

CSE 373

APRIL 19TH – AVL OPERATIONS

ASSORTED MINUTIAE

- **HW2 code grades out tonight**
- **HW3 due tonight**
 - Last HW before midterm
- **Exam review**
 - Next Wednesday (in class)
 - Options for TA review session out tonight

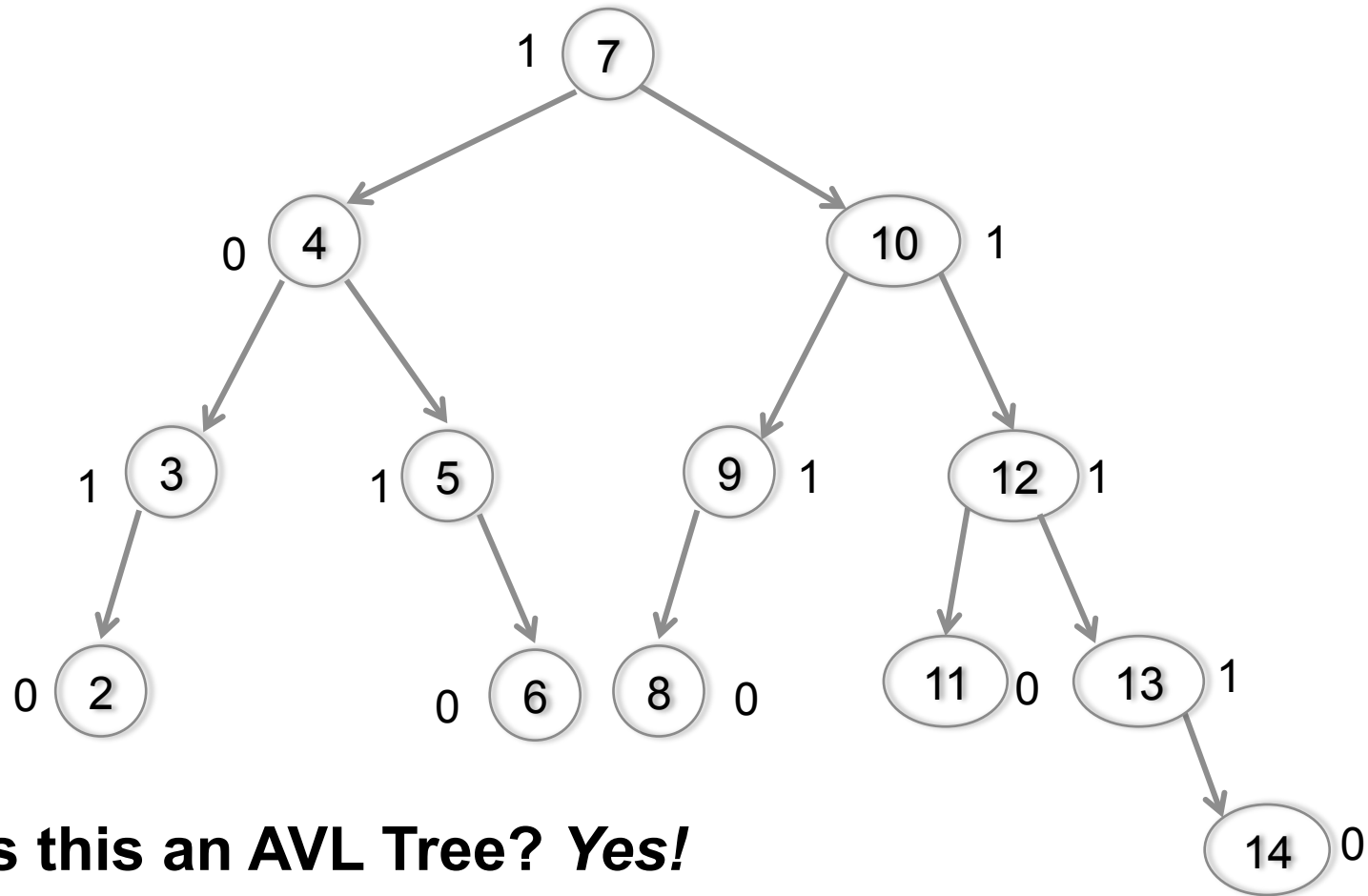
TODAY'S LECTURE

- **AVL Trees**
 - Balance
 - Implementation
- **Memory analysis**
 - Will discuss after AVL on Friday

REVIEW

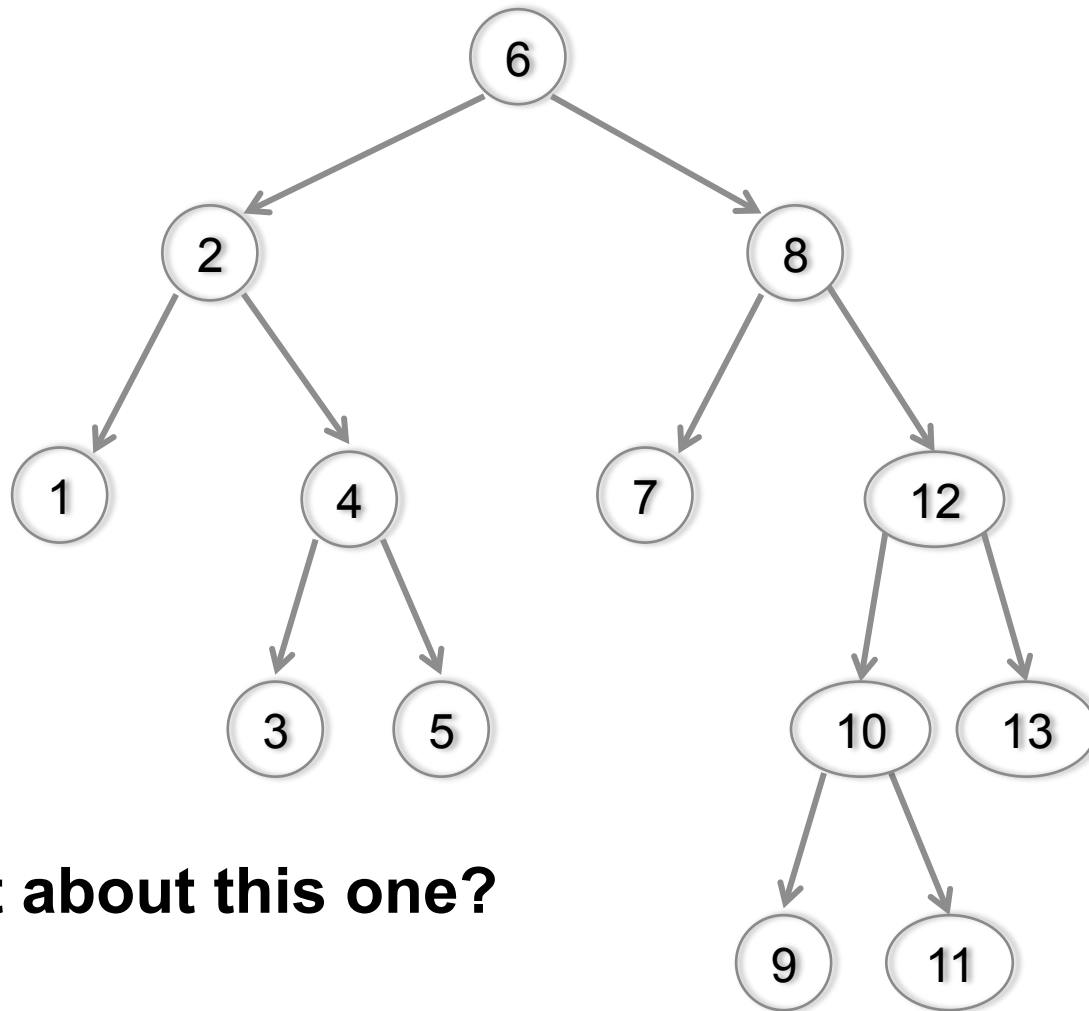
- **AVL Trees**
 - BST trees with AVL property
 - $\text{Abs}(\text{height}(\text{left}) - \text{height}(\text{right})) \leq 1$
 - Heights of subtrees can differ by at most one
 - This property must be preserved throughout the tree

