

Minimum Spanning Trees

CSE 373

Data Structures

Lecture 21

Recall Spanning Tree

- Given (connected) $G(V,E)$ a spanning tree $T(V',E')$:
 - › Spans the graph ($V' = V$)
 - › Forms a tree (no cycle); E' has $|V| - 1$ edges

Minimum Spanning Tree

- Edges are weighted: find minimum cost spanning tree
- Applications
 - › Find cheapest way to wire your house
 - › Find minimum cost to send a message on the Internet

Strategy for Minimum Spanning Tree

- For any spanning tree T , inserting an edge e_{new} not in T creates a cycle
 - › Removing any edge e_{old} from the cycle gives back a spanning tree
 - › If e_{new} has a lower cost than e_{old} we have progressed!

Strategy

- Strategy:
 - › Add an edge of minimum cost that does not create a cycle (greedy algorithm)
 - › Repeat $|V| - 1$ times
 - › Correct since if we could replace an edge with one of lower cost, the algorithm would have picked it up

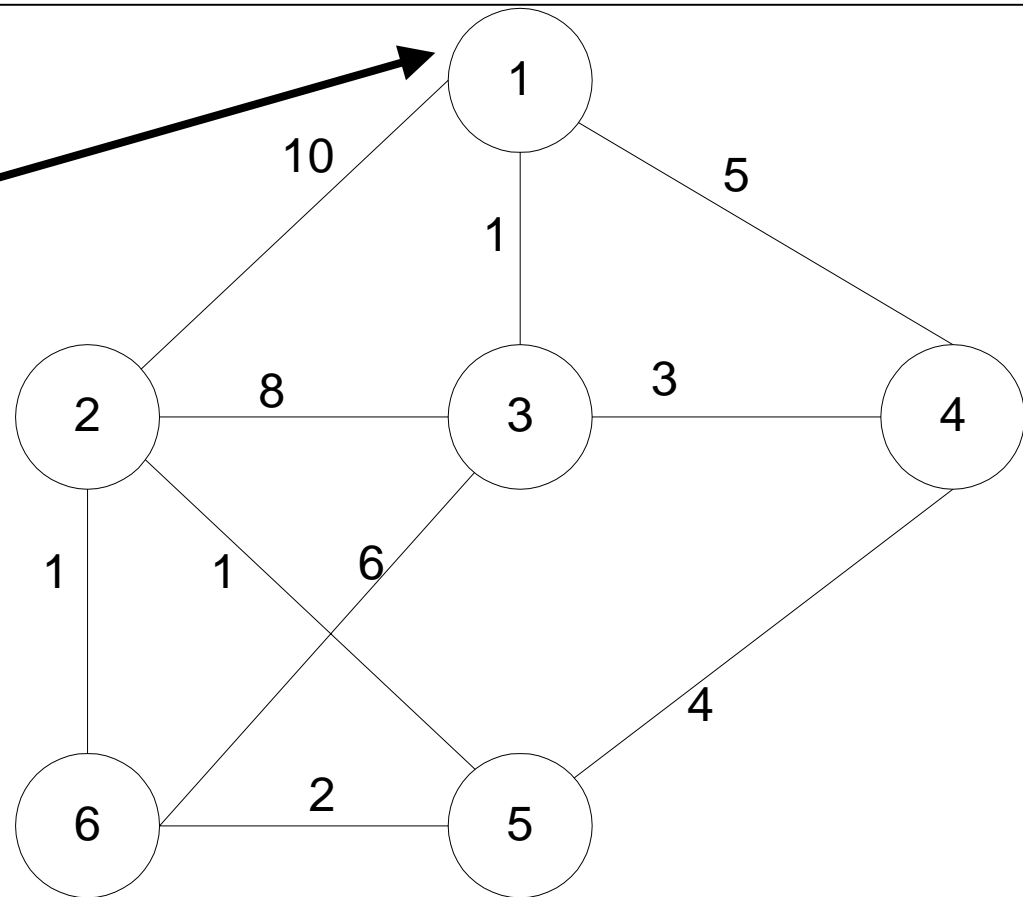
Two Algorithms

- Prim: (build tree incrementally)
 - › Pick lower cost edge connected to known (incomplete) spanning tree that does not create a cycle and expand to include it in the tree
- Kruskal: (build forest that will finish as a tree)
 - › Pick lower cost edge not yet in a tree that does not create a cycle and expand to include it somewhere in the forest

