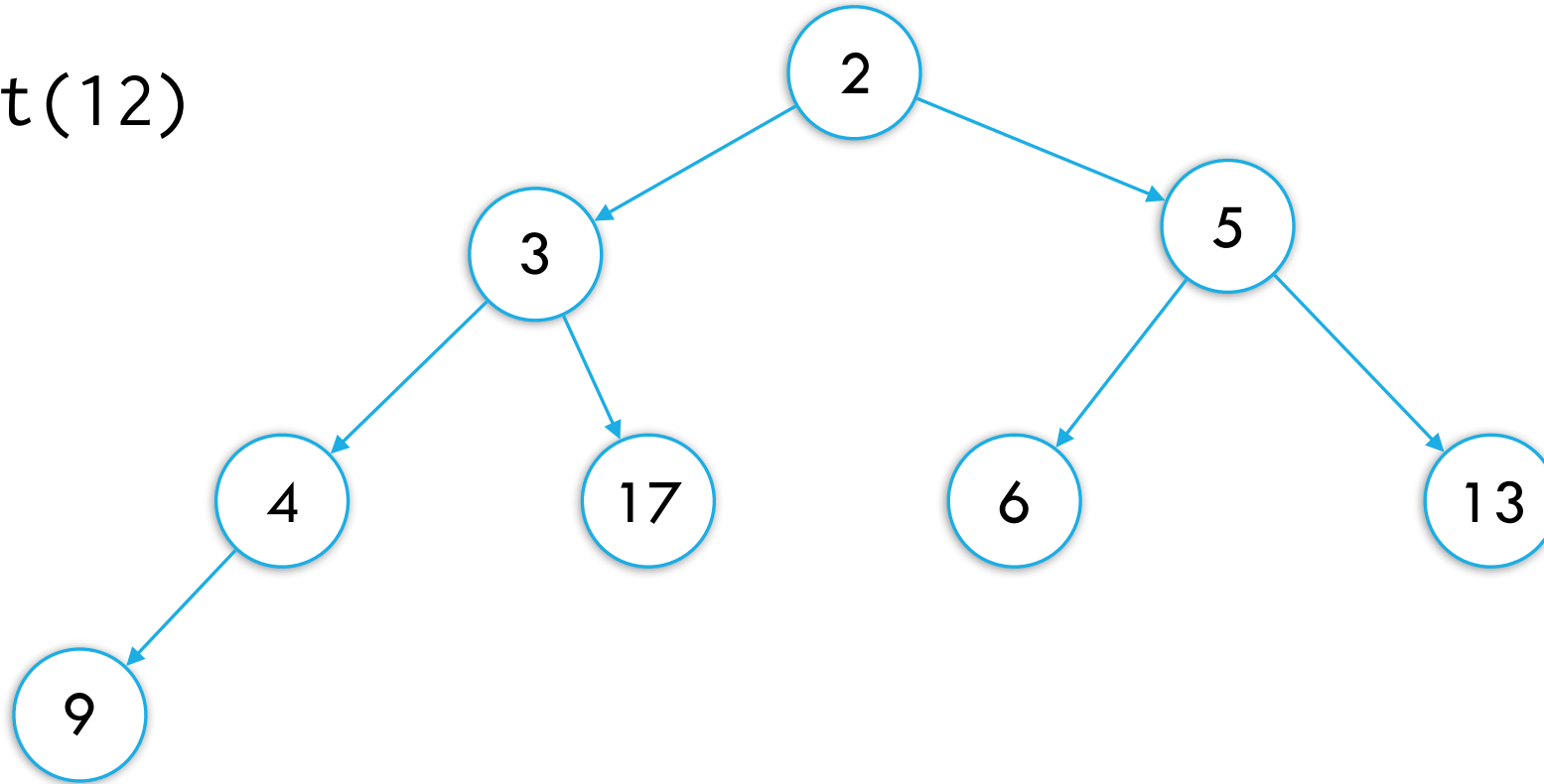
Complete binary tree? No!

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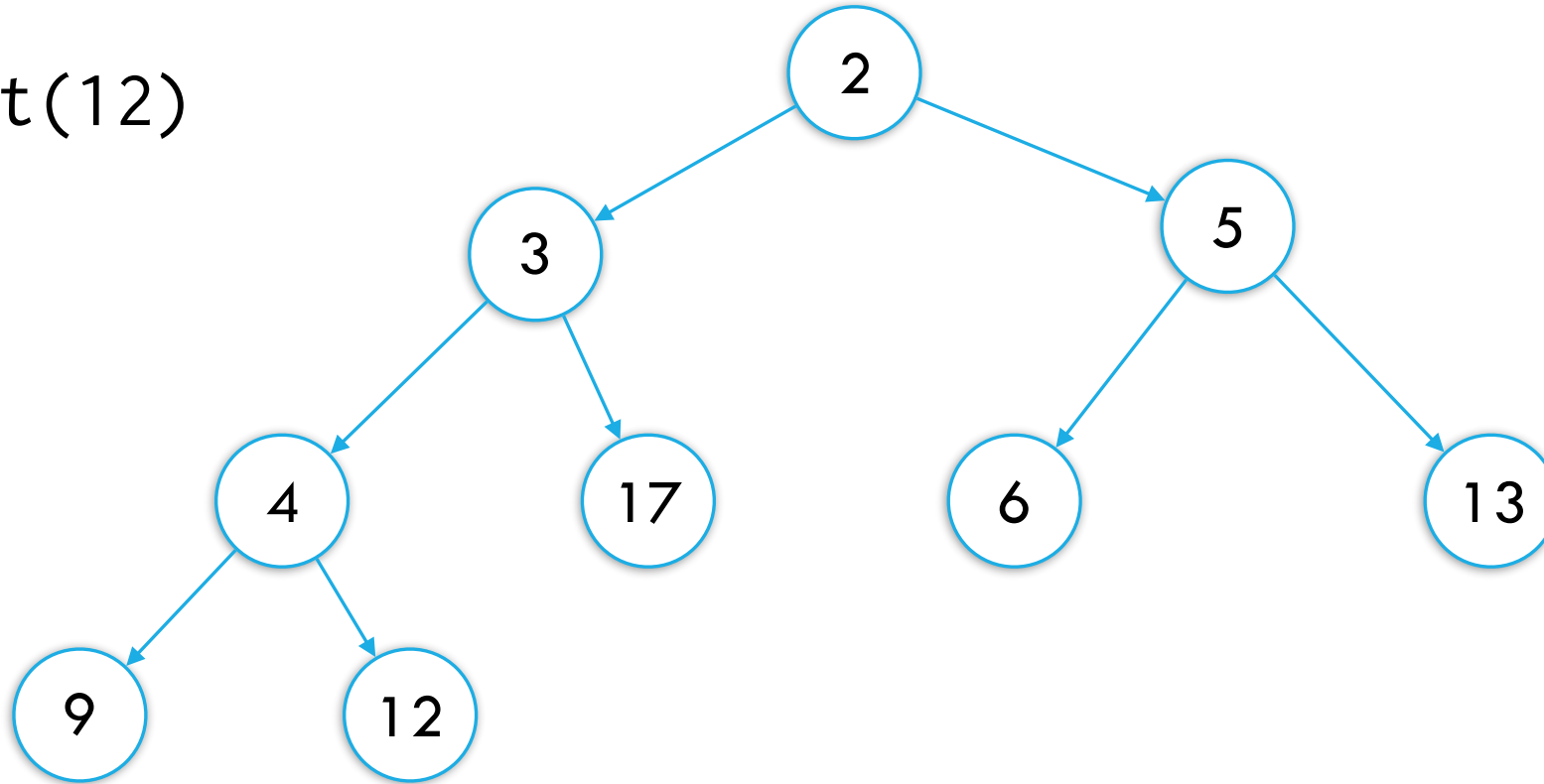
# Binary heap insert

insert(12)



# Binary heap insert

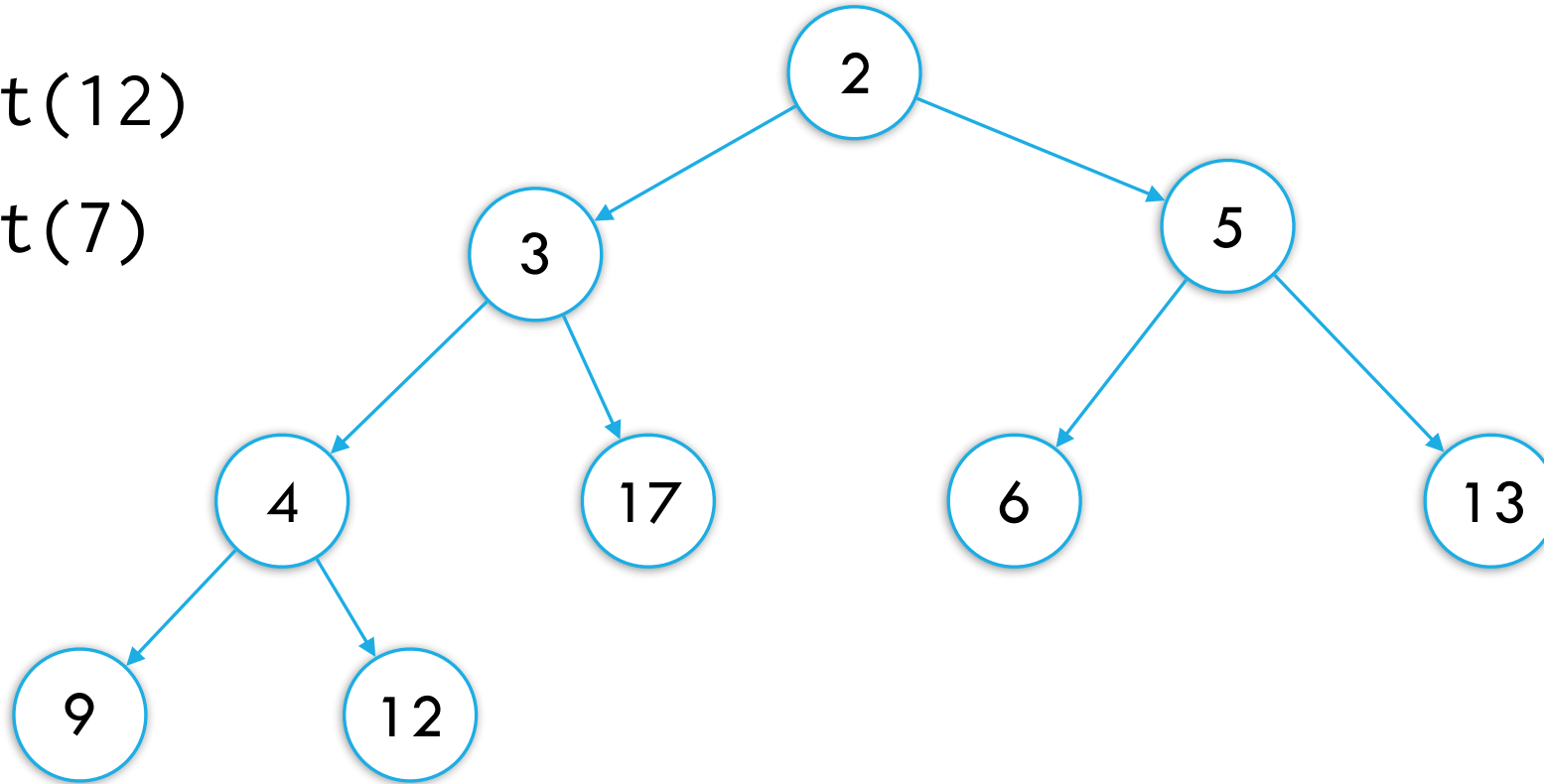
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# Binary heap insert

