

# Merge Sort

CSE 373 - Data Structures

May 10, 2002

# Readings and References

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- Reading
  - › Section 7.6, *Data Structures and Algorithm Analysis in C*, Weiss
- Other References

# “Divide and Conquer”

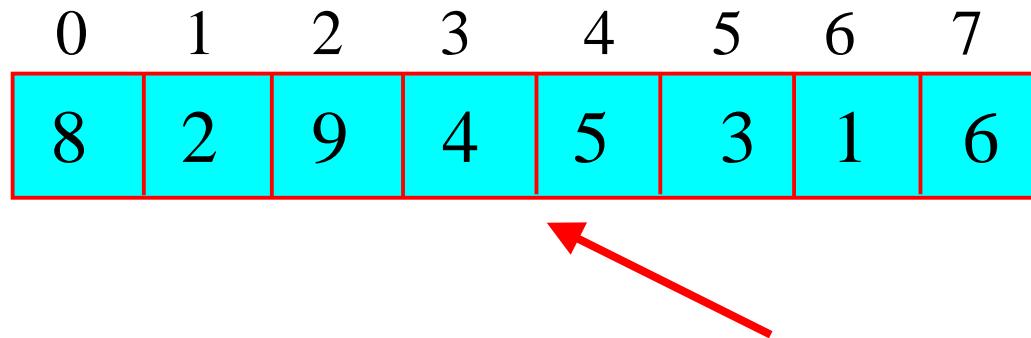
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- Very important strategy in computer science:
  - › Divide problem into smaller parts
  - › Independently solve the parts
  - › Combine these solutions to get overall solution
- **Idea:** Divide array into two halves, *recursively* sort left and right halves, then *merge* two halves → known as Mergesort

# “Divide and Conquer”

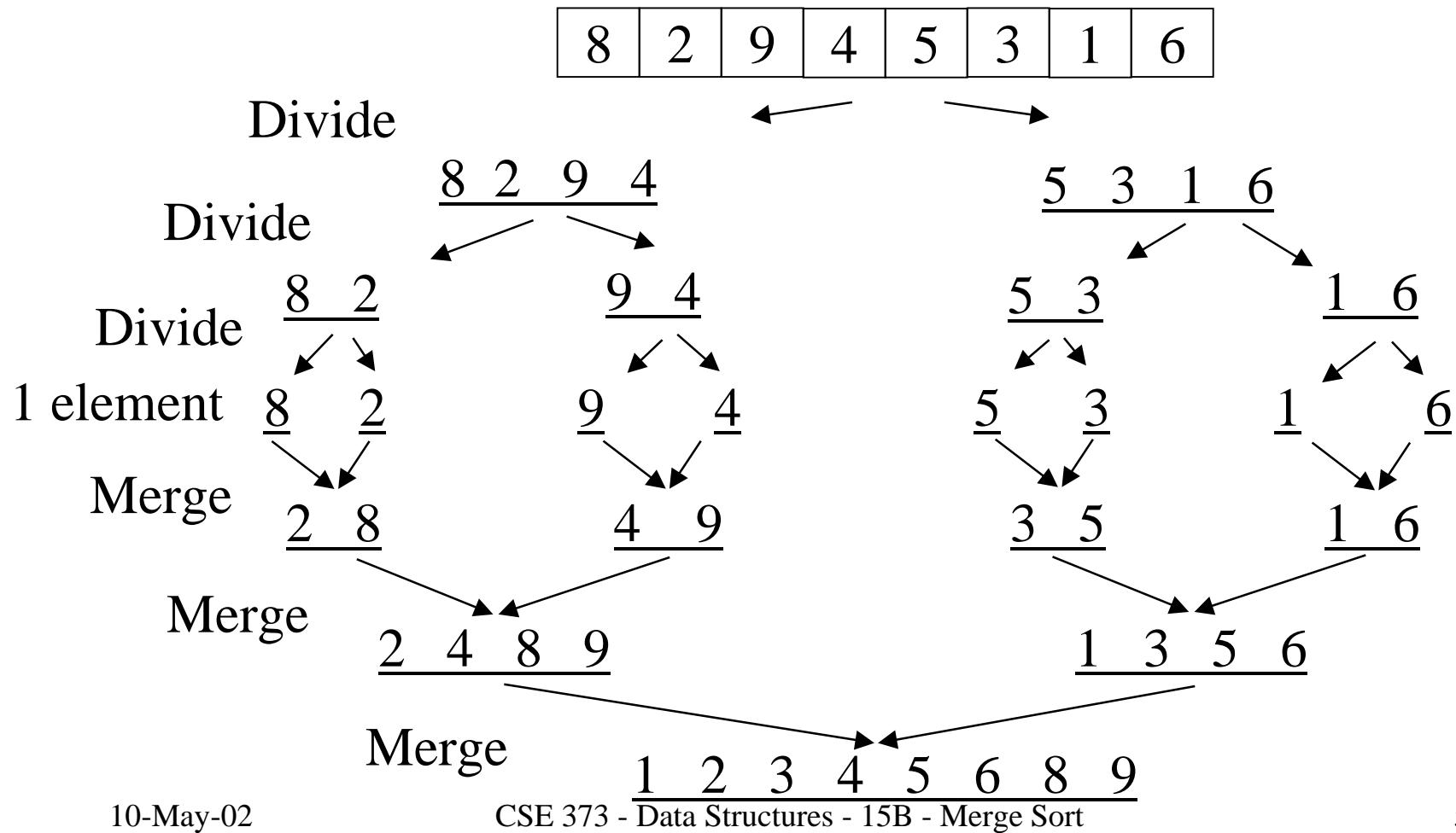
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- Example: Mergesort the input array:



