

# Fundamentals

CSE 373 - Data Structures

April 8, 2002

# Readings and References

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- Reading
  - › Chapters 1-2, *Data Structures and Algorithm Analysis in C*, Weiss
- Other References

# Mathematical Background

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- Today, we will review:
  - › Logs and exponents
  - › Series
  - › Recursion
  - › Motivation for Algorithm Analysis

# Powers of 2

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- Many of the numbers we use will be powers of 2
- Binary numbers (base 2) are easily represented in digital computers
  - › each "bit" is a 0 or a 1
  - ›  $2^0=1, 2^1=2, 2^2=4, 2^3=8, 2^4=16, 2^8=256, \dots$
  - › an n-bit wide field can hold  $2^n$  positive integers:
    - $0 \leq k \leq 2^n-1$

# Unsigned binary numbers

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- Each bit position represents a power of 2
- For unsigned numbers in a fixed width field
  - › the minimum value is 0
  - › the maximum value is  $2^n - 1$ , where  $n$  is the number of bits in the field
- Fixed field widths determine many limits
  - › 5 bits = 32 possible values ( $2^5 = 32$ )
  - › 10 bits = 1024 possible values ( $2^{10} = 1024$ )

# Binary, Hex, and Decimal

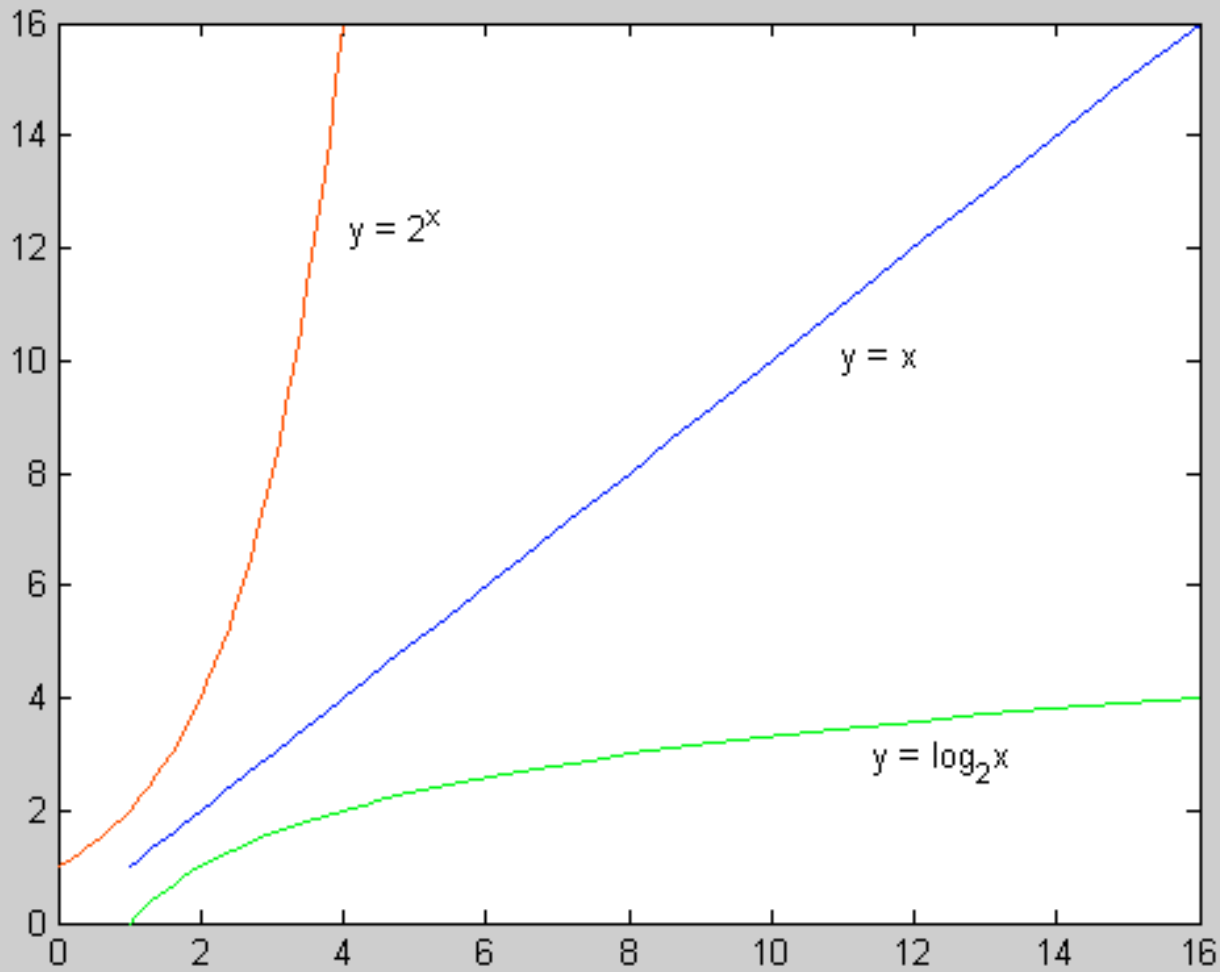
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$2^8=256$	$2^7=128$	$2^6=64$	$2^5=32$	$2^4=16$	$2^3=8$	$2^2=4$	$2^1=2$	$2^0=1$	Hex <sub>16</sub>	Decimal <sub>10</sub>
							1	1	0x3	3
					1	0	0	1	0x9	9
					1	0	1	0	0xA	10
					1	1	1	1	0xF	15
				1	0	0	0	0	0x10	16
				1	1	1	1	1	0x1F	31
		1	1	1	1	1	1	1	0x7F	127
	1	1	1	1	1	1	1	1	0xFF	255

