### The Hardware/Software Interface

CSE 351 Spring 2022

**Instructor:** 

**Ruth Anderson** 

#### **Teaching Assistants:**

Melissa Birchfield

Jacob Christy

Alena Dickmann

**Kyrie Dowling** 

Ellis Haker

Maggie Jiang

Diya Joy

Anirudh Kumar

Jim Limprasert

**Armin Magness** 

Hamsa Shankar

Dara Stotland

Jeffery Tian

**Assaf Vayner** 

Tom Wu

Angela Xu

Effie Zheng

AN x64 PROCESSOR IS SCREAMING ALONG AT BILLIONS OF CYCLES PER SECOND TO RUN THE XNU KERNEL, WHICH IS FRANTICALLY WORKING THROUGH ALL THE POSIX-SPECIFIED ABSTRACTION TO CREATE THE DARWIN SYSTEM UNDERLYING OS X, WHICH IN TURN IS STRAINING ITSELF TO RUN FIREFOX AND ITS GECKO RENDERER, WHICH CREATES A PLASH OBJECT WHICH RENDERS DOZENS OF VIDEO FRAMES EVERY SECOND

BECAUSE I WANTED TO SEE A CAT JUMP INTO A BOX AND FALL OVER.



I AM A GOD.

http://xkcd.com/676/

### **Lecture Outline**

- Course Introduction
- Course Policies
  - https://courses.cs.washington.edu/courses/cse351/22sp/syllabus
- Binary and Numerical Representation

### **Introductions: Course Staff**

- Ruth Anderson (Instructor)
- Melissa Birchfield
- Jacob Christy
- Alena Dickmann
- Kyrie Dowling
- Ellis Haker
- Maggie Jiang
- Diya Joy
- Anirudh Kumar
- Jim Limprasert
- Armin Magness
- Hamsa Shankar
- Dara Stotland
- Jeffery Tian
- Assaf Vayner
- Tom Wu
- Angela Xu
- Effie Zheng

- Learn more about me and the staff on the course website!
- Available in section, office hours, and on Ed Discussion
- An invaluable source of information and help

#### Get to know us

We are here to help you succeed!

### Introductions: You!

~200 students registered across 2 lecture sections

L01: Introduction, Binary

- CSE majors, EE majors, and more!
  - Most of you will find almost everything in the course new
- Get to know each other and help each other out!
  - Learning is much more fun with friends
  - Working well with others is a valuable life skill
  - Diversity of perspectives expands your horizons

#### Welcome to CSE351!





- Our goal is to teach you the key abstractions "under the hood"
  - How does your source code become something that your computer understands?
  - What happens as your computer is executing one or more processes?

#### Welcome to CSE351!





#### This is an introduction that will:

- Profoundly change/augment your view of computers and programs
- Leave you impressed that computers ever work

### **Code in Many Forms**

```
if (x != 0) y = (y+z)/x;
               Compiler
          $0, -4(%ebp)
    cmpl
          .L2
    je
   movl -12 (%ebp), %eax
          -8(%ebp), %edx
   movl
    leal
         (%edx,%eax), %eax
   movl %eax, %edx
    sarl $31, %edx
    idivl -4 (%ebp)
   movl
          %eax, -8(%ebp)
.L2:
```

High Level Language (e.g. C, Java)

**Assembly Language** 

Assembler

Machine Code

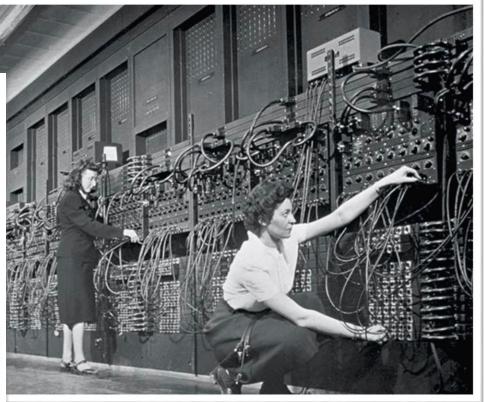
### **HW/SW Interface: Historical Perspective**

Hardware started out quite primitive

1940s



https://s-media-cache-ak0.pinimg.com/564x/91/37/23/91372375e2e6517f8af128aab655e3b4.jpg



Jean Jennings (left), Marlyn Wescoff (center), and Ruth Lichterman program ENIAC at the University of Pennsylvania, circa 1946.