Prim's algorithm

Starting from empty T ,
choose a vertex at
random and initialize

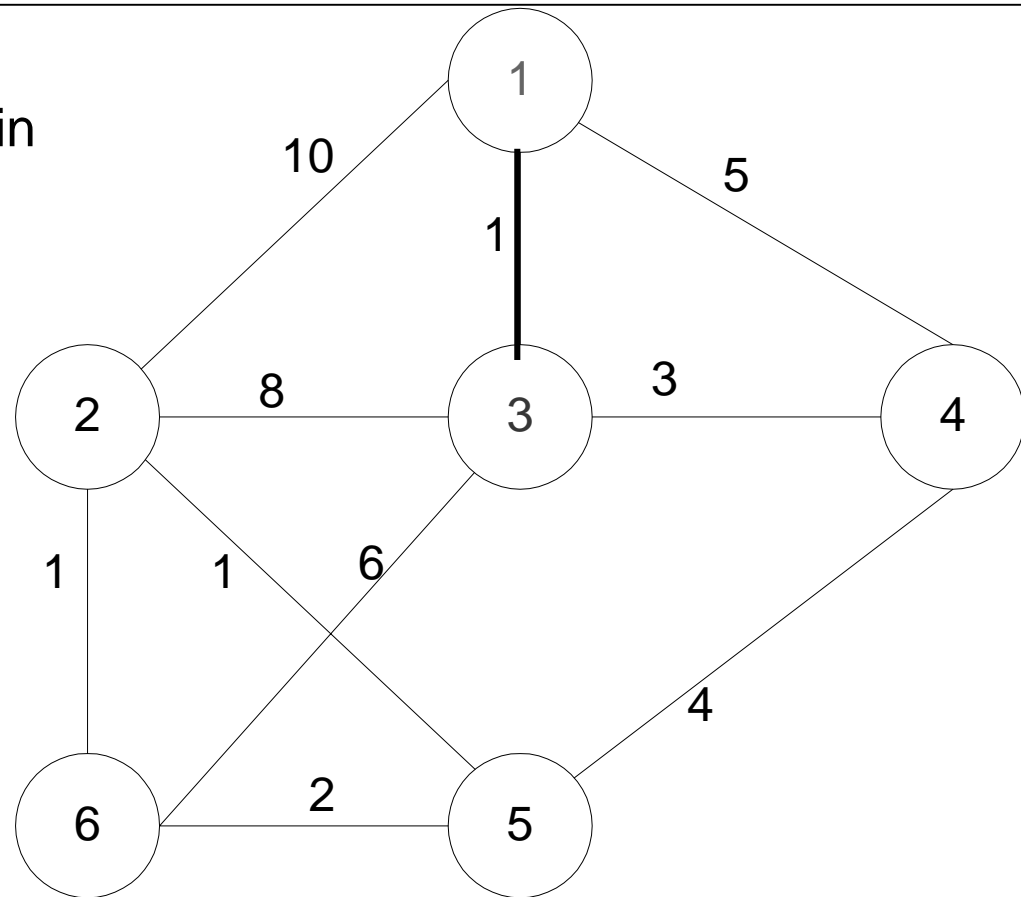
$V = \{1\}$, $E' = \{\}$



Prim's algorithm

Choose the vertex u not in V such that edge weight from u to a vertex in V is minimal (greedy!)

$V = \{1, 3\}$ $E' = \{1, 3\}$



Prim's algorithm

Repeat until all vertices have been chosen

Choose the vertex u not in V such that edge weight from v to a vertex in V is minimal (greedy!)

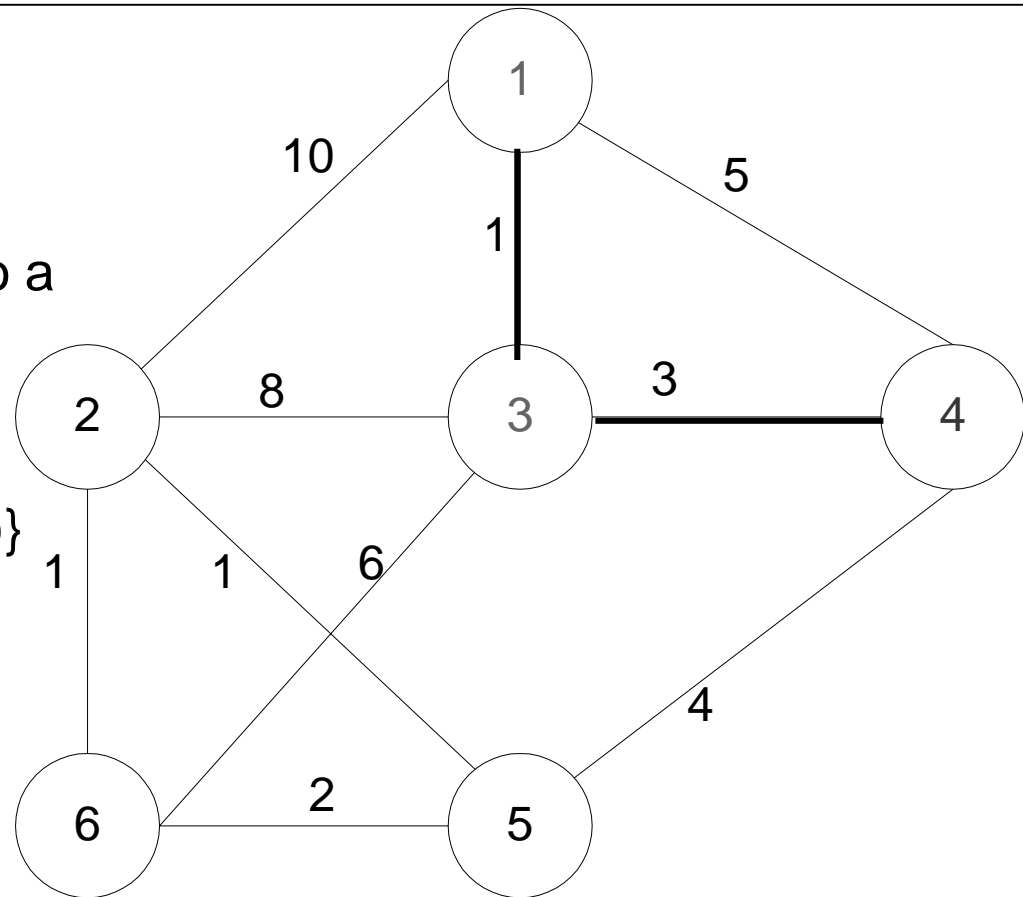
$V = \{1, 3, 4\}$ $E' = \{(1, 3), (3, 4)\}$