REVIEW



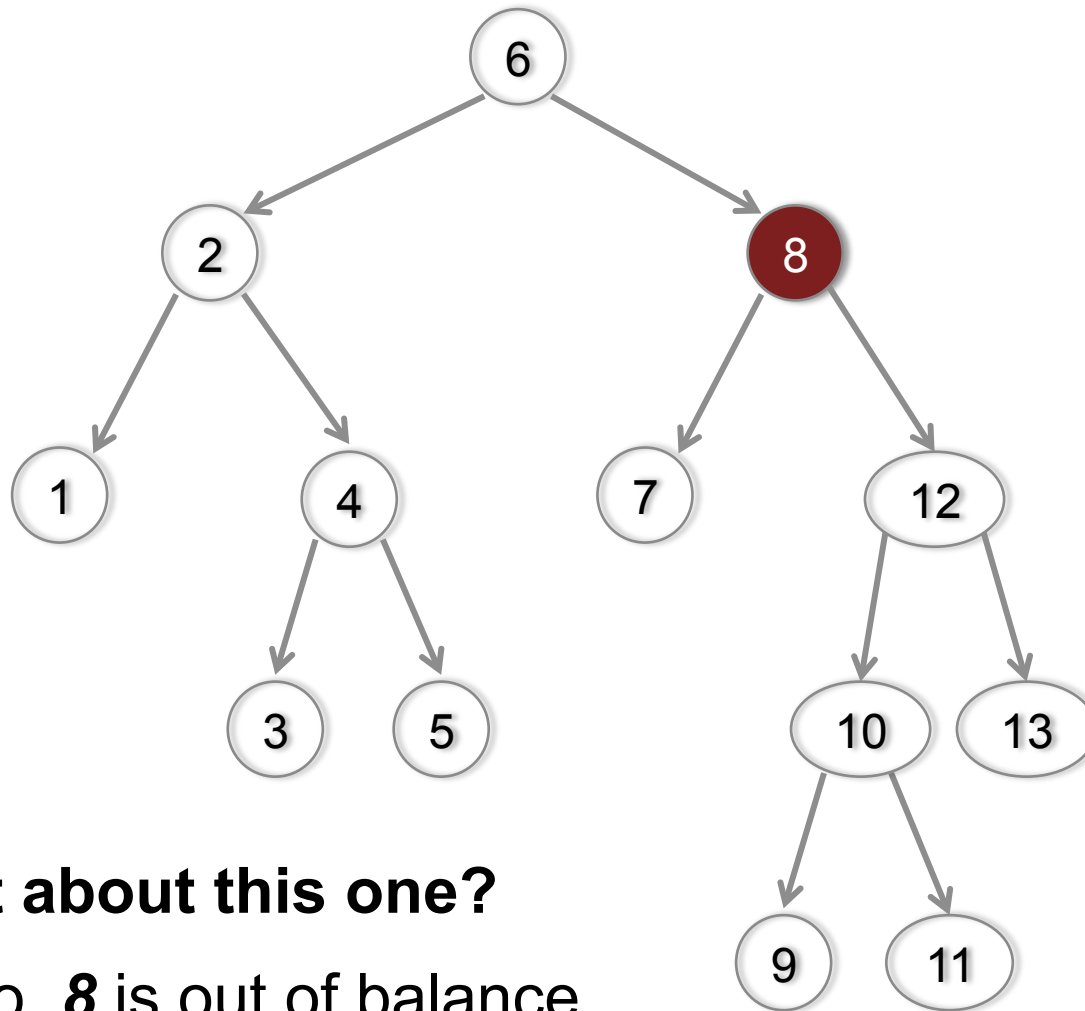
- **Is this an AVL Tree? Yes!**
 - Calculate balance for each node

REVIEW



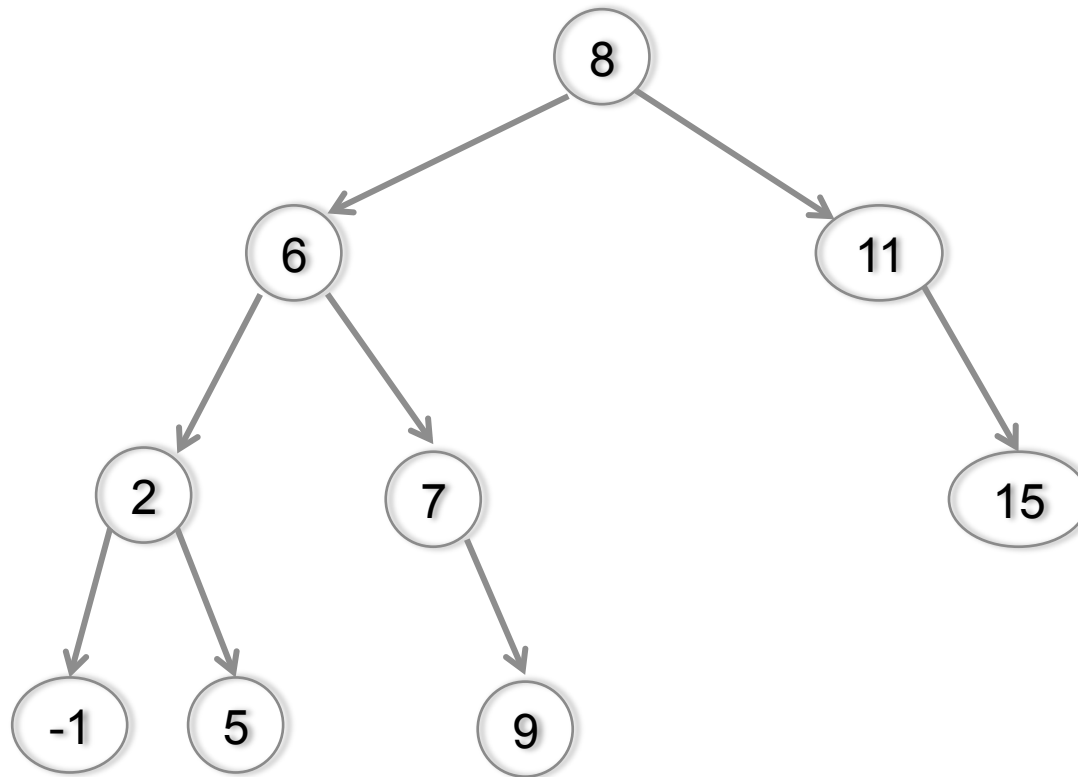
- What about this one?

REVIEW



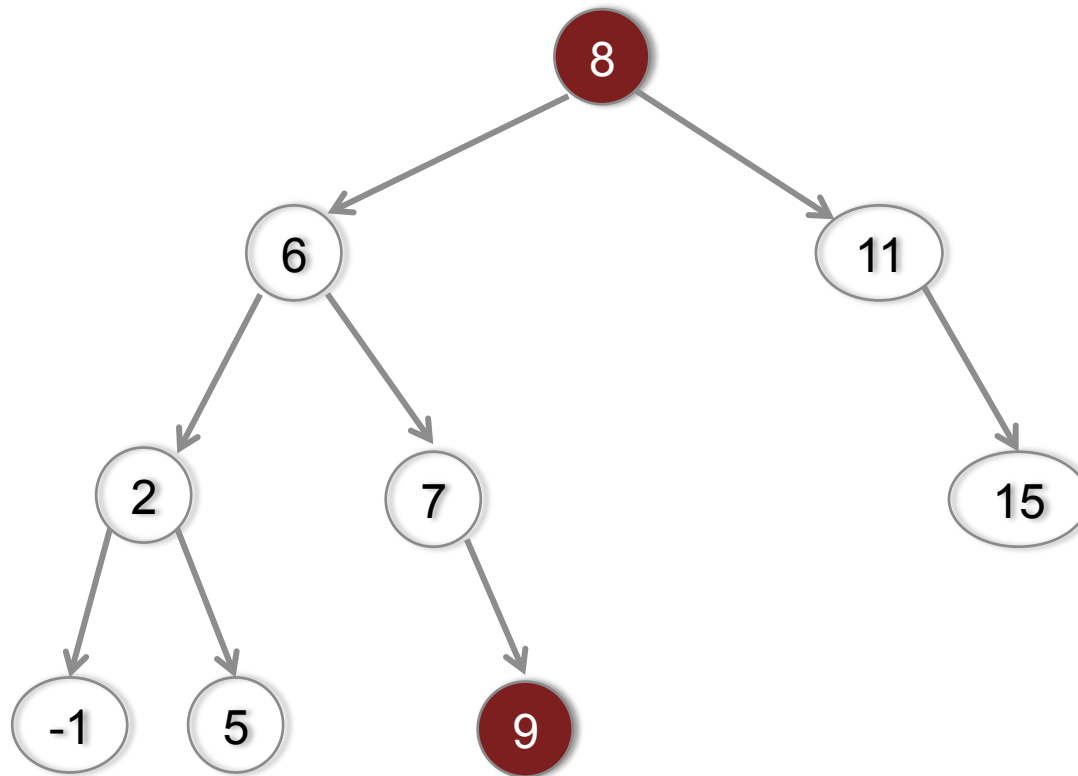
- **What about this one?**
 - No, **8** is out of balance

REVIEW



- Is this an AVL Tree?