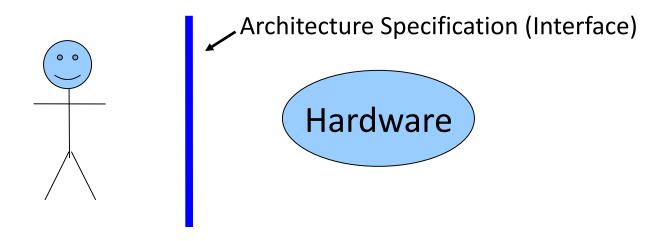
Photo: Corbis

http://fortune.com/2014/09/18/walter-isaacson-the-women-of-eniac/

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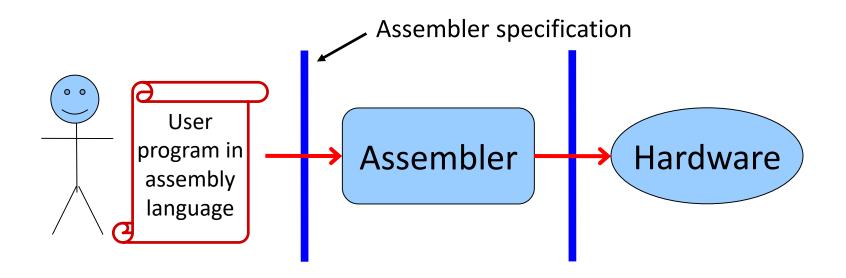
## **HW/SW Interface: Historical Perspective**

- Hardware started out quite primitive
  - Programmed with very basic instructions (primitives)
  - e.g., a single instruction for adding two integers
- Software was also very basic
  - Closely reflected the actual hardware it was running on
  - Specify each step manually



## **HW/SW Interface: Assemblers**

- Life was made a lot better by assemblers
  - 1 assembly instruction = 1 machine instruction
  - More human-readable syntax
    - Assembly instructions are character strings, not bit strings
  - Can use symbolic names

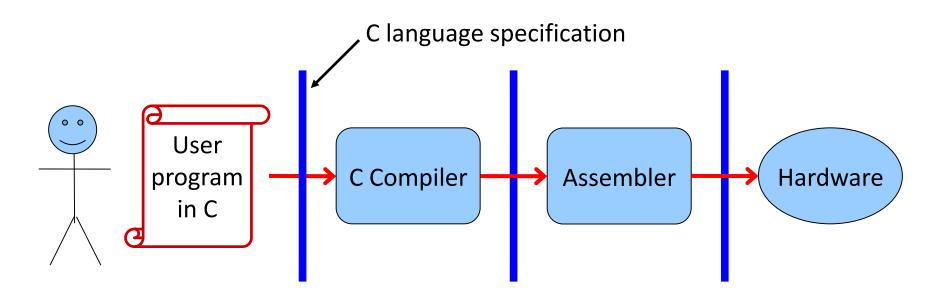


# **HW/SW Interface: Higher-Level Languages**

Higher level of abstraction

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 1 line of a high-level language is compiled into many (sometimes very many) lines of assembly language



### Roadmap

#### How does your source code become something that your computer understands?

```
car *c = malloc(sizeof(car));
c->miles = 100;
c->qals = 17;
float mpg = get mpg(c);
free(c);
```

#### Java:

```
Car c = new Car();
c.setMiles(100);
c.setGals(17);
float mpg =
    c.getMPG();
```

Assembly language:

```
get mpg:
    pushq
            %rbp
            %rsp, %rbp
    movq
            %rbp
    popq
    ret
```

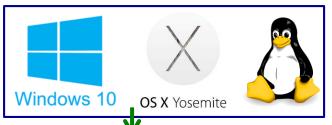
Integers & floats x86 assembly Procedures & stacks Executables Arrays & structs Memory & caches **Processes** Virtual memory Memory allocation Java vs. C

Memory & data

Machine code:

```
0111010000011000
100011010000010000000010
1000100111000010
110000011111101000011111
```

OS:



Computer system:







### Roadmap

# What happens as your computer is executing one or more processes?

C:

```
car *c = malloc(sizeof(car));
c->miles = 100;
c->gals = 17;
float mpg = get_mpg(c);
free(c);
```

Java:

Memory & data
Integers & floats
x86 assembly
Procedures & stacks
Executables
Arrays & structs
Memory & caches
Processes
Virtual memory
Memory allocation
Java vs. C

