#### **Disjoint Sets**

CSE 373 - Data Structures May 17, 2002

### **Readings and References**

- Reading
  - > Chapter 8, Data Structures and Algorithm Analysis in C, Weiss
- Other References

#### Relations on a set

- Consider the relation "=" between integers
  - > For any integer a, a = a
  - > For integers a and b, a = b means that b = a
  - For integers a, b, and c, a = b and b = c means
    that a = c

### Relations on a set

- Consider cities connected by two-way roads
  - > Seattle is connected to itself
  - Seattle is connected to Everett means Everett is connected to Seattle
  - > If Seattle is connected to Everett and Everett is connected to Bellingham, then Seattle is connected to Bellingham
- Consider electrical connections between components on a computer chip

### Equivalence Relations

- An equivalence relation R obeys three properties:
  - > <u>reflexive</u>: for any x, xRx is true
  - > <u>symmetric</u>: for any x and y, xRy implies yRx
  - > <u>transitive</u>: for any *x*, *y*, and *z*, *x*Ry and *y*Rz implies xRz
- Preceding relations are all examples of *equivalence relations*

### Equivalence Relations

- What are some relations that are not equivalence relations?
  - > What about "<" on integers?
    - not reflexive, not symmetric
  - > What about "≤" on integers?
    - not symmetric
  - > What about "is having an affair with" in a soap opera?
    - Victor IHAAW Ashley IHAAW Brad does not imply Victor IHAAW Brad : not transitive
    - probably not reflexive, although in the soaps, who knows ...

## Equivalence Classes & Disjoint Sets

- A specific equivalence relation operator R divides all the elements into <u>disjoint sets</u> of related items
- Let "~" be an equivalence relation
- If a~b, then a and b are in the same equivalence class

# Equivalence Class Examples

- If ~ denotes "electrically connected," then sets of connected components on a computer chip form equivalence classes
- On a map, cites that have two-way roads between them form equivalence classes
  - > as long as you say that reflexive means that just sitting in town satisfies Seattle ~ Seattle

• path length = 0

> We don't have loop roads that go out and come back

• path length = 1

### Modulo example

- The relation "Modulo N" divides all integers in N equivalence classes.
  - For example, "a mod 5" on the integers produces
    5 equivalence classes (remainders 0 through 4 when the integers are divided by 5)
    - <u>0</u> ~ 5 ~ 10 ~ ...
    - <u>1</u> ~ 6 ~ 11 ~ ...
    - <u>2</u> ~ 7 ~ 12 ~ ...
    - <u>3</u> ~ 8 ~ 13 ~ ...
    - <u>4</u> ~ 9 ~ 14 ~ ...

### Problem Definition

- Given a set of elements and some equivalence relation ~ between them, we want to figure out the equivalence classes
- Given an element, we want to find the equivalence class it belongs to
  - > E.g. Under mod 5, 13 belongs to the equivalence class of 3
  - E.g. For the map example, want to find the equivalence class of Everett (all the cities it is connected to)

### Problem Definition

- Given a new element, want to add it to an equivalence class (union)
  - Add 18 to the "a mod 5" relation already containing the numbers shown
    - Since 18 ~ 3 ~ 8 ~ 13, perform a union of 18 with equivalence class of 3, 8, and 13
  - > Add Monroe to the city connection relation
    - Everett is connected to Monroe, so add Monroe to the same equivalence class as Everett, Seattle, and Bellingham

## Disjoint Set ADT

- <u>Find</u>: Given an element, return the "name" of its equivalence class
  - note that we are finding the equivalence class, not the element
- <u>Union</u>: Given the "names" of two equivalence classes, merge them into one class
  - > may have a new name or one of the two old names

### Disjoint Set ADT

- The disjoint set ADT divides elements into equivalence classes and manages the combination of classes depending on the relation of interest
  - Names of classes are arbitrary e.g. 1 through N, so long as Find returns the same name for 2 elements in the same equivalence class

# **Disjoint Set ADT Properties**

- Disjoint set equivalence property
  - > every element belongs to exactly one set (its equivalence class)
- *Dynamic* equivalence property
  - > the name of the equivalence class that an element is in may change after a union
  - however, all elements in the class will always
    have the same equivalence class name