REVIEW



- **Is this an AVL Tree?**
 - No, AVL trees must still maintain Binary Search

AVL OPERATIONS

- **Since AVL trees are also BST trees, they should support the same functionality**
 - Insert(key k , value v)
 - *Find(key k): Same as BST!*
 - *Delete(key k): Not presented in this course*
- **For insert, we should maintain AVL property as we build**

AVL OPERATIONS

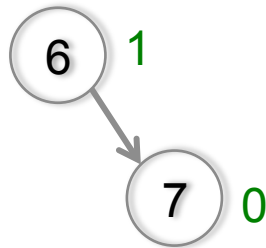
- **Insert(key k, value v):**
 - Insert the key value pair into the dictionary
 - Verify that balance is maintained
 - If not, correct the tree
- **How do we correct the tree?**

AVL INSERT



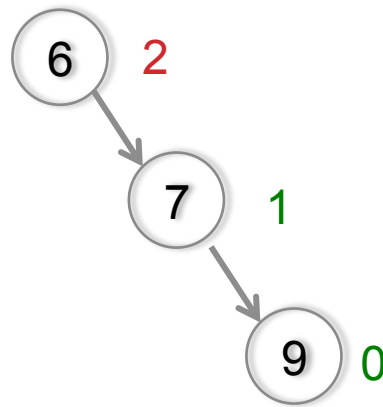
- **Start with the single root**

AVL INSERT



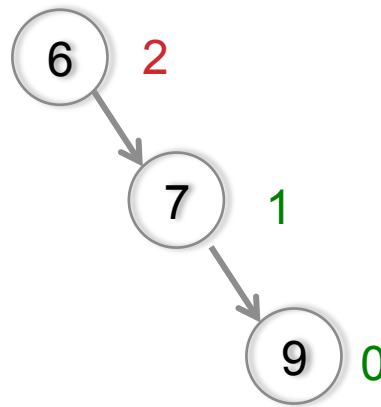
- **Add 7 to the tree. Is balance preserved?**
 - Yes

AVL INSERT



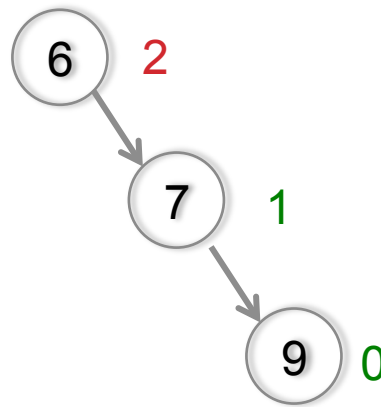
- **Add 9 to the tree. Is balance preserved?**
 - No.

AVL INSERT

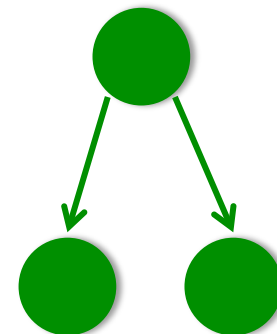


- **How do we correct this imbalance?**
 - Important to preserve binary search

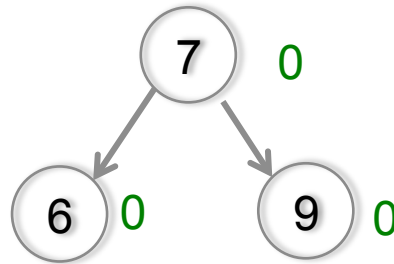
AVL INSERT



- **What shape do we want?**
 - What then do we have as the root?



AVL INSERT



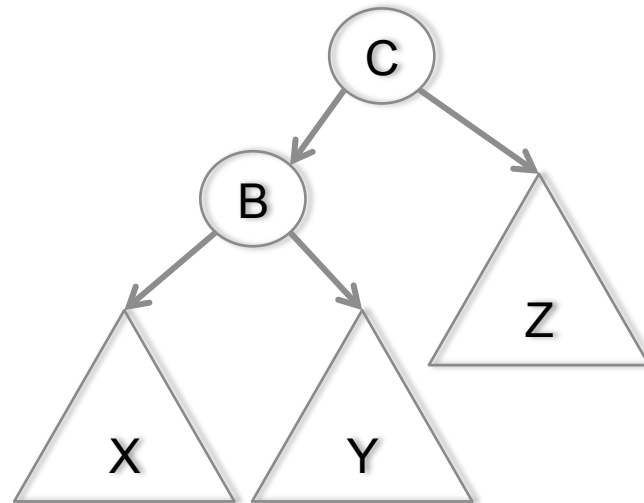
- Since 7 must be the root, we “rotate” that node into position.

AVL “ROTATION”

- **These are the “single” rotations**
 - In general, this rotation occurs when an addition is made to the right-right or left-left grandchild
 - **The balance might not be off on the parent! An insert might upset balance up the tree**

AVL “ROTATION”

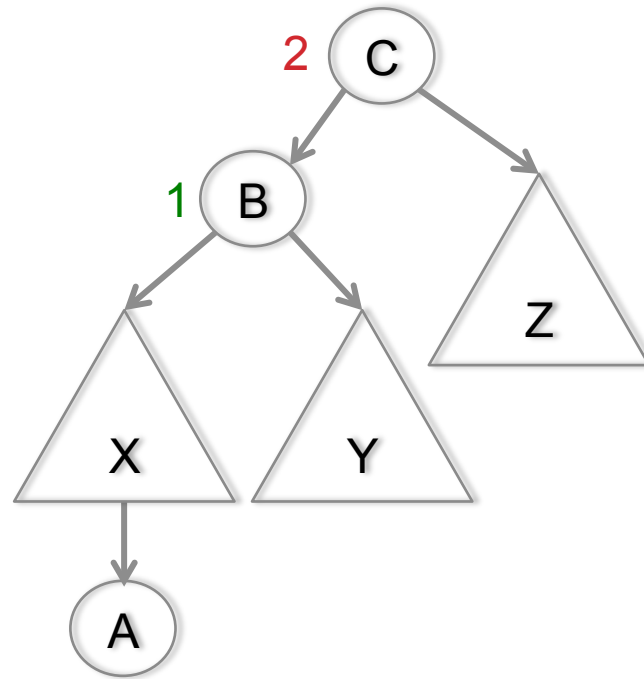
- **General case**
 - Suppose this tree is balanced, $\{X, Y, Z\}$ all have the same height



AVL “ROTATION”

- **General case**

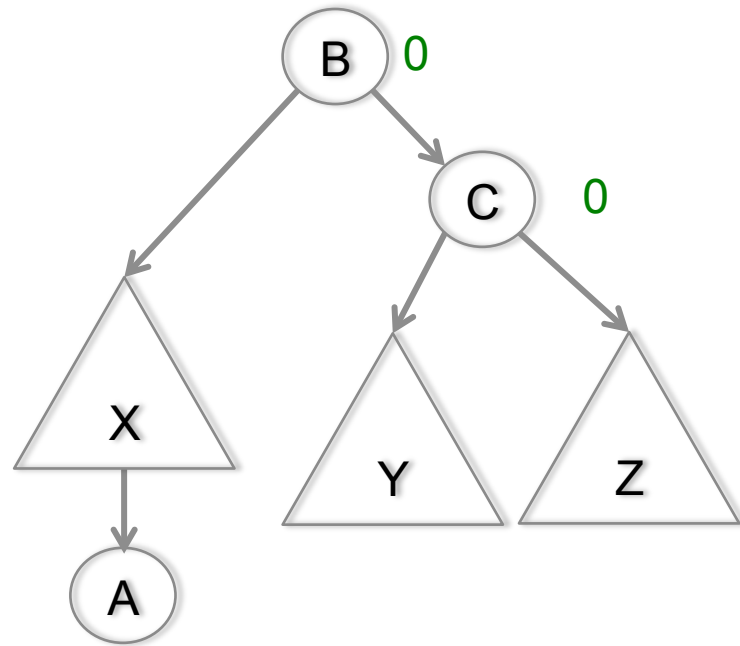
- Suppose this tree is balanced, $\{X, Y, Z\}$ all have the same height
- Adding A, puts C out of balance
- Rotate B up and pass the Y subtree to C



