

# Lists

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## Lists

CSE 373  
Data Structures  
Unit 3

- Reading

- › Section 3.1 ADT (recall, lecture 1):
  - Abstract Data Type (ADT): Mathematical description of an object with set of operations on the object.
- › Section 3.2 The List ADT

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## List ADT

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- What is a List?
  - › Ordered sequence of elements  $A_1, A_2, \dots, A_N$
- Elements may be of arbitrary type, but all are of the same type
- Common List operations are:
  - › Insert, Find, Delete, IsEmpty, IsLast, FindPrevious, First, Kth, Last, Print, etc.

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## Simple Examples of List Use

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- Polynomials
  - ›  $25 + 4x^2 + 75x^{85}$
- Unbounded Integers
  - › 4576809099383658390187457649494578
- Text
  - › “This is an example of text”

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# List Implementations

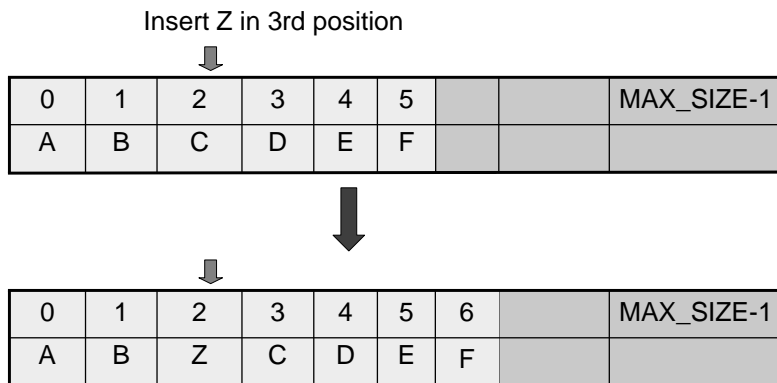
- Two types of implementation:
  - › Array-Based
  - › Pointer-Based

# List: Array Implementation

- Basic Idea:
  - › Pre-allocate a big array of size MAX\_SIZE
  - › Keep track of current size using a variable `count`
  - › Shift elements when you have to insert or delete

0	1	2	3	...	count-1		MAX_SIZE-1
A <sub>1</sub>	A <sub>2</sub>	A <sub>3</sub>	A <sub>4</sub>	...	A <sub>N</sub>		

# List: Array Implementation



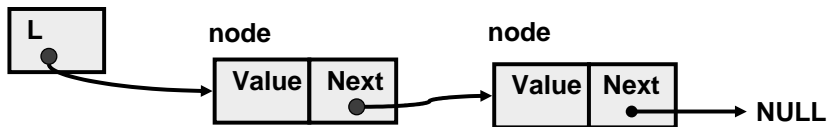
# Array List Insert Running Time

- Running time for a list with N elements?
- On average, must move half the elements to make room – assuming insertions at positions are equally likely
- Worst case is insert at position 0. Must move all N items one position before the insert
- This is O(N) running time. Probably too slow
- On the other hand – we can access the kth item in O(1).

# List: Pointer Implementation

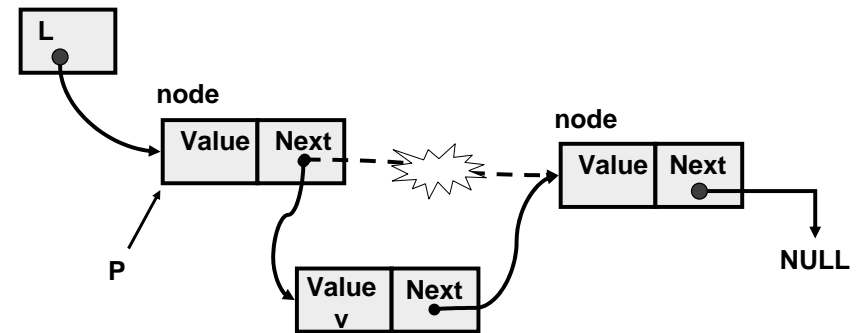
- Basic Idea:

- › Allocate little blocks of memory (nodes) as elements are added to the list
- › Keep track of list by linking the nodes together
- › Change links when you want to insert or delete



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# Pointer-based Insert (after p)