$V = \{1, 3, 4, 5\}$ $E' = \{(1, 3), (3, 4), (4, 5)\}$

....

$V = \{1, 3, 4, 5, 2, 6\}$

$E' = \{(1, 3), (3, 4), (4, 5), (5, 2), (2, 6)\}$



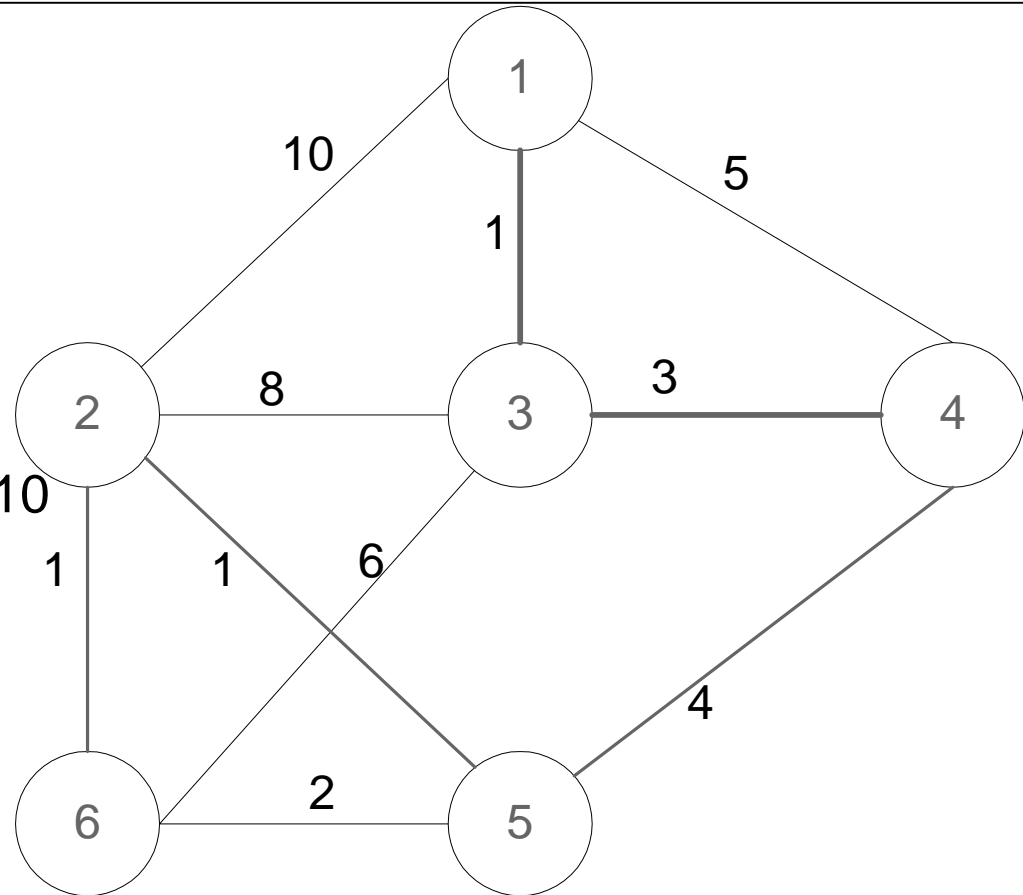
Prim's algorithm

Repeat until all vertices have been chosen

$V = \{1, 3, 4, 5, 2, 6\}$

$E' = \{(1, 3), (3, 4), (4, 5), (5, 2), (2, 6)\}$

Final Cost: $1 + 3 + 4 + 1 + 1 = 10$



Prim's Algorithm Implementation

- Assume adjacency list representation

Initialize connection cost of each node to “inf” and “unmark” them

Choose one node, say v and set $\text{cost}[v] = 0$ and $\text{prev}[v] = 0$

While there are unmarked nodes

 Select the unmarked node u with minimum cost; mark it

 For each unmarked node w adjacent to u

 if $\text{cost}(u,w) < \text{cost}(w)$ then $\text{cost}(w) := \text{cost}(u,w)$

$\text{prev}[w] = u$

- Looks a lot like Dijkstra's algorithm!