- Divide it in two at the midpoint
- Conquer each side in turn (by recursively sorting)

# Mergesort Example



# Mergesort - driver

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```
void Mergesort(ElementType A[], int N) {  
    ElementType *TmpArray;  
    TmpArray = malloc(N*sizeof(ElementType))  
    FatalErrorMemory(TmpArray);  
    MSort(A,TmpArray,0,N-1);  
    free(TmpArray);  
}
```

- Driver routine Mergesort calls the actual recursive implementation routine MSort with appropriate parameters
  - Hides implementation details from outside callers

# Mergesort - recursion

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```
void MSort(ElementType A[], ElementType TmpArray[], int
           Left, int Right) {
    int Center;
    if (Left < Right) {
        Center = (Left+Right)/2;
        MSort(A, TmpArray, Left, Center);
        MSort(A, TmpArray, Center+1, Right);
        Merge(A, TmpArray, Left, Center+1, Right);
    }
}
```

- Divide, and leave the conquering to Merge ...
  - › note the base case  $\text{Left} == \text{Right}$

# Mergesort - do it

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```
void Merge(ElementType A[], ElementType TmpArray[], int
Lpos, int Rpos, int RightEnd) {
    int i, LeftEnd, NumElements, TmpPos;
    LeftEnd = Rpos-1;
    TmpPos = Lpos;
    NumElements = RightEnd - Lpos + 1;
    while (Lpos <= LeftEnd && Rpos <= RightEnd)
        if (A[Lpos]<=A[Rpos]) TmpArray[TmpPos++] = A[Lpos++];
        else TmpArray[TmpPos++] = A[Rpos++];
    while (Lpos <= LeftEnd) TmpArray[TmpPos++] = A[Lpos++];
    while (Rpos <= RightEnd) TmpArray[TmpPos++] = A[Rpos++];
    for (i=0; i<NumElements; i++,RightEnd--)
        A[RightEnd] = TmpArray[RightEnd];
}
```

# Mergesort Example

Divide down to 1 element

Merge to TmpArray

Copy back to A[]

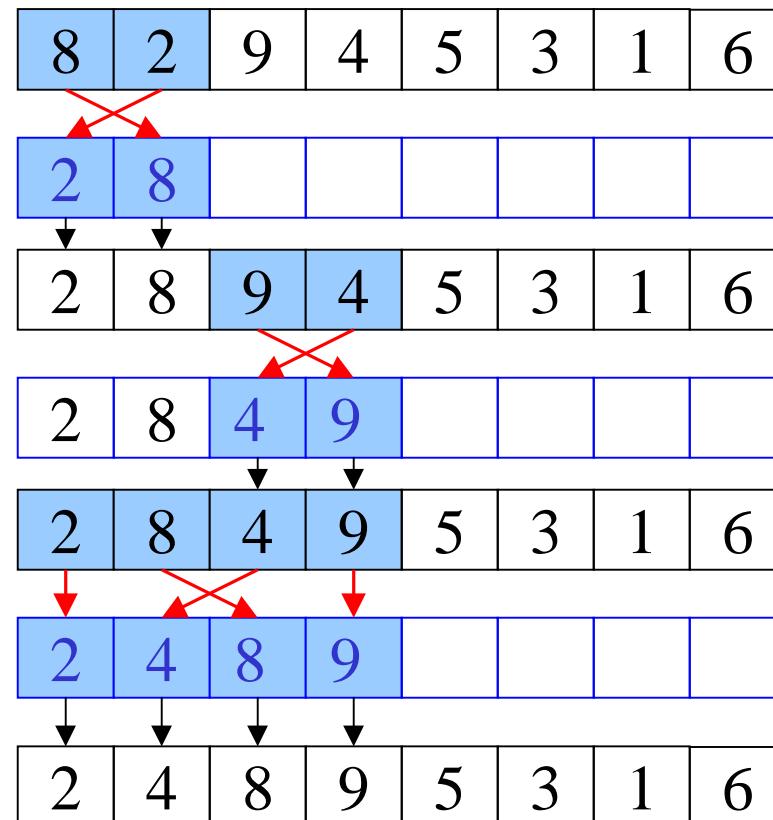
Merge to TmpArray

Copy back to A[]

Merge to TmpArray

Copy back to A[]

Left half is now sorted ...



# Mergesort Analysis

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- Let  $T(N)$  be the running time for an array of  $N$  elements
- Mergesort divides array in half and calls itself on the two halves. After returning, it merges both halves using a temporary array
- Each recursive call takes  $T(N/2)$  and merging takes  $O(N)$

# Mergesort Recurrence Relation

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- The recurrence relation for  $T(N)$  is:
  - ›  $T(1) = O(1)$ 
    - base case: 1 element array → constant time
  - ›  $T(N) = 2T(N/2) + N$ 
    - Sorting  $N$  elements takes
      - the time to sort the left half
      - plus the time to sort the right half
      - plus an  $O(N)$  time to merge the two halves

# Solving the Mergesort Recurrence Relation

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- Solve the recurrence by expanding the terms:

$$T(N) = 2*T(N/2) + N \text{ and } T(N/2) = 2*T(N/4) + N/2$$

$$T(N) = 2*[2*T(N/4) + N/2] + N$$

$$= 2^2*T(N/2^2) + 2*N$$

$$= 2^2[2*T(N/8) + N/4] + 2*N$$

$$= 2^3*T(N/2^3) + 3*N$$

...

$$= 2^{\log N}*T(N/2^{\log N}) + (\log N)*N \quad (\text{recall that } 2^{\log N} = N)$$

$$= N * T(1) + N \log N$$

$$= N * O(1) + N \log N = O(N \log N)$$

› T(N) is O(N log N)