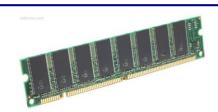
Assembly language:

```
get_mpg:
    pushq %rbp
    movq %rsp, %rbp
    ...
    popq %rbp
    ret
    ...
```

Machine code:

Computer system:









### **Course Perspective**

- CSE351 will make you a better programmer
  - Purpose is to show how software really works
    - Understanding of some of the abstractions that exist between programs and the hardware they run on, why they exist, and how they build upon each other
  - Understanding the underlying system makes you more effective
    - Better debugging
    - Better basis for evaluating performance
    - How multiple activities work in concert (e.g. OS and user programs)
  - "Stuff everybody learns and uses and forgets not knowing"
- CSE351 presents a world-view that will empower you
  - The intellectual and software tools to understand the trillions+ of 1s and 0s that are "flying around" when your program runs

### **Lecture Outline**

- Course Introduction
- Course Policies
  - https://courses.cs.washington.edu/courses/cse351/22sp/syllabus
- Binary and Numerical Representation

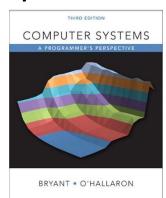
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### **Bookmarks**

- Website: <a href="https://courses.cs.washington.edu/courses/cse351/22sp/">https://courses.cs.washington.edu/courses/cse351/22sp/</a>
  - Schedule, policies, materials, videos, assignments, etc.
- Discussion: <a href="https://us.edstem.org/courses/21044/discussion/">https://us.edstem.org/courses/21044/discussion/</a>
  - Announcements made here
  - Ask and answer questions staff will monitor and contribute
- Lessons: <a href="https://us.edstem.org/courses/21044/lessons/">https://us.edstem.org/courses/21044/lessons/</a>
  - Pre-lecture Readings, lecture polling questions, homework
- Gradescope: <a href="https://www.gradescope.com/courses/381494">https://www.gradescope.com/courses/381494</a>
  - Lab submissions, Exams
- Canvas: https://canvas.uw.edu/courses/1546970
  - Calendar, grade book

### **Reference Material**

- The readings on Ed Lessons constitute a "mini-textbook" for this course, but may not have enough detail for everyone
- Computer Systems: A Programmer's Perspective
  - Randal E. Bryant and David R. O'Hallaron
  - Website: <a href="http://csapp.cs.cmu.edu">http://csapp.cs.cmu.edu</a>
  - North American <u>3rd edition</u>
  - Optional, additional readings



- C reference (physical or online)
  - The C Programming Language (Kernighan and Ritchie)
  - C: A Reference Manual (Harbison and Steele)
  - http://www.cplusplus.com

# **Grading**

- ❖ Readings: ~5%
  - Can reveal solution after one attempt (completion)
- Homework: ~20%
  - Unlimited submission attempts (autograded correctness)
- Labs: ~40% | (optional partner)
  - Last submission graded (correctness)
- Exams: Midterm (~16%) and Final (~16%)
  - Take-home; individual, but some discussion permitted.
     More info on these later.
- Participation: ~3%

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- Group work will be emphasized in this class
  - Lecture and section will have built-in group work time
    - you will get the most out of it if you actively participate!
    - TAs will circle around the room and interact with groups
    - Raise your hand to get the attention of a staff member
  - Most assignments allow collaboration talking to classmates will help you synthesize concepts and terminology
    - The major takeaways for this course will be the ability to explain the major concepts verbally and/or in writing to others
  - However, the responsibility for learning falls on you

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### Lab Collaboration and Academic Integrity

- All submissions are expected to be yours and yours alone
- You are encouraged to discuss your assignments with other students (ideas), but we expect that what you turn in is yours
- It is NOT acceptable to copy solutions from other students or to copy (or start your) solutions from the Web (including Github, Chegg, and similar sites)
- Our goal is that \*YOU\* learn the material so you will be prepared for exams, interviews, and the future

### Some fun topics that we will touch on

- Which of the following seems the most interesting to you? (vote in Ed Lessons)
- a) What is a GFLOP and why is it used in computer benchmarks?
- b) How and why does running many programs for a long time eat into your memory (RAM)?
- c) What is stack overflow and how does it happen?
- d) Why does your computer slow down when you run out of disk space?
- e) What was the flaw behind the original Internet worm, the Heartbleed bug, and the Cloudbleed bug?
- What is the meaning behind the different CPU specifications?
   (e.g., # of cores, size of cache)