Prim's algorithm Analysis

- Like Dijkstra's algorithm
- If the “Select the unmarked node u with minimum cost” is done with binary heap then $O((n+m)\log n)$

Kruskal's Algorithm

- Select edges in order of increasing cost
- Accept an edge to expand tree or forest only if it does not cause a cycle
- Implementation using adjacency list, priority queues and disjoint sets

Kruskal's Algorithm

Initialize a forest of trees, each tree being a single node

Build a priority queue of edges with priority being lowest cost

Repeat until $|V| - 1$ edges have been accepted {

 Delete min edge from priority queue

 If it forms a cycle then discard it

 else accept the edge – It will join 2 existing trees yielding a larger tree
 and reducing the forest by one tree

}

The accepted edges form the minimum spanning tree

Detecting Cycles

- If the edge to be added (u,v) is such that vertices u and v belong to the same tree, then by adding (u,v) you would form a cycle
 - › Therefore to check, $\text{Find}(u)$ and $\text{Find}(v)$. If they are the same discard (u,v)
 - › If they are different $\text{Union}(\text{Find}(u), \text{Find}(v))$

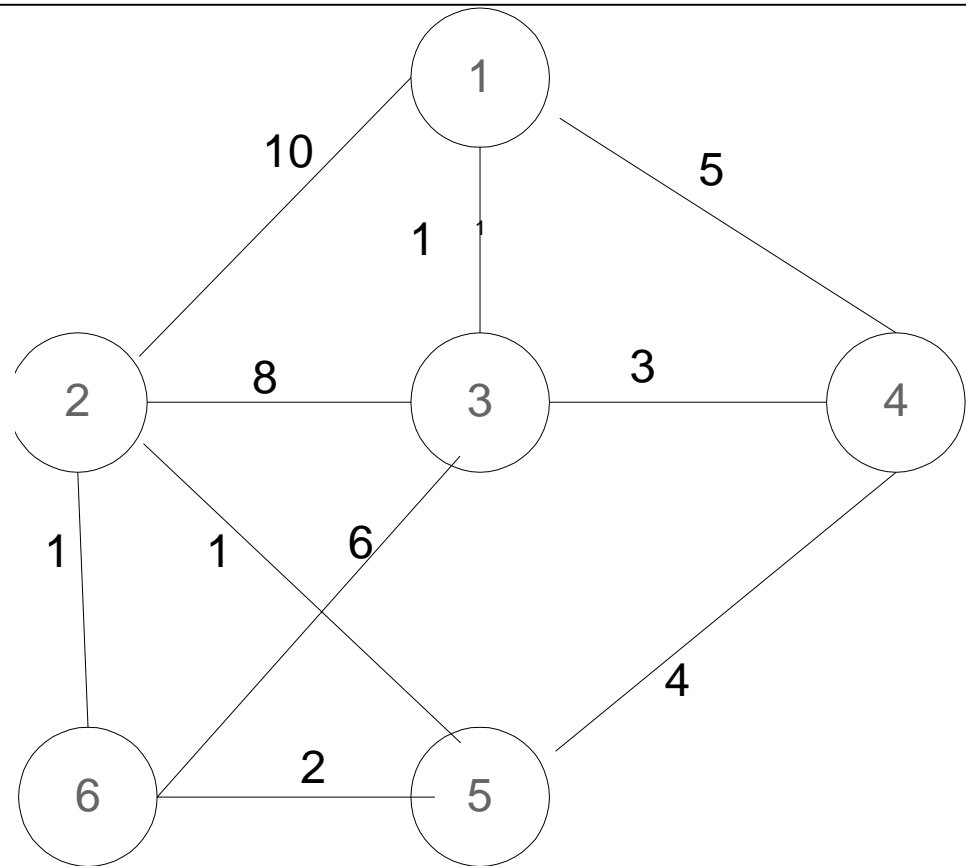
Properties of trees in K's algorithm

- Vertices in different trees are disjoint
 - › True at initialization and Union won't modify the fact for remaining trees
- Trees form equivalent classes under the relation "is connected to"
 - › u connected to u (reflexivity)
 - › u connected to v implies v connected to u (symmetry)
 - › u connected to v and v connected to w implies a path from u to w so u connected to w (transitivity)

