



# CSE373: Data Structures & Algorithms Lecture 17: Shortest Paths

Nicki Dell Spring 2014

### **Announcements**

- Midterm on Friday May 9th
  - TAs proctoring the exam
  - Be on time!!
- Homework 4 partner selection due TODAY!
- Homework 4 due next Wednesday, May 14th

### Graph Traversals

For an arbitrary graph and a starting node **v**, find all nodes *reachable* from **v** (i.e., there exists a path from **v**)

#### Basic idea:

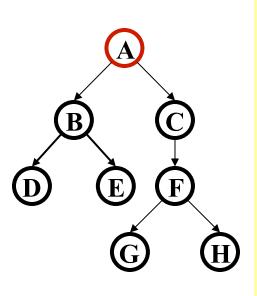
- Keep following nodes
- But "mark" nodes after visiting them, so the traversal terminates and processes each reachable node exactly once

#### Important Graph traversal algorithms:

- "Depth-first search" "DFS": recursively explore one part before going back to the other parts not yet explored
- "Breadth-first search" "BFS": explore areas closer to the start node first

### Example: Another Depth First Search

A tree is a graph and DFS and BFS are particularly easy to "see"

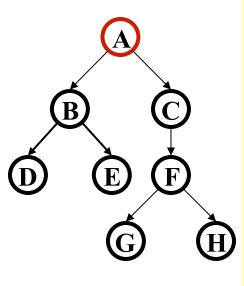


```
DFS2(Node start) {
   initialize stack s and push start
   mark start as visited
   while(s is not empty) {
      next = s.pop() // and "process"
      for each node u adjacent to next
       if(u is not marked)
          mark u and push onto s
   }
}
```

- ACFHGBED
- Could be other correct DFS traversals (e.g. go to right nodes first)
- The marking is because we support arbitrary graphs and we want to process each node exactly once

### Example: Breadth First Search

A tree is a graph and DFS and BFS are particularly easy to "see"



```
BFS(Node start) {
  initialize queue q and enqueue start
  mark start as visited
  while(q is not empty) {
    next = q.dequeue() // and "process"
    for each node u adjacent to next
    if(u is not marked)
       mark u and enqueue onto q
  }
}
```

- ABCDEFGH
- A "level-order" traversal

### Comparison

- Breadth-first always finds shortest paths, i.e., "optimal solutions"
  - Better for "what is the shortest path from x to y"
- But depth-first can use less space in finding a path
  - If longest path in the graph is p and highest out-degree is d
     then DFS stack never has more than d\*p elements
  - But a queue for BFS may hold O(|V|) nodes
- A third approach:
  - Iterative deepening (IDFS):
    - Try DFS but disallow recursion more than κ levels deep
    - If that fails, increment K and start the entire search over
  - Like BFS, finds shortest paths. Like DFS, less space.

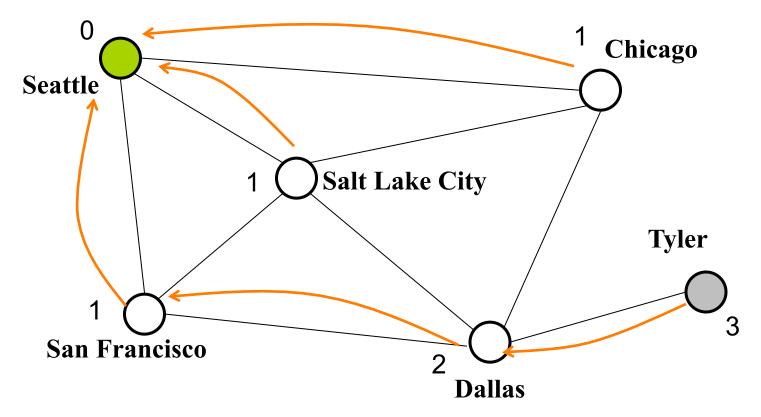
### Saving the Path

- Our graph traversals can answer the reachability question:
  - "Is there a path from node x to node y?"
- But what if we want to actually output the path?
  - Like getting driving directions rather than just knowing it's possible to get there!
- How to do it:
  - Instead of just "marking" a node, store the previous node along the path (when processing u causes us to add v to the search, set v.path field to be u)
  - When you reach the goal, follow path fields back to where you started (and then reverse the answer)
  - If just wanted path *length*, could put the integer distance at each node instead