### **To-Do List**

#### Admin

- Explore/read website thoroughly:
- Check that you can access Ed Discussion & Lessons
- Get your machine set up to access the CSE Linux environment (CSE VM or attu) as soon as possible
- Optionally, sign up for CSE 391: System and Software Tools
  - TOMORROW, Tuesday 1:30-2:20pm, in CSE2 G20

#### Assignments

- Pre-Course Survey and hw0 due Wednesday (3/30) 11:59pm
- Hw1 due Friday (4/01) 11:59pm
- Lab 0 due Monday (4/04) 11:59pm
- Readings due before each lecture 11am
- Lecture activities from that day are due before NEXT lecture 11am

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### **Lecture Outline**

- Course Introduction
- Course Policies
- Binary and Numerical Representation
  - Decimal, Binary, and Hexadecimal
  - Base Conversion
  - Binary Encoding

### **Decimal Numbering System**

Ten symbols: 0, 1, 2, 3, 4, 5, 6, 7, 8, 9

- Represent larger numbers as a sequence of digits
  - Each digit is one of the available symbols

- Example: 7061 in decimal (base 10)
  - $7061_{10} = (7 \times 10^3) + (0 \times 10^2) + (6 \times 10^1) + (1 \times 10^0)$

### **Octal Numbering System**

- Eight symbols: 0, 1, 2, 3, 4, 5, 6, 7
  - Notice that we no longer use 8 or 9
- Base comparison:
  - Base 10: 0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12...
  - Base 8: 0, 1, 2, 3, 4, 5, 6, 7, 10, 11, 12, 13, 14...
- Example: What is 7061<sub>8</sub> in base 10?

$$7061_8 = (7 \times 8^3) + (0 \times 8^2) + (6 \times 8^1) + (1 \times 8^0) = 3633_{10}$$



### **Warmup Question**

- What is 34<sub>8</sub> in base 10?
  - Not a polling question
  - A. 32<sub>10</sub>
  - B. 34<sub>10</sub>
  - C. 7<sub>10</sub>
  - D. 28<sub>10</sub>
  - E. 35<sub>10</sub>

## **Binary and Hexadecimal**

- Binary is base 2
  - Symbols: 0, 1
  - Convention:  $2_{10} = 10_2 = 0b10$
- Example: What is 0b110 in base 10?
  - $0b110 = 110_2 = (1 \times 2^2) + (1 \times 2^1) + (0 \times 2^0) = 6_{10}$
- Hexadecimal (hex, for short) is base 16
  - Symbols? 0, 1, 2, 3, 4, 5, 6, 7, 8, 9, A, B, C, D, E, F
  - Convention:  $16_{10} = 10_{16} = 0x10$
- Example: What is 0xA5 in base 10?
  - $0xA5 = A5_{16} = (10 \times 16^{1}) + (5 \times 16^{0}) = 165_{10}$

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### **Converting to Base 10**

- Can convert from any base to base 10
  - $0b110 = 110_2 = (1 \times 2^2) + (1 \times 2^1) + (0 \times 2^0) = 6_{10}$
  - $0xA5 = A5_{16} = (10 \times 16^{1}) + (5 \times 16^{0}) = 165_{10}$
- We learned to think in base 10, so this is fairly natural for us

Challenge: Convert into other bases (e.g. 2, 16)

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## **Decimal to Binary**

- Convert 13<sub>10</sub> into binary
- Hints:
  - $2^3 = 8$
  - $2^2 = 4$
  - $2^1 = 2$
  - $2^0 = 1$

- Think!
  - No voting for this question

### **Converting from Decimal to Binary**

- Given a decimal number N:
  - 1. List increasing powers of 2 from right to left until  $\geq N$
  - 2. Then from *left to right*, ask is that (power of 2)  $\leq$  N?
    - If YES, put a 1 below and subtract that power from N
    - If NO, put a 0 below and keep going

Example: 13 to binary

24=16	2 <sup>3</sup> =8	2 <sup>2</sup> =4	2 <sup>1</sup> =2	20=1

### Converting from Decimal to Base B

- Given a decimal number N:
  - 1. List increasing powers of B from right to left until  $\geq N$
  - 2. Then from *left to right*, ask is that (power of B)  $\leq$  N?
    - If YES, put how many of that power go into N and subtract from N
    - If NO, put a 0 below and keep going

