



# CSE373: Data Structures & Algorithms

## Lecture 13: Hash Tables

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Spring 2016

- *Announcements*
- Today and Wednesday: Hashing
- Wednesday: Mid-quarter Assessment by Jim Borgeford-Parnell from CELT
- Friday: Go over review list and practice problems
- Monday, May 2: Midterm exam in class, one double sided page of notes allowed.

# Motivating Hash Tables

For a **dictionary** with  $n$  key, value pairs

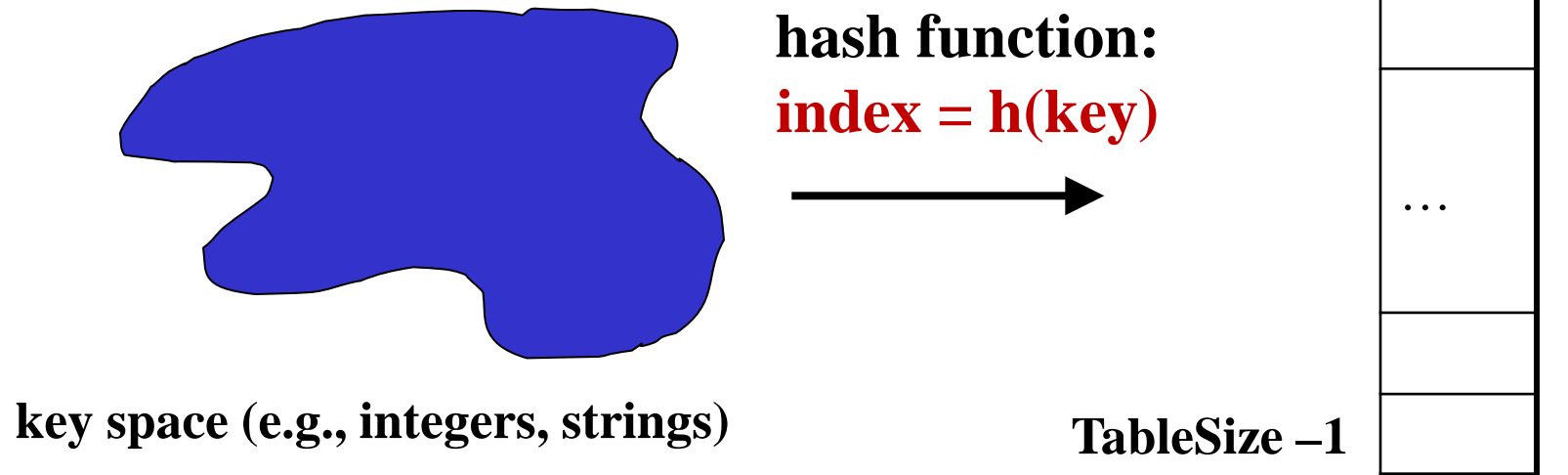
	<b>insert</b>	<b>find</b>	<b>delete</b>
• Unsorted linked-list	$O(1)$	$O(n)$	$O(n)$
• Unsorted array	$O(1)$	$O(n)$	$O(n)$
• Sorted linked list	$O(n)$	$O(n)$	$O(n)$
• Sorted array	$O(n)$	$O(\log n)$	$O(n)$
• <i>Balanced</i> tree	$O(\log n)$	$O(\log n)$	$O(\log n)$
• Magic array	$O(1)$	$O(1)$	$O(1)$

Sufficient “magic”:

- Use **key to compute array index** for an item in  $O(1)$  time
- Have a different index for every item

# Hash Tables

- Aim for constant-time (i.e.,  $O(1)$ ) **find**, **insert**, and **delete**
  - “On average” under some often-reasonable **assumptions**
- A hash table is an array of some fixed size
- Basic idea:



# Hash Tables vs. Balanced Trees

- In terms of a Dictionary ADT for just **insert**, **find**, **delete**, hash tables and balanced trees are just different data structures
  - Hash tables  $O(1)$  on average (assuming few collisions)
  - Balanced trees  $O(\log n)$  worst-case
- Constant-time is better, right?
  - Yes, but you need “hashing to behave” (must avoid collisions)
  - Yes, but **findMin**, **findMax**, **predecessor**, and **successor** go from  $O(\log n)$  to  $O(n)$ ; **They are NOT in order.**
  - Yes, but **printSorted** from  $O(n)$  to  $O(n \log n)$ ;  
**They have to be sorted!**