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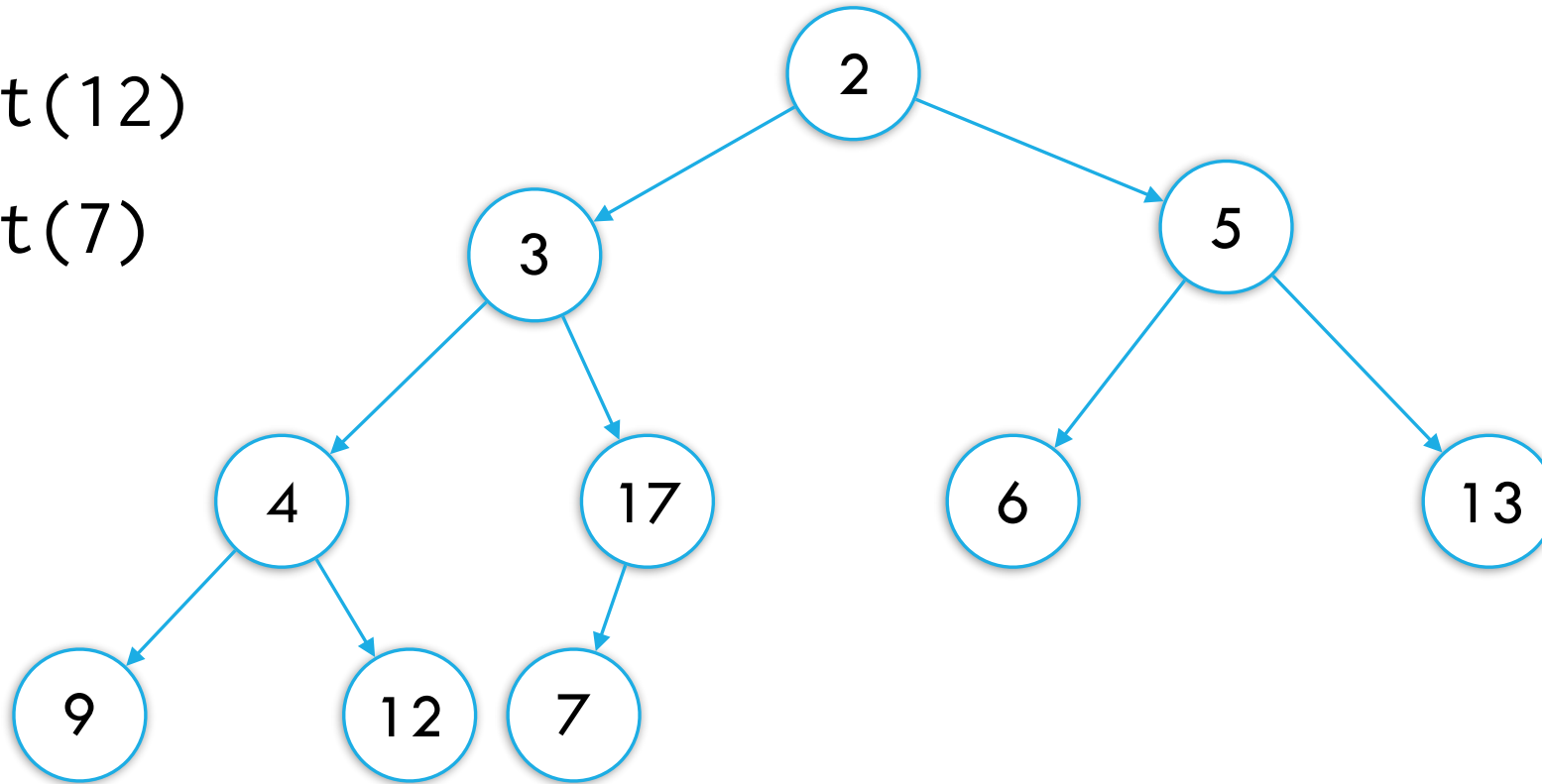
`insert(7)`



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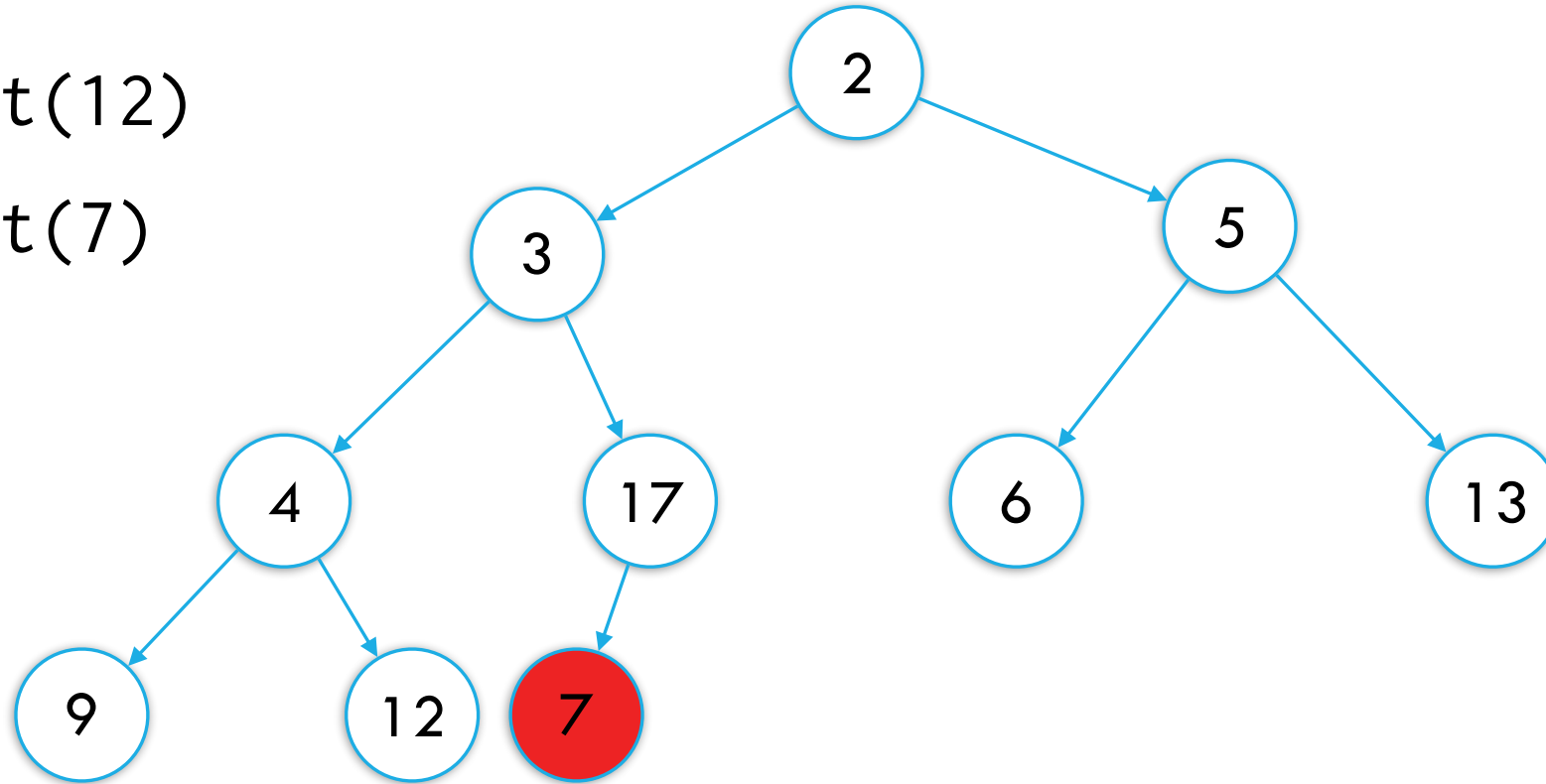
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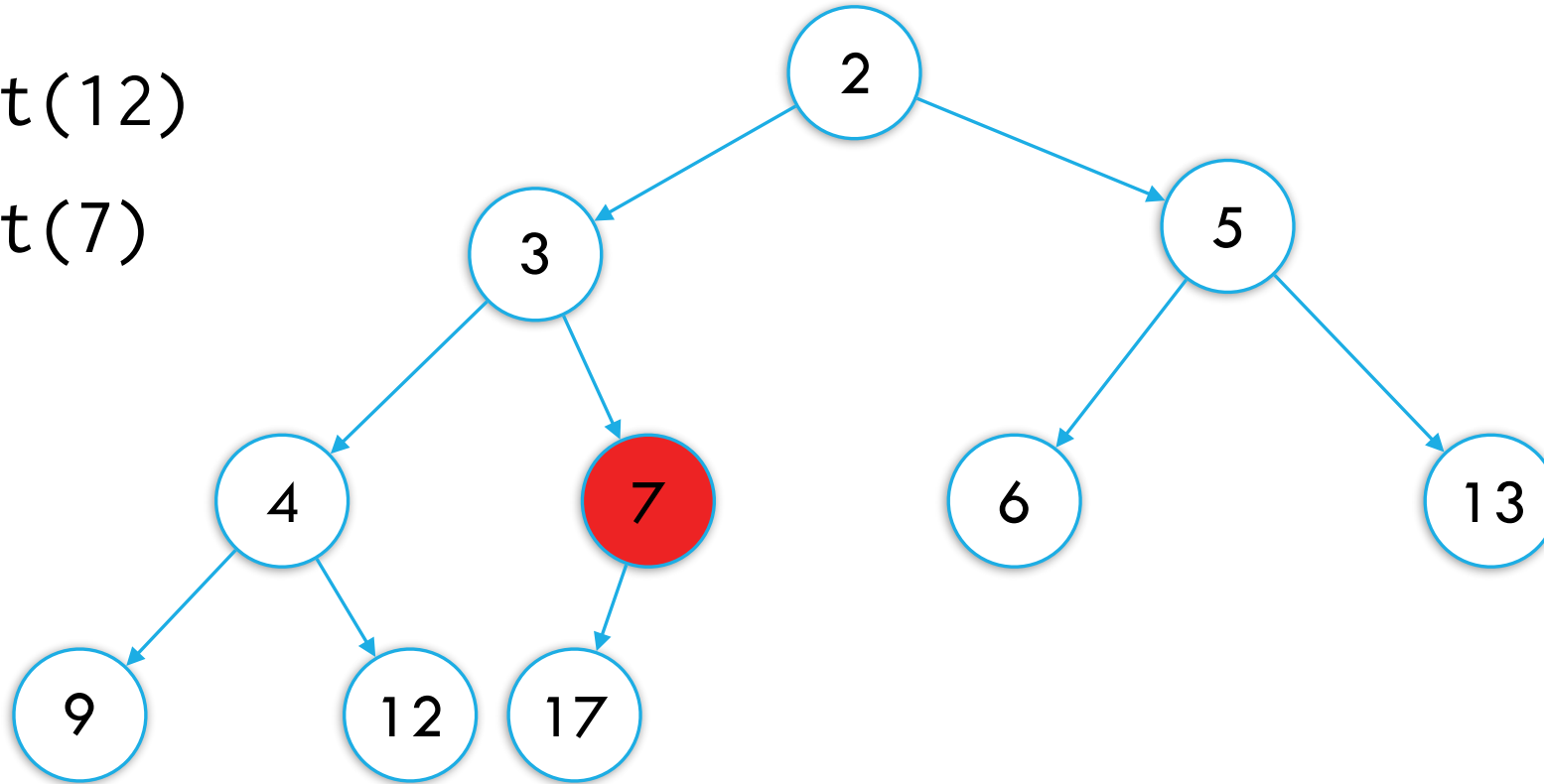


Heap broken

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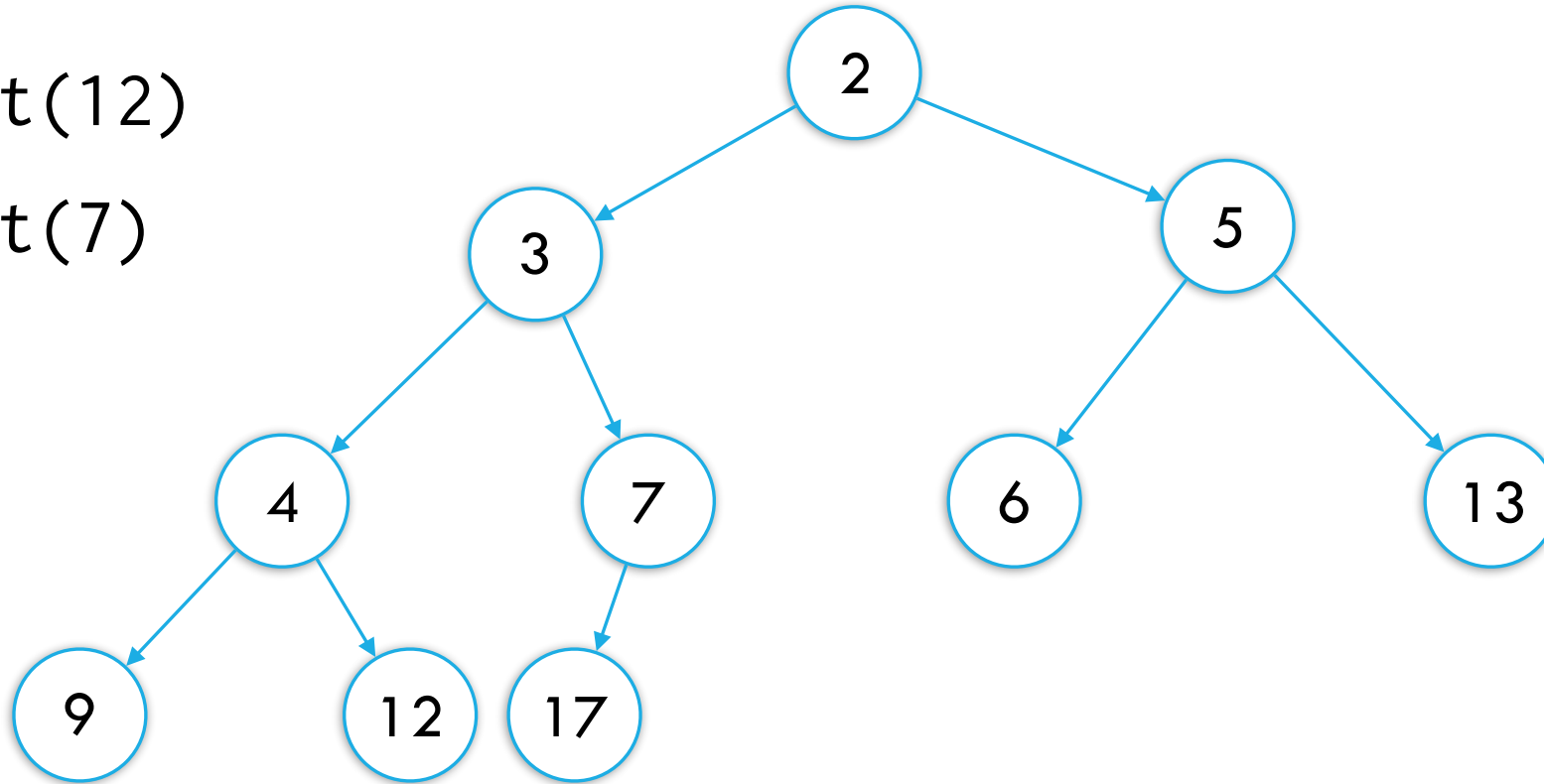