Insert the value v after P

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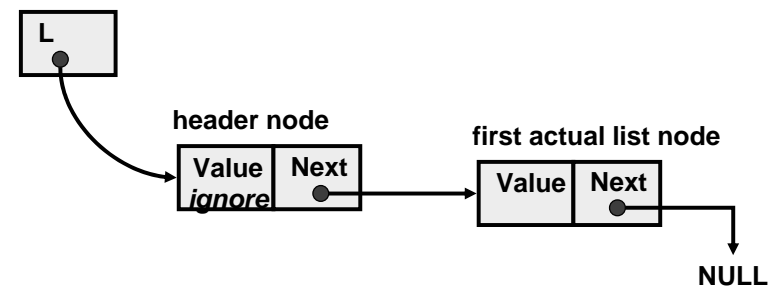
# Insertion After

```
InsertAfter(p : node pointer, v : value_type): {  
  x : node pointer;  
  x := new node;  
  x.value := v;  
  x.next := p.next;  
  p.next := x;  
}
```

Note: cannot swap two last lines (why?)

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# Linked List with Header Node



Advantage: "insert after" and "delete after" can be done at the beginning of the list.

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## Pointer Implementation Issues

- Whenever you break a list, your code should fix the list up as soon as possible
  - › Draw pictures of the list to visualize what needs to be done
- Pay special attention to boundary conditions:
  - › Empty list
  - › Single item – same item is both first and last
  - › Two items – first, last, but no middle items
  - › Three or more items – first, last, and middle items

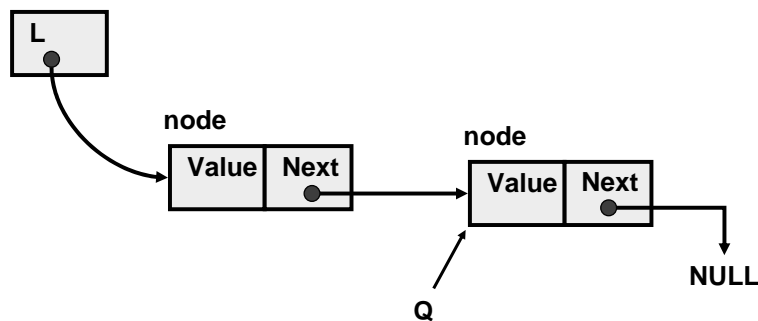
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## Pointer List Insert Running Time

- Running time for a list with N elements?
- Insert takes constant time ( $O(1)$ )
- Does not depend on list size
- Compare to array based list which is  $O(N)$

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## Linked List Delete



To delete the node pointed to by Q,  
need a pointer to the previous node;  
See book for findPrevious method

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## Delete After

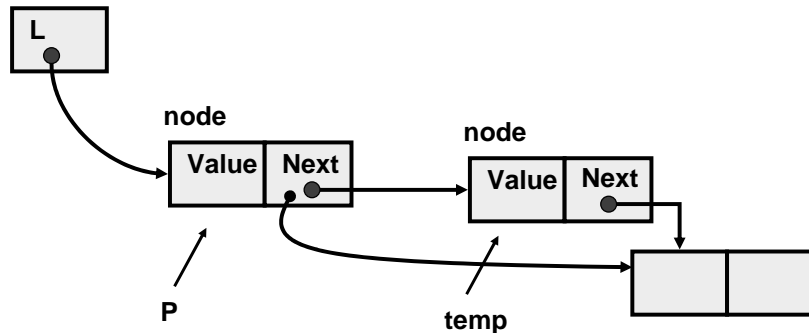
```
DeleteAfter(p : node pointer): {  
    temp : node pointer;  
    temp = p.next;  
    p.next = temp.next; //p.next.next  
    free(temp);  
}
```

Note: p points to the node that comes before the deleted node!

temp – the node to be removed.