### Example using BFS

What is a path from Seattle to Tyler

- Remember marked nodes are not re-enqueued
- Note shortest paths may not be unique



### Single source shortest paths

- Done: BFS to find the minimum path length from v to u in O(|E|+|V|)
- Actually, can find the minimum path length from v to every node
  - Still O(|E|+|V|)
  - No faster way for a "distinguished" destination in the worst-case
- Now: Weighted graphs

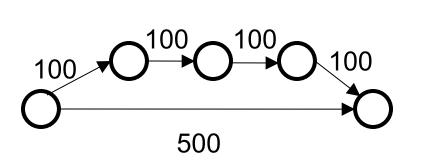
Given a weighted graph and node **v**, find the minimum-cost path from **v** to every node

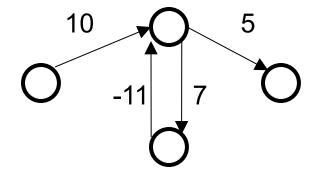
As before, asymptotically no harder than for one destination

### **Applications**

- Driving directions
- Cheap flight itineraries
- Network routing
- Critical paths in project management

### Not as easy as BFS





Why BFS won't work: Shortest path may not have the fewest edges

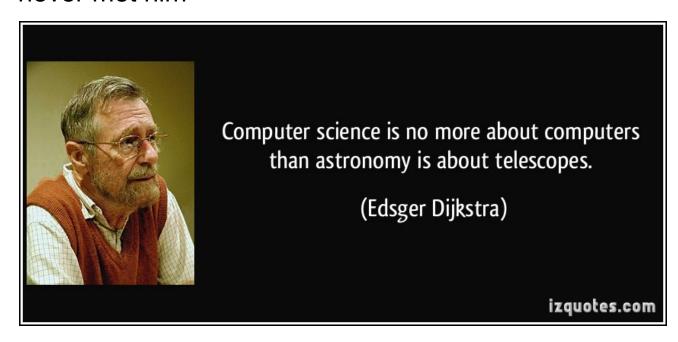
Annoying when this happens with costs of flights

We will assume there are no negative weights

- Problem is ill-defined if there are negative-cost cycles
- Today's algorithm is wrong if edges can be negative
  - There are other, slower (but not terrible) algorithms

### Dijkstra's Algorithm

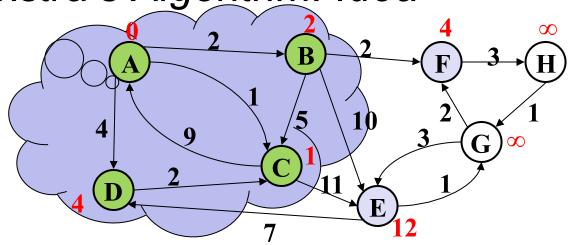
- Named after its inventor Edsger Dijkstra (1930-2002)
  - Truly one of the "founders" of computer science;
     this is just one of his many contributions
  - Many people have a favorite Dijkstra story, even if they never met him



### Dijkstra's Algorithm

- The idea: reminiscent of BFS, but adapted to handle weights
  - Grow the set of nodes whose shortest distance has been computed
  - Nodes not in the set will have a "best distance so far"
  - A priority queue will turn out to be useful for efficiency
- An example of a greedy algorithm
  - A series of steps
  - At each one the locally optimal choice is made