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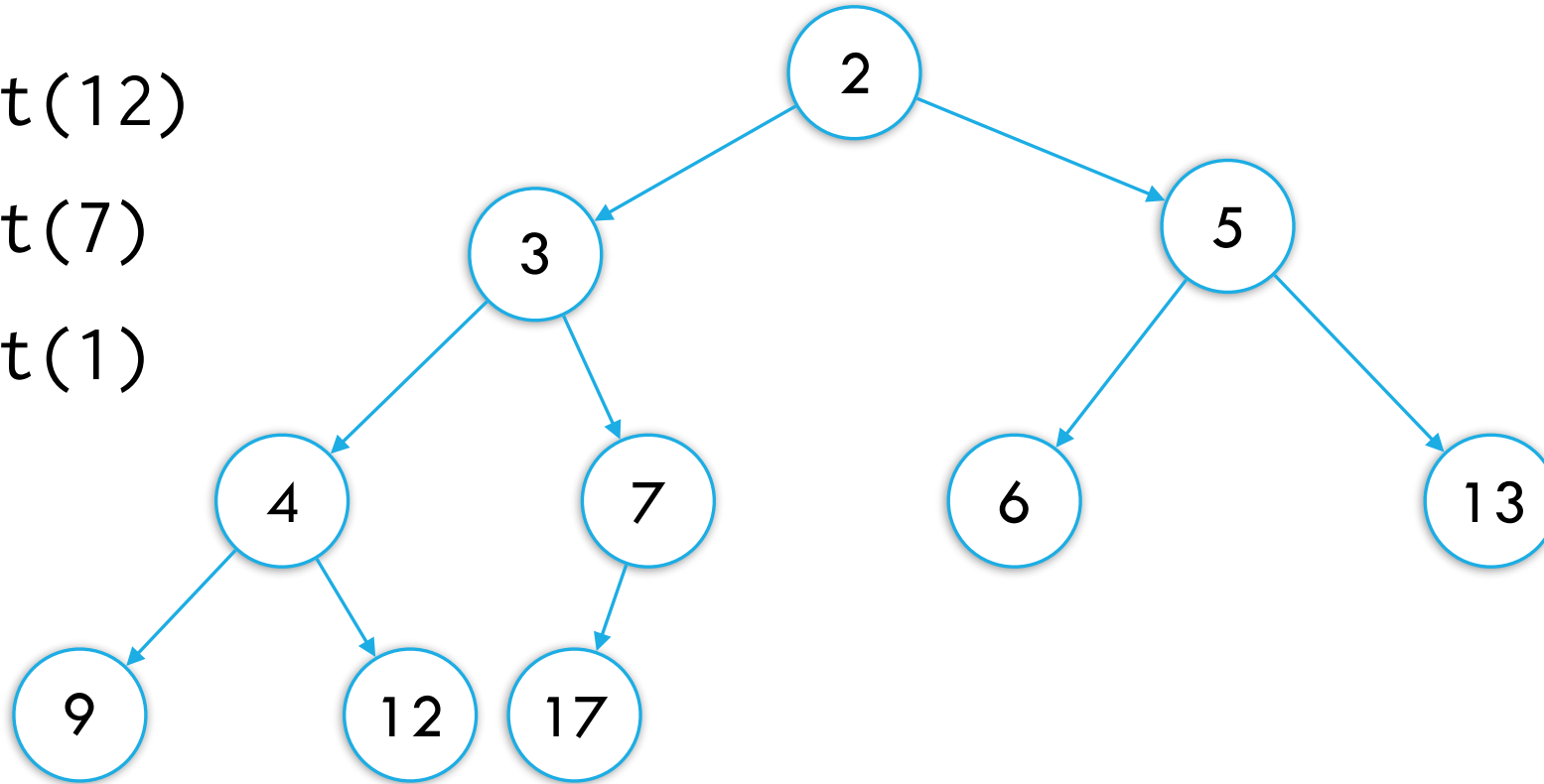


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`insert(1)`



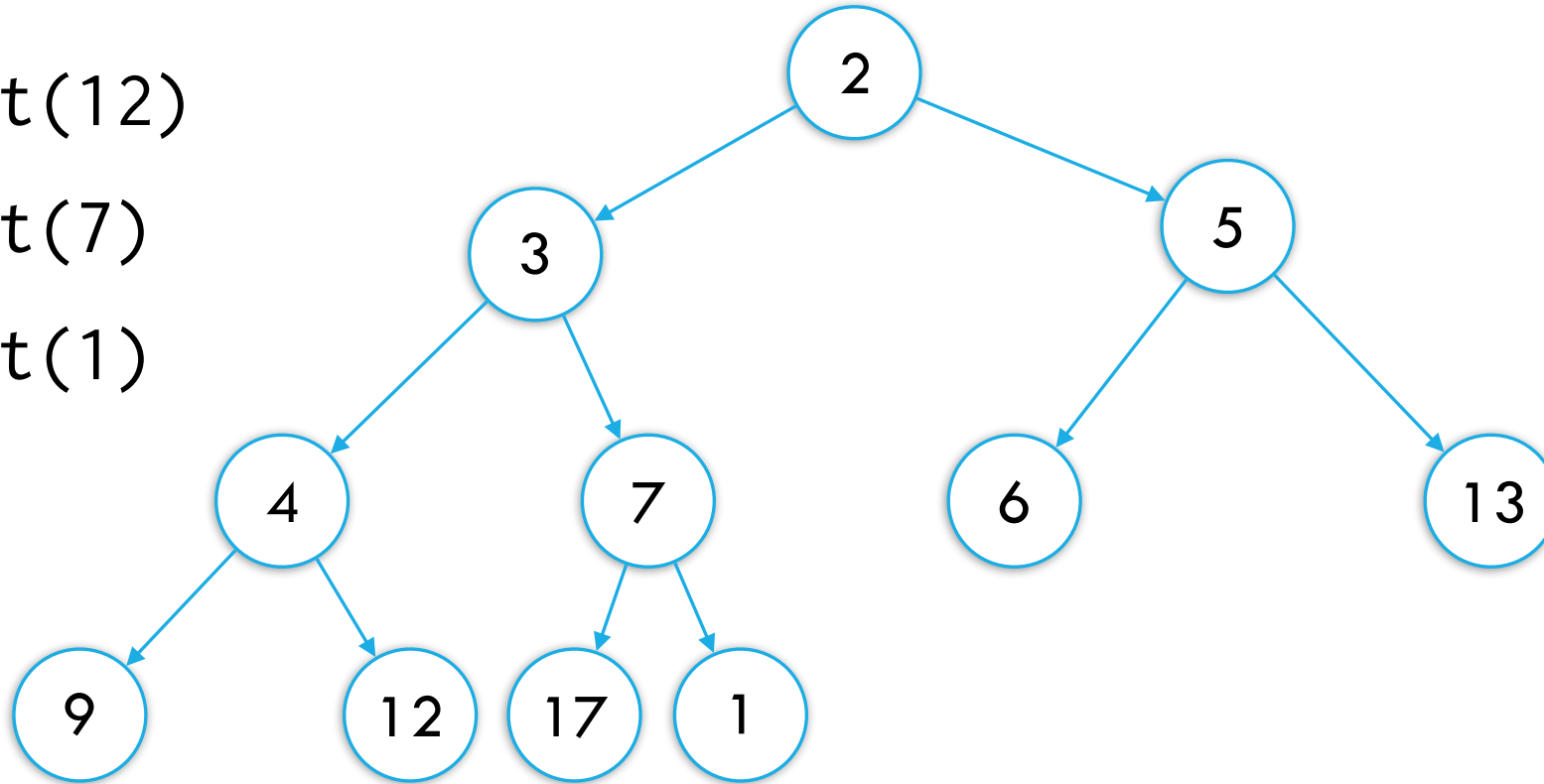


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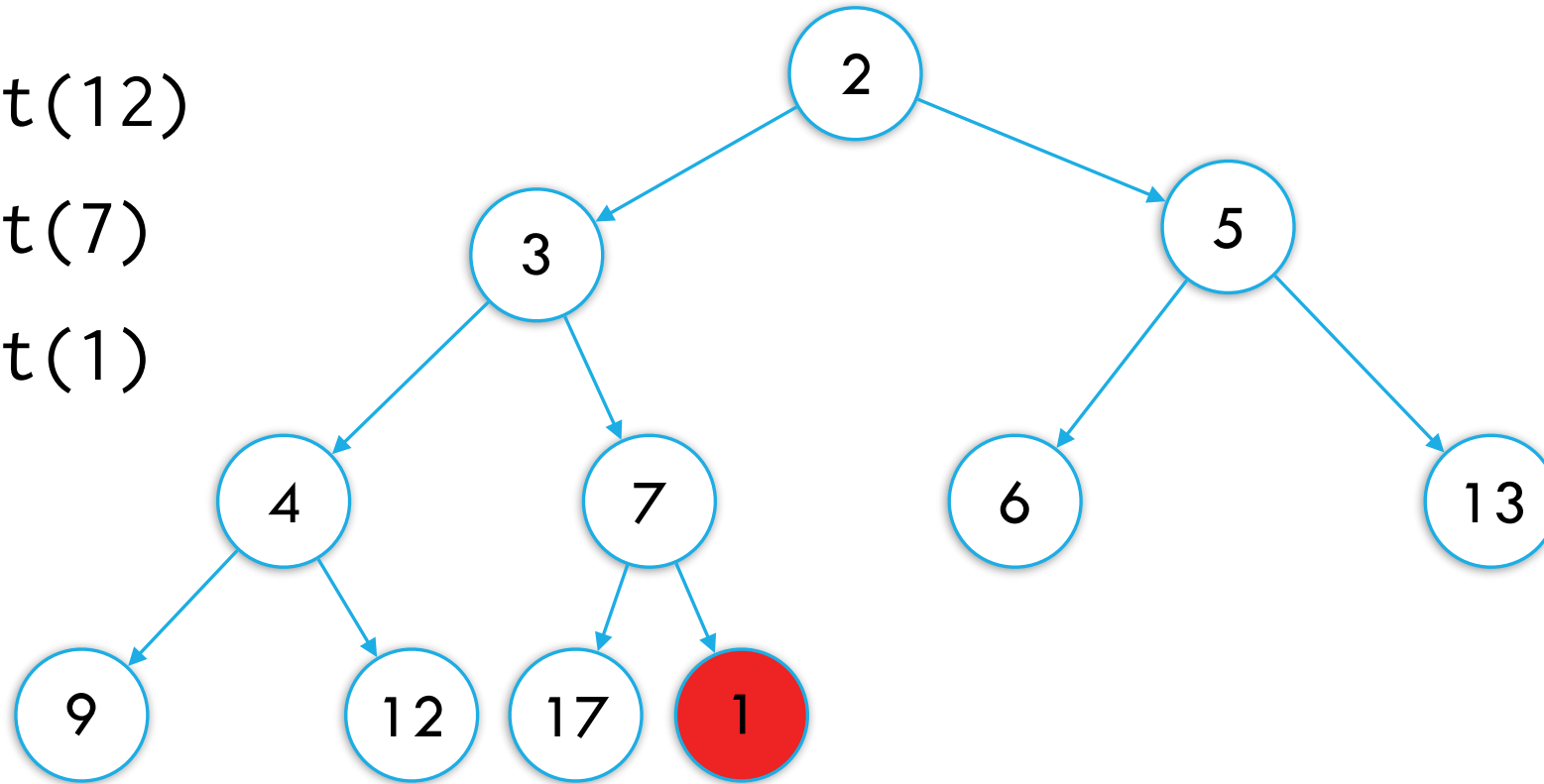