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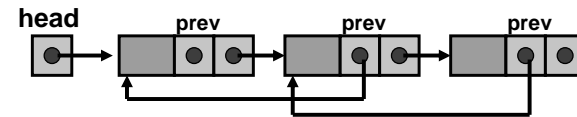
## Linked List Delete



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## Doubly Linked Lists

- findPrevious (and hence Delete) is slow  $[O(N)]$  because we cannot go directly to previous node
- Solution: Keep a "previous" pointer at each node



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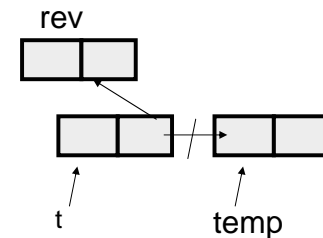
## Double Link Pros and Cons

- Advantage
  - › Delete (not DeleteAfter) and FindPrev are faster
- Disadvantages:
  - › More space used up (double the number of pointers at each node)
  - › More book-keeping for updating the two pointers at each node (pretty negligible overhead)

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## Reverse a linked list

```
Reverse(t : node pointer): node pointer {
    rev : node pointer;
    temp: node pointer;
    rev = NULL;
    while(t !=NULL){
        temp = t.next;
        t.next = rev;
        rev = t;
        t = temp;
    }
    return (rev);
}
```



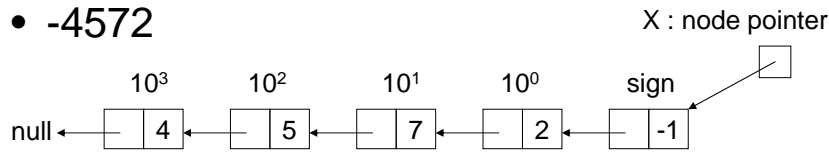
rev: the 'already reversed' part.

Why do we need temp?

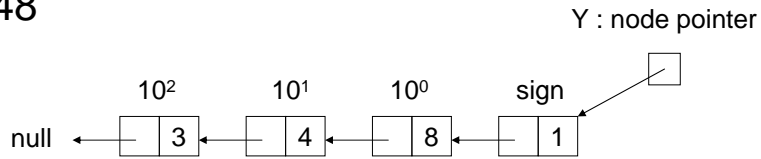
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# Unbounded Integers Base 10

- 4572

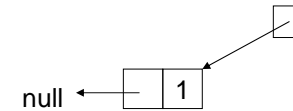
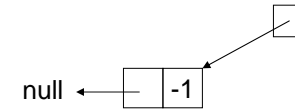


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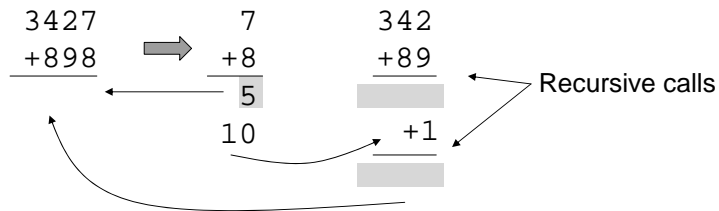
# Zero



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# Recursive Addition

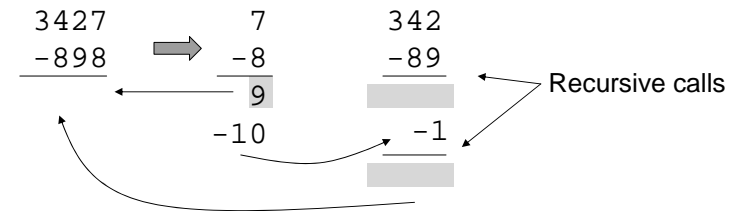
- Positive numbers (or negative numbers)



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# Recursive Addition

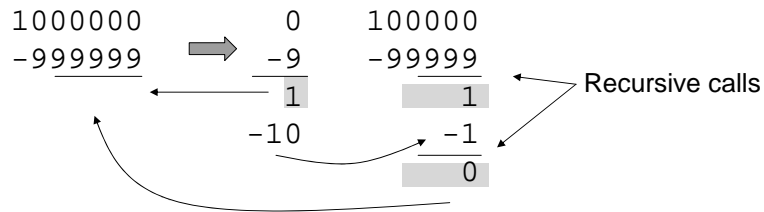
- Mixed numbers



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## Example

- Mixed numbers



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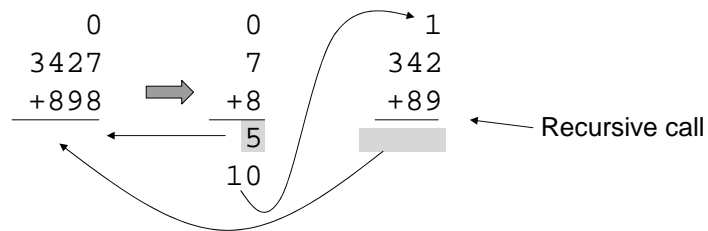
## Alternative Addition