# Hash Tables

- There are  $m$  possible keys ( $m$  typically large, even infinite)
- We expect our table to have only  $n$  items
- $n$  is much less than  $m$  (often written  $n \ll m$ )

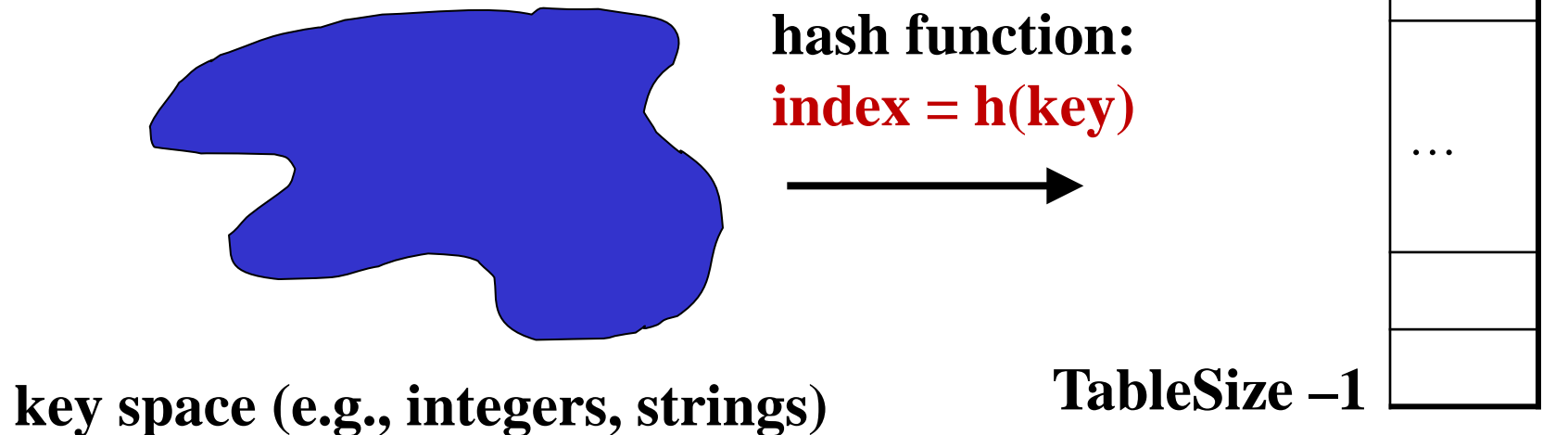
Many dictionaries have this property

- **Compiler:** All possible identifiers allowed by the language vs. those used in some file of one program
- **Database:** All possible student names vs. students enrolled
- **AI:** All possible chess-board configurations vs. those considered by the current player
- ...

# Hash functions

An ideal hash function:

- Fast to compute
- “Rarely” hashes two “used” keys to the same index
  - Often impossible in theory but easy in practice
  - Will handle *collisions*