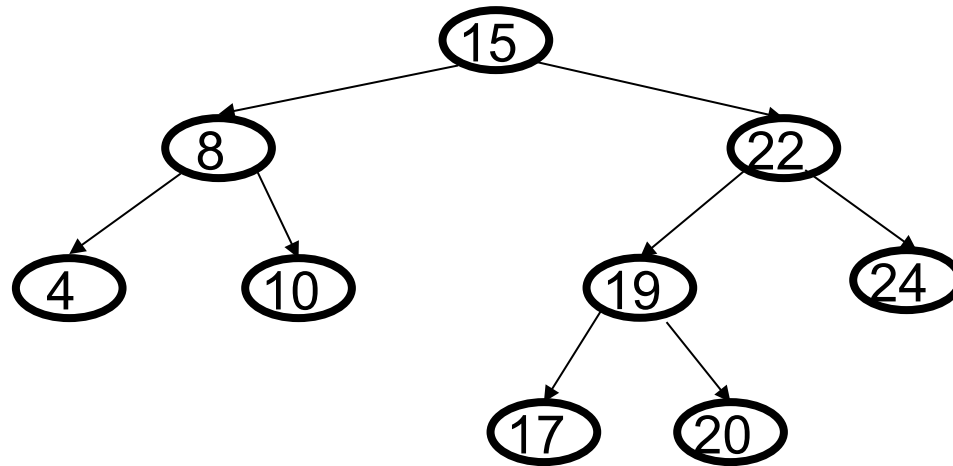
AVL “ROTATION”

- **General case**

- Suppose this tree is balanced, {X,Y,Z} all have the same height
- Adding A, puts C out of balance
- Rotate B up and pass the Y subtree to C
- **Perform this rotation at the lowest point of imbalance**

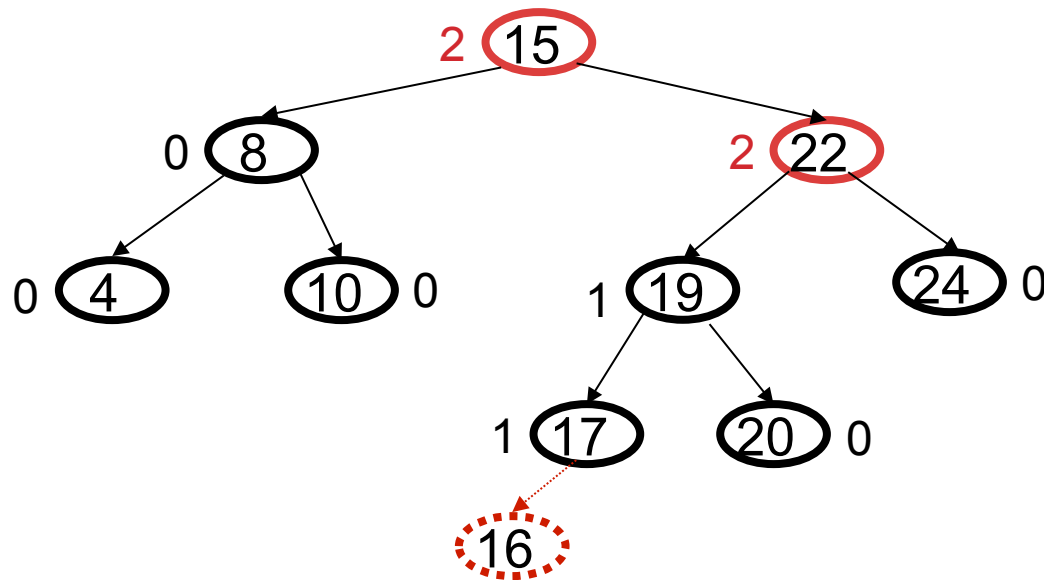


SINGLE ROTATION EXAMPLE



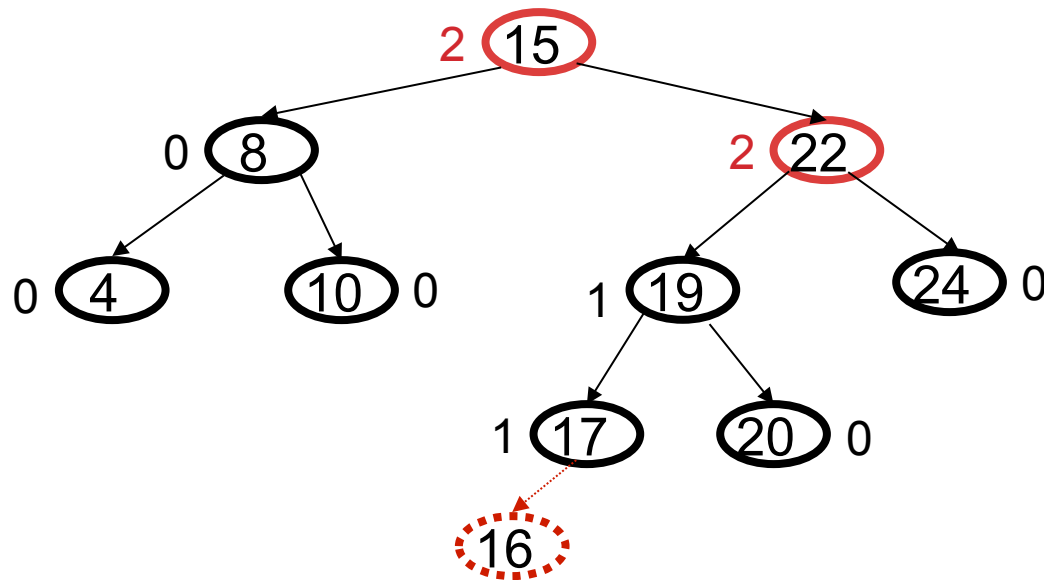
- **Consider the above tree**
 - Is it an AVL tree? Yes

SINGLE ROTATION EXAMPLE

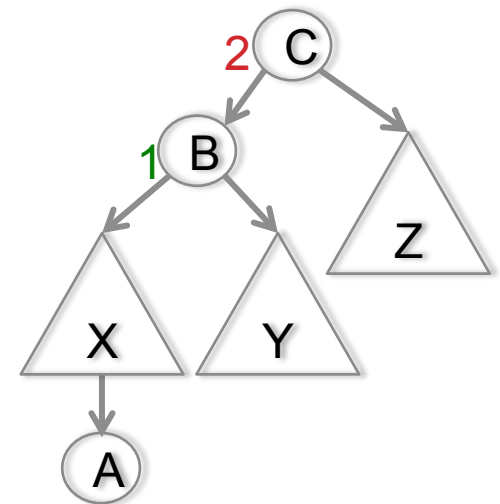


- **Add 16 to the tree**
 - Is it unbalanced now? Where? **22**
 - Also at 15, but we choose the lowest point

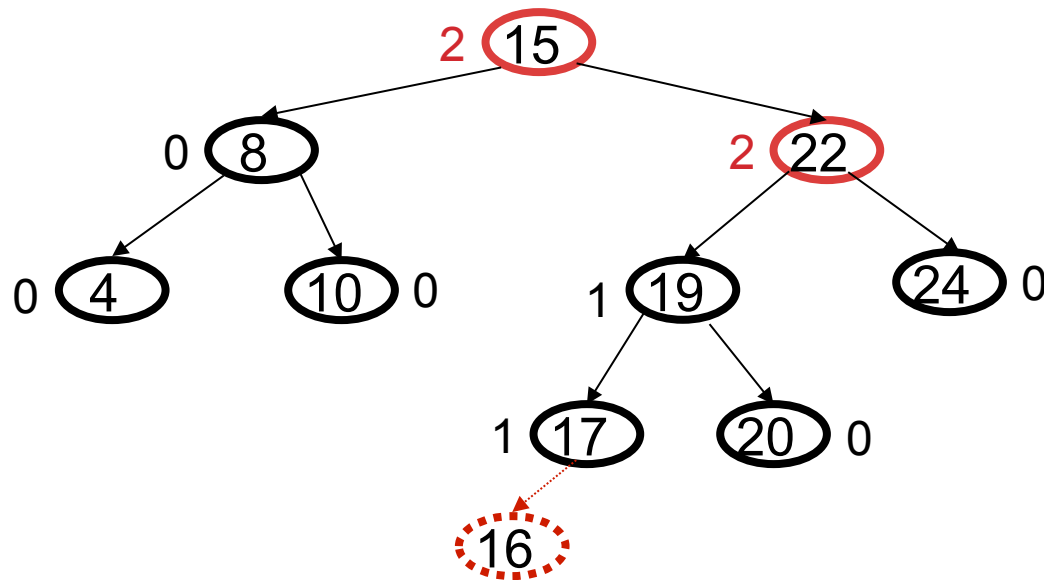
SINGLE ROTATION EXAMPLE



- **Perform the rotation around 22**
 - What rotation takes place?

