



CSE373: Data Structures & Algorithms

Lecture 6: Binary Search Trees

Linda Shapiro

Winter 2015

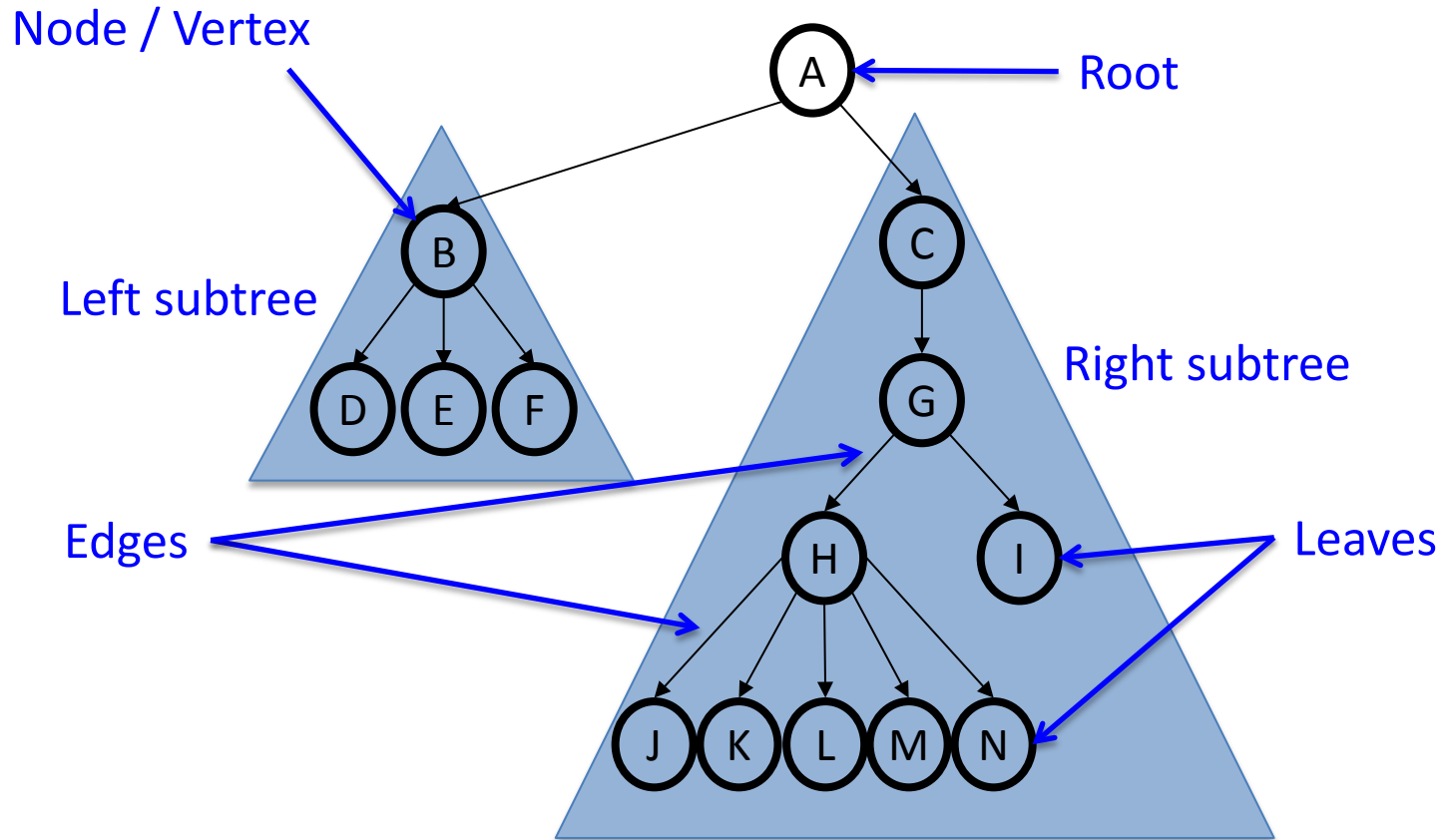
Announcements

- HW2 due **start** of class Wednesday January 21

Previously

- Dictionary ADT
 - stores (key, value) pairs
 - **find, insert, delete**
- Trees
 - Terminology
 - Binary Trees

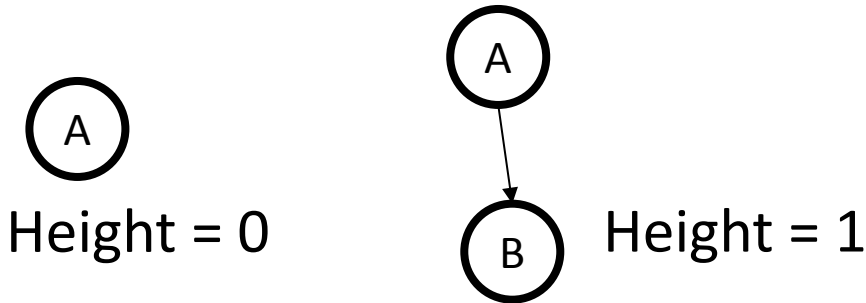
Reminder: Tree terminology



Example Tree Calculations

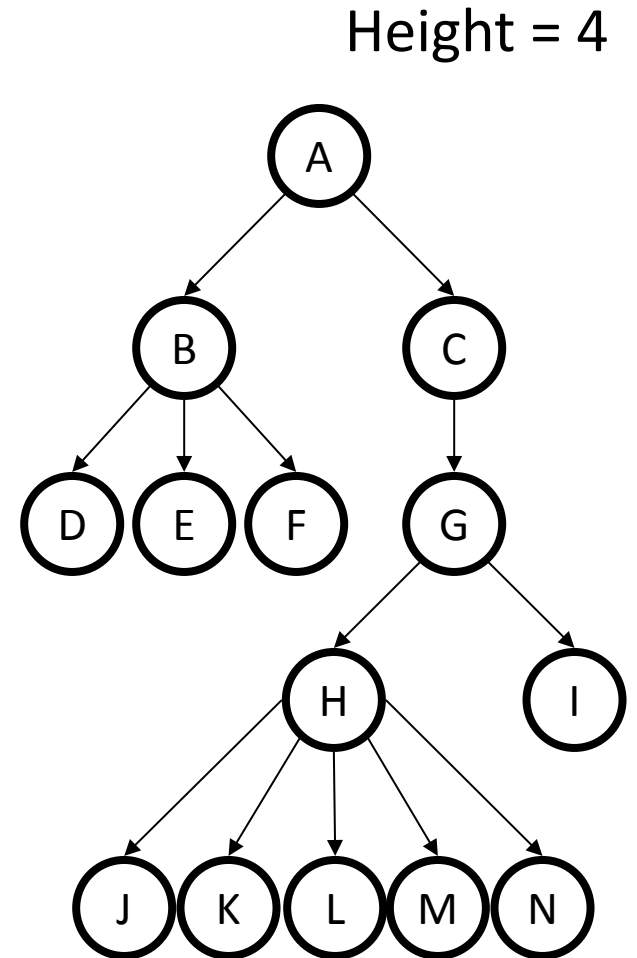
Recall: **Height** of a tree is the **maximum** number of edges from the **root** to a **leaf**.

What is the **height** of this tree?