### More Formal Definition

- Given a set  $U = \{a_1, a_2, ..., a_n\}$
- Maintain a *partition* of *U*, a set of subsets (or equivalence classes) of *U* denoted by {*S*<sub>1</sub>, *S*<sub>2</sub>, ..., *S*<sub>k</sub>} such that:
  - > each pair of subsets  $S_i$  and  $S_j$  are disjoint:  $S_i \cap S_j = \emptyset$
  - > together, the subsets cover U:
  - > each subset has a unique name
- Union(*a*, *b*) creates a new subset which is the union of *a*'s subset and *b*'s subset
- Find(*a*) returns a unique name for *a*'s subset

 $U = \bigcup^{k} S_{i}$ 

# Simple array implementation?

- How about an array implementation?
  - > Array  $A \rightarrow A[i]$  holds the class name for element i
  - > E.g. if 18 ~ 3, pick 3 as class name and set A[18] = A[3] = 3
  - > Running time for Find(i)?
    - just return A[i] : O(1)
  - > Running time for Union(i,j)?
    - If first N/2 elements have class name 1 and next N/2 have class name 2, Union(1,2) will need to change class names of N/2 items : O(N)

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## Linked List Implementation?

- How about linked lists?
  - > One linked list for each equivalence class
  - > Running time for Find(i)?
    - must scan all lists in worst case : O(N)
  - > Running time for Union(i,j)?
    - just append one list to the other : O(1)
- Tradeoff between Union-Find cannot do both in O(1) time
  - > M Finds and N-1 Unions (the max)
    - array  $O(M + N^2)$  or lists O(MN+N)

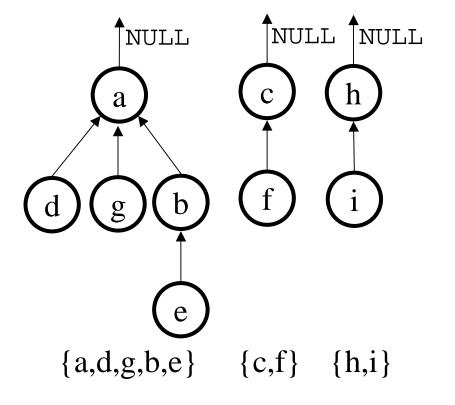
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### Let's use a new Data Structure

- <u>Intuition</u>: Finding the representative member (= class name) of a set is like the *opposite* of finding a key in a given set
- So, instead of trees with pointers from each node to its children, let's use <u>trees with a</u> <u>pointer from each node to its parent</u>
- Such trees are known as <u>Up-Trees</u>

### Up-Tree Data Structure

- Each equivalence class (or discrete set) is an up-tree with its root as its representative member
- All members of a given set are nodes in that set's uptree
- Hash table maps input data to the node associated with that data
  - > input string  $\rightarrow$  integer

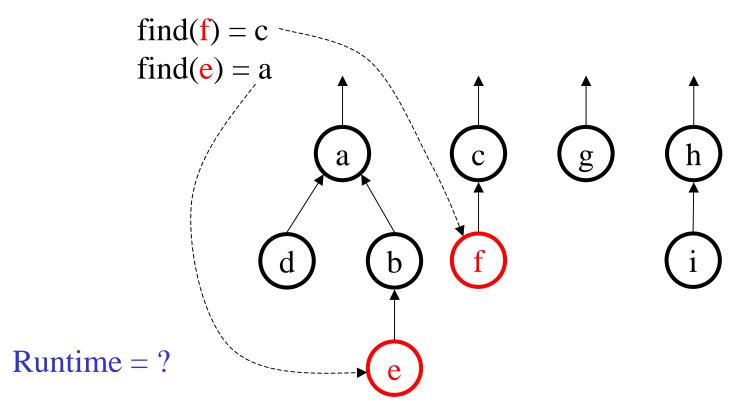


Up-trees are usually **not** binary!

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### Example of Find

Find: Just traverse from the node to the root.



### Example of Union