# Collisions

key1

hash to same index

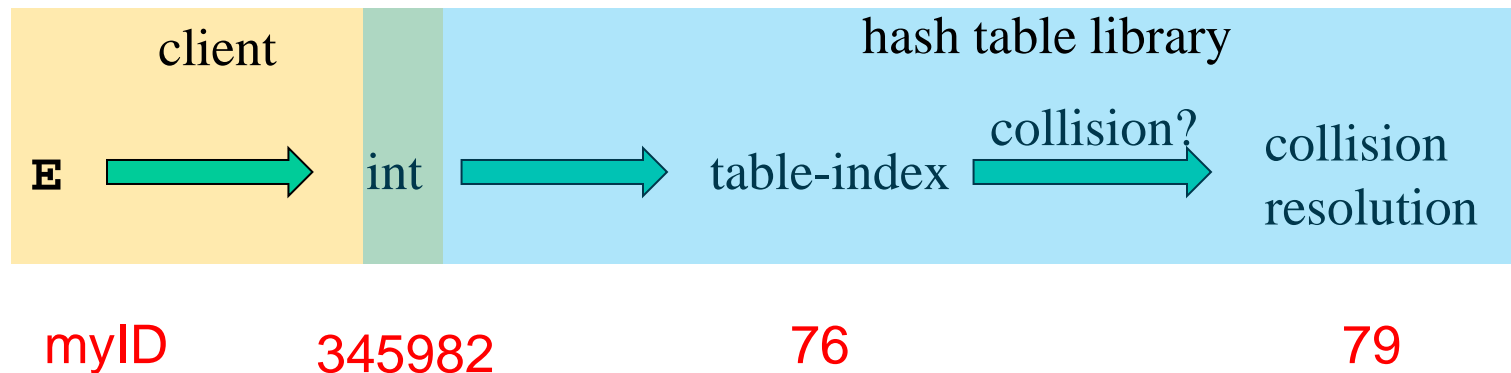
key2





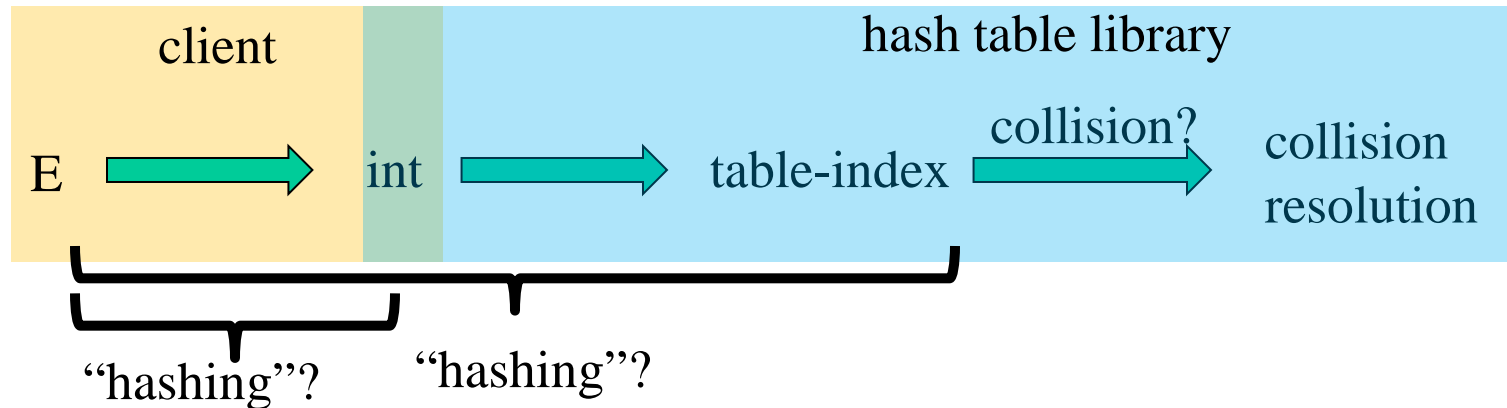
# Who hashes what?

- Hash tables can be generic
  - To store elements of type  $\mathbb{E}$ , we just need  $\mathbb{E}$  to be:
    1. *Hashable*: convert any  $\mathbb{E}$  to an `int`
    2. *Comparable*: order any two  $\mathbb{E}$  (**only when dictionary**)
- When hash tables are a reusable library, the division of responsibility generally breaks down into two roles:



## More on roles

Some ambiguity in terminology on which parts are “hashing”



Two roles must both contribute to minimizing collisions (heuristically)

- **Client should aim for different ints for expected items**
  - Avoid “wasting” any part of  $\mathbb{E}$  or the 32 bits of the `int`
- **Library should aim for putting “similar” ints in different indices**
  - Conversion to index is almost always “**mod table-size**”
  - Using **prime numbers** for table-size is common

# What to hash?

We will focus on the two most common things to hash: **ints and strings**

- For objects with several fields, usually best to have most of the “identifying fields” contribute to the hash to avoid collisions
- Example:

```
class Person {  
    String first; String middle; String last;  
    Date birthdate;  
}
```
- An inherent trade-off: hashing-time vs. collision-avoidance
  - Bad idea(?): Use only first name
  - Good idea(?): Use only middle initial
  - Admittedly, what-to-hash-with is often unprincipled ☹
- **What should I use to get a reasonably unique string?**

# *What could I use?*

```
class Person {  
    String first; String middle; String last;  
    Date birthdate;  
}
```

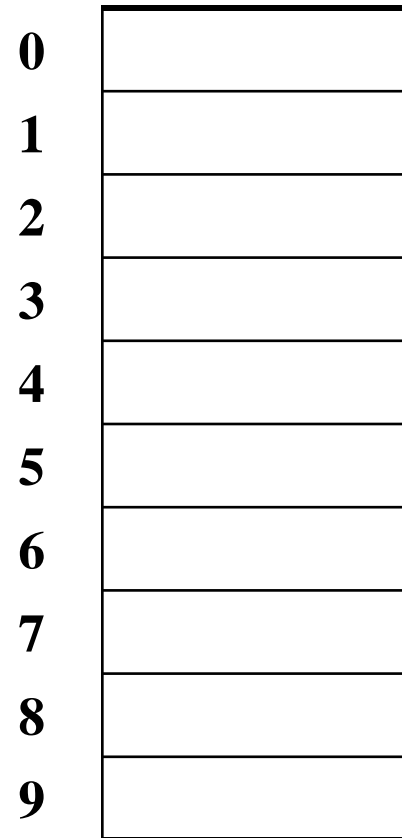
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# Hashing integers