What is the **depth** of node G?
Depth = 2

What is the **depth** of node L?
Depth = 4



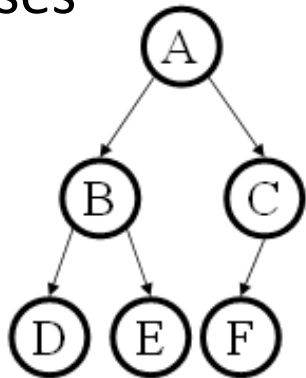
Binary Trees

- **Binary tree:** Each node has at most 2 children (branching factor 2)
- Binary tree is
 - A root (*with data*)
 - A left subtree (*may be empty*)
 - A right subtree (*may be empty*)

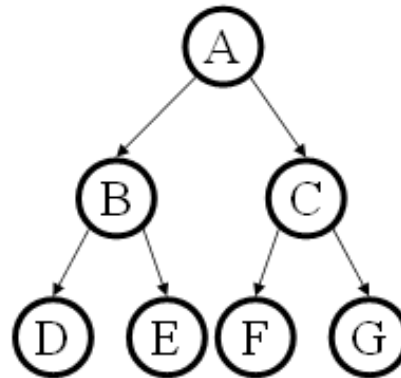
What is full?

Every node has 0 or 2 children.

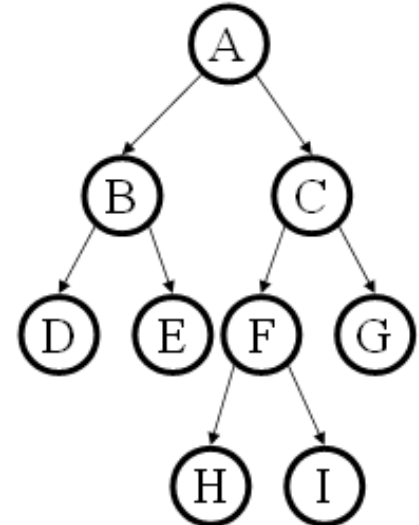
- Special Cases



Complete Tree



Perfect Tree



Full Tree

Tree Traversals

A *traversal* is an order for visiting all the nodes of a tree

- *Pre-order*: root, left subtree, right subtree

+ * 2 4 5

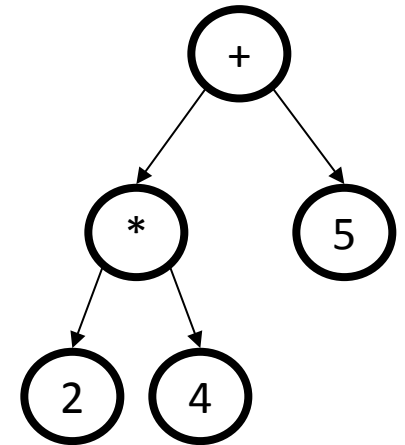
- *In-order*: left subtree, root, right subtree

2 * 4 + 5

- *Post-order*: left subtree, right subtree, root

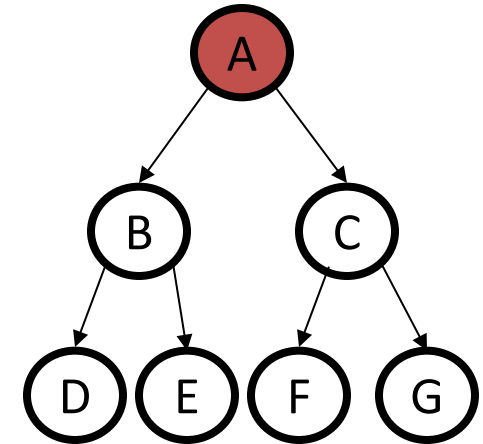
2 4 * 5 +



(an expression tree)




More on traversals

```
void inOrderTraversal(Node t) {  
    if(t != null) {  
        inOrderTraversal(t.left);  
        process(t.element);  
        inOrderTraversal(t.right);  
    }  
}
```

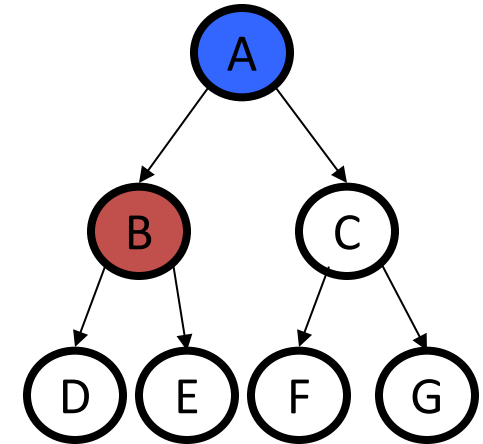




 = current node  = processing (on the call stack)


 = completed node ✓ = element has been processed

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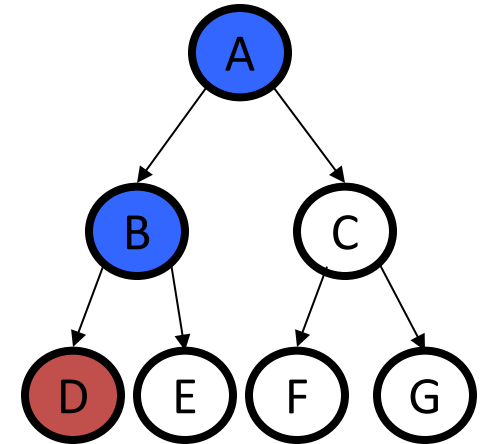




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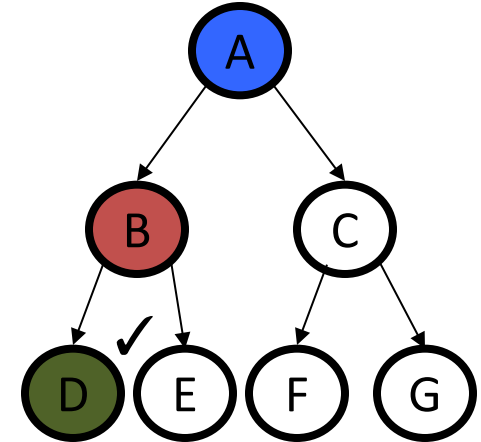




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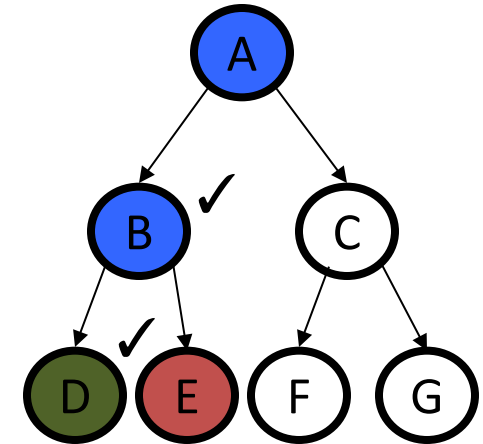
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D

More on traversals

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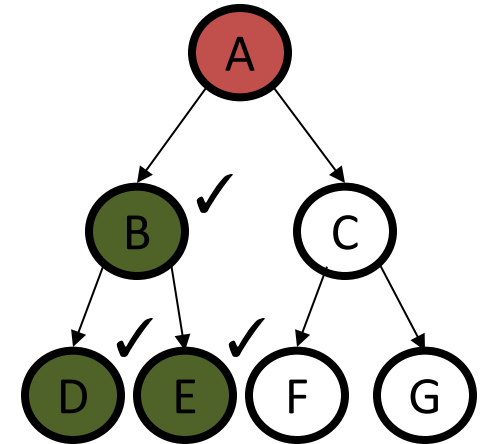