Example: 165 to hex

16 <sup>2</sup> =256	16 <sup>1</sup> =16	16 <sup>0</sup> =1

### **Converting Binary** ↔ **Hexadecimal**

- ♦ Hex → Binary
  - Substitute hex digits, then drop any leading zeros
  - Example: 0x2D to binary
    - 0x2 is 0b0010, 0xD is 0b1101
    - Drop two leading zeros, answer is 0b101101
- ♦ Binary → Hex
  - Pad with leading zeros until multiple of
     4, then substitute each group of 4
  - Example: 0b101101
    - Pad to 0b 0010 1101
    - Substitute to get 0x2D

Base 10	Base 2	Base 16
0	0000	0
1	0001	1
2	0010	2
3	0011	3
4	0100	4
5	0101	5
6	0110	6
7	0111	7
8	1000	8
9	1001	9
10	1010	Α
11	1011	В
12	1100	С
13	1101	D
14	1110	Е
15	1111	F

### **Binary** → **Hex Practice**

- Convert 0b100110110101101
  - How many digits?
  - Pad:
  - Substitute:

Dece 10	Dogg 2	Dece 16
Base 10	Base 2	Base 16
0	0000	0
1	0001	1
2	0010	2
3	0011	3
4	0100	4
5	0101	5
6	0110	6
7	0111	7
8	1000	8
9	1001	9
10	1010	Α
11	1011	В
12	1100	С
13	1101	D
14	1110	E
15	1111	F

### **Polling Questions – Answer in Ed Lessons**

- What is the decimal value of the numeral 107<sub>8</sub>?
  - A. 71
  - B. 87
  - C. 107
  - D. 568
- Represent
   0b100110110101101 in hex.

- What is the decimal number 108 in hex?
  - A. 0x6C
  - **B.** 0xA8
  - C. 0x108
  - D. 0x612
- Represent 0x3C9 in binary.

### **Base Comparison**

- Why does all of this matter?
  - Humans think about numbers in base
     10, but computers "think" about
     numbers in base 2
  - Binary encoding is what allows computers to do all of the amazing things that they do!
- You should have this table memorized by the end of the class
  - Might as well start now!

Base 10	Base 2	Base 16
0	0000	0
1	0001	1
2	0010	2
3	0011	3
4	0100	4
5	0101	5
6	0110	6
7	0111	7
8	1000	8
9	1001	9
10	1010	Α
11	1011	В
12	1100	С
13	1101	D
14	1110	Е
15	1111	F

### **Numerical Encoding**

- AMAZING FACT: You can represent anything countable using numbers!
  - Need to agree on an encoding
  - Kind of like learning a new language
- Examples:
  - Decimal Integers:  $0\rightarrow0b0$ ,  $1\rightarrow0b1$ ,  $2\rightarrow0b10$ , etc.
  - English Letters: CSE $\rightarrow$ 0x435345, yay $\rightarrow$ 0x796179
  - Emoticons: 3 0x0, 3 0x1, 3 0x2, 3 0x3, 3 0x4, 4 0x5

## **Binary Encoding**

- With N binary digits, how many "things" can you represent?
  - Need N binary digits to represent n things, where  $2^{N} \ge n$
  - Example: 5 binary digits for alphabet because  $2^5 = 32 > 26$

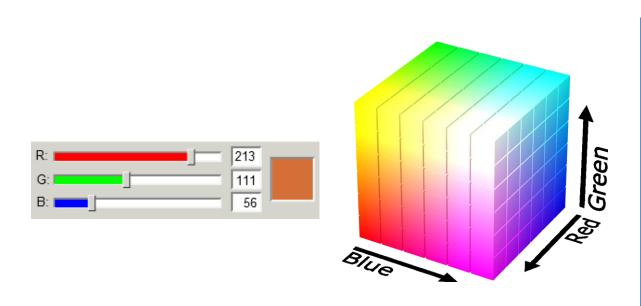
- A binary digit is known as a bit
- A group of 4 bits (1 hex digit) is called a nibble
- A group of 8 bits (2 hex digits) is called a byte
  - 1 bit  $\rightarrow$  2 things, 1 nibble  $\rightarrow$  16 things, 1 byte  $\rightarrow$  256 things

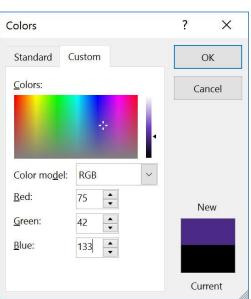
### So What's It Mean?