# Logs and exponents

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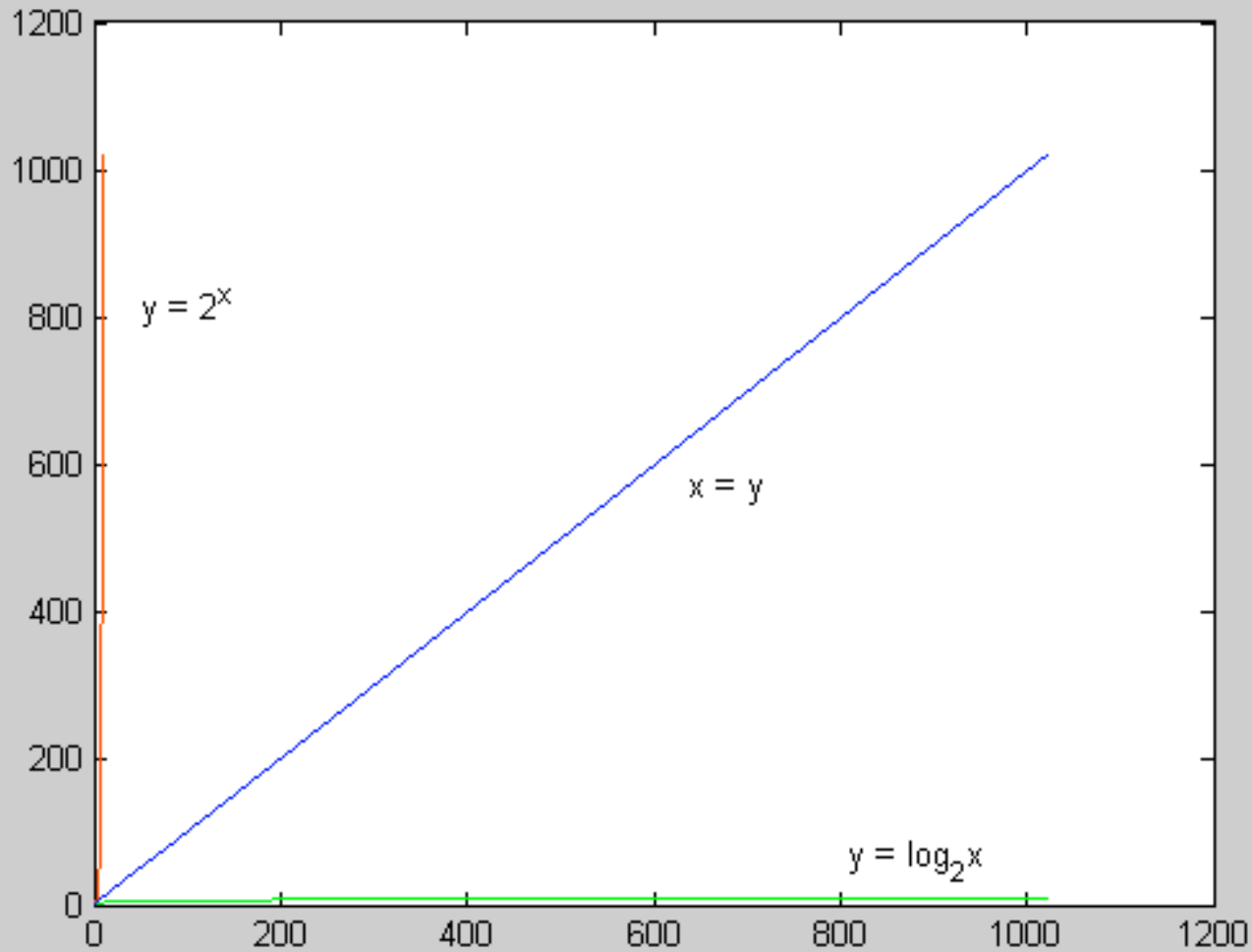
- Definition:  $\log_2 x = y$  means  $x = 2^y$ 
  - › the log of  $x$ , base 2, is the value  $y$  that gives  $x = 2^y$
  - ›  $8 = 2^3$ , so  $\log_2 8 = 3$
  - ›  $65536 = 2^{16}$ , so  $\log_2 65536 = 16$
- Notice that  $\log_2 x$  tells you how many bits are needed to hold  $x$  values
  - › 8 bits holds 256 numbers: 0 to  $2^8 - 1 = 0$  to 255
  - ›  $\log_2 256 = 8$



```
x = 0:.1:4  
y = 2.^x  
plot(x,y,'r')  
hold on  
plot(y,x,'g')  
plot(y,y,'b')
```