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D B

More on traversals

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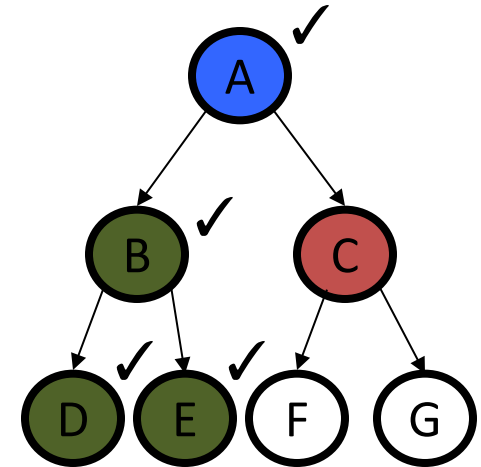




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D B E

More on traversals

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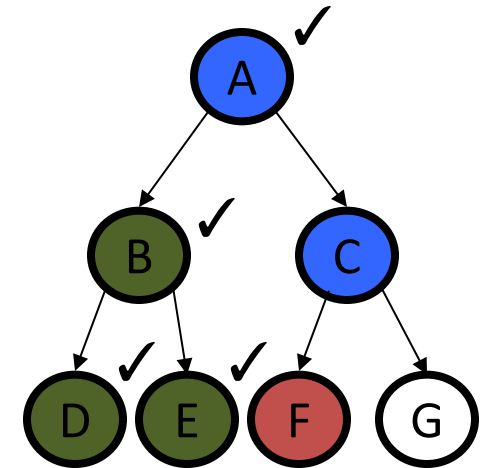
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D B E A

More on traversals

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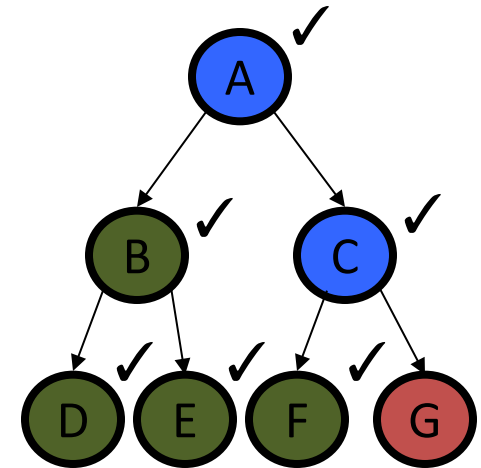
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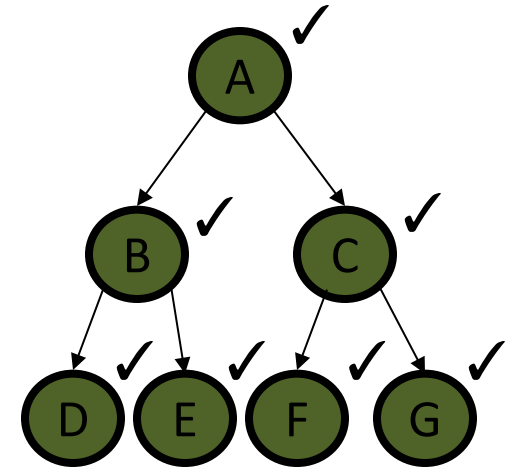
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D B E A F C

More on traversals

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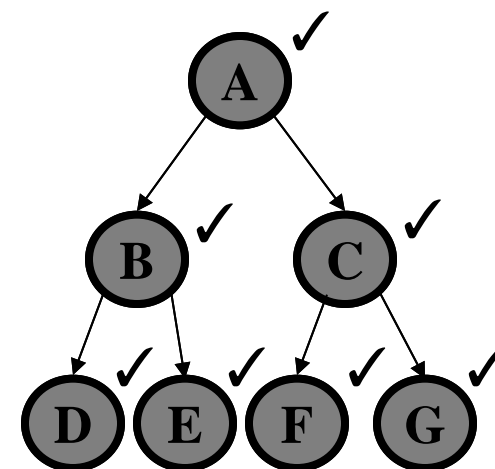