K's Algorithm Data Structures

- Adjacency list for the graph
 - › To perform the initialization of the data structures below
- Disjoint Set ADT's for the trees (recall Up tree implementation of Union-Find)
- Binary heap for edges

Example

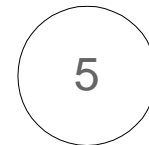
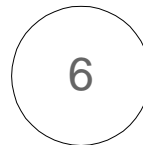
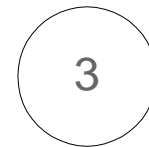
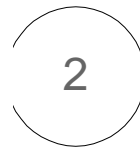
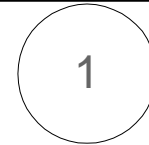


Initialization

Initially, Forest of 6 trees

$F = \{\{1\}, \{2\}, \{3\}, \{4\}, \{5\}, \{6\}\}$

Edges in a heap (not shown)



Step 1

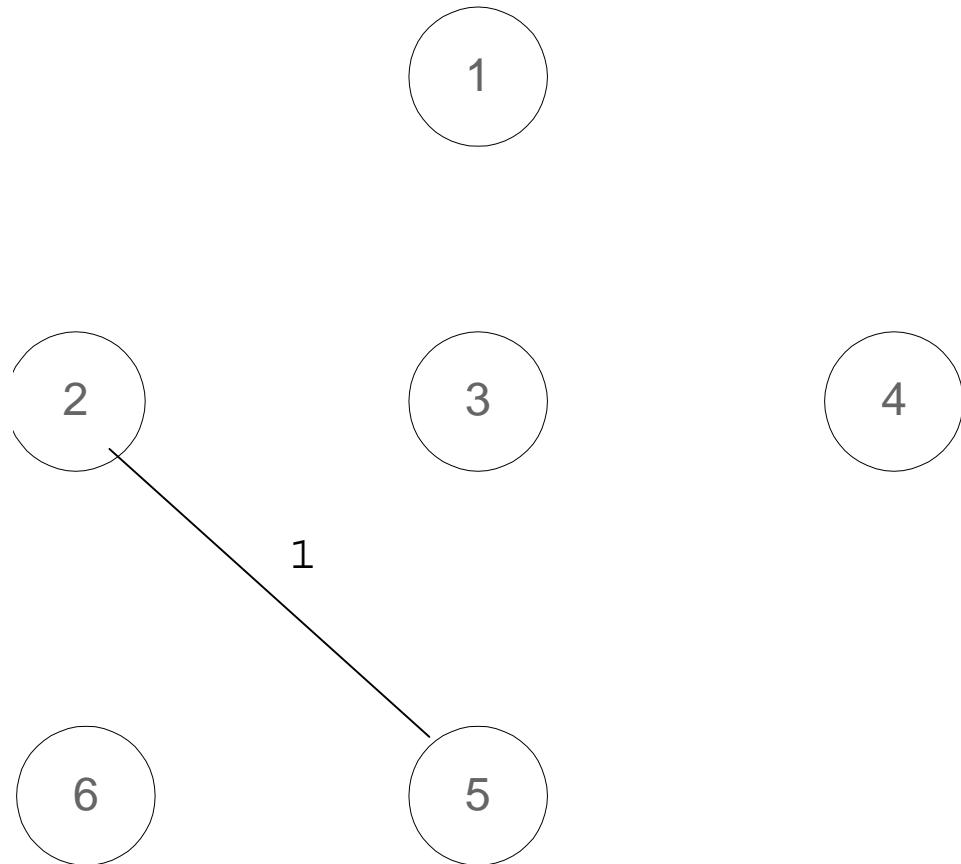
Select edge with lowest cost (2,5)

Find(2) = 2, Find (5) = 5

Union(2,5)