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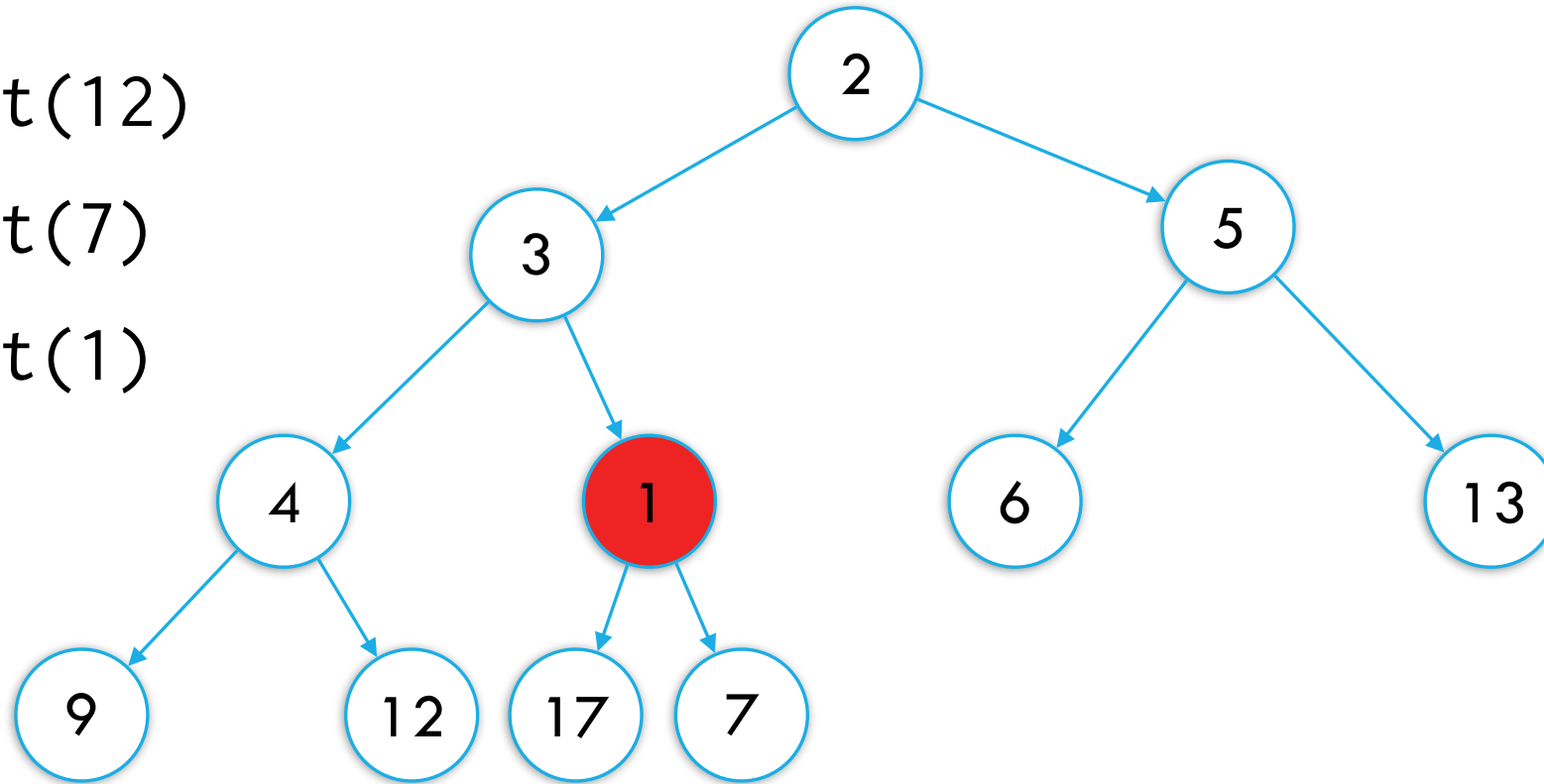
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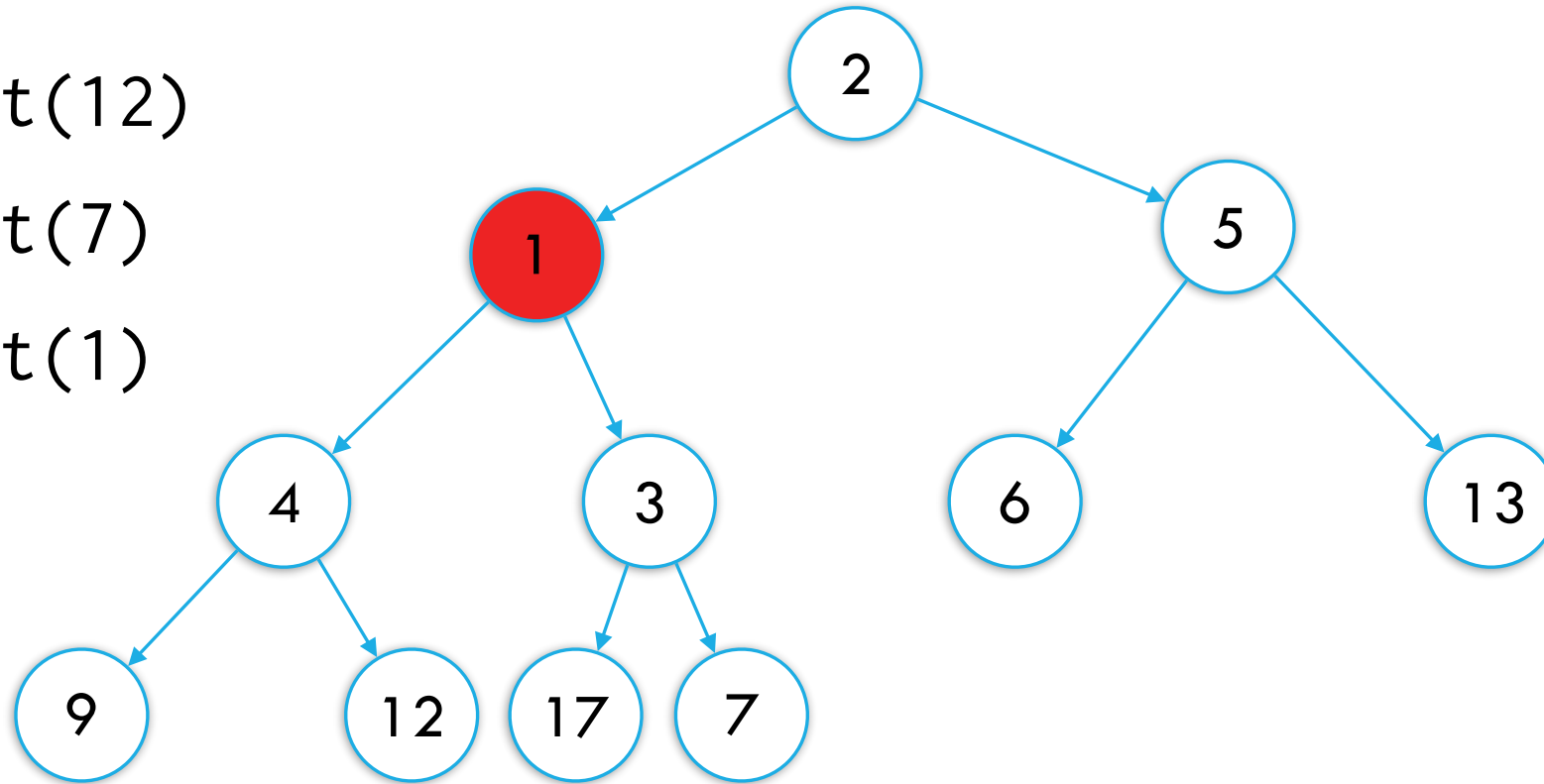
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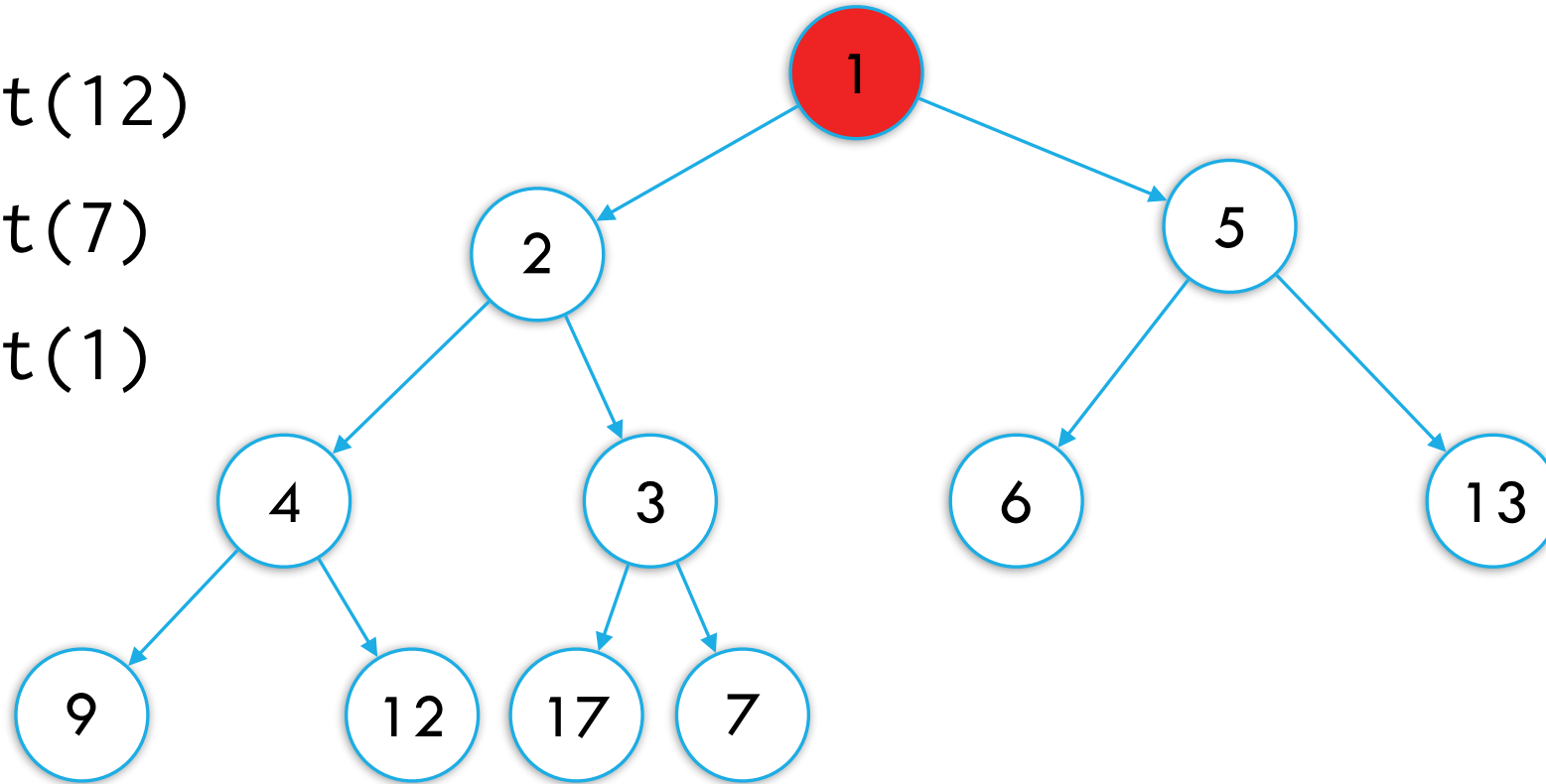
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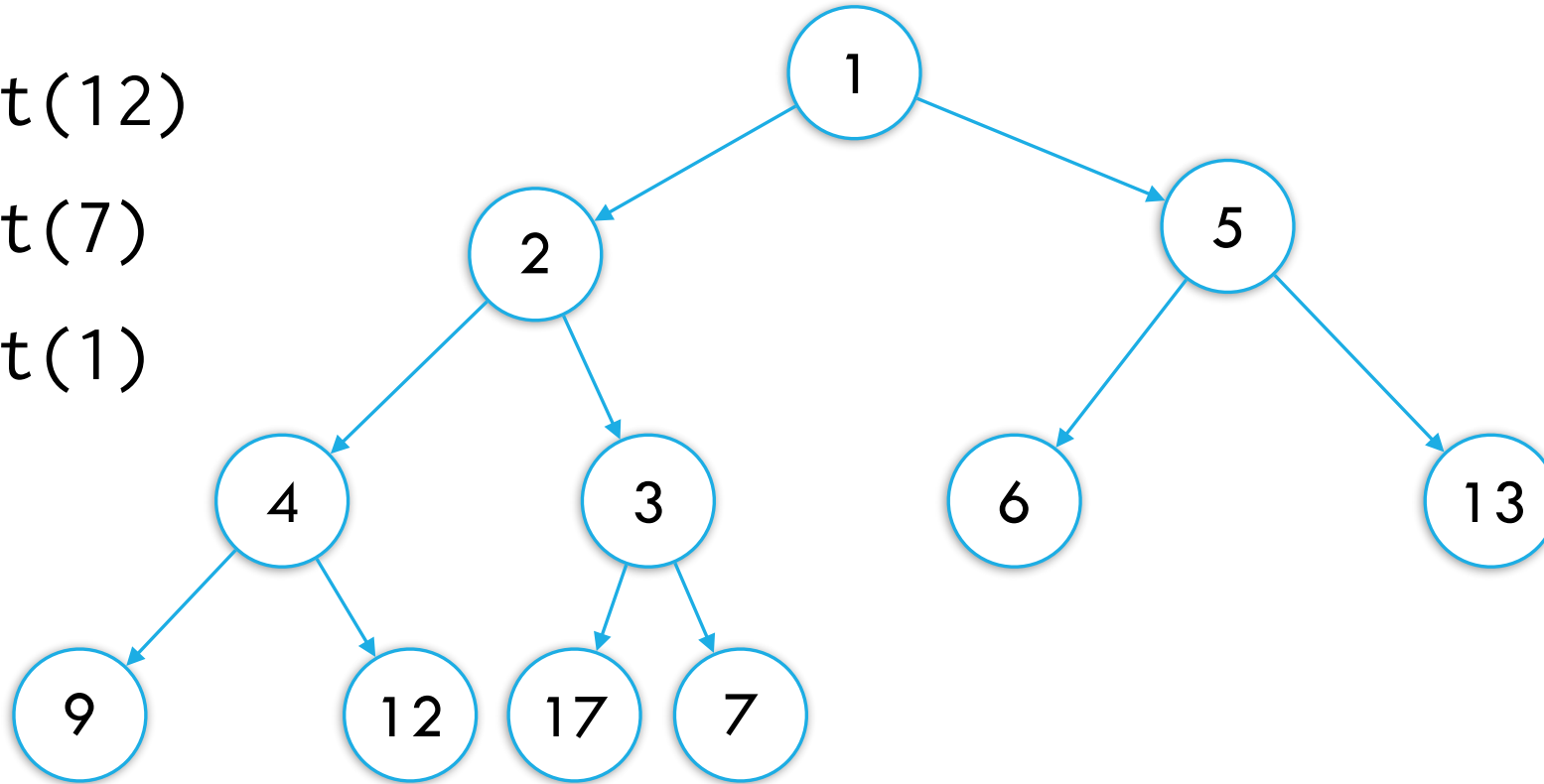
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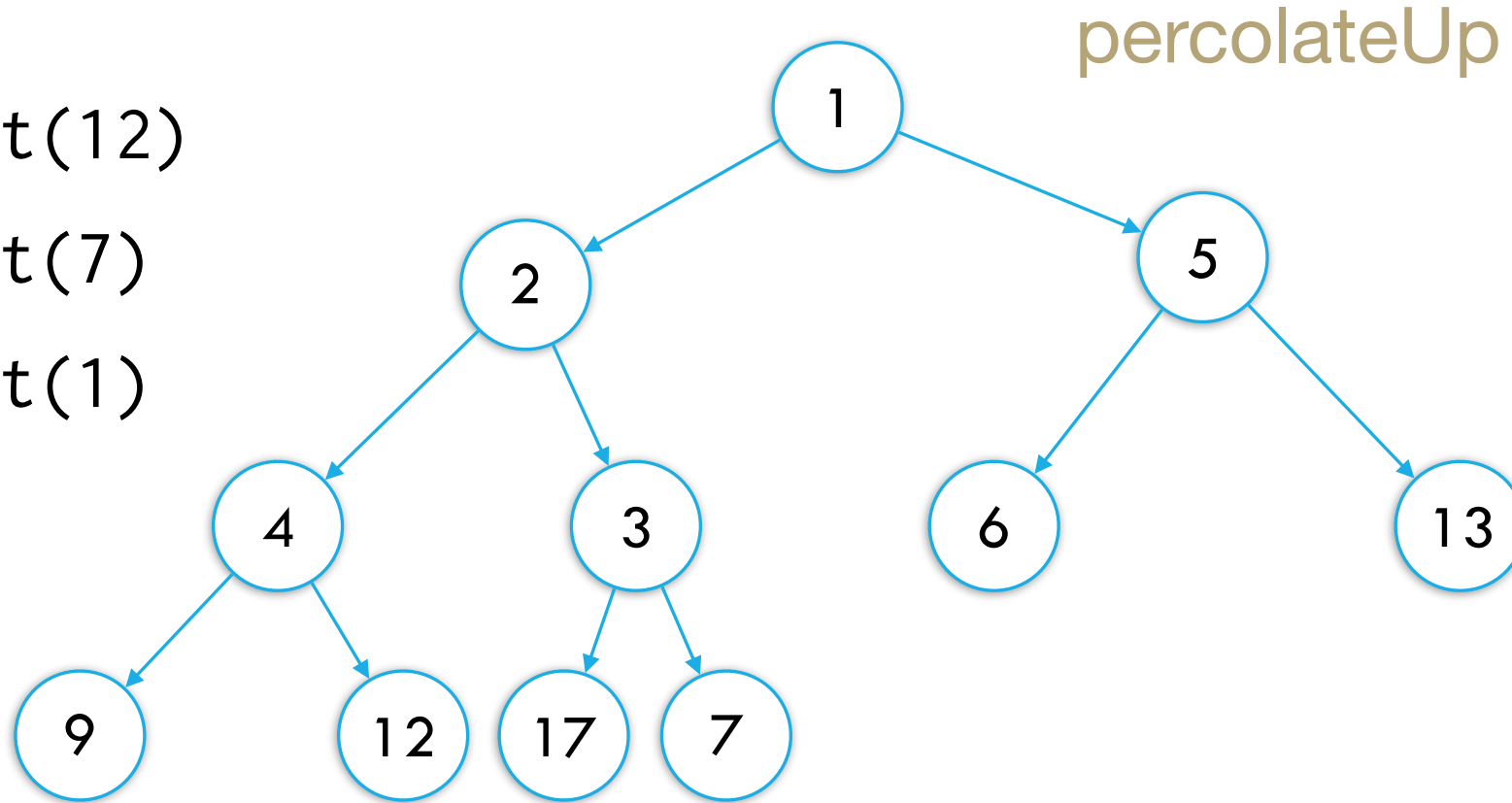