Dijkstra's Algorithm: Idea

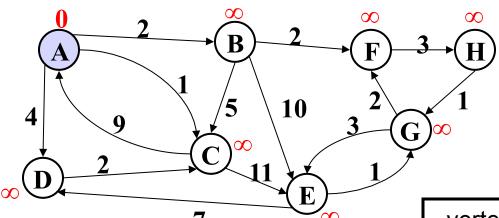


- Initially, start node has cost 0 and all other nodes have cost ∞
- At each step:
  - Pick closest unknown vertex v
  - Add it to the "cloud" of known vertices
  - Update distances for nodes with edges from v
- That's it! (But we need to prove it produces correct answers)

### The Algorithm

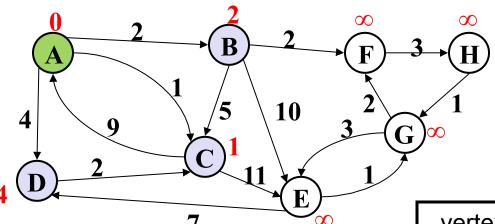
- 1. For each node v, set  $v.cost = \infty$  and v.known = false
- 2. Set source.cost = 0
- 3. While there are unknown nodes in the graph
  - a) Select the unknown node **v** with lowest cost
  - b) Mark v as known
  - c) For each edge (v,u) with weight w,

```
c1 = v.cost + w// cost of best path through v to u
c2 = u.cost // cost of best path to u previously known
if(c1 < c2) { // if the path through v is better
    u.cost = c1
    u.path = v // for computing actual paths
}</pre>
```



Order Added to Known Set:

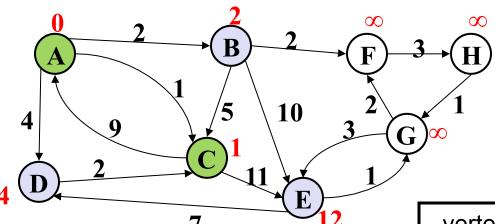
vertex	known?	cost	path
Α		0	
В		??	
С		??	
D		??	
Е		??	
F		??	
G		??	
Н		??	



#### Order Added to Known Set:

A

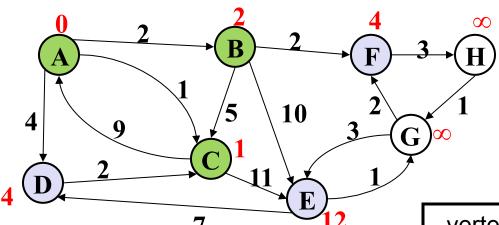
vertex	known?	cost	path
Α	Y	0	
В		≤ 2	А
С		≤ 1	А
D		≤ 4	Α
Е		??	
F		??	
G		??	
Н		??	



#### Order Added to Known Set:

A, C

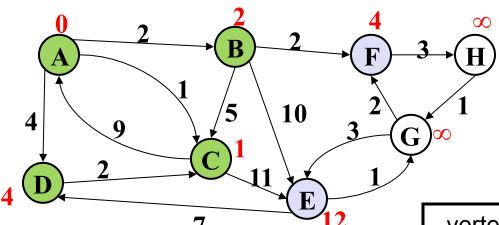
vertex	known?	cost	path
Α	Υ	0	
В		≤ 2	А
С	Y	1	Α
D		≤ 4	Α
Е		≤ 12	С
F		??	
G		??	
Н		??	



#### Order Added to Known Set:

A, C, B

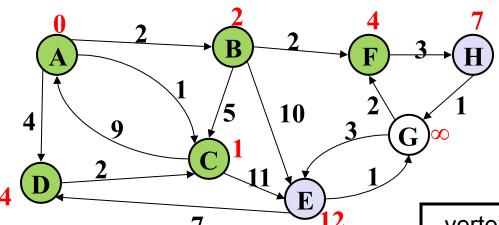
vertex	known?	cost	path
Α	Y	0	
В	Y	2	Α
С	Y	1	Α
D		≤ 4	Α
Е		≤ 12	С
F		≤ 4	В
G		??	
Н		??	