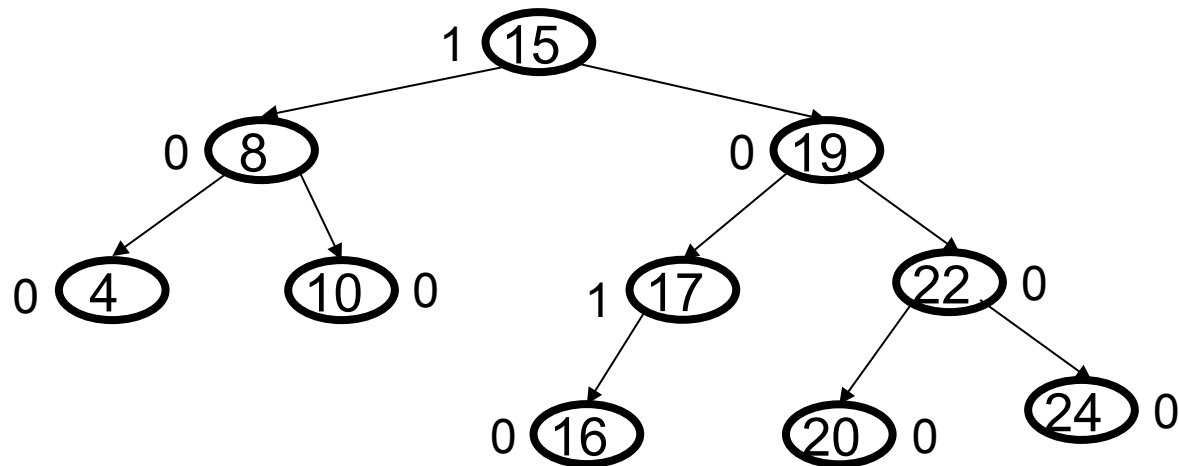


SINGLE ROTATION EXAMPLE



- **Perform the rotation around 22**
 - What rotation takes place?
 - What is the resulting tree?

SINGLE ROTATION EXAMPLE



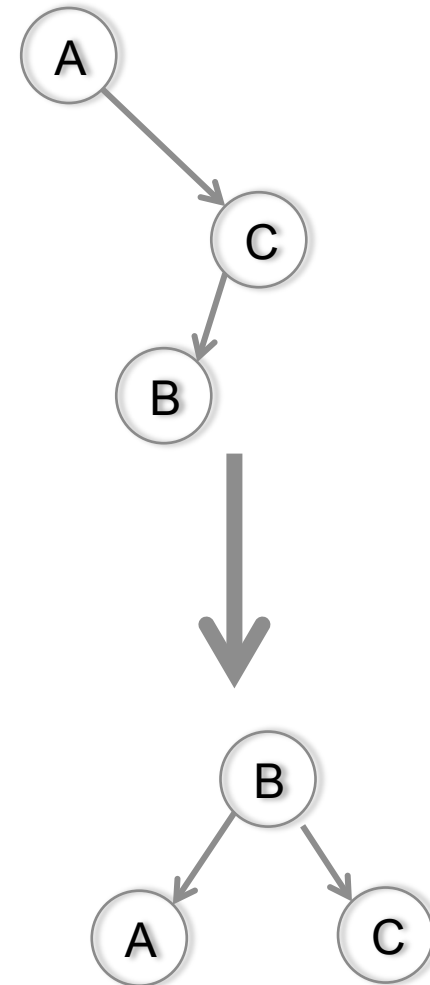
- **19 must move up to where 22 was**
 - 20 changes parents
 - Balances are recomputed throughout the tree

AVL “ROTATION”

- **These two rotations (right-right and left-left) are symmetric and can be solved the same way**
 - Named by the location of the added node relative to the unbalanced node
 - What are the other two cases?

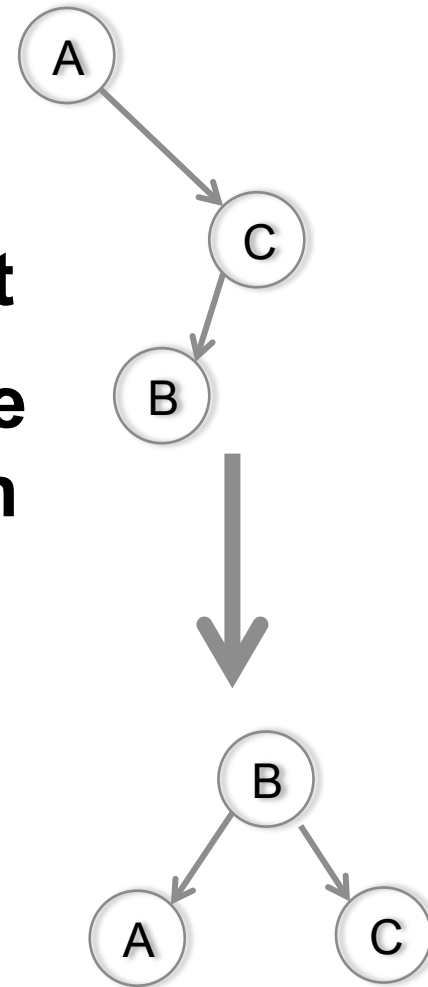
AVL “ROTATION”

- **Right left case**
 - Again, A is out of balance
 - This time, the addition (B) comes between A and C
 - In this case, the grandchild must become the root.



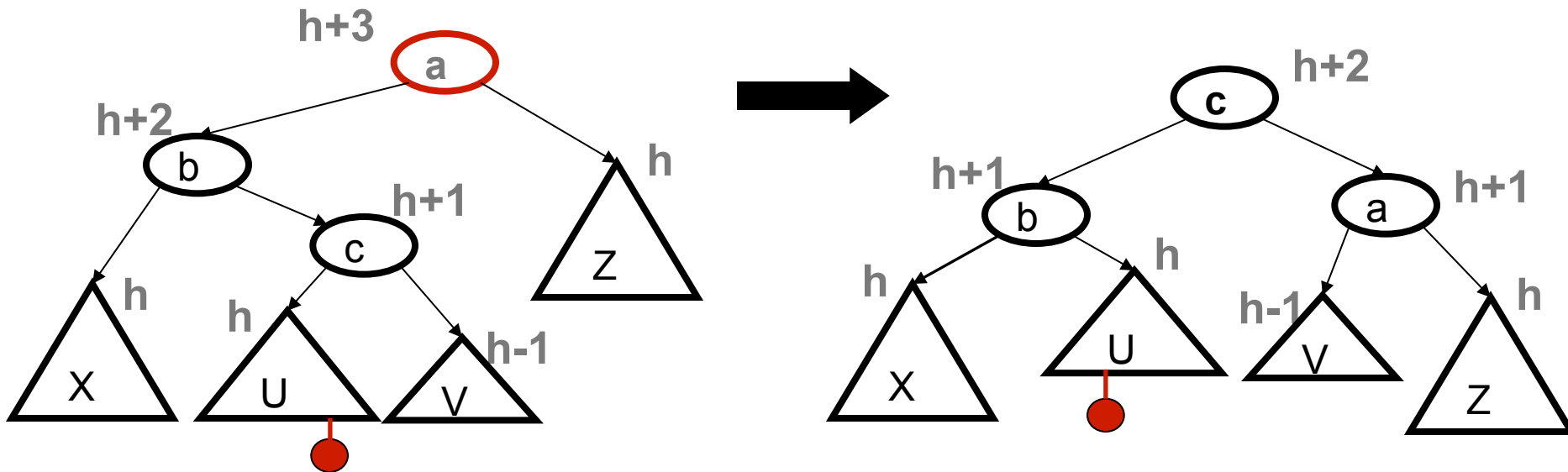
AVL “ROTATION”

- Identifying what should be the new root is key
- Imagine “lifting” up the root
- Where will the children have to go to maintain the search property?