$2^x$  and  $\log_2 x$





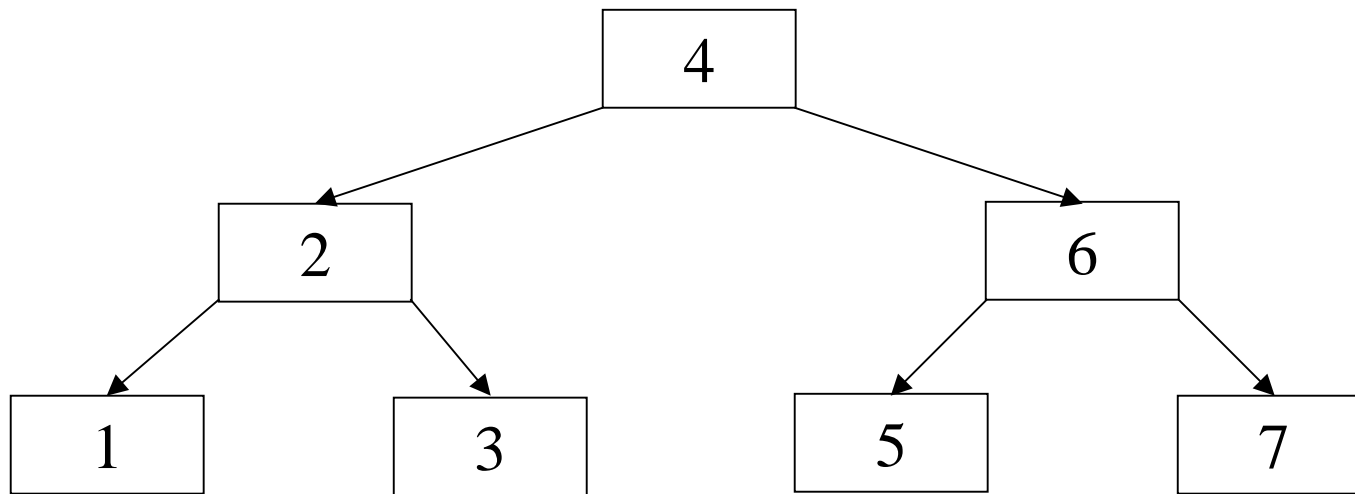
```
x = 0:10  
y = 2.^x  
plot(x,y,'r')  
hold on  
plot(y,x,'g')  
plot(y,y,'b')
```

$2^x$  and  $\log_2 x$

# Example: $\log_2 x$ and tree depth

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- 7 items in a binary tree,  $3 = \lfloor \log_2 7 \rfloor + 1$  levels