- Use an auxiliary function
  - ›  $\text{AddAux}(p, q : \text{node pointer}, \text{cb} : \text{integer})$  which returns the result of adding  $p$  and  $q$  and the carry/borrow  $\text{cb}$ .
  - ›  $\text{Add}(p, q) := \text{AddAux}(p, q, 0)$
  - › Advantage: more like what we learned in school (and more like actual binary adders in hardware).

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## Auxiliary Addition

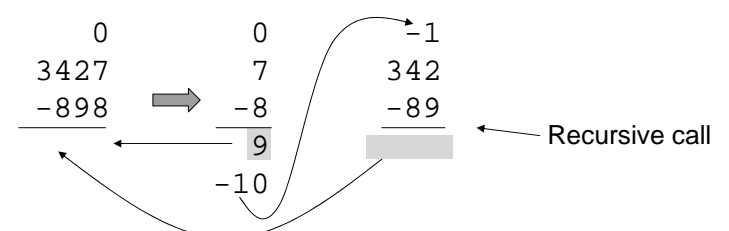
- Positive numbers



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## Auxiliary Addition

- Mixed numbers

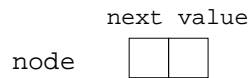


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# Copy

- Design a recursive algorithm to make a copy of a linked list (like the one used for long integers)

```
Copy(p : node pointer) : node pointer {
  ???
}
```

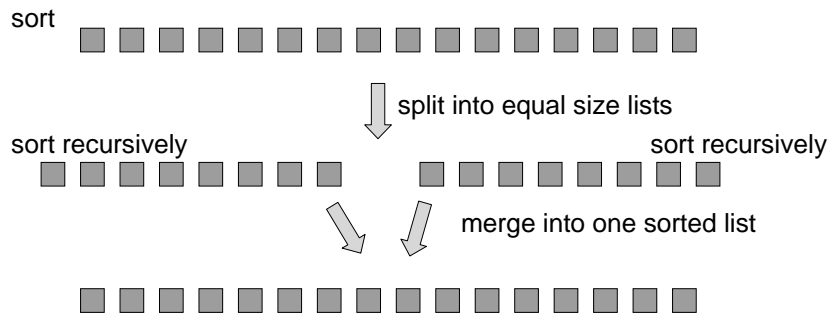


# Comparing Integers

```
IsZero(p : node pointer) : boolean { //p points to the sign node
  return p.next = null;
}
IsPositive(p: node pointer) : boolean { //p points to the sign node
  return not IsZero(p) and p.value = 1;
}
Negate(p : node pointer) : node pointer { //destructive
  if p.value = 1 then p.value := -1
  else p.value := 1;
  return p;
}
LessThan(p,q :node pointer) : boolean { // non destructive
  p1,q1 : node pointer;
  p1 := Copy(p); q1 := Copy(q);
  return IsPositive(Add(q1,Negate(p1))); // x < y iff 0 < y - x
  //We assume Add and Negate are destructive
}
```

# List Mergesort

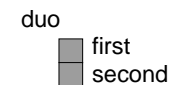
- Overall sorting plan



# Mergesort pseudocode

```
Mergesort(p : node pointer) : node pointer {
  Case {
    p = null : return p; //no elements
    p.next = null : return p; //one element
    else
      d : duo pointer; // duo has two fields first,second
      d := Split(p);
      return Merge(Mergesort(d.first),Mergesort(d.second));
  }
}
```

Note: Mergesort is destructive.





