

Trees

CSE 373
Data Structures
Unit 6

Reading: Chapter 4.1-4.3

Why Do We Need Trees?

- Lists, Stacks, and Queues are linear relationships
- Information often contains hierarchical relationships
 - › File directories or folders
 - › Moves in a game
 - › Hierarchies in organizations

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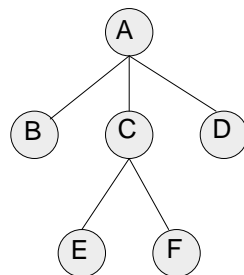
Tree Jargon

- root
- nodes and edges
- leaves

- parent, children, siblings
- ancestors, descendants

- subtrees

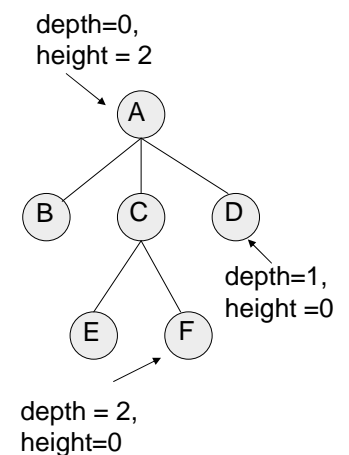
- path, path length
- height, depth



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More Tree Jargon

- **Length** of a path = number of edges
- **Depth** of a node N = length of path from root to N
- **Height** of node N = length of longest path from N to a leaf
- **Depth of tree** = depth of deepest node
- **Height of tree** = height of root



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Definition and Tree Trivia

- A tree is a set of nodes, i.e., either
 - › it's an empty set of nodes, or
 - › it has one node called the root from which zero or more trees (subtrees) descend
 - Two nodes in a tree have at most one path between them
 - Can a non-zero path from node N reach node N again?
- No. Trees can never have cycles (loops)

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Paths

- A tree with N nodes always has N-1 edges (prove it by induction)

Base Case: $N=1$

Inductive Hypothesis: Suppose that a tree with $N=k$ nodes always has $k-1$ edges.

Induction: Suppose $N=k+1$...

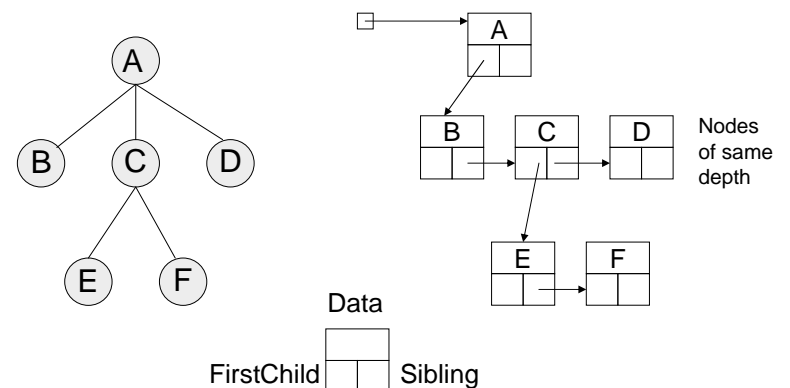
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Implementation of Trees

- One possible pointer-based Implementation
 - › tree nodes with value and a pointer to each child
 - › but how many pointers should we allocate space for?
- A more flexible pointer-based implementation
 - › 1st Child / Next Sibling List Representation
 - › Each node has 2 pointers: one to its first child and one to next sibling
 - › Can handle arbitrary number of children

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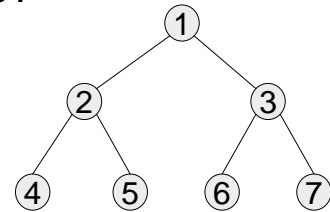
Arbitrary Branching



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Binary Trees

- Every node has at most two children
 - › Most popular tree in computer science
- Given N nodes, what is the minimum depth of a binary tree?



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Binary Trees

- › At depth 0 (the root) there is one node.
- › At depth 1, there are two nodes.
- › At depth k, there are 2^k nodes
- › At depth d (tree depth), there might be 1 to 2^d nodes.