$F = \{\{1\}, \{2,5\}, \{3\}, \{4\}, \{6\}\}$

1 edge accepted



Step 2

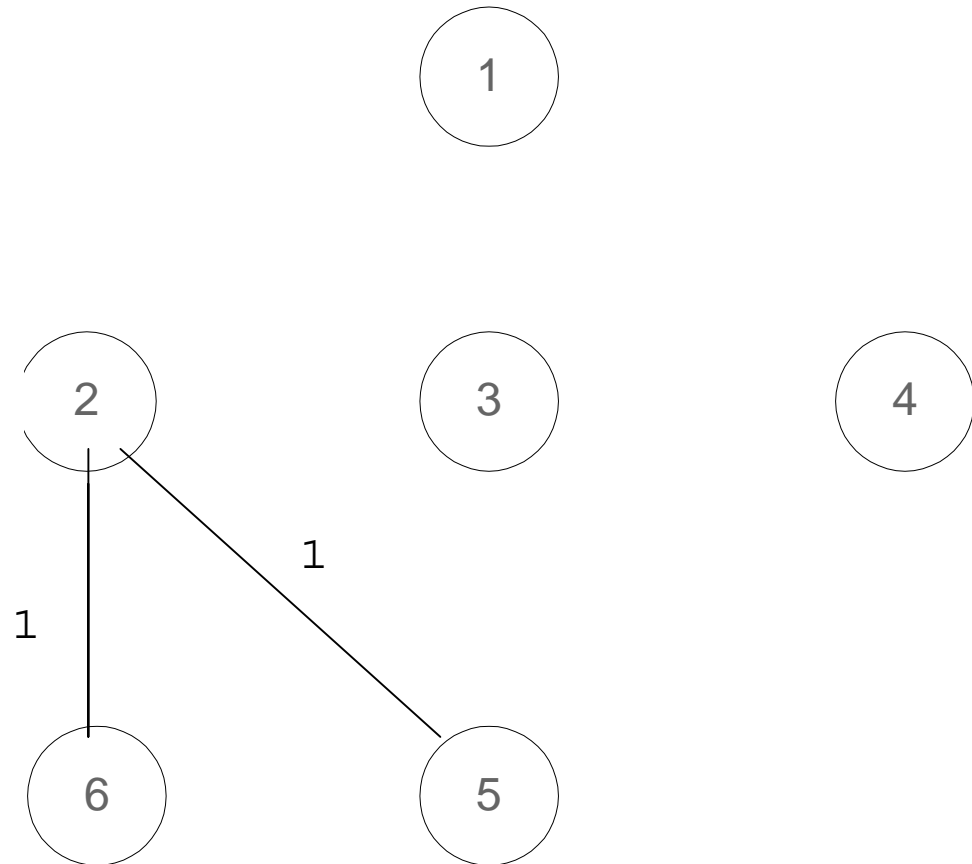
Select edge with lowest cost (2,6)

Find(2) = 2, Find (6) = 6

Union(2,6)

$F = \{\{1\}, \{2,5,6\}, \{3\}, \{4\}\}$

2 edges accepted



Step 3

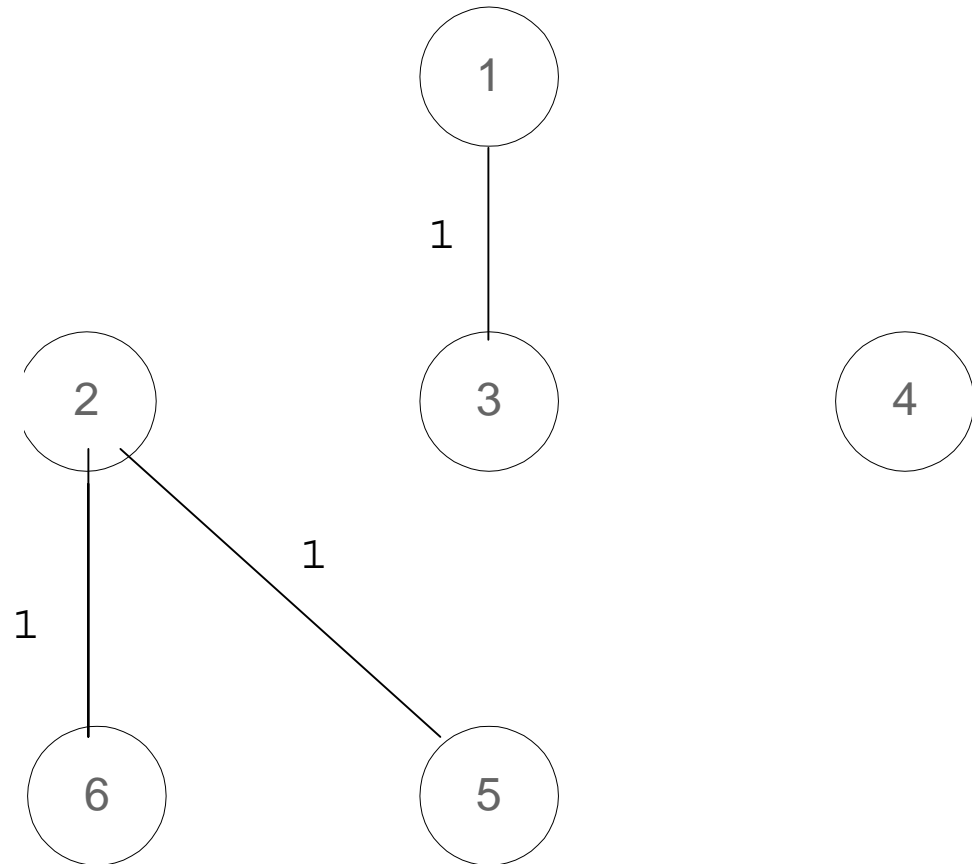
Select edge with lowest cost (1,3)