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D B E A F C G

More on traversals

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```



Sometimes order doesn't matter

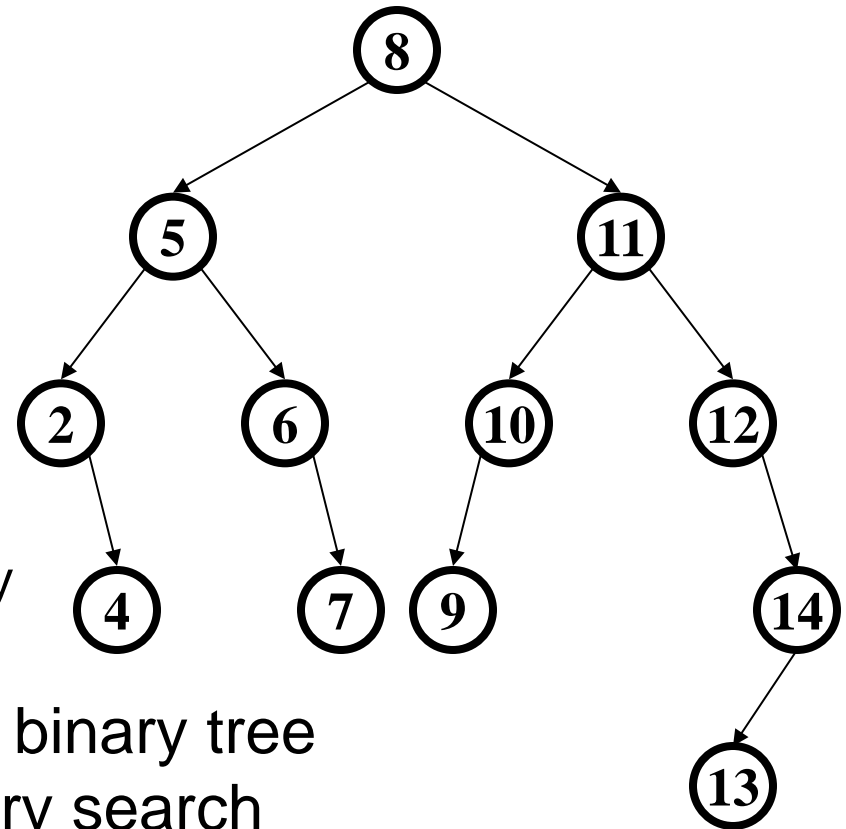
- Example: sum all elements

Sometimes order matters

- Example: evaluate an expression tree

Binary Search Tree (BST) Data Structure

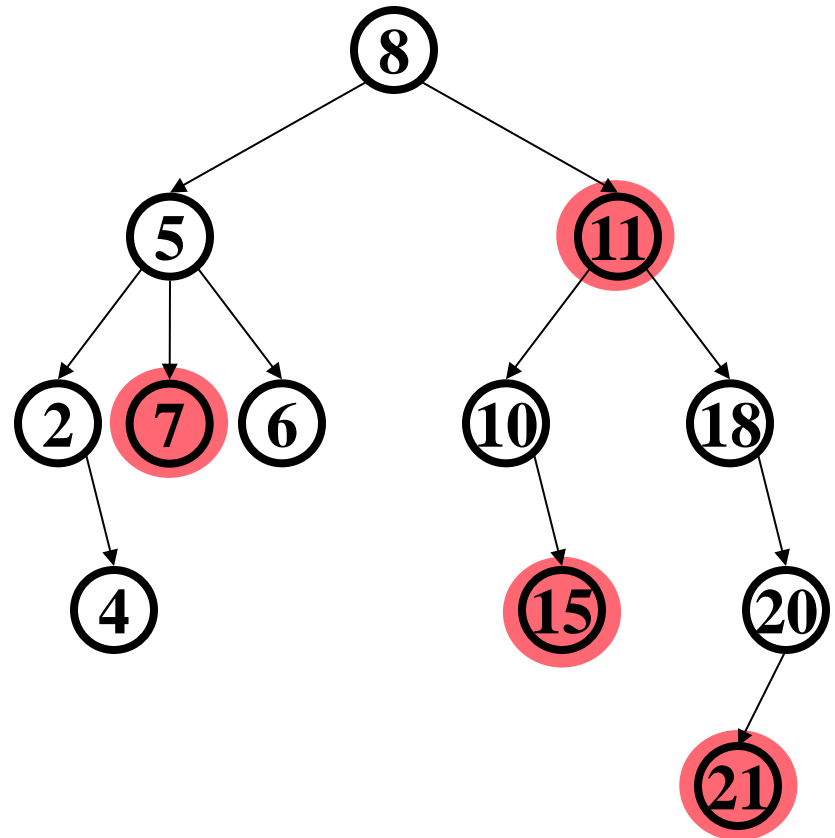
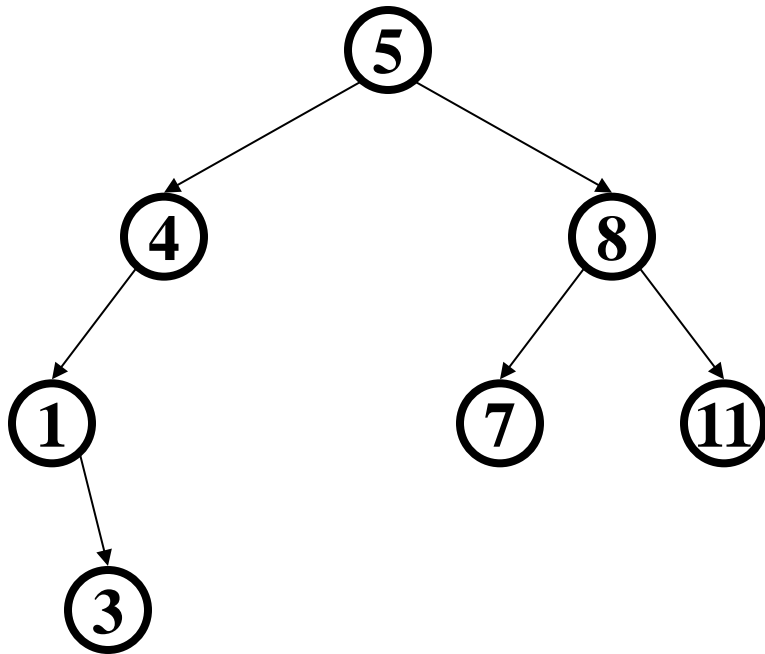
- Structure property (binary tree)
 - Each node has ≤ 2 children
 - Result: keeps operations simple
- Order property
 - All keys in left subtree smaller than node's key
 - All keys in right subtree larger than node's key
 - Result: easy to find any given key



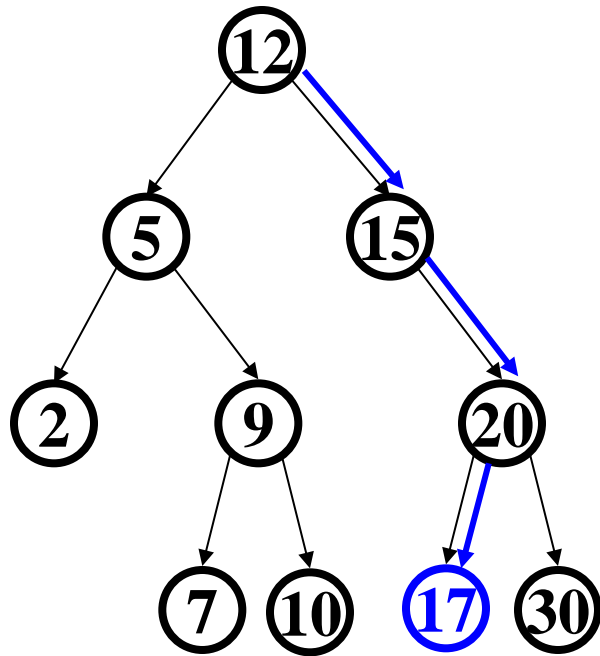
A **binary search tree** is a type of binary tree (but not all binary trees are binary search trees!)

Are these BSTs?

Activity! What nodes violate the BST properties?



Find in BST, Recursive

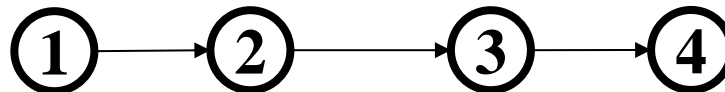


```
Data find(Key key, Node root){  
    if(root == null)  
        return null;  
    if(key < root.key)  
        return find(key,root.left);  
    if(key > root.key)  
        return find(key,root.right);  
    return root.data;  
}
```

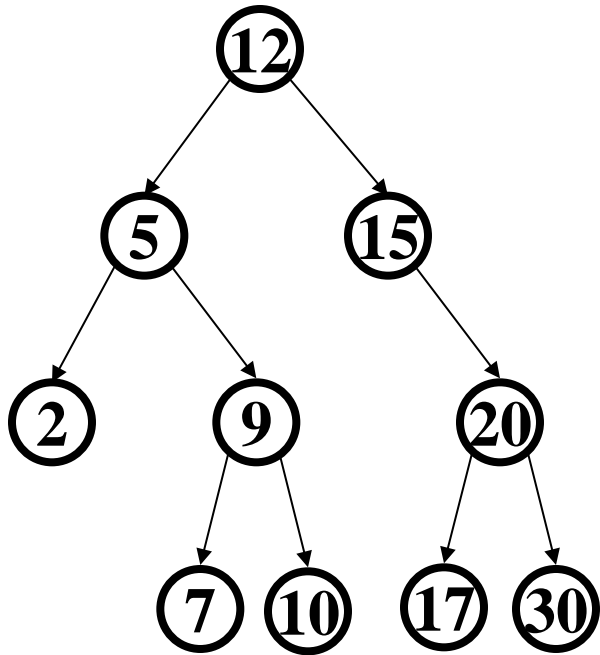
What is the time complexity? Worst case.

Worst case running time is $O(n)$.