N is the total so

$$1+2+\dots+2^{(d-1)}+1 \leq N \leq 1+2+\dots+2^{(d-1)}+2^d$$

$$\Rightarrow 2^d \leq N \leq 2^{d+1} - 1 \text{ implies } d_{\min} = \lfloor \log_2 N \rfloor$$

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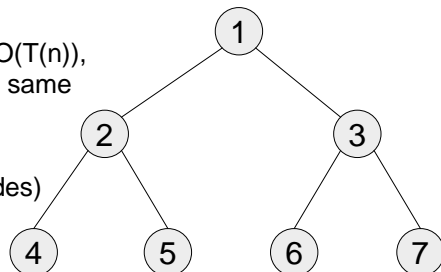
Minimum depth vs node count

- At depth d, you can have $N = 2^d$ to $2^{d+1}-1$ nodes
- minimum depth d is $\Theta(\log N)$

$T(n) = \Theta(f(n))$ means
 $T(n) = O(f(n))$ and $f(n) = O(T(n))$,
 i.e. T(n) and f(n) have the same
 growth rate

d=2

$N=2^2$ to 2^3-1 (i.e, 4 to 7 nodes)



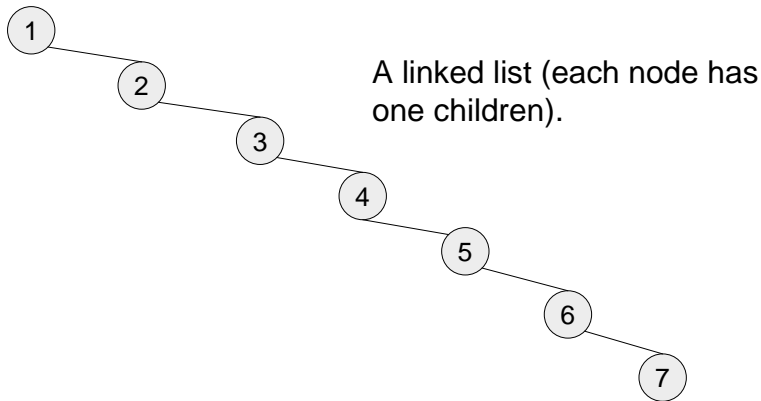
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Maximum depth vs node count

- What is the maximum depth of a binary tree?
 - › Degenerate case: Tree is a linked list!
 - › Maximum depth = N-1
- Goal: Would like to keep depth at around $\log N$ to get better performance than linked list for operations like Find

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A degenerate tree



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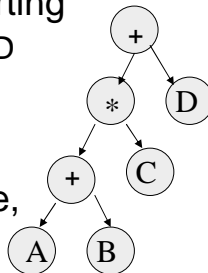
Traversing Binary Trees

- The definitions of the traversals are recursive definitions. For example:
 - › Visit the root
 - › Visit the left subtree (i.e., visit the tree whose root is the left child) and do this recursively
 - › Visit the right subtree (i.e., visit the tree whose root is the right child) and do this recursively
- Traversal definitions can be extended to general (non-binary) trees

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Traversing Binary Trees

- Preorder: Node, then Children (starting with the left) recursively + * + A B C D



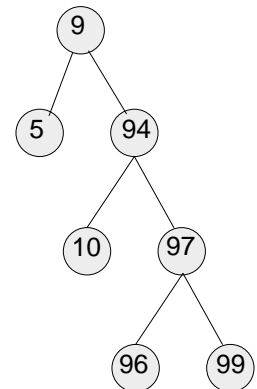
- Inorder: Left child recursively, Node, Right child recursively A + B * C + D
- Postorder: Children recursively, then Node A B + C * D +

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Binary Search Trees

- Binary search trees are binary trees in which
 - › all values in the node's left subtree are less than node value
 - › all values in the node's right subtree are greater than node value
- Operations:
 - › Find, FindMin, FindMax, Insert, Delete

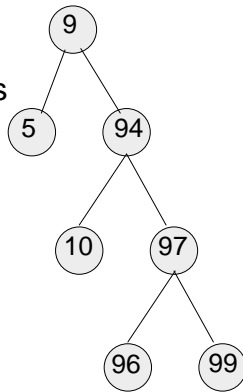
What happens when we traverse the tree in inorder?