Find(1) = 1, Find (3) = 3

Union(1,3)

F = {{1,3},{2,5,6},{4}}

3 edges accepted



Step 4

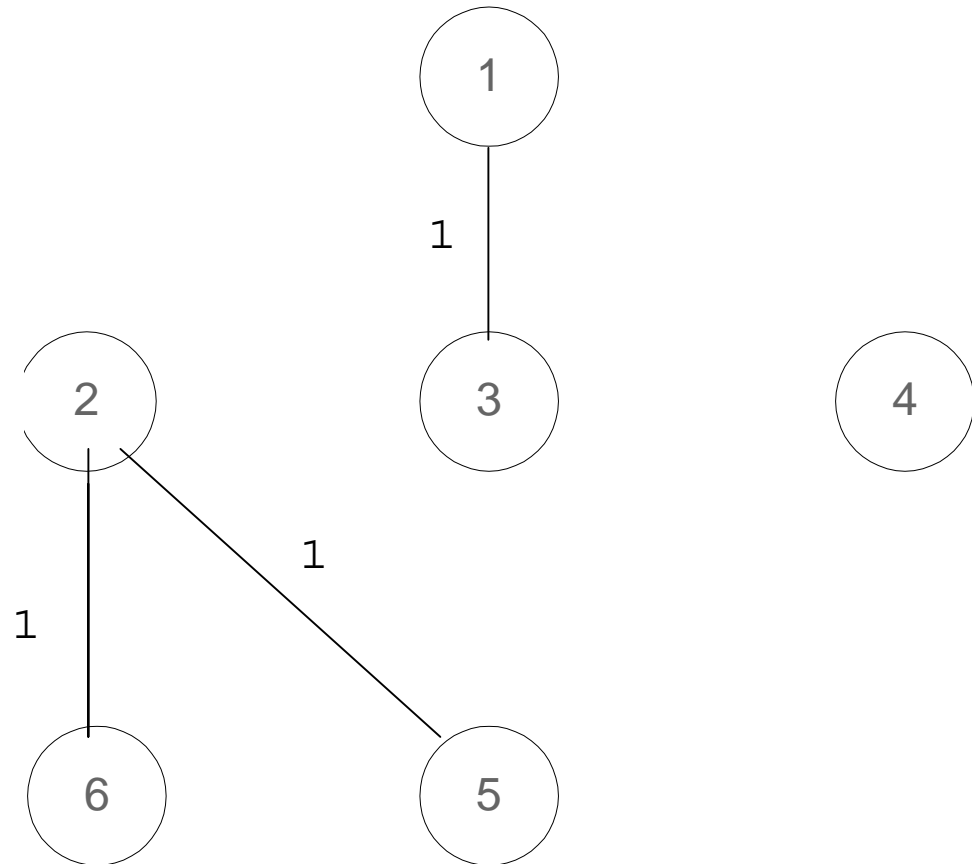
Select edge with lowest cost (5,6)

Find(5) = 2, Find (6) = 2

Do nothing

$F = \{\{1,3\}, \{2,5,6\}, \{4\}\}$

3 edges accepted



Step 5

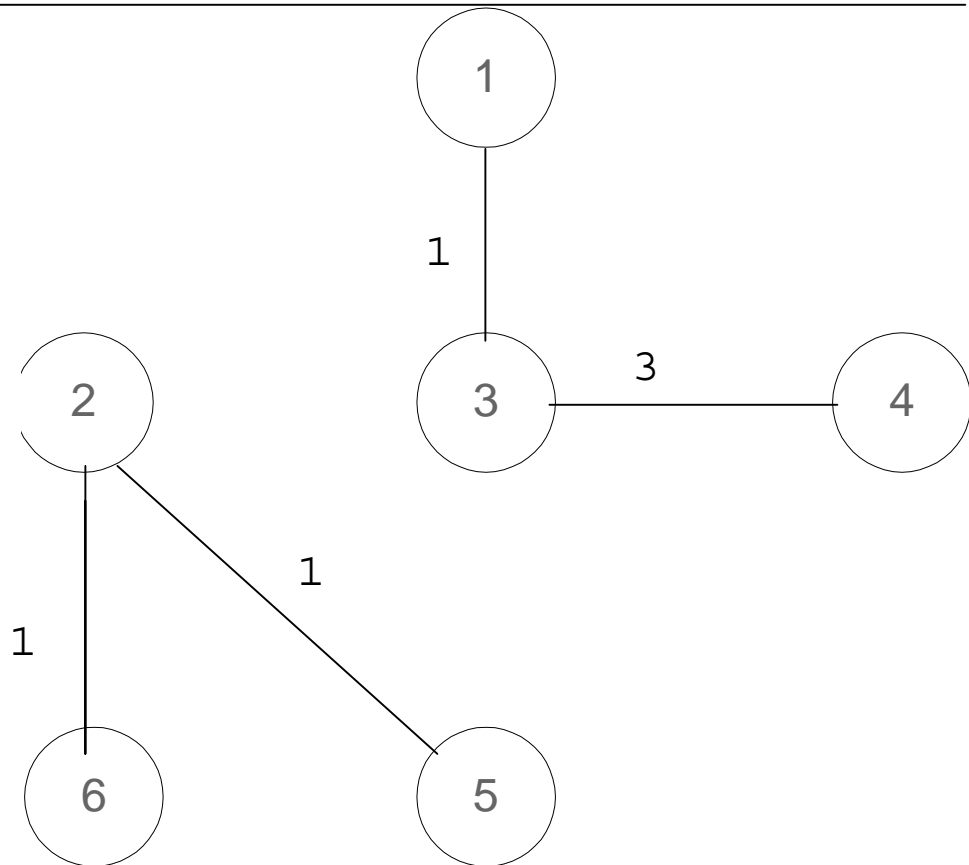
Select edge with lowest cost (3,4)