- Happens if the tree is very lopsided (e.g. list)



Find in BST, Iterative



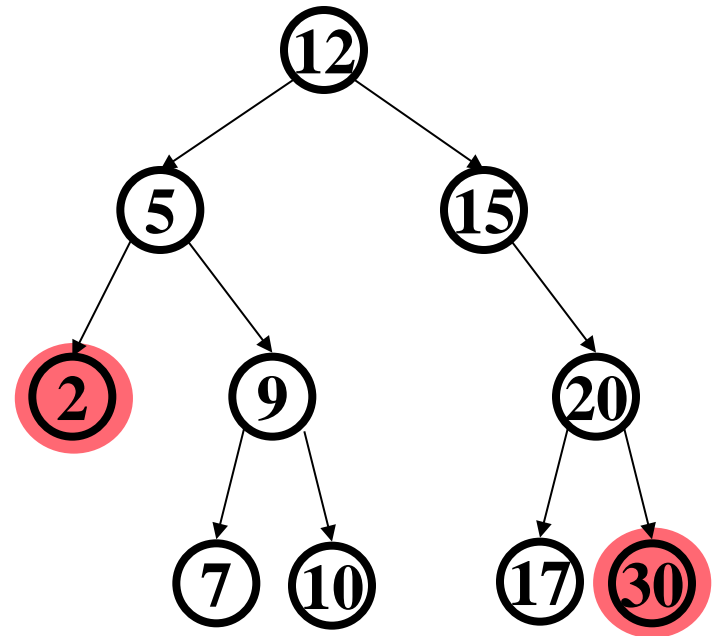
```
Data find(Key key, Node root){
    while(root != null
        && root.key != key) {
        if(key < root.key)
            root = root.left;
        else(key > root.key)
            root = root.right;
        }
    if(root == null)
        return null;
    return root.data;
}
```

Worst case running time is $O(n)$.

- Happens if the tree is very lopsided (e.g. list)

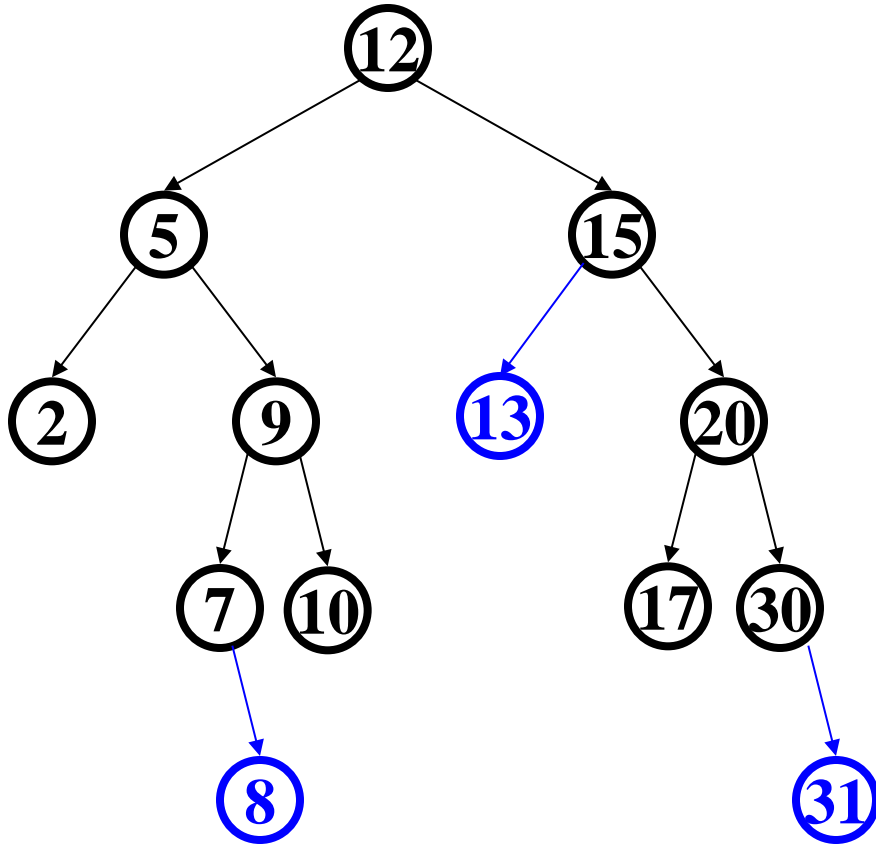
Bonus: Other BST “Finding” Operations

- **FindMin:** Find *minimum* node
 - Left-most node
- **FindMax:** Find *maximum* node
 - Right-most node



How would we implement?

Insert in BST

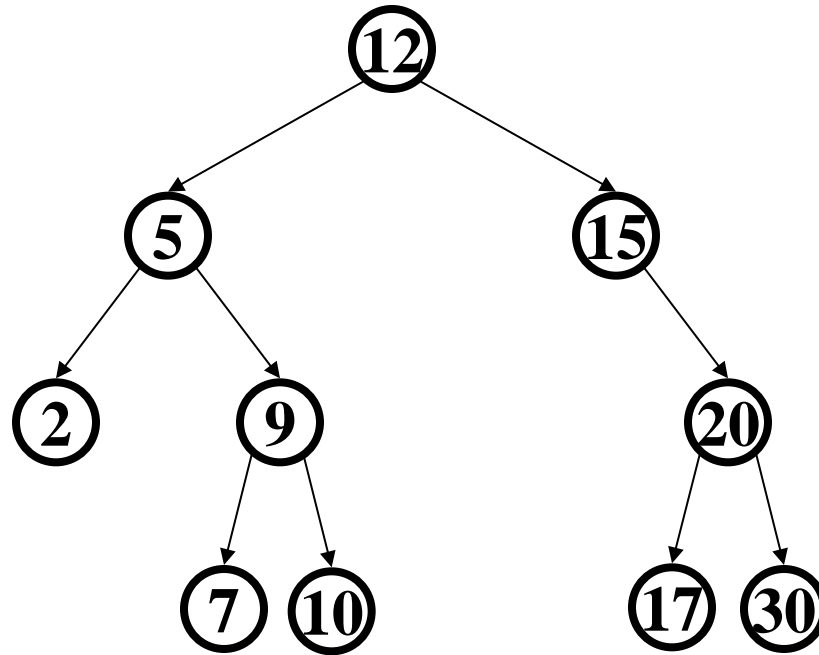


`insert(13)`
`insert(8)`
`insert(31)`

(New) insertions happen only at leaves – easy!

Again... **worst case** running time is $O(n)$, which may happen if the tree is not balanced.

Deletion in BST



Why might deletion be harder than insertion?