#### Order Added to Known Set:

A, C, B, D

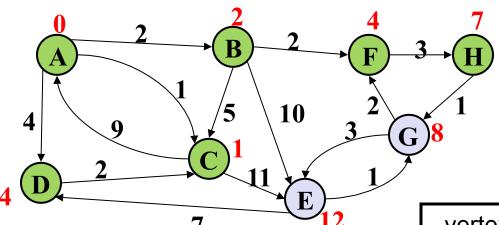
vertex	known?	cost	path
Α	Y	0	
В	Y	2	А
С	Y	1	Α
D	Y	4	Α
Е		≤ 12	С
F		≤ 4	В
G		??	
Н		??	



#### Order Added to Known Set:

A, C, B, D, F

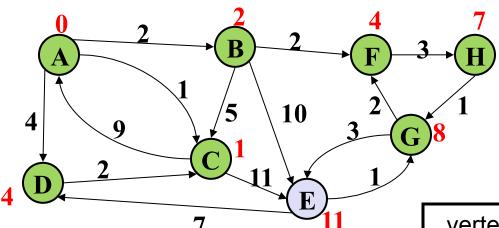
vertex	known?	cost	path
Α	Y	0	
В	Y	2	Α
С	Y	1	Α
D	Y	4	Α
Е		≤ 12	С
F	Y	4	В
G		??	
Н		≤ 7	F



#### Order Added to Known Set:

A, C, B, D, F, H

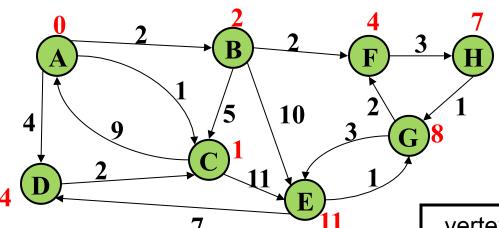
vertex	known?	cost	path
Α	Υ	0	
В	Υ	2	Α
С	Υ	1	Α
D	Υ	4	Α
E		≤ 12	С
F	Υ	4	В
G		≤ 8	Н
Н	Υ	7	F



#### Order Added to Known Set:

A, C, B, D, F, H, G

vertex	known?	cost	path
Α	Y	0	
В	Y	2	Α
С	Υ	1	Α
D	Υ	4	Α
E		≤ 11	G
F	Y	4	В
G	Y	8	Η
Н	Y	7	F



#### Order Added to Known Set:

A, C, B, D, F, H, G, E

vertex	known?	cost	path
Α	Y	0	
В	Y	2	Α
С	Y	1	Α
D	Y	4	Α
Е	Y	11	G
F	Y	4	В
G	Y	8	Н
Н	Y	7	F

### **Features**

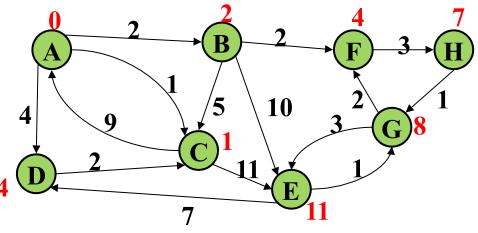
- When a vertex is marked known,
   the cost of the shortest path to that node is known
  - The path is also known by following back-pointers
- While a vertex is still not known, another shorter path to it might still be found

Note: The "Order Added to Known Set" is not important

- A detail about how the algorithm works (client doesn't care)
- Not used by the algorithm (implementation doesn't care)
- It is sorted by path-cost, resolving ties in some way
  - Helps give intuition of why the algorithm works

### Interpreting the Results

Now that we're done, how do we get the path from, say, A to E?