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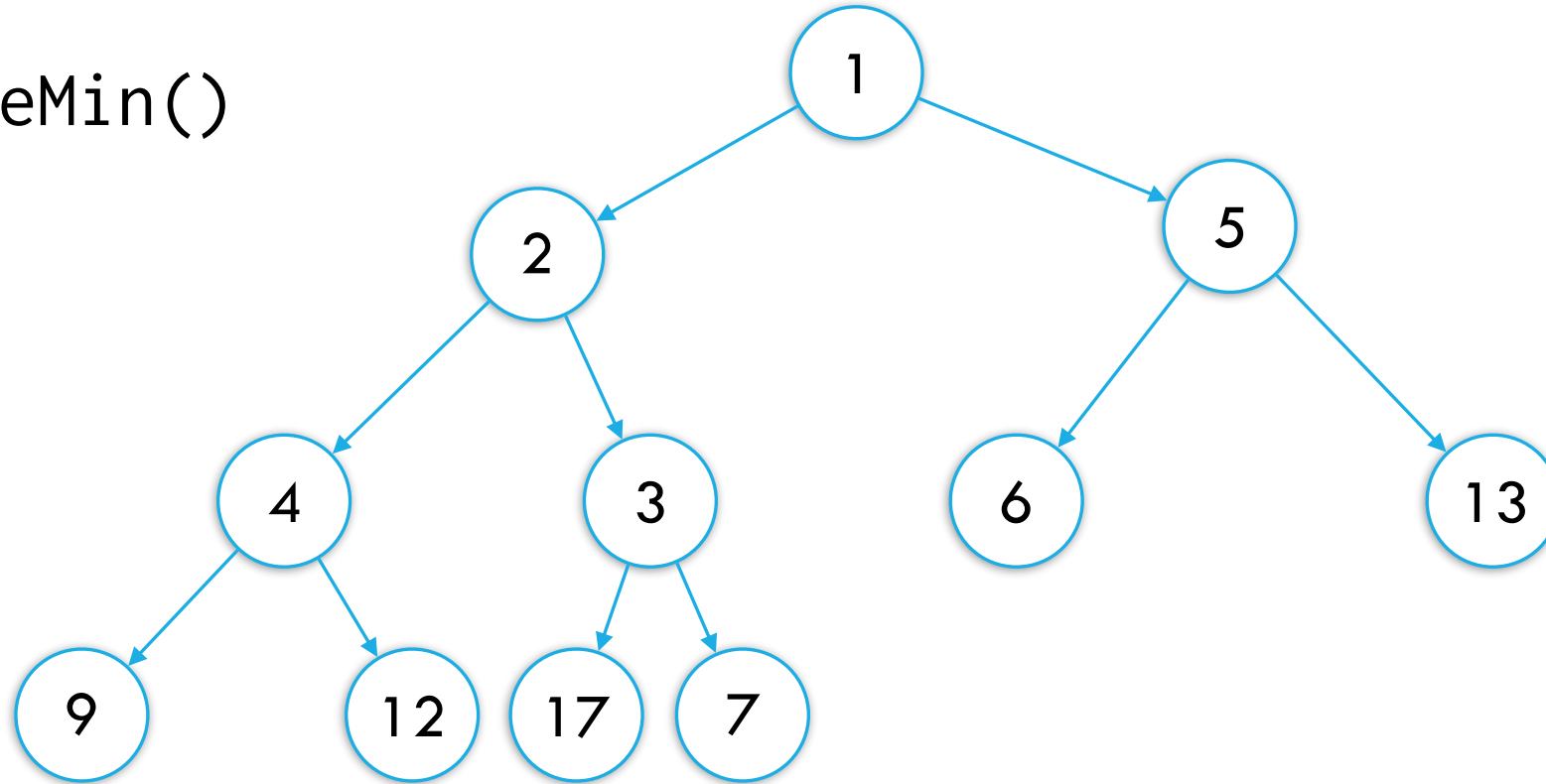
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# Binary heap removeMin

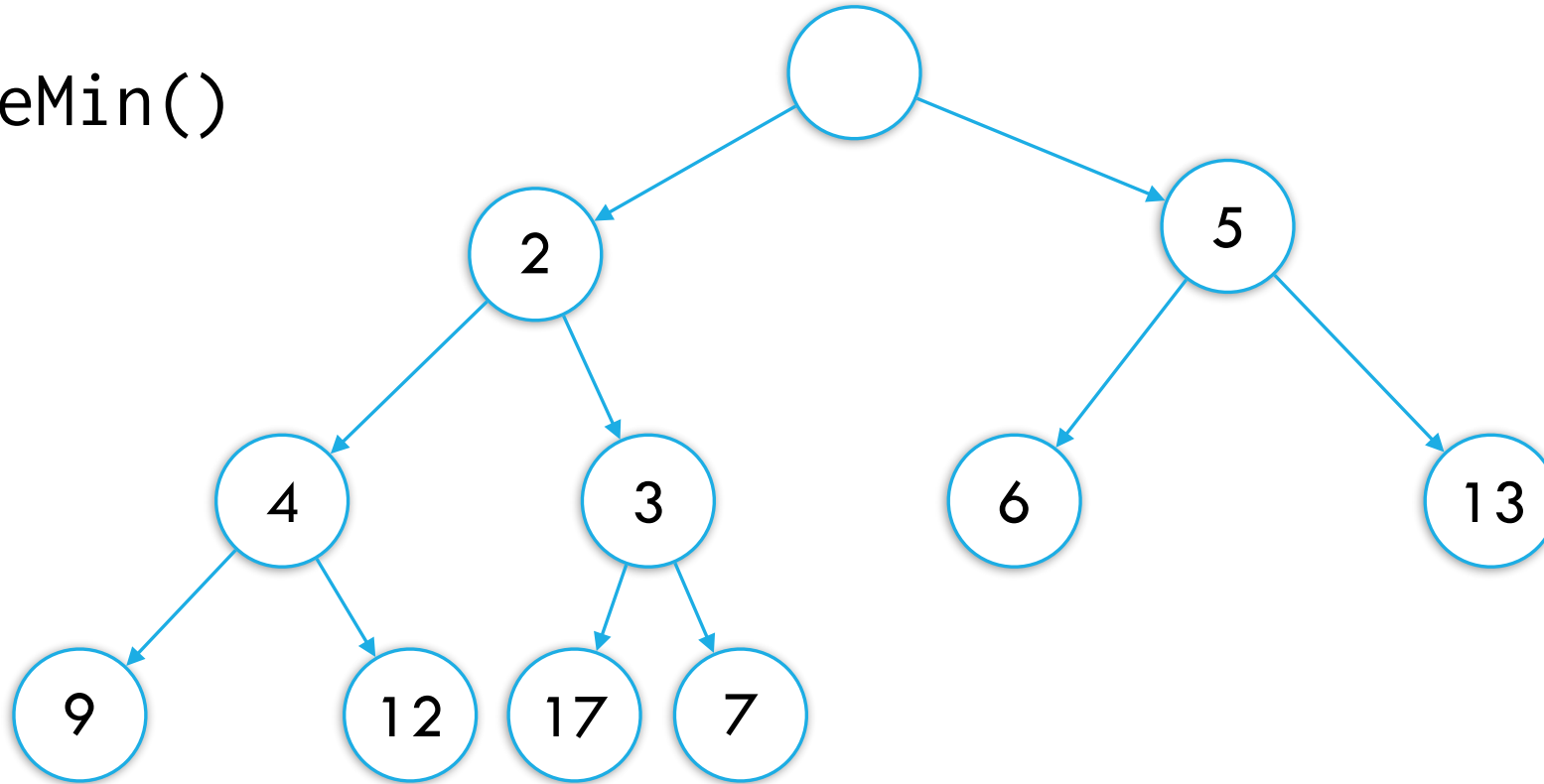
removeMin()