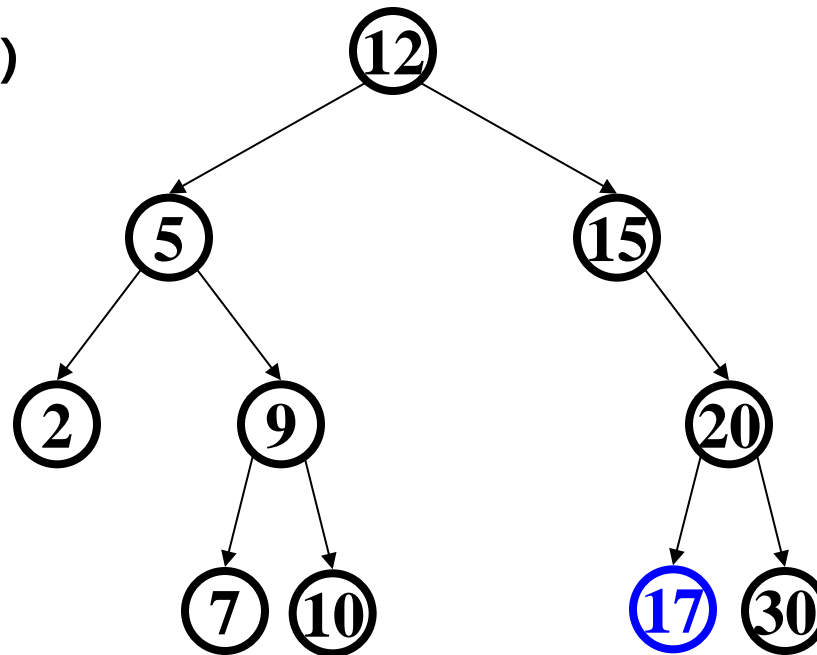
Removing an item may disrupt the tree structure!

Deletion in BST

- Basic idea: **find** the node to be removed, then “fix” the tree so that it is still a binary search tree
- Three potential cases to fix:
 - Node has no children (**leaf**)
 - Node has **one child**
 - Node has **two children**

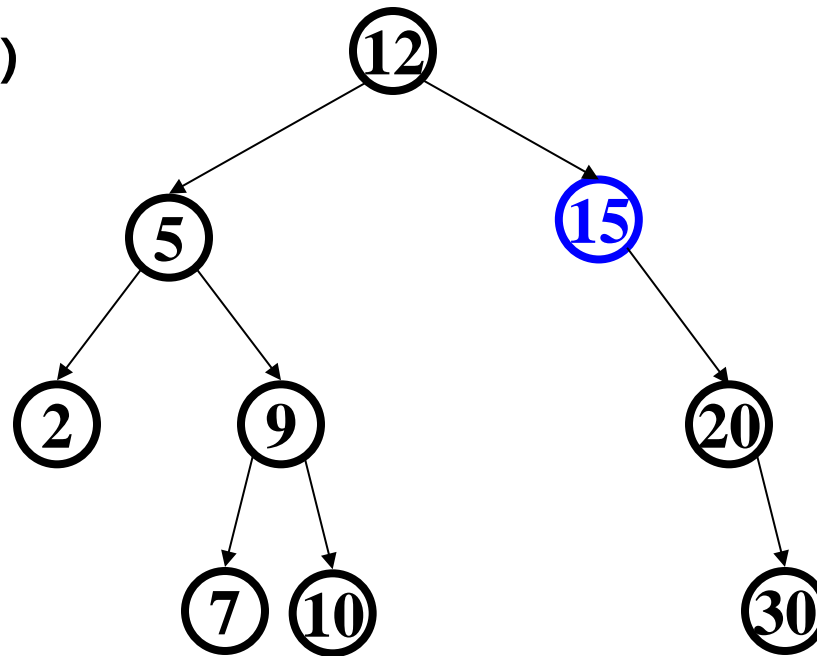
Deletion – The Leaf Case

`delete(17)`



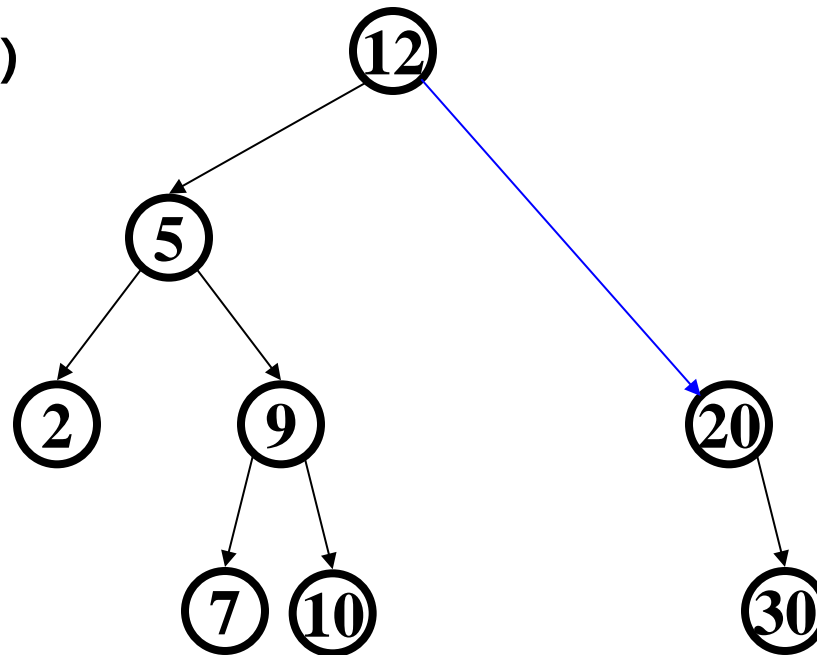
Deletion – The One Child Case

`delete(15)`

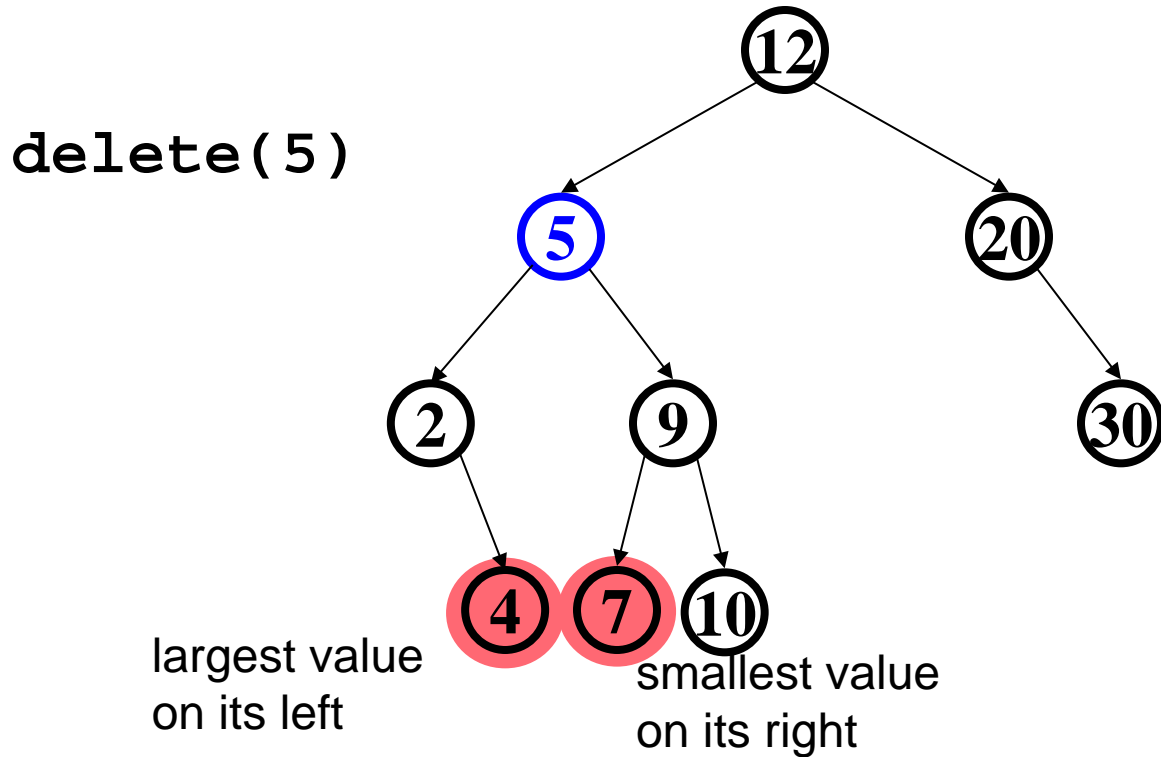


Deletion – The One Child Case

`delete(15)`



Deletion – The Two Child Case



What can we replace **5** with?