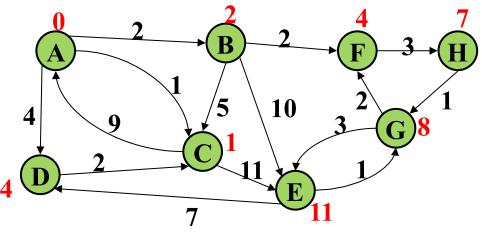
Order Added to Known Set:

A, C, B, D, F, H, G, E

known?	cost	path
Y	0	
Υ	2	Α
Y	1	Α
Υ	4	Α
Y	11	G
Y	4	В
Y	8	Н
Y	7	F
	Y Y Y Y Y Y	Y 0 Y 2 Y 1 Y 4 Y 11 Y 4 Y 8

## Stopping Short

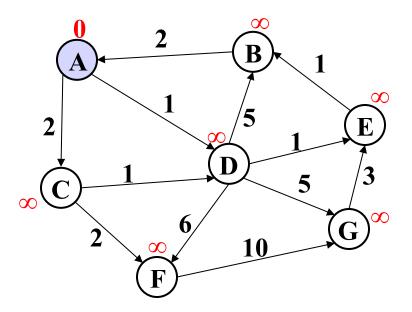
- How would this have worked differently if we were only interested in:
  - The path from A to G?
  - The path from A to E?



Order Added to Known Set:

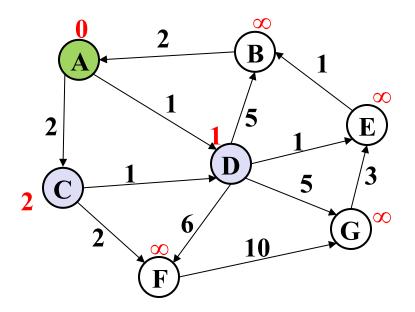
A, C, B, D, F, H, G, E

known?	cost	path
Y	0	
Υ	2	Α
Y	1	Α
Υ	4	Α
Υ	11	G
Υ	4	В
Y	8	Н
Y	7	F
	Y Y Y Y Y Y	Y 0 Y 2 Y 1 Y 4 Y 11 Y 4 Y 8



Order Added to Known Set:

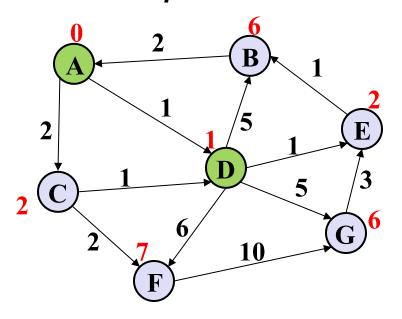
vertex	known?	cost	path
Α		0	
В		??	
С		??	
D		??	
E		??	
F		??	
G		??	



#### Order Added to Known Set:

A

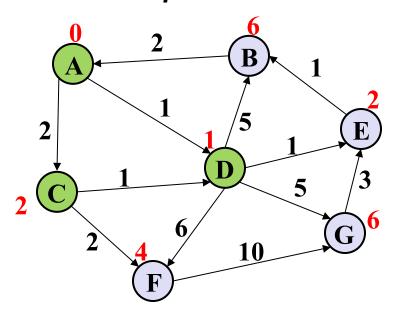
vertex	known?	cost	path
Α	Υ	0	
В		??	
С		≤ 2	Α
D		≤ 1	Α
Е		??	
F		??	
G		??	



#### Order Added to Known Set:

A, D

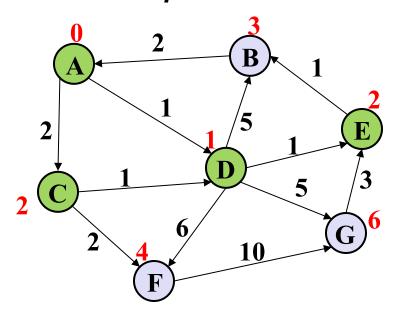
vertex	known?	cost	path
Α	Υ	0	
В		≤ 6	D
С		≤ 2	Α
D	Υ	1	Α
Е		≤ 2	D
F		≤ 7	D
G		≤ 6	D