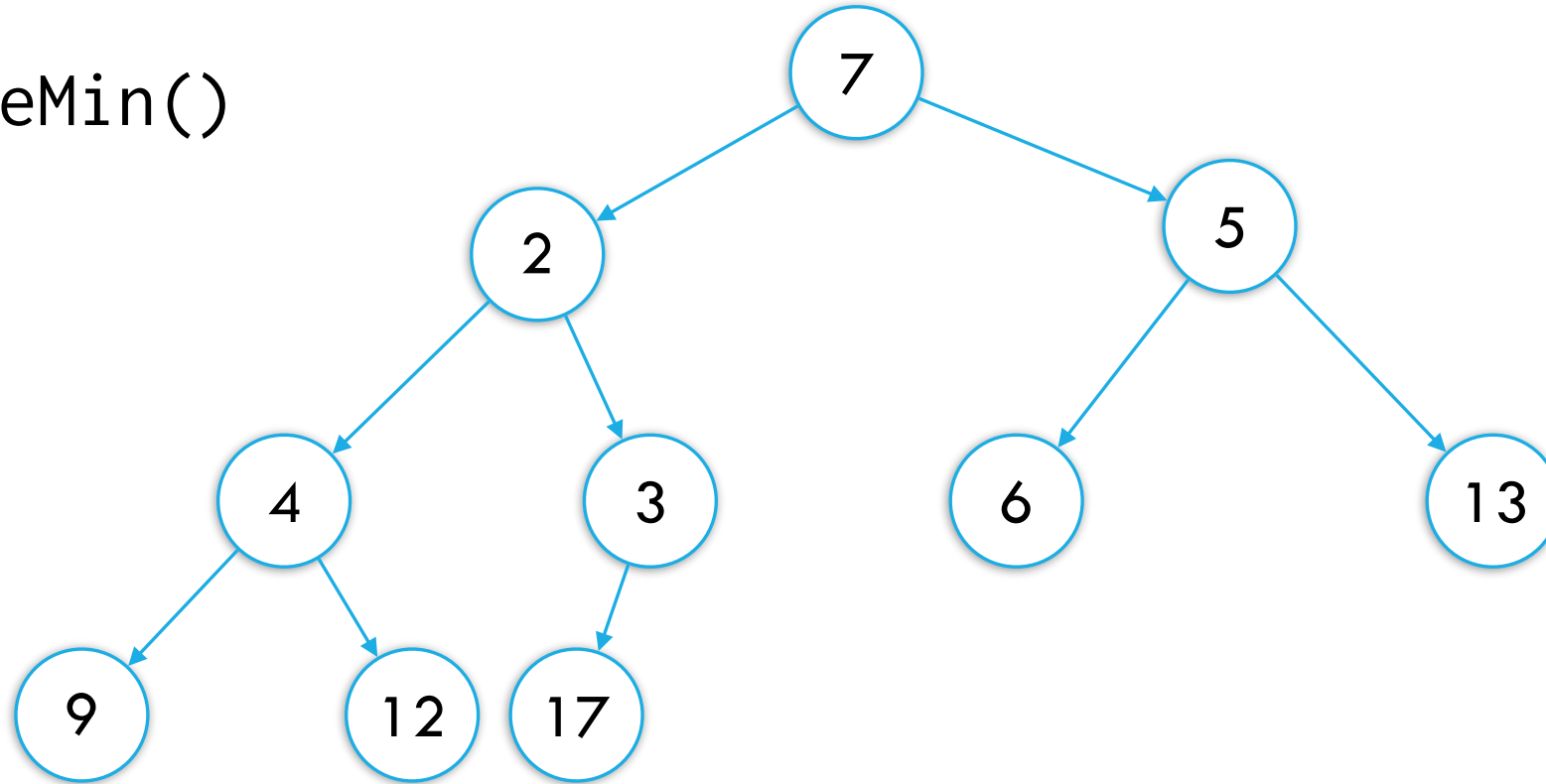
# Binary heap removeMin

removeMin()



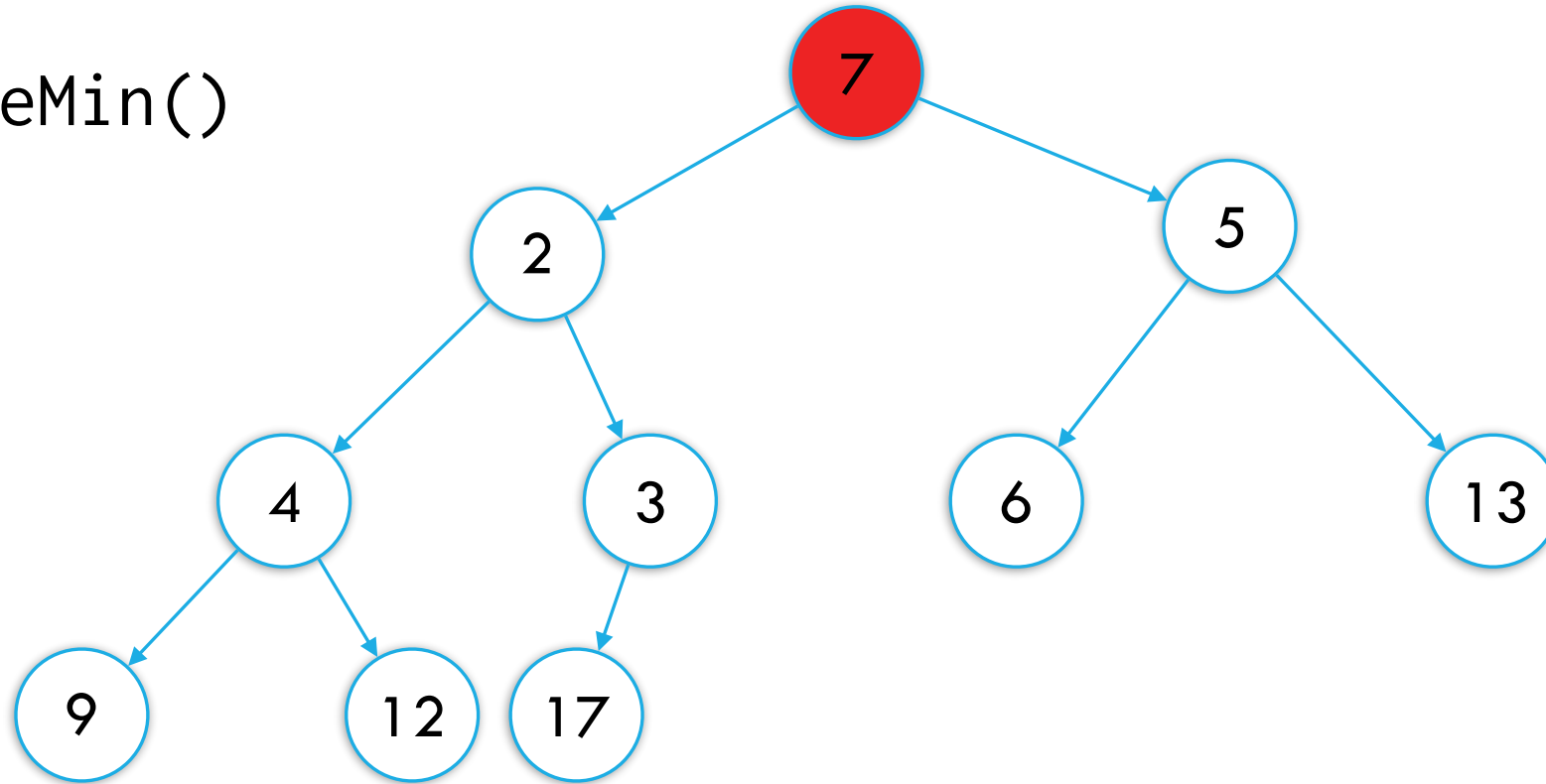
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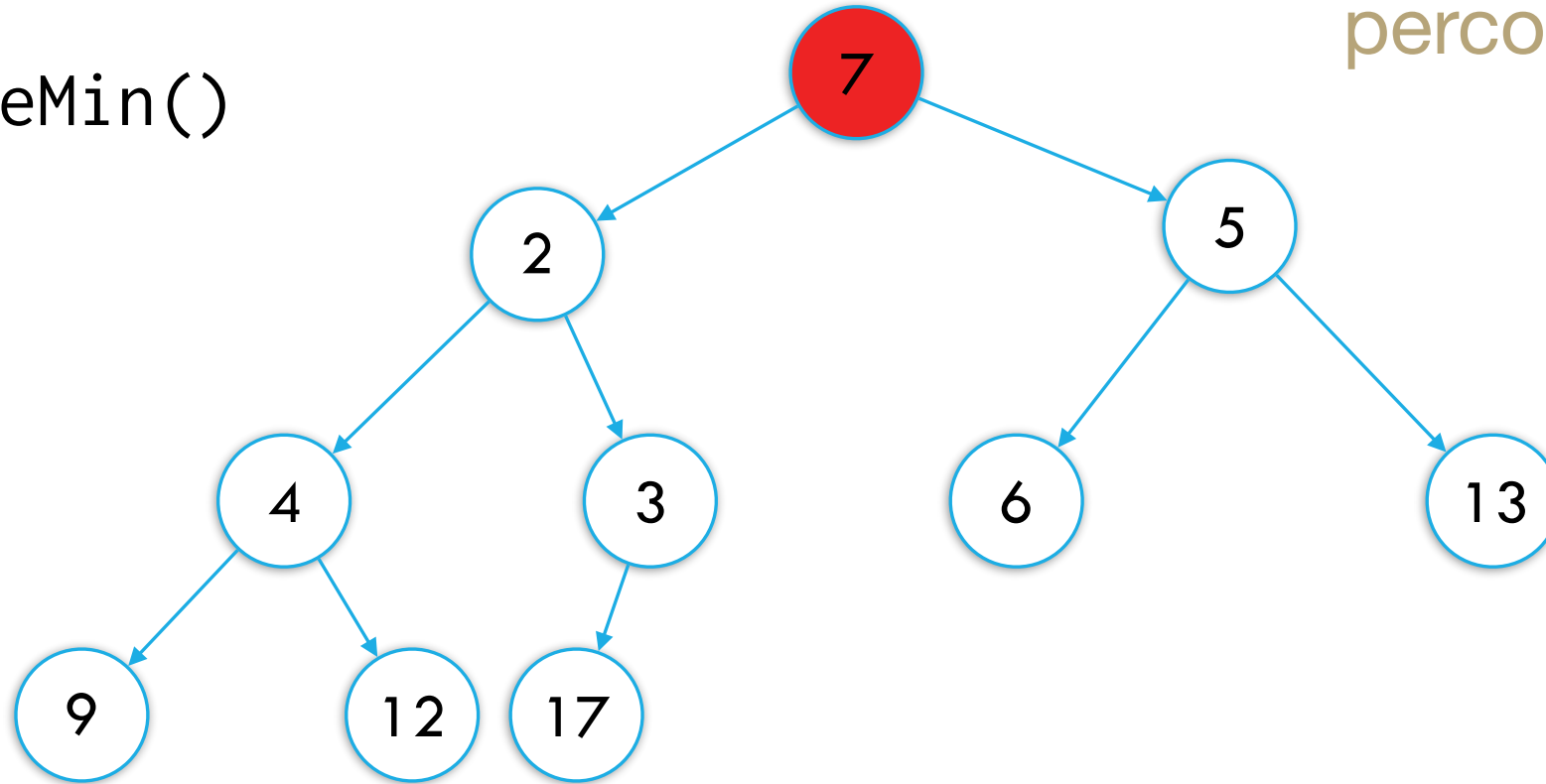