# Properties of logs (of the mathematical kind)

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- We will assume logs to base 2 unless specified otherwise
- $\log AB = \log A + \log B$ 
  - ›  $A=2^{\log_2 A}$  and  $B=2^{\log_2 B}$
  - ›  $AB = 2^{\log_2 A} \cdot 2^{\log_2 B} = 2^{\log_2 A + \log_2 B}$
  - › so  $\log_2 AB = \log_2 A + \log_2 B$
  - › note:  $\log AB \neq \log A \cdot \log B$

# Other log properties

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- $\log A/B = \log A - \log B$
- $\log (A^B) = B \log A$
- $\log \log X < \log X < X$  for all  $X > 0$ 
  - ›  $\log \log X = Y$  means  $2^{2^Y} = X$
  - ›  $\log X$  grows slower than  $X$ 
    - called a “sub-linear” function

# A log is a log is a log

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- Any base  $x$  log is equivalent to base 2 log within a constant factor

$$B = 2^{\log_2 B}$$

$$x = 2^{\log_2 x}$$

$$\log_x B = \log_x B$$

$$x^{\log_x B} = B$$

$$(2^{\log_2 x})^{\log_x B} = 2^{\log_2 B}$$

$$2^{\log_2 x \log_x B} = 2^{\log_2 B}$$

$$\log_2 x \log_x B = \log_2 B$$

$$\log_x B = \frac{\log_2 B}{\log_2 x}$$

# Arithmetic Series

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- $S(N) = 1 + 2 + \dots + N = \sum_{i=1}^N i$

- The sum is

- ›  $S(1) = 1$

- ›  $S(2) = 1 + 2 = 3$

- ›  $S(3) = 1 + 2 + 3 = 6$

- $\sum_{i=1}^N i = \frac{N(N+1)}{2}$

Why is this formula useful?

# Quicky Algorithm Analysis

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- Consider the following program segment:  
for (i = 1; i <= N; i++)  
  for (j = 1; j <= i; j++)  
    printf("Hello\n");
- How many times is “printf” executed?
  - › Or, How many Hello’s will you see?

# What is actually being executed?

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- The program segment being analyzed:

```
for (i = 1; i <= N; i++)  
    for (j = 1; j <= i; j++)  
        printf("Hello\n");
```

- Inner loop executes “printf”  $i$  times in the  $i^{\text{th}}$  iteration
  - ›  $j$  goes from 1 to  $i$
- There are  $N$  iterations in the outer loop
  - ›  $i$  goes from 1 to  $N$



# Lots of hellos

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- Total number of times “printf” is executed =  
$$1+2+3+\dots = \sum_{i=1}^N i = \frac{N(N+1)}{2}$$
- Congratulations - You’ve just analyzed your first program!
  - › Running time of the program is proportional to  $N(N+1)/2$  for all  $N$
  - › Proportional to  $N^2$

# Recursion

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- Classic (bad) example: Fibonacci numbers  $F_n$

1, 1, 2, 3, 5, 8, 13, 21, 34, ... ○○○

- › First two are defined to be 1
- › Rest are sum of preceding two
- ›  $F_n = F_{n-1} + F_{n-2}$  ( $n > 1$ )



Leonardo Pisano  
Fibonacci (1170-1250)