Deletion – The Two Child Case

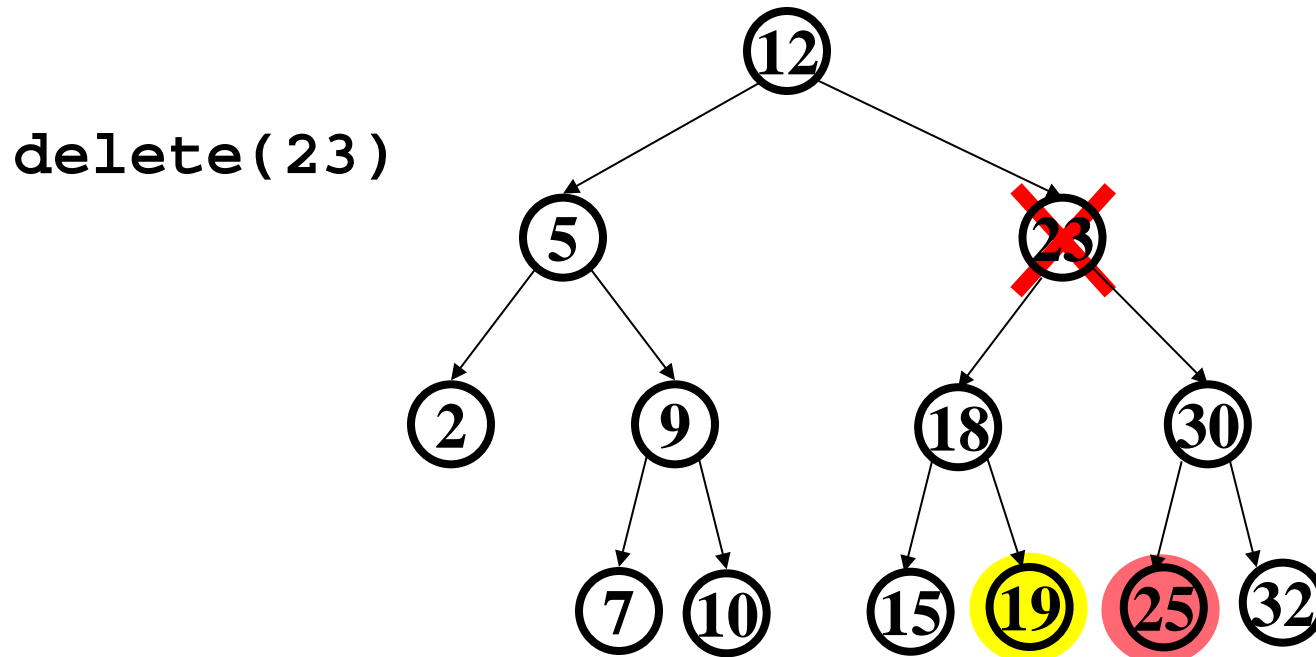
Idea: Replace the deleted node with a value guaranteed to be between the two child subtrees

Options:

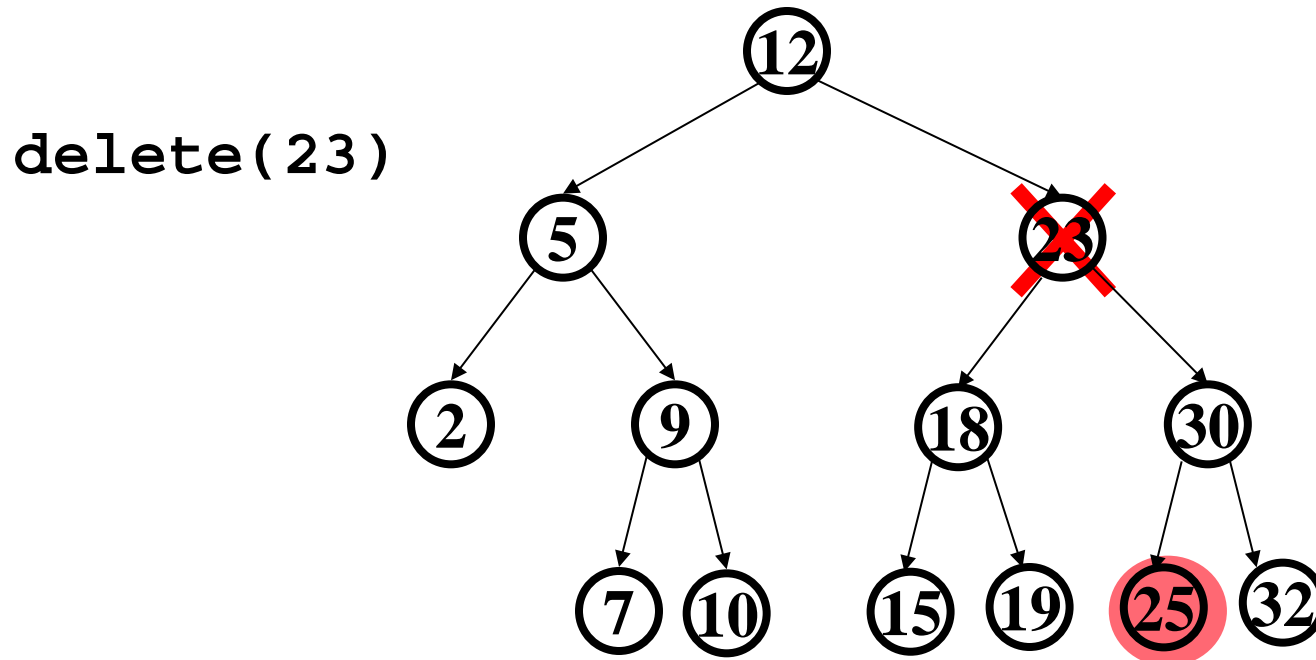
- *successor* minimum node from right subtree
`findMin(node.right)` * the text does this
- *predecessor* maximum node from left subtree
`findMax(node.left)`

Now delete the original node containing *successor* or *predecessor*

Deletion: The Two Child Case (example)