Heap broken

# Binary heap removeMin

removeMin()

percolateDown

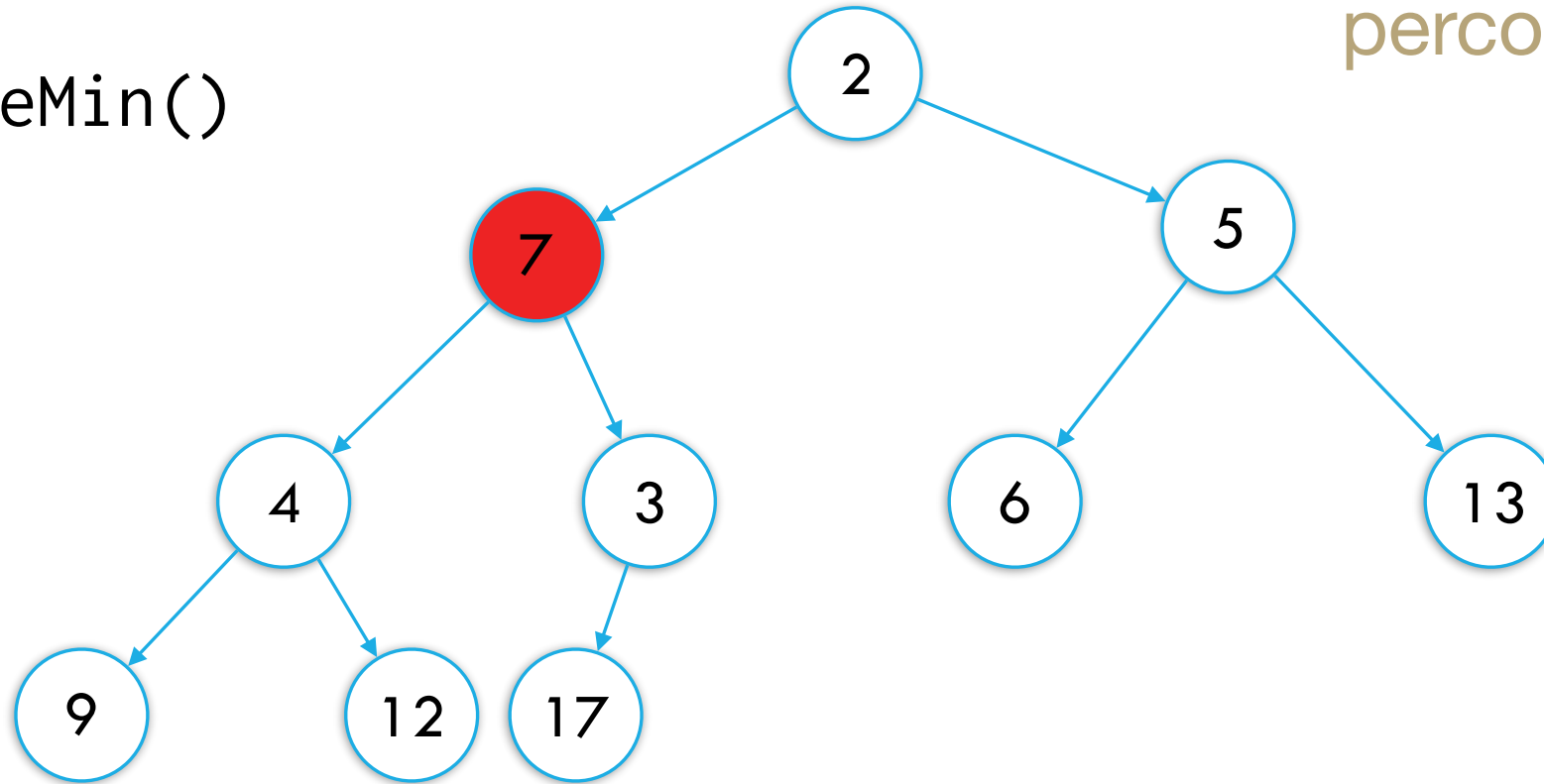


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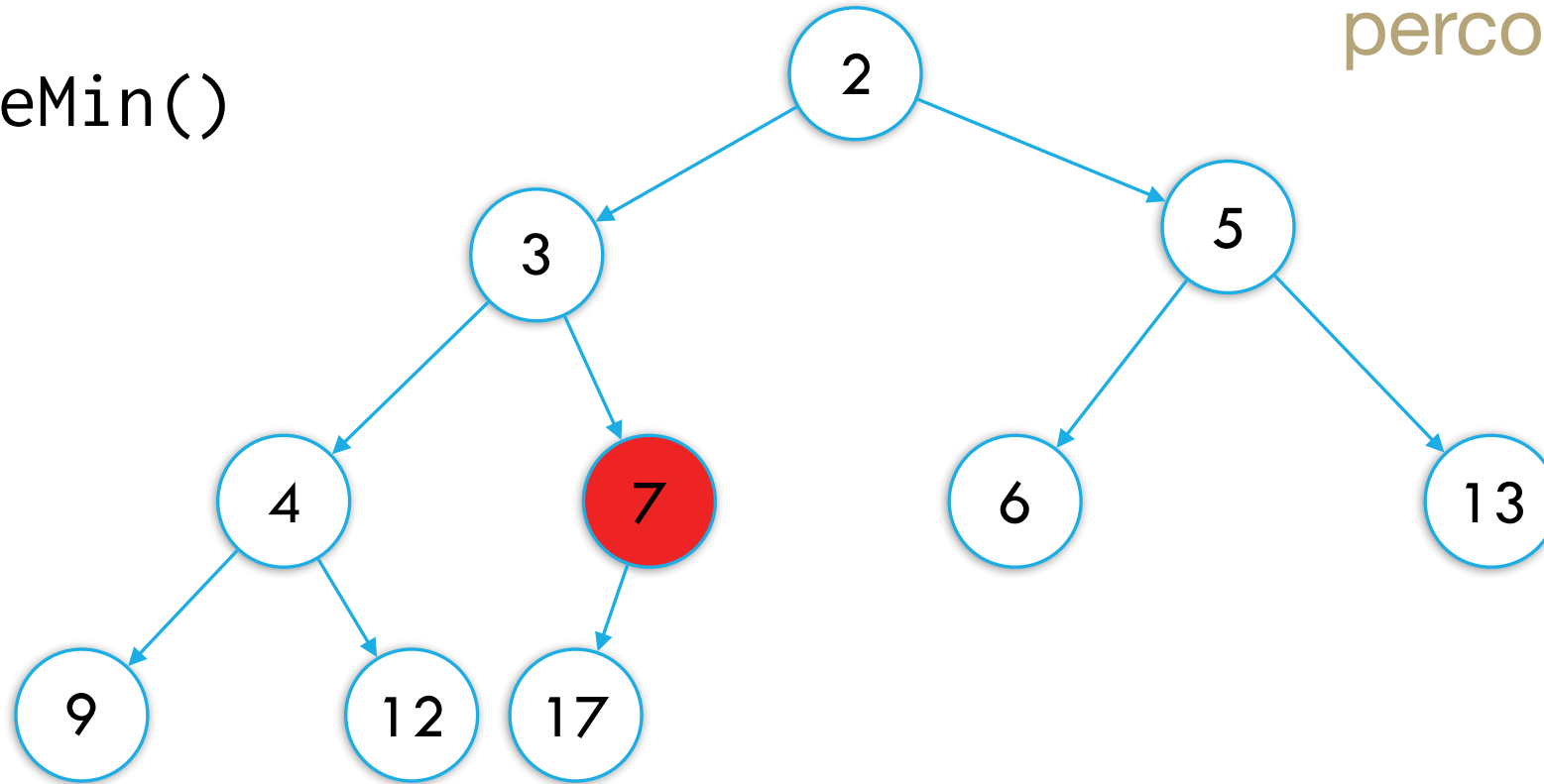


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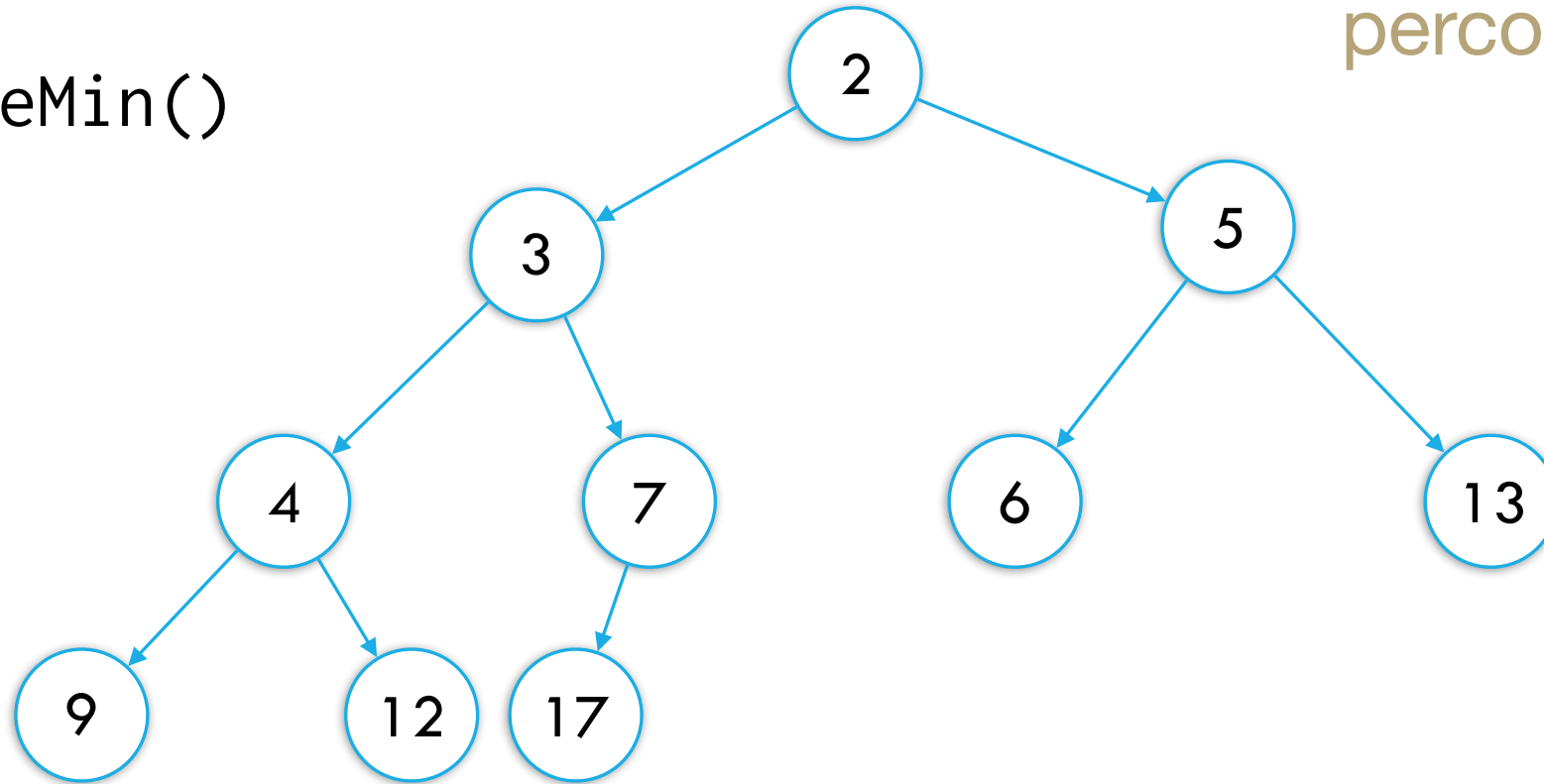


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# Binary heap: removeMin() runTime



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$\text{findLastNodeTime} + \text{removeRootTime} + \text{numOfSwaps} * \text{swapTime}$

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$$n + 1 + \log(n) * 1 = O(n)$$

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How can we do better?

# Binary heap: removeMin() runTime

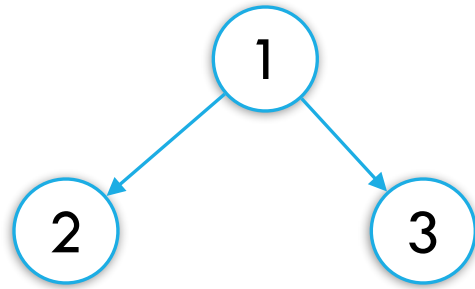
findLastNodeTime + removeRootTime + numOfSwaps \* swapTime

$$n + 1 + \log(n) * 1 = O(n)$$

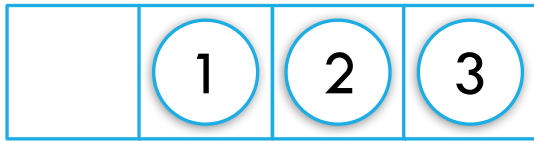
How can we do better?

What do we make efficient in the above expression?