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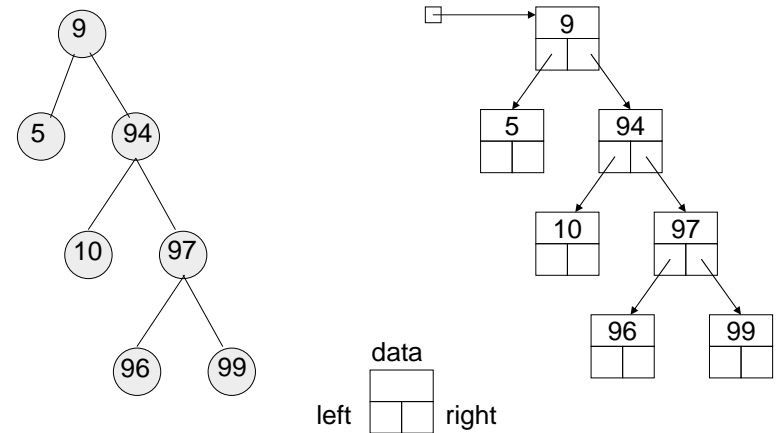
Operations on Binary Search Trees

- How would you implement these?
 - › Recursive definition of binary search trees allows recursive routines
 - › Call by reference helps too
- FindMin
- FindMax
- Find
- Insert
- Delete



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Binary Search Tree



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Find

```
Find(T : tree pointer, x : element): tree pointer {
case {
  T = null : return null;
  T.data = x : return T;
  T.data > x : return Find(T.left,x);
  T.data < x : return Find(T.right,x)
}
}
```

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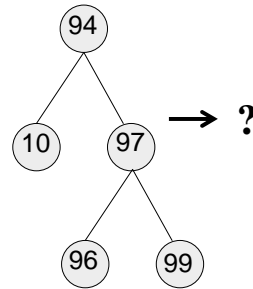
FindMin

- Design recursive FindMin operation that returns the smallest element in a binary search tree.
 - › FindMin(T : tree pointer) : tree pointer {
 - // precondition: T is not null //
 - ???

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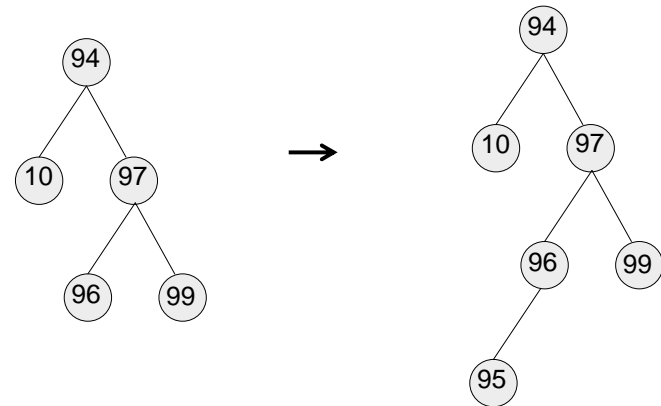
Insert Operation

- `Insert(T: tree, X: element)`
 - › Do a “Find” operation for X
 - › If X is found → update (no need to insert)
 - › Else, “Find” stops at a NULL pointer
 - › Insert Node with X there
- Example: Insert 95



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Insert 95



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Insert Done with call-by-reference

```

Insert(T : reference tree pointer, x : element) : integer {
if T = null then
    T := new tree; T.data := x; return 1; //the links to
                                        //children are null
case
    T.data = x : return 0;
    T.data > x : return Insert(T.left, x);
    T.data < x : return Insert(T.right, x);
endcase
}
    
```

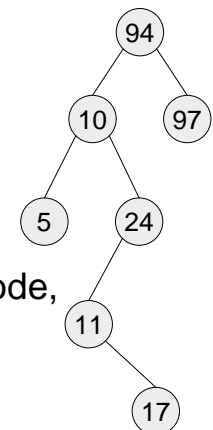
This is where call by reference makes a difference.

Advantage of reference parameter is that the call has the original pointer not a copy.

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Delete Operation

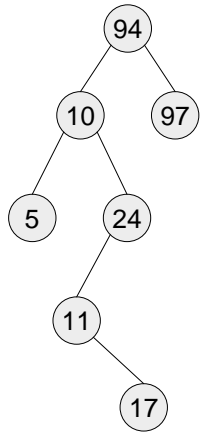
- Delete is a bit trickier...Why?
- Suppose you want to delete 10
- Strategy:
 - › Find 10
 - › Delete the node containing 10
- Problem: When you delete a node, what do you replace it by?