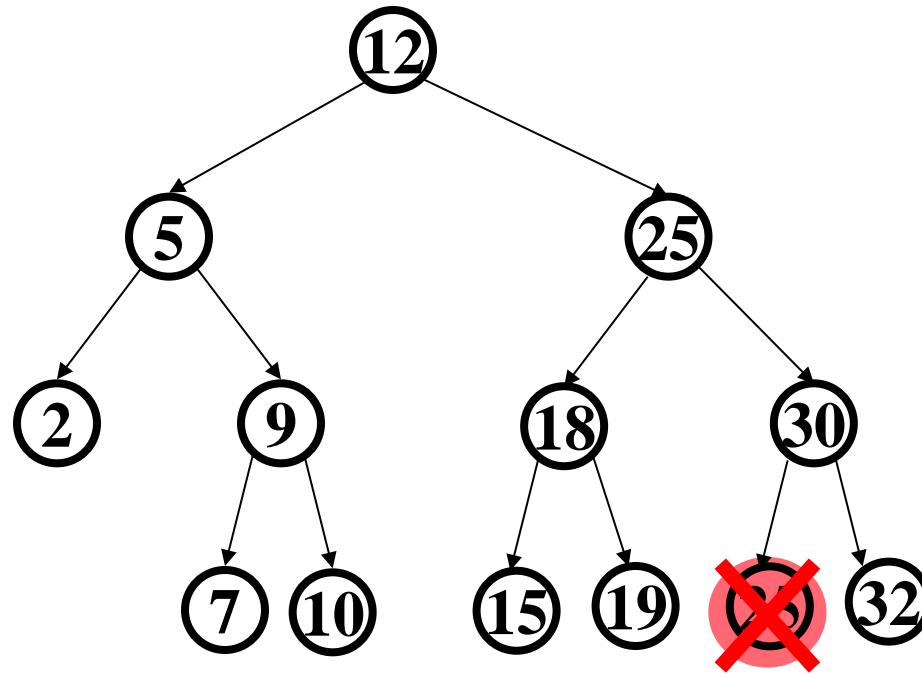


Deletion: The Two Child Case (example)



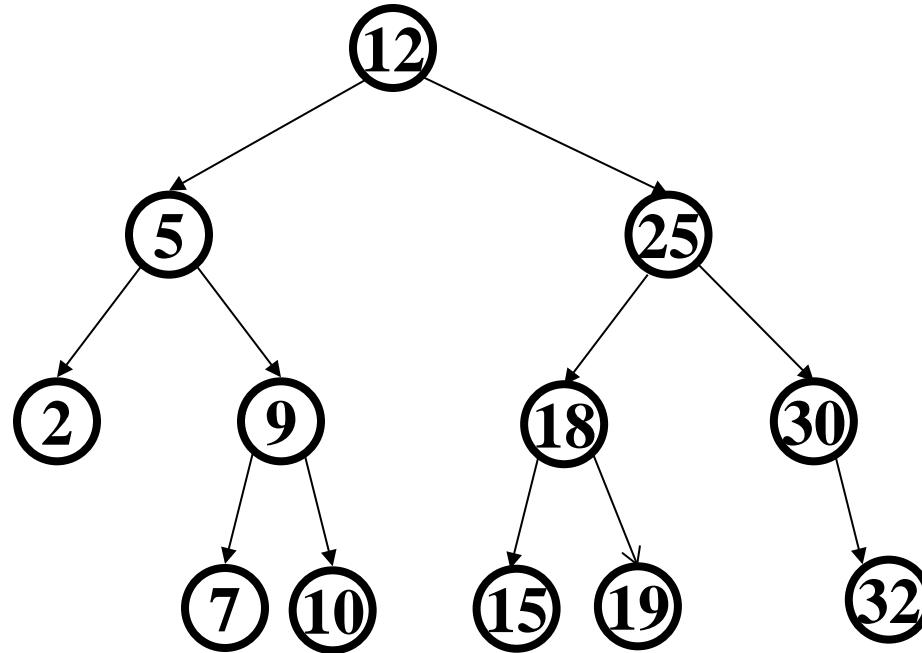
Deletion: The Two Child Case (example)

`delete(23)`



Deletion: The Two Child Case (example)

delete(23)



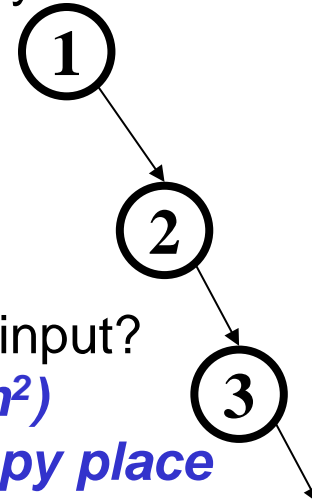
Success! 😊

Lazy Deletion

- Lazy deletion can work well for a BST
 - Simpler
 - Can do “real deletions” later as a batch
 - Some inserts can just “undelete” a tree node
- But
 - Can waste space and slow down find operations
 - Make some operations more complicated:
 - e.g., `findMin` and `findMax`?

BuildTree for BST

- Let's consider `buildTree`
 - Insert all, starting from an empty tree
- Insert keys 1, 2, 3, 4, 5, 6, 7, 8, 9 into an empty BST
 - If inserted in given order, what is the tree?
 - What big-O runtime for this kind of sorted input?
 $1 + 2 + 3 + \dots + n = n(n+1)/2$ $O(n^2)$
Not a happy place
 - Is inserting in the reverse order any better?



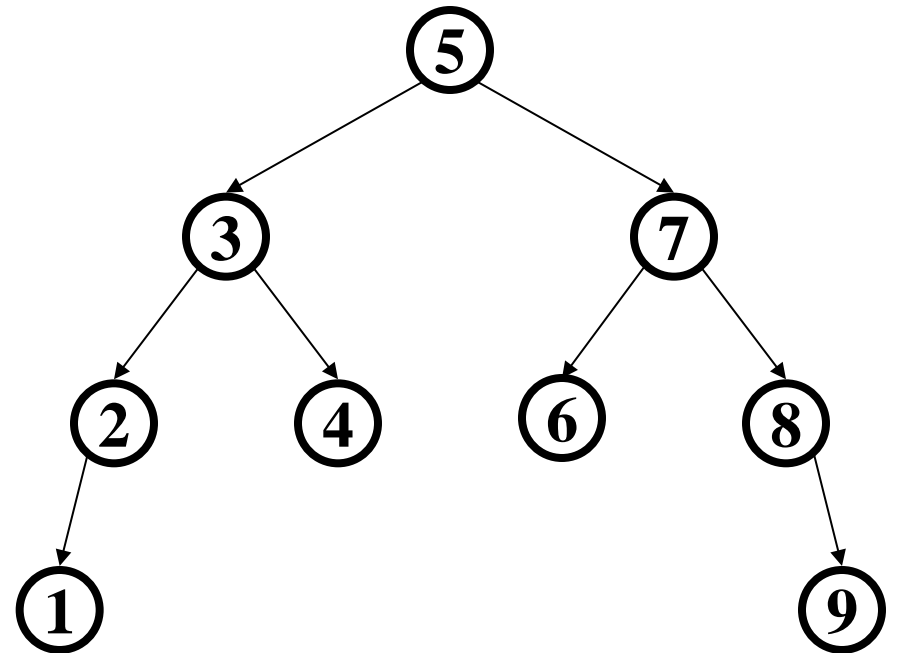
BuildTree for BST

- Insert keys 1, 2, 3, 4, 5, 6, 7, 8, 9 into an empty BST
- What if we could somehow re-arrange them
 - median first, then left median, right median, etc.
 - 5, 3, 7, 2, 1, 4, 8, 6, 9

- What tree does that give us?
- What big-O runtime?

$O(n \log n)$, definitely better

- **So the order the values come in is important!**



Complexity of Building a Binary Search Tree

- Worst case: $O(n^2)$
- Best case: $O(n \log n)$
- We do better by keeping the tree **balanced**.