#### Order Added to Known Set:

A, D, C

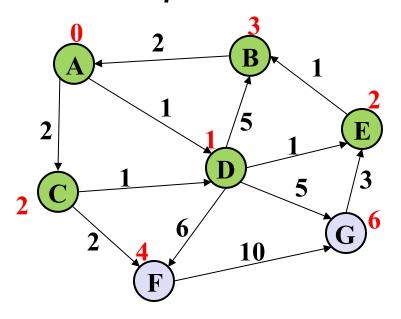
vertex	known?	cost	path
Α	Υ	0	
В		≤ 6	D
С	Υ	2	Α
D	Υ	1	Α
Е		≤ 2	D
F		≤ 4	С
G		≤ 6	D



#### Order Added to Known Set:

A, D, C, E

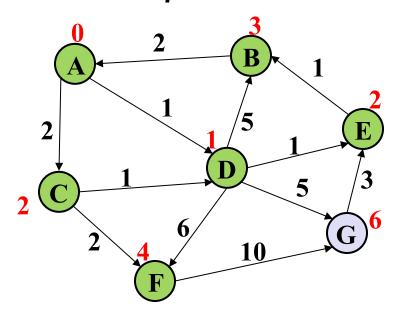
vertex	known?	cost	path
Α	Y	0	
В		≤ 3	Е
С	Y	2	Α
D	Y	1	Α
Е	Y	2	D
F		≤ 4	С
G		≤ 6	D



#### Order Added to Known Set:

A, D, C, E, B

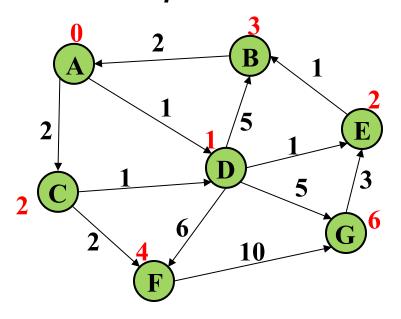
vertex	known?	cost	path
Α	Y	0	
В	Y	3	Е
С	Y	2	Α
D	Y	1	Α
Е	Y	2	D
F		≤ 4	С
G		≤ 6	D



#### Order Added to Known Set:

A, D, C, E, B, F

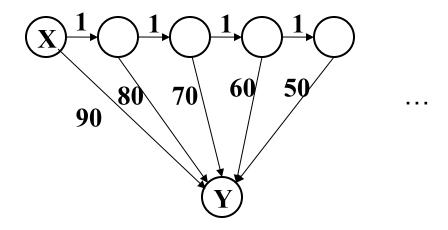
vertex	known?	cost	path
Α	Y	0	
В	Y	3	Е
С	Y	2	Α
D	Y	1	Α
Е	Y	2	D
F	Y	4	С
G		≤ 6	D



#### Order Added to Known Set:

A, D, C, E, B, F, G

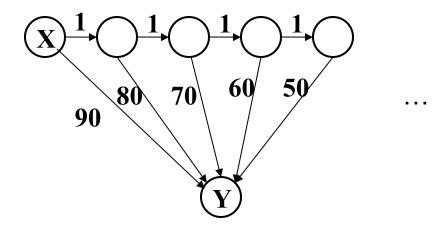
vertex	known?	cost	path
Α	Y	0	
В	Y	3	Е
С	Y	2	Α
D	Y	1	Α
Е	Y	2	D
F	Y	4	С
G	Y	6	D



How will the best-cost-so-far for Y proceed?

Is this expensive?

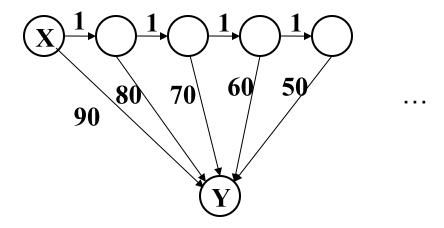
# Example #3



How will the best-cost-so-far for Y proceed? 90, 81, 72, 63, 54, ...