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Delete Operation

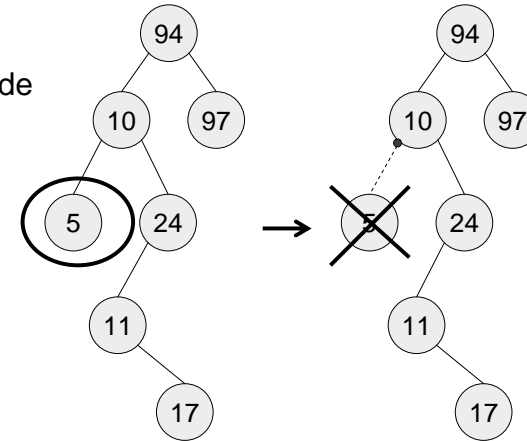
- Problem: When you delete a node, what do you replace it by?
- Solution:
 - › If it has no children, by NULL
 - › If it has 1 child, by that child
 - › If it has 2 children, by the node with the smallest value in its right subtree (the successor of the node)



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Delete "5" - No children

Find 5 node

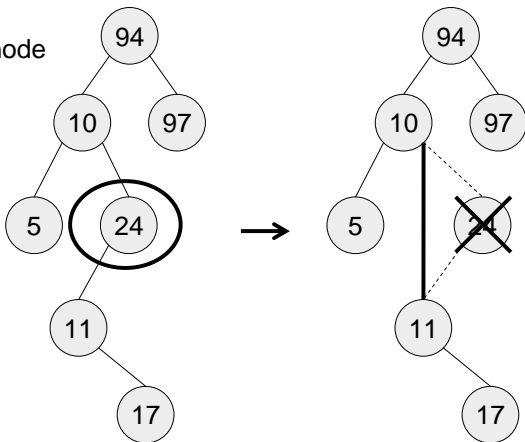


Then Free the 5 node and NULL the pointer to it

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Delete "24" - One child

Find 24 node

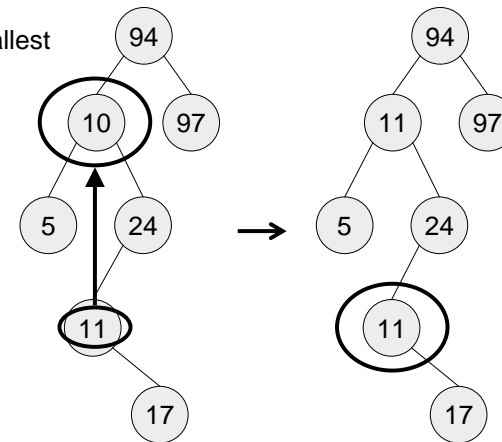


Then Free the 24 node and replace the pointer to it with a pointer to its child

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Delete "10" - two children

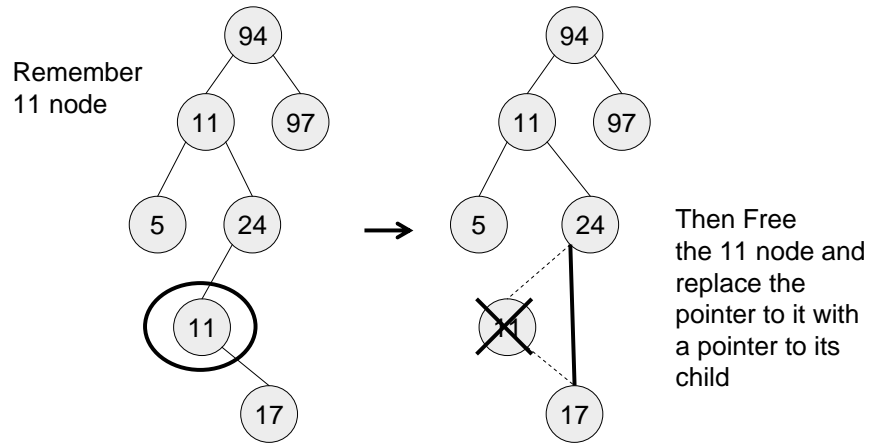
Find 10, Copy the smallest value in right subtree into the node



Then (recursively) Delete node with smallest value in right subtree Note: it cannot have two children (why?)

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Then Delete "11" - One child



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FindMin Solution

```
FindMin(T : tree pointer) : tree pointer {  
  // precondition: T is not null //  
  if T.left = null return T  
  else return FindMin(T.left)  
}
```

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