# Split

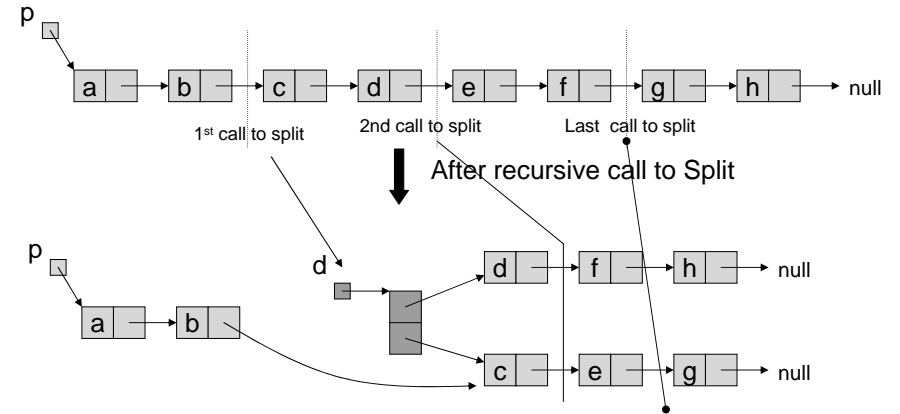
```

Split(p : node pointer) : duo pointer {
d : duo pointer;
Case {
  p = null : d := new duo; return d//both fields are null
  p.next = null : d := new duo; d.first := p ; return d
                //d.second is null
  else :
    d := Split(p.next.next);
    p.next.next := d.first;
    d.first := p.next;
    p.next := d.second;
    d.second := p;
    return d;
}
}

```

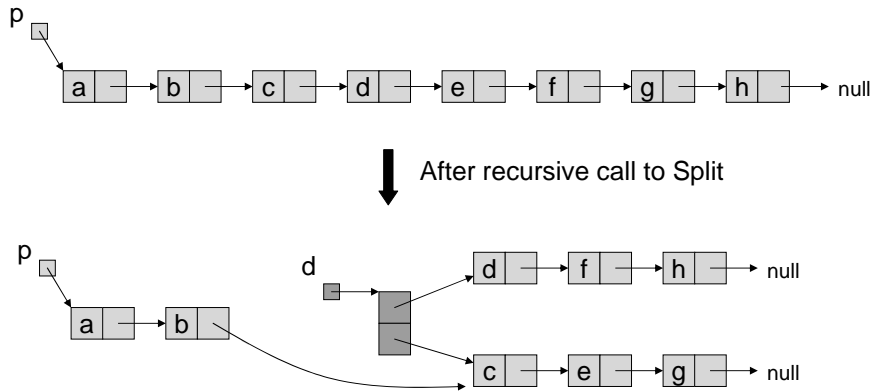
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# Split Example



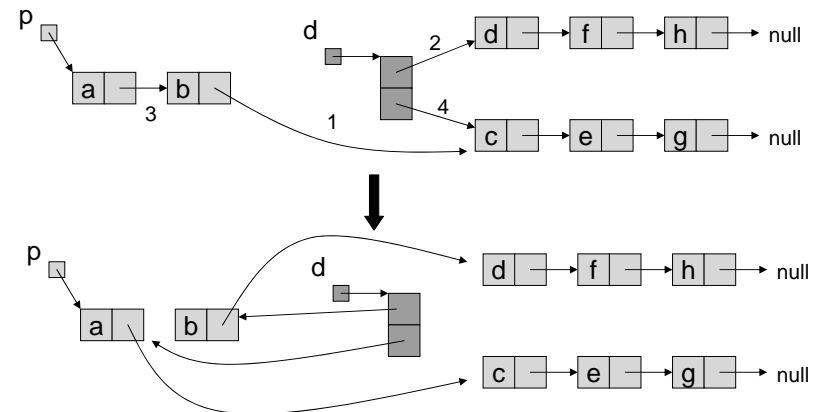
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# Split Example



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# Split Example



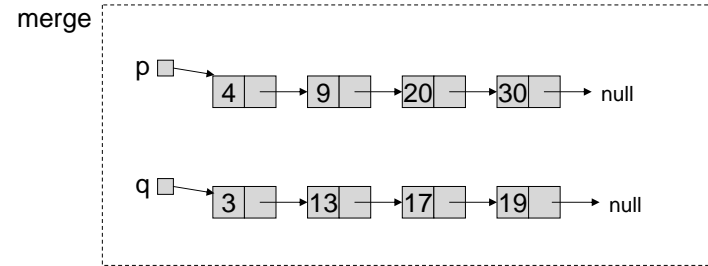
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# Merge

```
Merge(p,q : node pointer): node pointer{
  case {
    p = null : return q;
    q = null : return p;
    LessThan(p.value,q.value) :
      p.next := Merge(p.next,q);
      return p;
    else :
      q.next := Merge(p,q.next);
      return q;
  }
}
```