Is this expensive?

#### Example #3



How will the best-cost-so-far for Y proceed? 90, 81, 72, 63, 54, ...

Is this expensive? No, each edge is processed only once

## A Greedy Algorithm

- Dijkstra's algorithm
  - For single-source shortest paths in a weighted graph (directed or undirected) with no negative-weight edges
- An example of a greedy algorithm:
  - At each step, always does what seems best at that step
    - A locally optimal step, not necessarily globally optimal
  - Once a vertex is known, it is not revisited
    - Turns out to be globally optimal

#### Where are We?

- Had a problem: Compute shortest paths in a weighted graph with no negative weights
- Learned an algorithm: Dijkstra's algorithm
- What should we do after learning an algorithm?
  - Prove it is correct
    - Not obvious!
    - We will sketch the key ideas
  - Analyze its efficiency
    - Will do better by using a data structure we learned earlier!

#### Correctness: Intuition

#### Rough intuition:

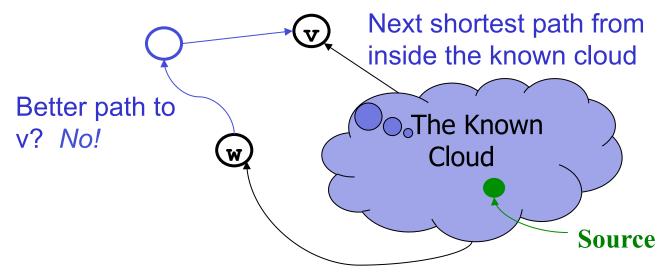
All the "known" vertices have the correct shortest path

- True initially: shortest path to start node has cost 0
- If it stays true every time we mark a node "known", then by induction this holds and eventually everything is "known"

Key fact we need: When we mark a vertex "known" we won't discover a shorter path later!

- This holds only because Dijkstra's algorithm picks the node with the next shortest path-so-far
- The proof is by contradiction...

## Correctness: The Cloud (Rough Sketch)



Suppose **v** is the next node to be marked known ("added to the cloud")

- The best-known path to v must have only nodes "in the cloud"
  - Else we would have picked a node closer to the cloud than v
- Suppose the actual shortest path to v is different
  - It won't use only cloud nodes, or we would know about it
  - So it must use non-cloud nodes. Let w be the first non-cloud node on this path. The part of the path up to w is already known and must be shorter than the best-known path to v. So v would not have been picked. Contradiction.

Use pseudocode to determine asymptotic run-time

```
dijkstra(Graph G, Node start) {
  for each node: x.cost=infinity, x.known=false
  start.cost = 0
  while(not all nodes are known) {
    b = find unknown node with smallest cost
    b.known = true
    for each edge (b,a) in G
     if(!a.known)
       if(b.cost + weight((b,a)) < a.cost){</pre>
         a.cost = b.cost + weight((b,a))
         a.path = b
```

Use pseudocode to determine asymptotic run-time

```
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## Improving asymptotic running time

- So far:  $O(|V|^2)$
- We had a similar "problem" with topological sort being  $O(|V|^2)$  due to each iteration looking for the node to process next
  - We solved it with a queue of zero-degree nodes
  - But here we need the lowest-cost node and costs can change as we process edges
- Solution?

## Improving (?) asymptotic running time

- So far:  $O(|V|^2)$
- We had a similar "problem" with topological sort being  $O(|V|^2)$  due to each iteration looking for the node to process next
  - We solved it with a queue of zero-degree nodes
  - But here we need the lowest-cost node and costs can change as we process edges
- Solution?
  - A priority queue holding all unknown nodes, sorted by cost
  - But must support decreaseKey operation
    - Must maintain a reference from each node to its current position in the priority queue
    - Conceptually simple, but can be a pain to code up