- key space = integers
- Simple (and most common) hash function:
  - $h(\text{key}) = \text{key} \% \text{TableSize}$
  - Client:  $f(x) = x$
  - Library  $g(x) = x \% \text{TableSize}$
  - Fairly fast and natural
- Example:
  - $\text{TableSize} = 10$
  - Insert 7, 18, 41, 34, 10
  - (As usual, ignoring data “along for the ride”)



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2	
3	
4	
5	
6	
7	7
8	
9	

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# Collision-avoidance

- With “ $x \% \text{TableSize}$ ” the number of collisions depends on
  - the ints inserted (obviously)
  - **TableSize**
- Larger table-size tends to help, but not always
  - Example: 70, 24, 56, 43, 10  
with **TableSize** = 10 and **TableSize** = 60
- **Technique: Pick table size to be prime.** Why?
  - Real-life data tends to have a pattern
  - “Multiples of 61” are probably less likely than “multiples of 60”
  - One collision-handling strategy does *provably* well with prime table size

## Back to the client

- If keys aren't `ints`, the client must convert to an `int`
  - Trade-off: speed versus distinct keys hashing to distinct `ints`
- Very important example: Strings
  - Key space  $K = s_0s_1s_2\dots s_{m-1}$ 
    - (where  $s_i$  are chars:  $s_i \in [0,52]$  or  $s_i \in [0,256]$  or  $s_i \in [0,2^{16}]$ )
  - Some choices: Which avoid collisions best?

1.  $h(K) = s_0 \% \text{TableSize}$

2.  $h(K) = \left( \sum_{i=0}^{m-1} s_i \right) \% \text{TableSize}$

3.  $h(K) = \left( \sum_{i=0}^{k-1} s_i \cdot 37^i \right) \% \text{TableSize}$

# *Specializing hash functions*

Thought question:

How might you hash differently if all your strings were web addresses (URLs)?

CSE Domain

<https://www.cs.washington.edu/the rest>

# Hash functions

A few rules of thumb / tricks:

1. Use **all 32 bits** (careful, that includes negative numbers)
2. Use different overlapping bits for different parts of the hash
3. When smashing two hashes into one hash, use **bitwise-xor**
4. Rely on expertise of others; consult books and other resources
5. If keys are known ahead of time, choose a **perfect hash** that maps distinct keys to distinct integers with no collisions.

# Hashing and comparing

- Need to emphasize a **critical detail**:
  - We initially *hash* key **E** to get a table index
  - To check an item is what we are looking for, **compareTo E**
    - **Does it have an equal key?**
- So a hash table needs a **hash function** and a **comparator**
  - The Java library uses a more object-oriented approach: each object has methods **equals** and **hashCode**

```
class Object {  
    boolean equals(Object o) {...}  
    int hashCode() {...}  
    ...  
}
```

# *Equal Objects Must Hash the Same*

- The Java library make a crucial assumption clients must satisfy
  - And all hash tables make analogous assumptions
- Object-oriented way of saying it:
  - If `a.equals(b)`, then `a.hashCode() == b.hashCode()`**
- Why is this essential?
- Why is this up to the client?
- So *always* override `hashCode` *correctly* if you override `equals`
  - Many libraries use hash tables on your objects



# Example

```
class MyDate {
    int month;
    int year;
    int day;

    boolean equals(Object otherObject) {
        if(this==otherObject) return true; // common?
        if(otherObject==null) return false;
        if(getClass()!=other.getClass()) return false;
        return month = otherObject.month
            && year = otherObject.year
            && day = otherObject.day;
    }
}
```

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        return month = otherObject.month
            && year = otherObject.year
            && day = otherObject.day;
    }
    // wrong: must also override hashCode!
}
```

# Conclusions and notes on hashing

- The hash table is one of the most important data structures
  - Supports only `find`, `insert`, and `delete` efficiently
  - Have to search entire table for other operations
- Important to use a good hash function
- Important to keep hash table at a good size
- Side-comment: hash functions have uses beyond hash tables
  - Example: `Cryptography`
- **Big remaining topic:** Handling collisions