Find(3) = 1, Find (4) = 4

Union(1,4)

$F = \{\{1,3,4\}, \{2,5,6\}\}$

4 edges accepted



Step 6

Select edge with lowest cost (4,5)

Find(4) = 1, Find (5) = 2

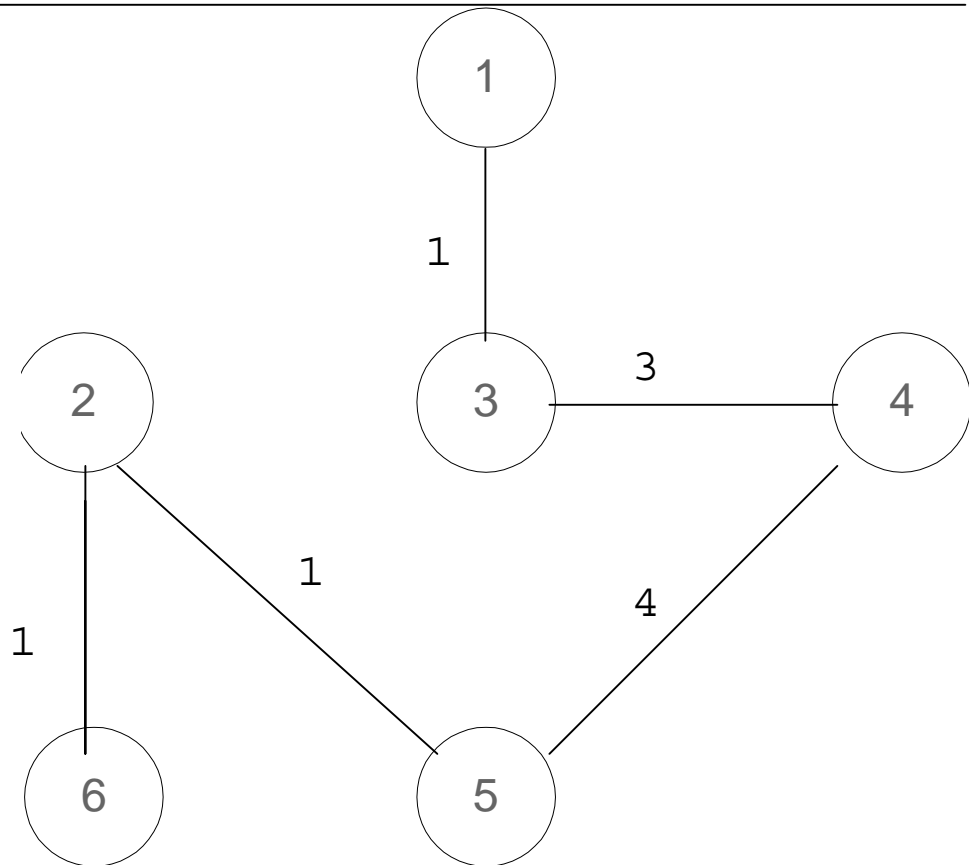
Union(1,2)

$F = \{\{1,3,4,2,5,6\}\}$

5 edges accepted : end

Total cost = 10

Although there is a unique spanning tree in this example, this is not generally the case



Kruskal's Algorithm Analysis

- Initialize forest $O(n)$
- Initialize heap $O(m)$, $m = |E|$
- Loop performed m times
 - › In the loop one Deletemin $O(\log m)$
 - › Two Find, each $O(\log n)$
 - › One Union (at most) $O(1)$
- So worst case $O(m \log m) = O(m \log n)$

Time Complexity Summary

- Recall that $m = |E| = O(V^2) = O(n^2)$
- Prim's runs in $O((n+m) \log n)$
- Kruskal's runs in $O(m \log m) = O(m \log n)$
- In practice, Kruskal has a tendency to run faster since graphs might not be dense and not all edges need to be looked at in the Deletemin operations