```
dijkstra(Graph G, Node start) {
  for each node: x.cost=infinity, x.known=false
  start.cost = 0
 build-heap with all nodes
  while(heap is not empty) {
    b = deleteMin()
    b.known = true
    for each edge (b,a) in G
     if(!a.known)
      if(b.cost + weight((b,a)) < a.cost){</pre>
        decreaseKey(a, "new cost - old cost"
        a.path = b
```

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      if(b.cost + weight((b,a)) < a.cost){</pre>
                                                 O(|E|log|V|)
        decreaseKey(a, "new cost - old cost"
        a.path = b
```

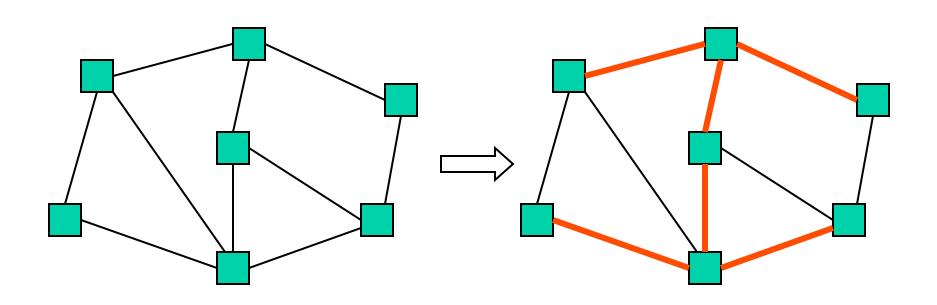
```
dijkstra(Graph G, Node start) {
  for each node: x.cost=infinity, x.known=false
  start.cost = 0
  build-heap with all nodes
  while(heap is not empty) {
                                                  O(|V|log|V|
    b = deleteMin()
    b.known = true
    for each edge (b,a) in G
     if(!a.known)
      if(b.cost + weight((b,a)) < a.cost){</pre>
                                                  O(|E|log|V|)
        decreaseKey(a, "new cost - old cost"
         a.path = b
                                           O(|V|\log|V|+|E|\log|V|)
```

## Dense vs. sparse again

- First approach: O(|V|²)
- Second approach: O(|V|log|V|+|E|log|V|)
- So which is better?
  - Sparse:  $O(|V|\log|V|+|E|\log|V|)$  (if |E| > |V|, then  $O(|E|\log|V|)$ )
  - Dense:  $O(|V|^2)$
- But, remember these are worst-case and asymptotic
  - Priority queue might have slightly worse constant factors
  - On the other hand, for "normal graphs", we might call decreaseKey rarely (or not percolate far), making |E|log|V| more like |E|

# Spanning Trees

- A simple problem: Given a connected undirected graph G=(V,E), find a minimal subset of edges such that G is still connected
  - A graph G2=(V,E2) such that G2 is connected and removing any edge from E2 makes G2 disconnected



#### **Observations**

- 1. Any solution to this problem is a tree
  - Recall a tree does not need a root; just means acyclic
  - For any cycle, could remove an edge and still be connected
- 2. Solution not unique unless original graph was already a tree
- 3. Problem ill-defined if original graph not connected
  - So |E| ≥ |V|-1
- 4. A tree with |V| nodes has |V|-1 edges
  - So every solution to the spanning tree problem has |V|-1 edges

#### Motivation

A spanning tree connects all the nodes with as few edges as possible

- Example: A "phone tree" so everybody gets the message and no unnecessary calls get made
  - Bad example since would prefer a balanced tree

In most compelling uses, we have a *weighted* undirected graph and we want a tree of least total cost

- Example: Electrical wiring for a house or clock wires on a chip
- Example: A road network if you cared about asphalt cost rather than travel time

This is the minimum spanning tree problem

Will do that next, after intuition from the simpler case

## Two Approaches

Different algorithmic approaches to the spanning-tree problem:

- 1. Do a graph traversal (e.g., depth-first search, but any traversal will do), keeping track of edges that form a tree
- 2. Iterate through edges; add to output any edge that does not create a cycle