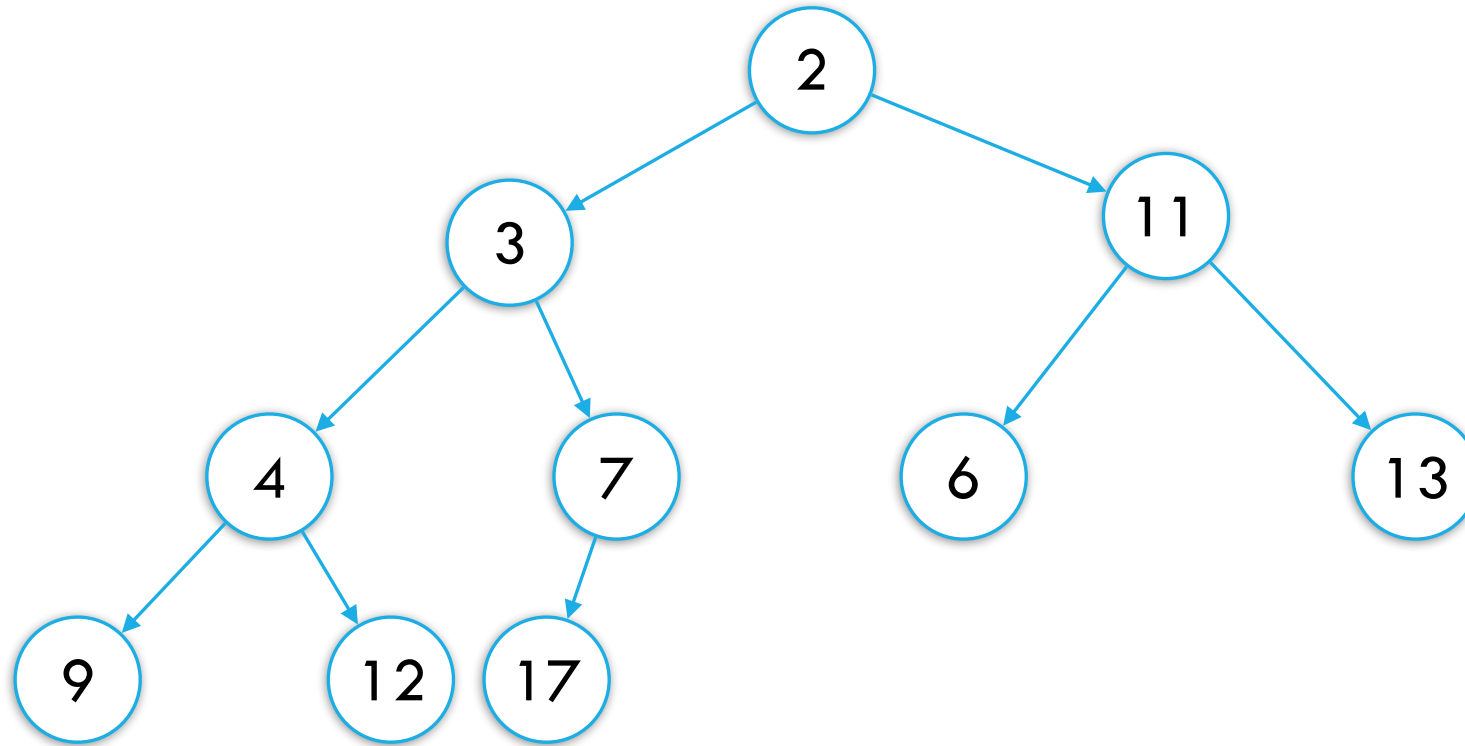
# Array implementation of a complete binary tree



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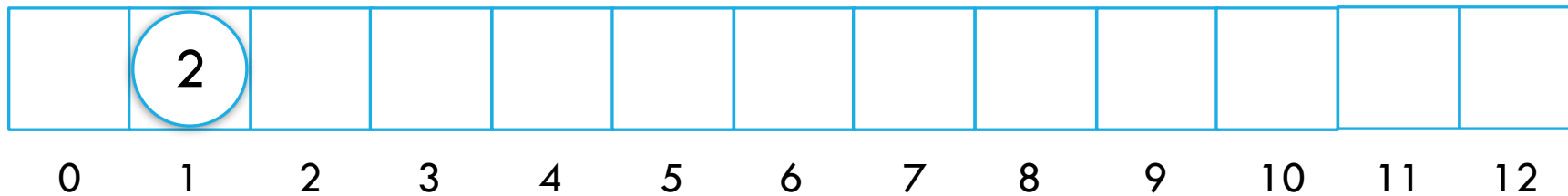
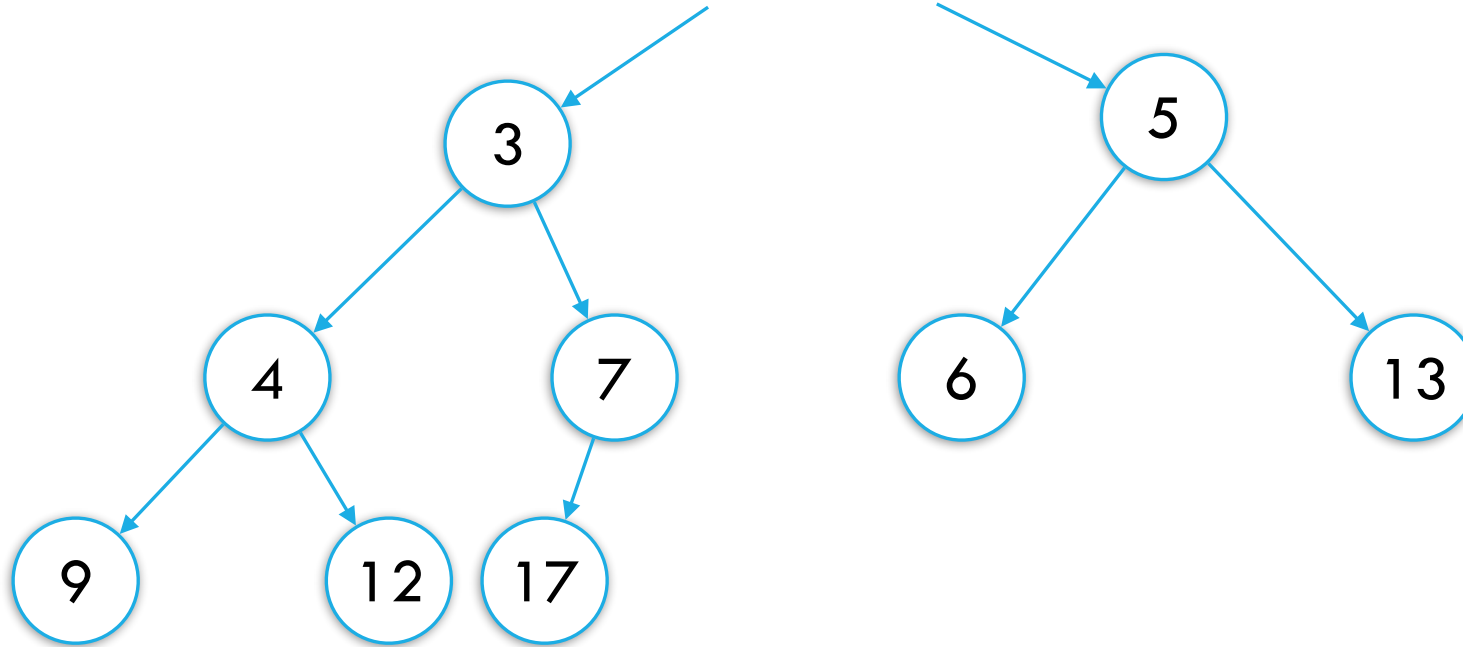
# Binary heap: Array implementation



Indices

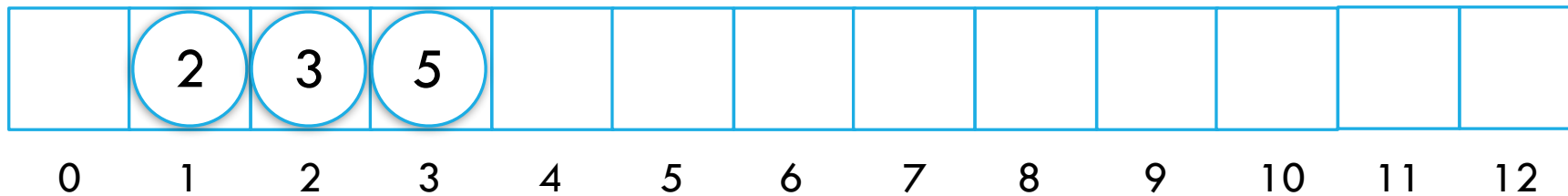
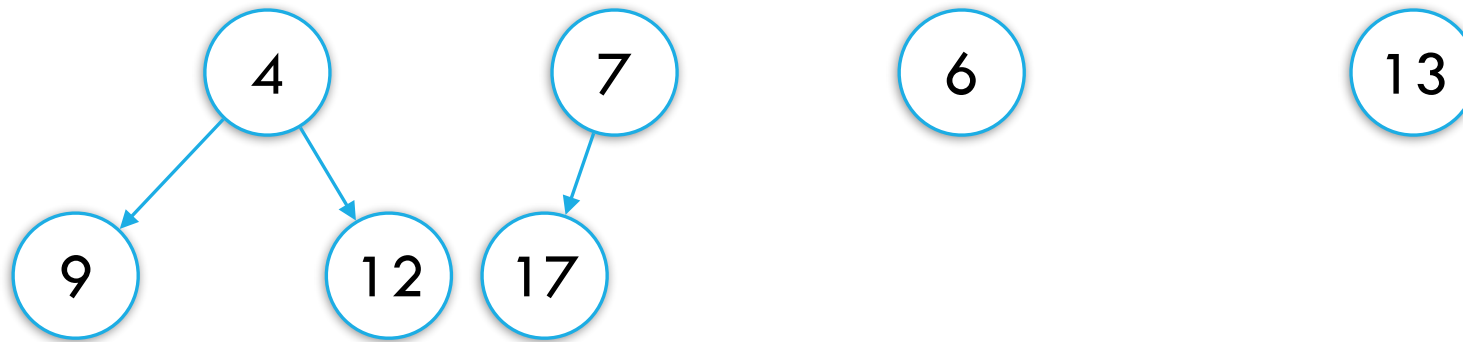
0 1 2 3 4 5 6 7 8 9 10 11 12

# Binary heap: Array implementation

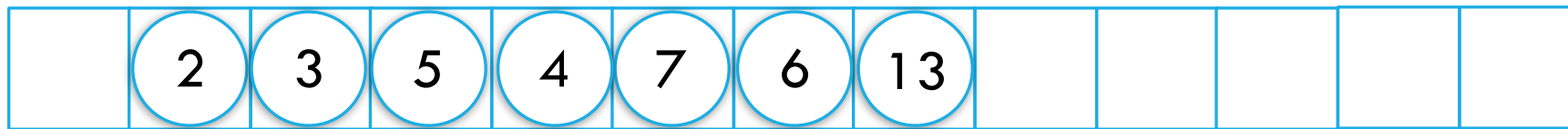




# Binary heap: Array implementation



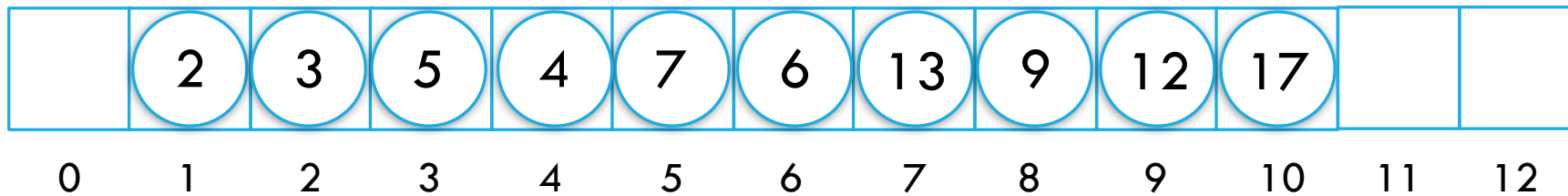
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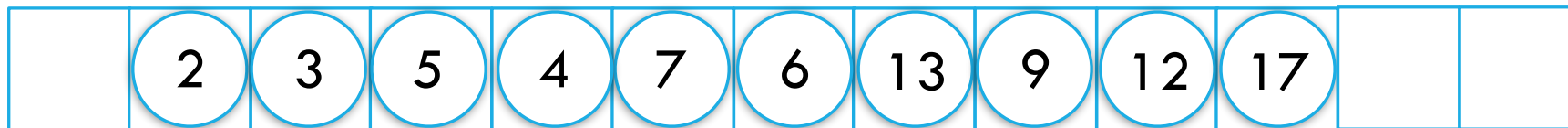


# Binary heap: Array implementation

$\text{leftChild}(i) = 2i$

$\text{rightChild}(i) = 2i + 1$

$\text{parent}(i) = i / 2$



Indices

0 1 2 3 4 5 6 7 8 9 10 11 12