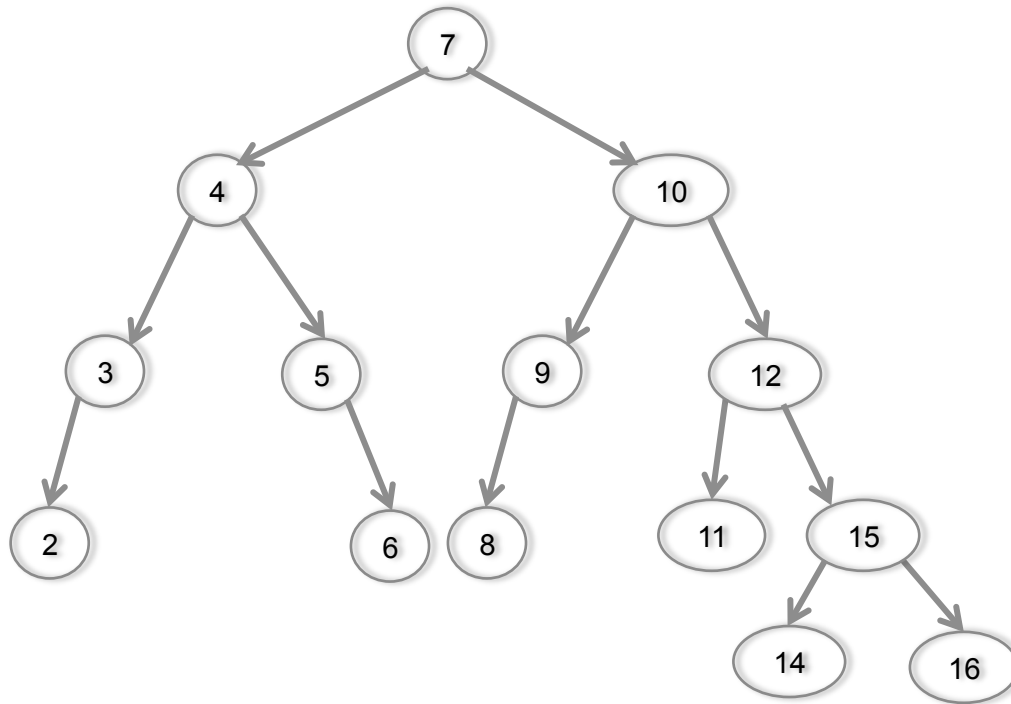


AVL “ROTATION”

- This is for your reference later.

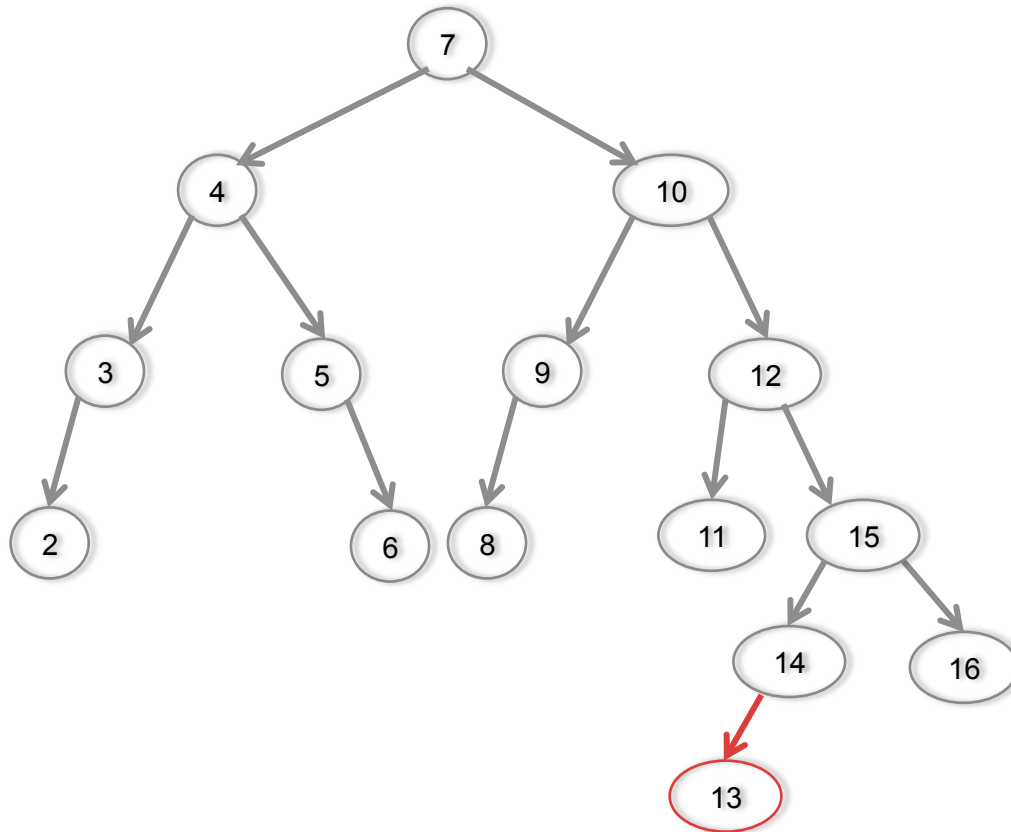


AVL “ROTATION”



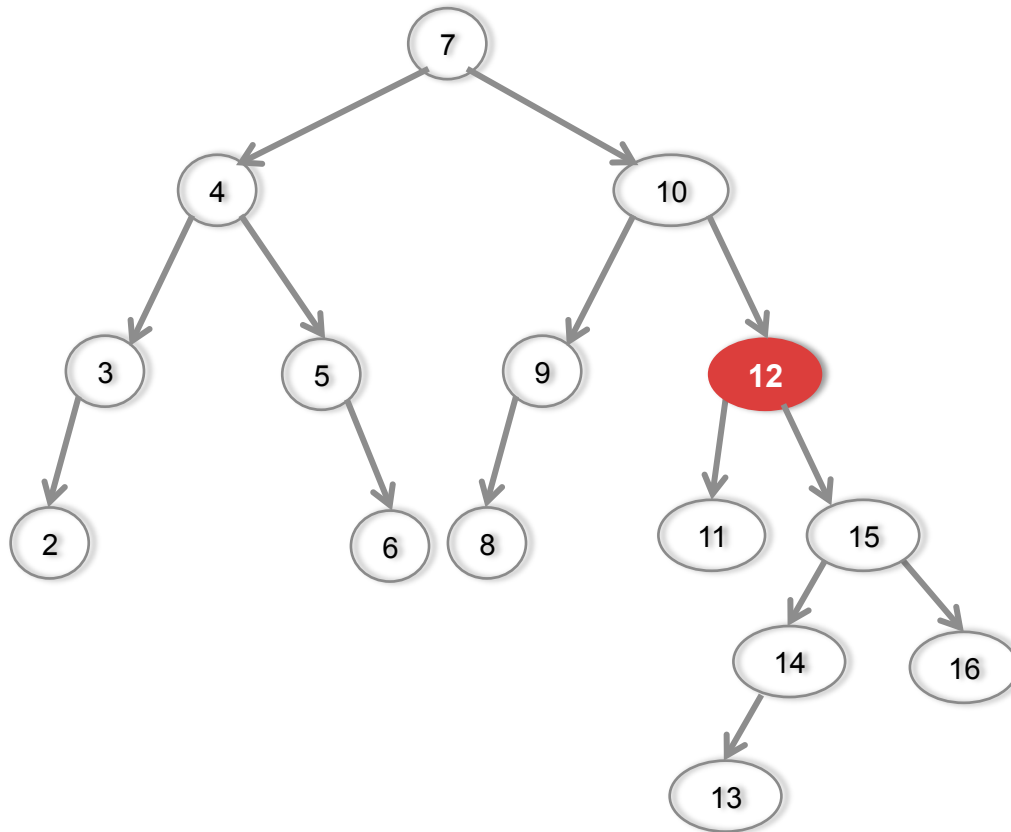
- Let's do an example. Insert(13)