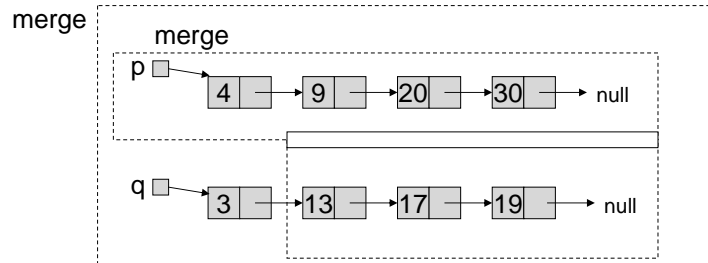
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# Merge Example



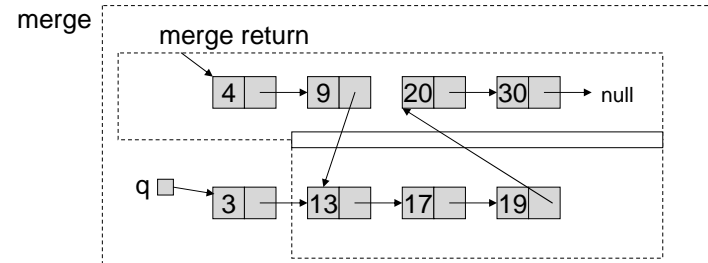
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# Merge Example



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# Merge Example



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# Implementing Pointers in Arrays

## – “Cursor Implementation”

- This is needed in languages like Fortran, Basic, and assembly language
- Easiest when number of records is known ahead of time.
- Each record field of a basic type is associated with an array.
- A pointer field is an unsigned integer indicating an array index.

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## Idea

Pointer World

n nodes

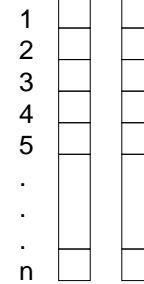
data next



data : basic type  
next : node pointer

Nonpointer World

D N

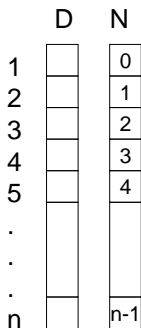


- D[ ] : basic type array
- N[ ] : integer array
- Pointer is an integer
- null is 0
- p.data is D[p]
- p.next is N[p]
- Free list needed for node allocation

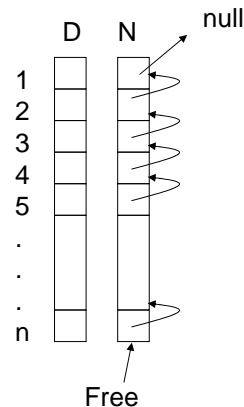
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## Initialization

Free = n

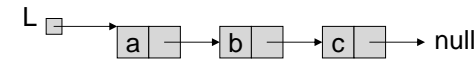


means

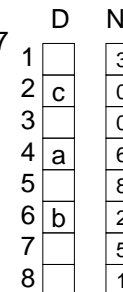


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## Example of Use



n = 8  
L = 4  
Free = 7



```

InsertFront(L : integer, x : basic type) {
  q : integer;
  if not(Free = 0) then q := Free
  else return "overflow";
  Free := N[Free];
  D[q] := x;
  N[q] := L;
  L := q;
}
    
```

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## Try DeleteFront

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- Define the cursor implementation of DeleteFront which removes the first member of the list when there is one.
  - › Remember to add garbage to free list.

```
DeleteFront(L : integer) {  
  ???  
}
```

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## Copy Solution

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```
Copy(p : node pointer) : node pointer {  
  if p = null then return null  
  else {  
    q : node pointer;  
    q := new node; //by convention the value  
                  //field is 0 and the  
                  //pointer field is null  
    q.value := p.value;  
    q.next := Copy(p.next);  
    return q;  
  }  
}
```

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## DeleteFront Solution

---

```
DeleteFront(L : integer) {  
  q : integer;  
  if L = 0 then return "underflow"  
  else {  
    q := L;  
    L := N[L];  
    N[q] := Free;  
    Free := q;  
  }  
}
```

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