# Recursive Procedure for Fibonacci Numbers

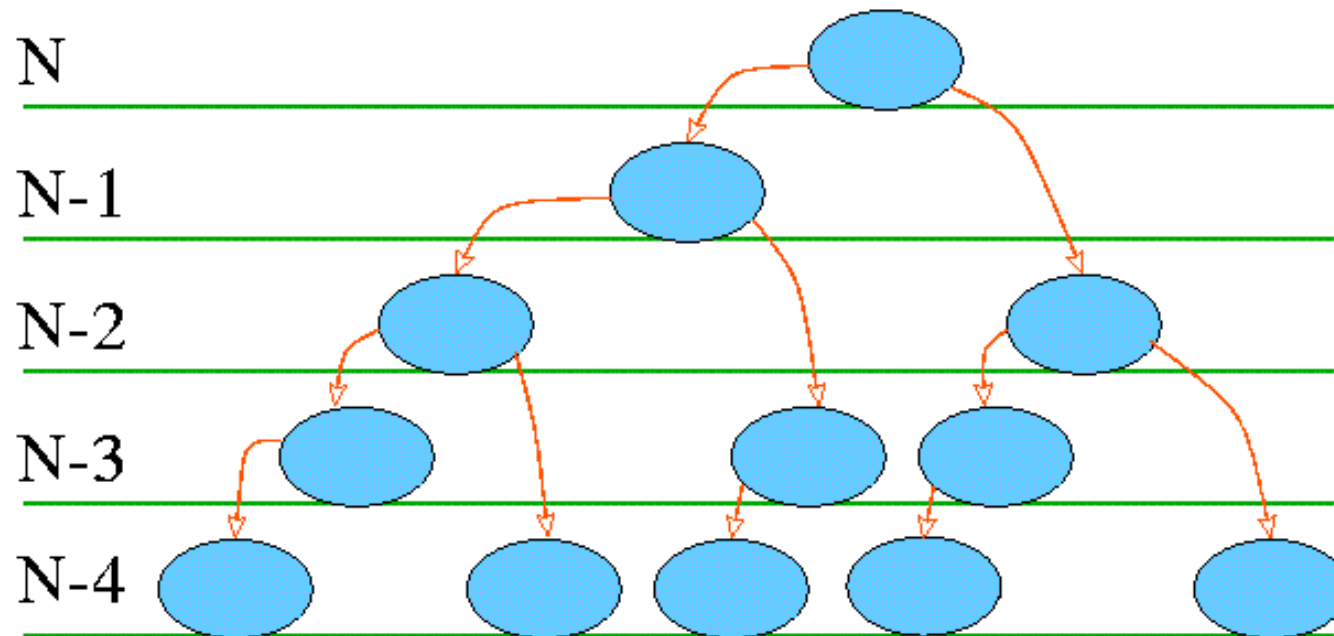
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```
int fib(int i) {  
    if (i < 0) return 0;  
    if (i == 0 || i == 1)  
        return 1;  
    else  
        return fib(i-1)+fib(i-2);  
}
```

- Easy to write: looks like the definition of  $F_n$
- But, can you spot the big problem?

# Recursive Calls of Fibonacci Procedure

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- Re-computes  $\text{fib}(N-i)$  multiple times!

# Iterative Procedure for Fibonacci Numbers

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```
int fib_iter(int i) {
    int fib0 = 1, fib1 = 1, fibj = 1;
    if (i < 0) return 0;
    for (int j = 2; j <= i; j++) {
        fibj = fib0 + fib1;
        fib0 = fib1;
        fib1 = fibj;
    }
    return fibj;
}
```

- More variables and more bookkeeping but avoids repetitive calculations and saves time.

# Recursion Summary

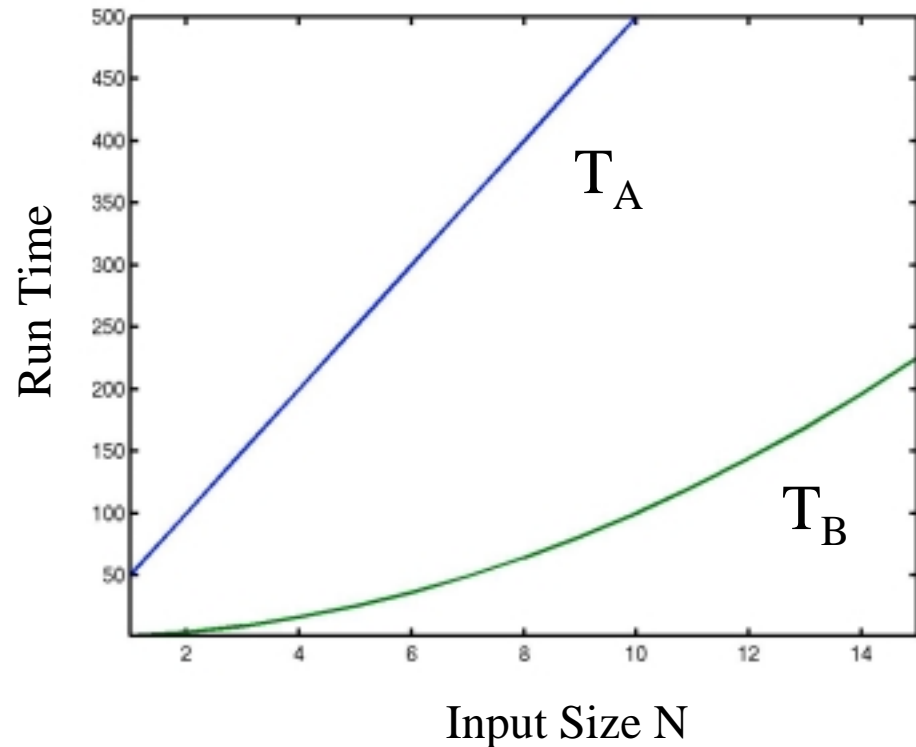
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- Recursion may simplify programming, but beware of generating large numbers of calls
  - › Function calls can be expensive in terms of time and space
- Be sure to get the base case(s) correct!
- Each step must get you closer to the base case