AVL “ROTATION”



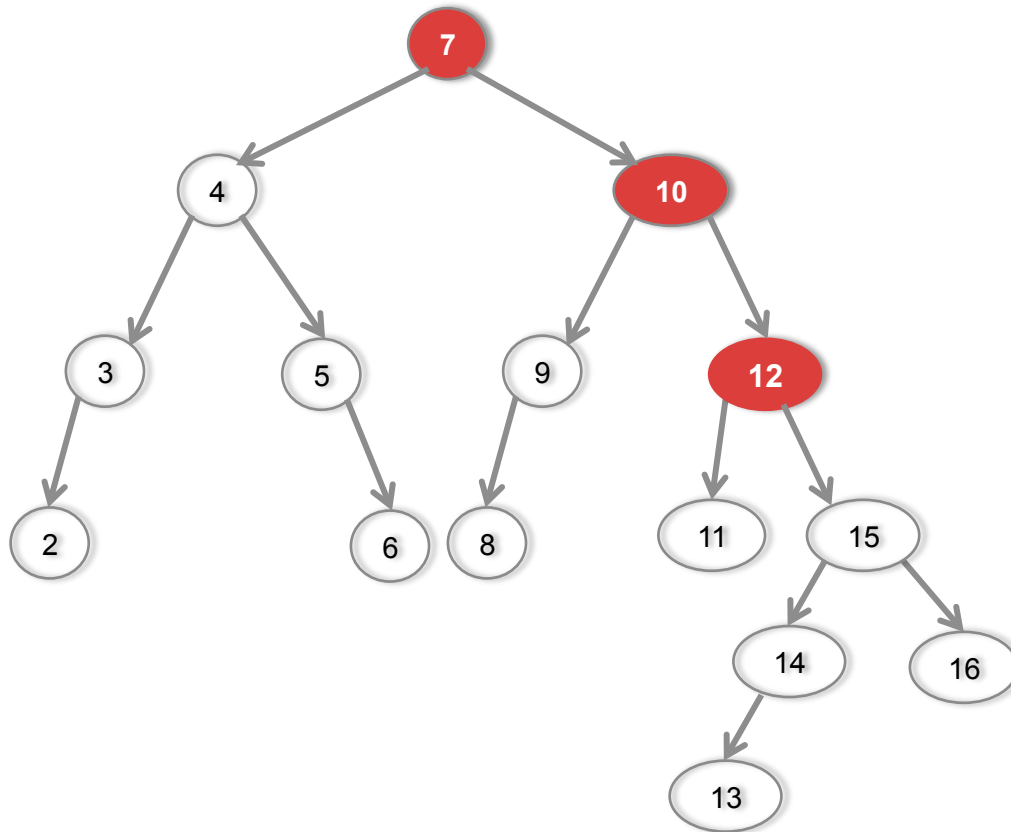
- Where is the imbalance?

AVL “ROTATION”



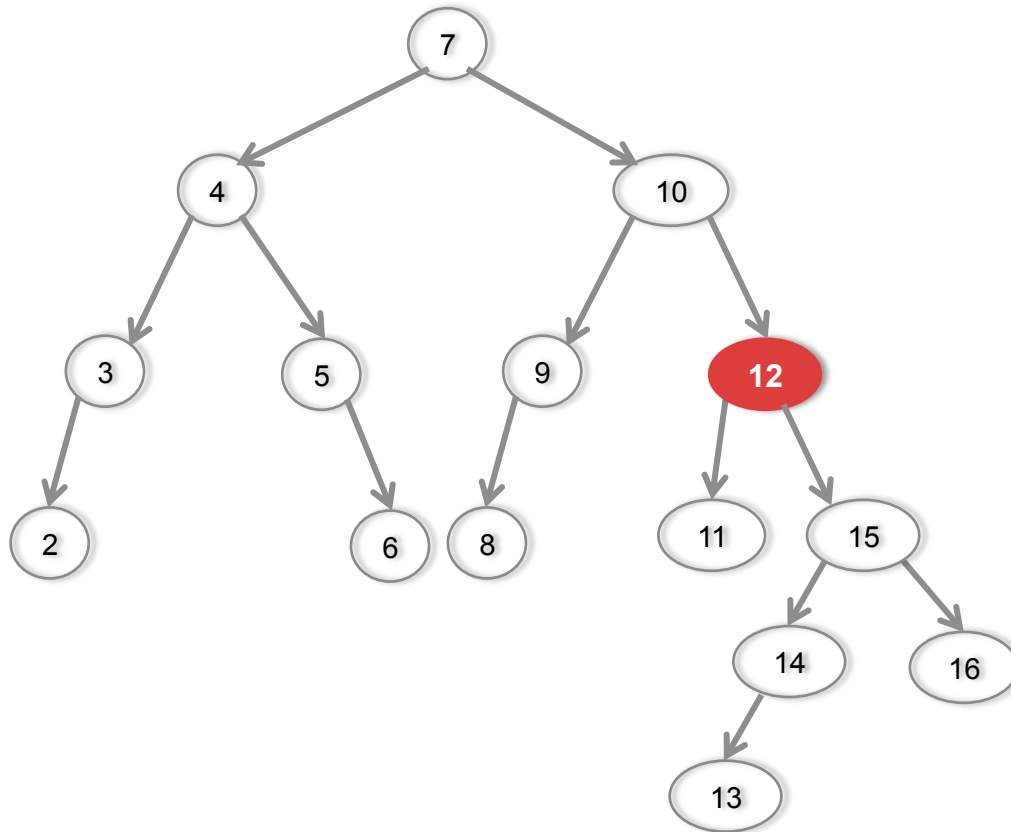
- Where is the imbalance?

AVL “ROTATION”



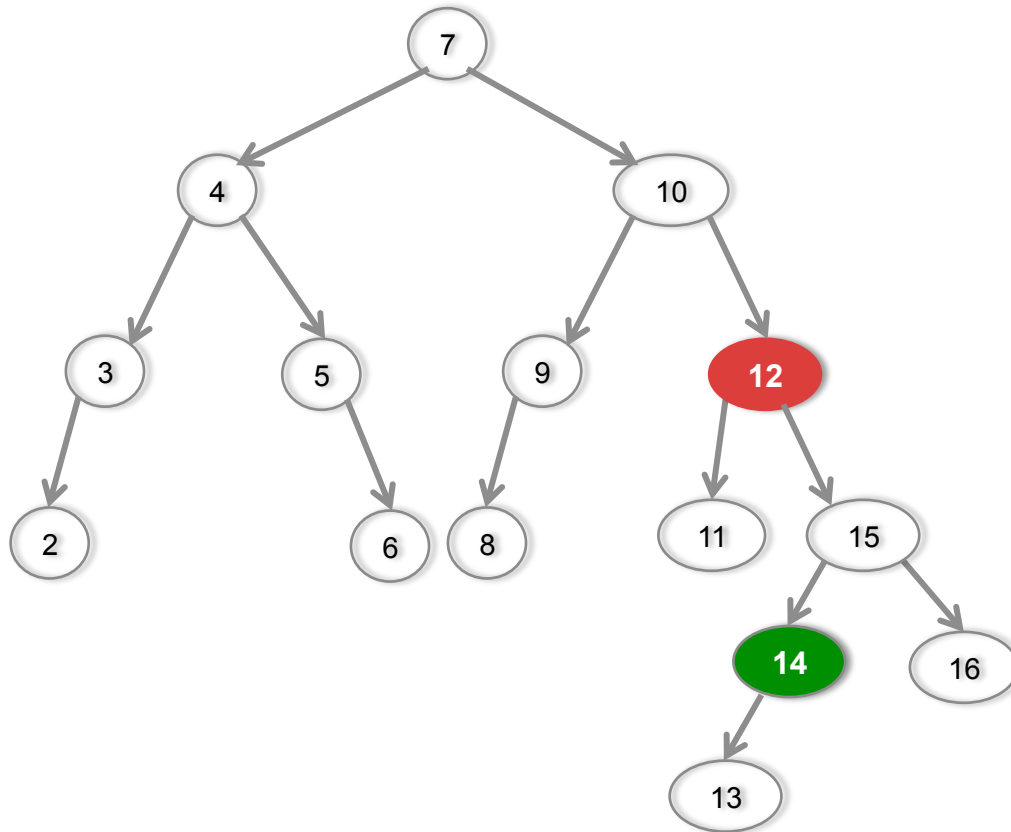
- **Where is the imbalance?** (also 7 and 10)