# Motivation for Algorithm Analysis

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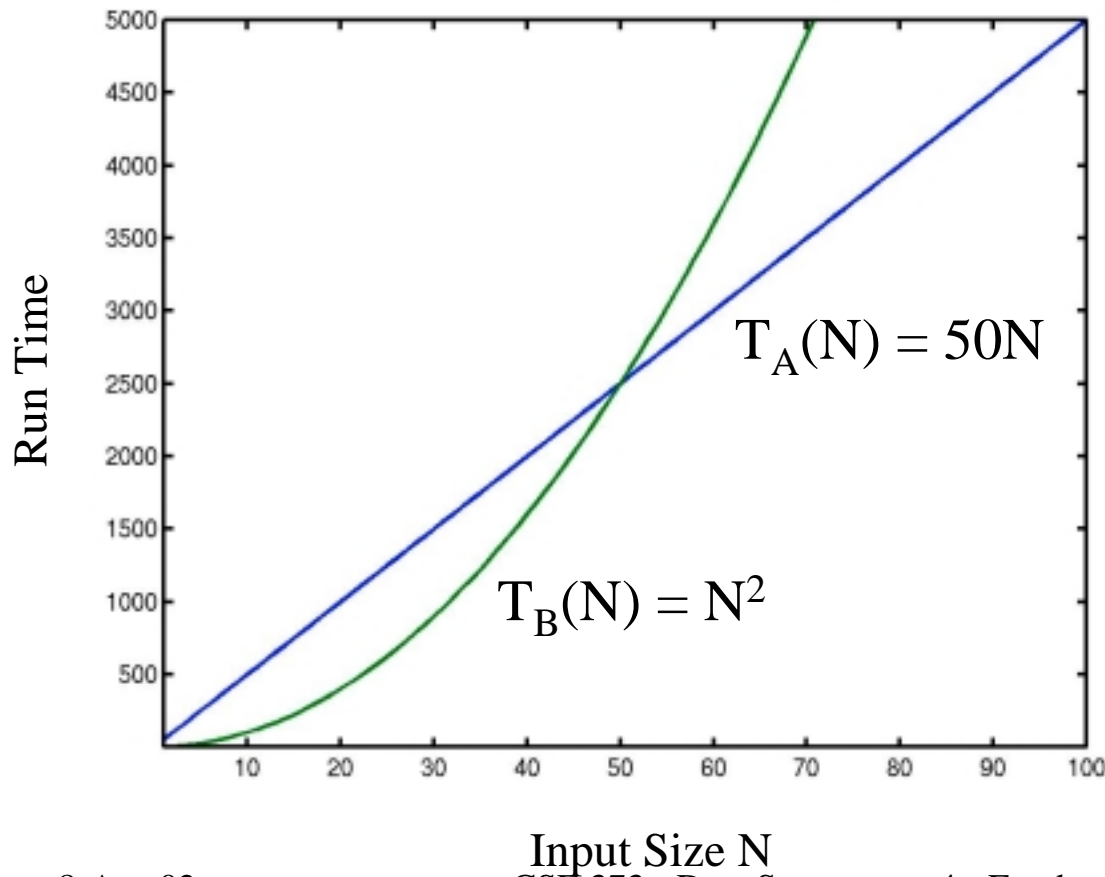
- Suppose you are given two algos A and B for solving a problem
- The running times  $T_A(N)$  and  $T_B(N)$  of A and B as a function of input size N are given



Which is better?

# More Motivation

- For large  $N$ , the running time of A and B is:



Now which  
algorithm would  
you choose?



# Asymptotic Behavior

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- The “asymptotic” performance as  $N \rightarrow \infty$ , regardless of what happens for small input sizes  $N$ , is generally most important
- Performance for small input sizes may matter in practice, if you are sure that small  $N$  will be common forever
- We will compare algorithms based on how they scale for large values of  $N$