AVL “ROTATION”



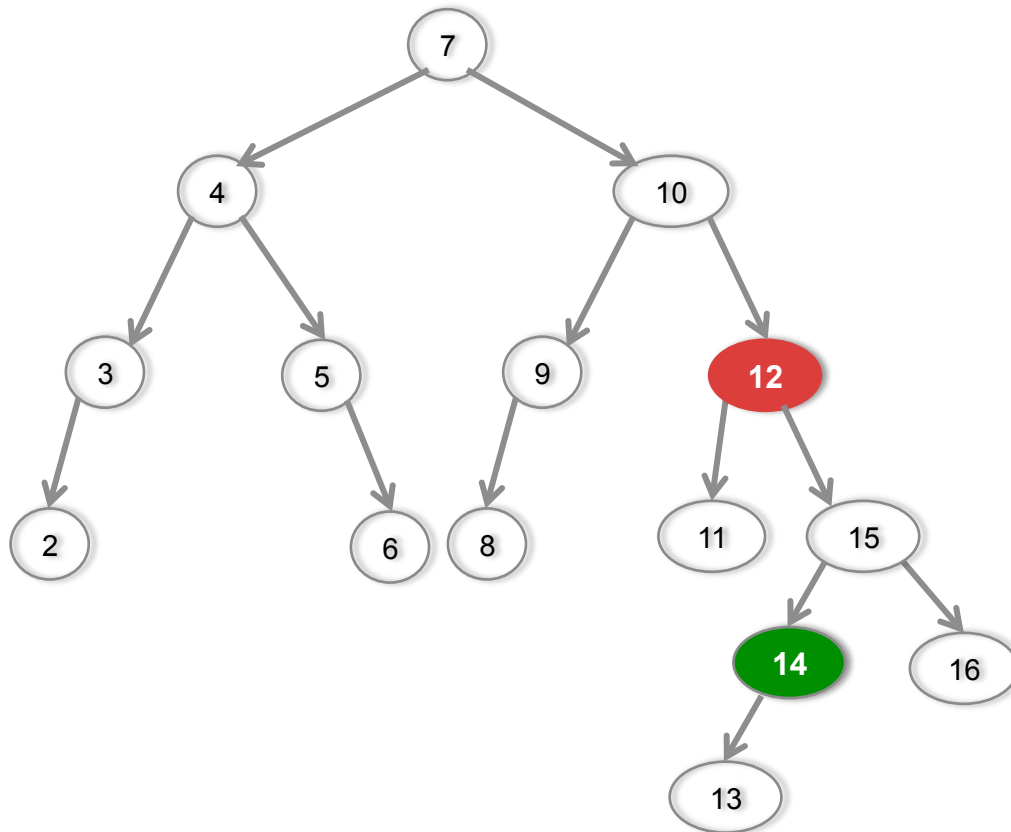
- What must be the new root?

AVL “ROTATION”



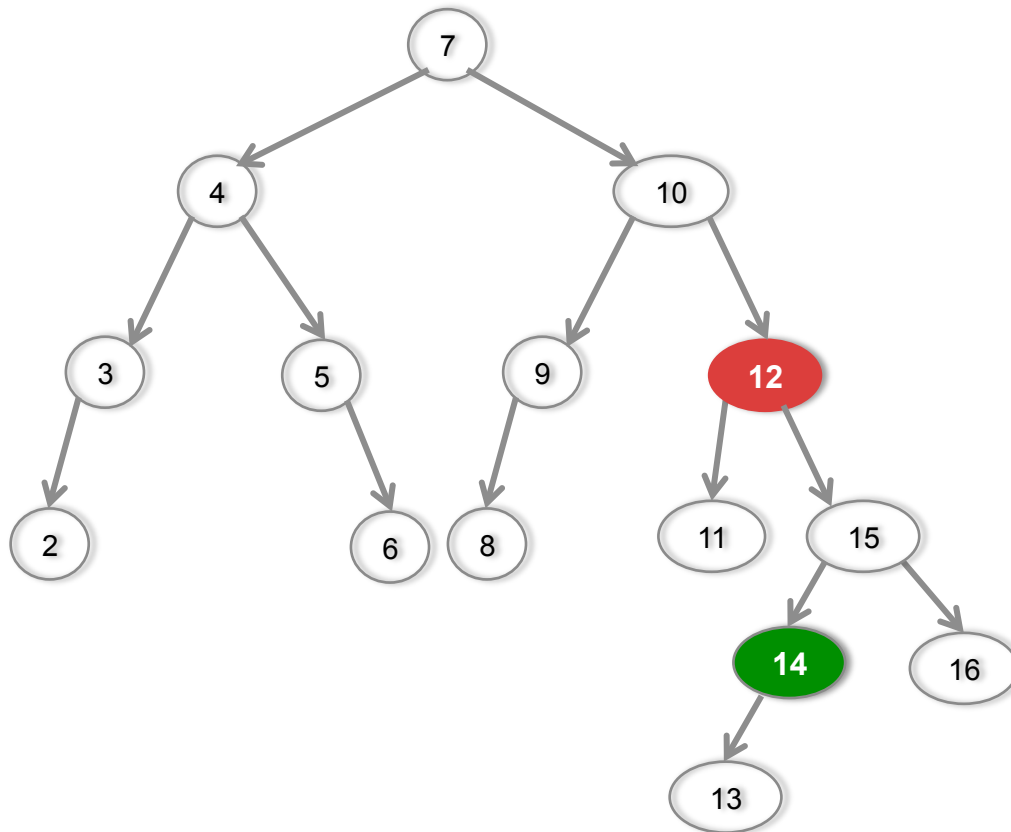
- What must be the new root?

AVL “ROTATION”



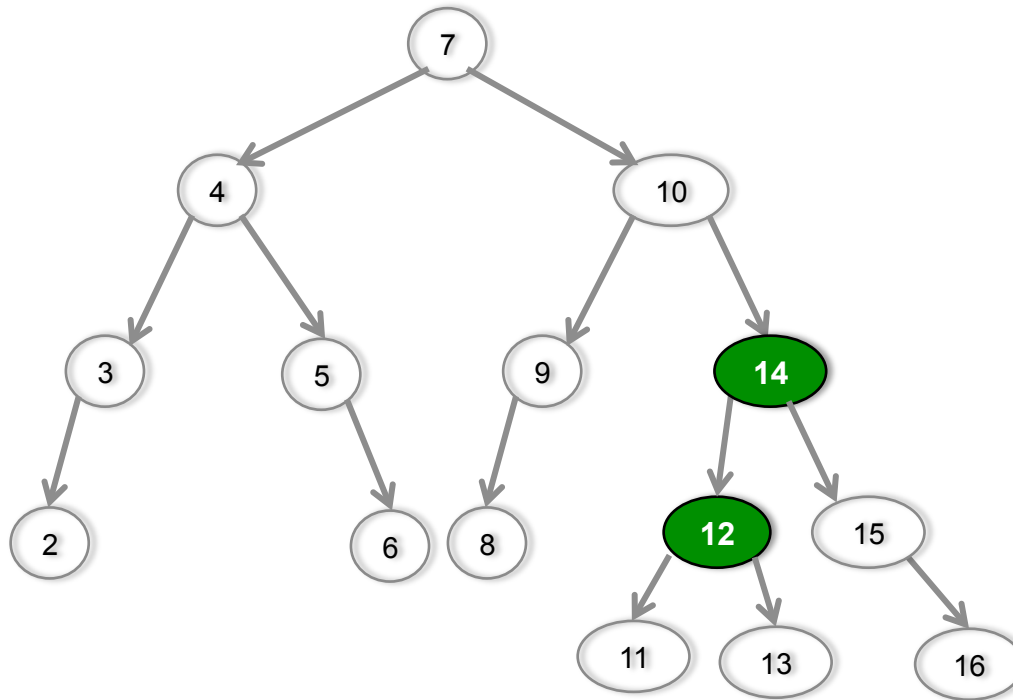
- What must be the new root? Why?

AVL “ROTATION”



- What does the new tree look like?

AVL “ROTATION”



- The replaced root is always a child of the new root!

NEXT CLASS

- **AVL Trees**

- Even more examples!
- Showing that this actually gives us $O(\log n)$ height
- Showing insert is $O(1)$

- **Memory analysis**

- Formalization to help with confusion from last week