- A sequence of bits can have many meanings!
- Consider the hex sequence 0x4E6F21
  - Common interpretations include:
    - The decimal number 5140257
    - The characters "No!"
    - The background color of this slide
    - The real number  $7.203034 \times 10^{-39}$
- It is up to the program/programmer to decide how to interpret the sequence of bits

### **Binary Encoding – Colors**

- RGB Red, Green, Blue
  - Additive color model (light): byte (8 bits) for each color
  - Commonly seen in hex (in HTML, photo editing, etc.)
  - Examples: Blue→0x0000FF, Gold→0xFFD700, White→0xFFFFFF, Deep Pink→0xFF1493





### Binary Encoding – Characters/Text

- ASCII Encoding (<u>www.asciitable.com</u>)
  - American Standard Code for Information Interchange

```
Dec Hx Oct Html Chr
Dec Hx Oct Char
                                                         Dec Hx Oct Html Chr Dec Hx Oct Html Chr
                                                          64 40 100 @ 0
 0 0 000 NUL (null)
                                     32 20 040   Space
                                                                            96 60 140 4#96;
 1 1 001 SOH (start of heading)
                                     33 21 041 ! !
                                                          65 41 101 A A
                                                                            97 61 141 a
                                     34 22 042 4#34; "
                                                          66 42 102 B B
                                                                            98 62 142 b b
   2 002 STX (start of text)
   3 003 ETX (end of text)
                                     35 23 043 4#35; #
                                                          67 43 103 C C
                                                                            99 63 143 4#99; 🕻
                                     36 24 044 $ $
                                                          68 44 104 D D
                                                                           100 64 144 d d
   4 004 EOT (end of transmission)
   5 005 ENQ (enquiry)
                                     37 25 045 4#37; %
                                                          69 45 105 E E
                                                                           101 65 145 e e
                                     38 26 046 4#38; 4
                                                          70 46 106 F F
                                                                           102 66 146 f f
   6 006 ACK (acknowledge)
                                                          71 47 107 4#71; 🚱
                                                                           103 67 147 @#103; g
 7 7 007 BEL (bell)
                                     39 27 047 ' '
                                                          72 48 110 H H
                                                                           |104 68 150 h h
   8 010 BS
             (backspace)
                                     40 28 050 ( (
  9 011 TAB (horizontal tab)
                                     41 29 051 6#41; )
                                                          73 49 111 @#73; I
                                                                           |105 69 151 i i
                                     42 2A 052 @#42; *
10 A 012 LF
             (NL line feed, new line)
                                                          74 4A 112 @#74; J
                                                                           |106 6A 152 j j
                                     43 2B 053 6#43; +
                                                          75 4B 113 K K | 107 6B 153 k k
11 B 013 VT
            (vertical tab)
                                                          76 4C 114 L L
                                                                           |108 6C 154 l <mark>l</mark>
12 C 014 FF
             (NP form feed, new page)
                                     44 2C 054 , ,
13 D 015 CR
             (carriage return)
                                     45 2D 055 - -
                                                          77 4D 115 M M
                                                                           |109 6D 155 m m
14 E 016 SO
             (shift out)
                                     46 2E 056 .
                                                          78 4E 116 N N
                                                                           |110 6E 156 n n
                                                                           |111 6F 157 @#111; o
15 F 017 SI
            (shift in)
                                     47 2F 057 / /
                                                          79 4F 117 O 0
                                     48 30 060 4#48; 0
                                                          80 50 120 P P
                                                                           112 70 160 @#112; p
16 10 020 DLE (data link escape)
17 11 021 DC1 (device control 1)
                                     49 31 061 6#49; 1
                                                          81 51 121 4#81; 0
                                                                           |113 71 161 q q
                                     50 32 062 4#50; 2
                                                          82 52 122 6#82; R | 114 72 162 6#114; r
18 12 022 DC2 (device control 2)
19 13 023 DC3 (device control 3)
                                     51 33 063 3 3
                                                          83 53 123 4#83; 5 | 115 73 163 4#115; 5
20 14 024 DC4 (device control 4)
                                     52 34 064 4 4
                                                          84 54 124 @#84; T |116 74 164 @#116; t
21 15 025 NAK (negative acknowledge)
                                     53 35 065 4#53; 5
                                                          85 55 125 @#85; U | 117 75 165 @#117; u
22 16 026 SYN (synchronous idle)
                                     54 36 066 6 6
                                                          86 56 126 V V
                                                                           |118 76 166 v V
                                     55 37 067 4#55; 7
                                                          87 57 127 4#87; ₩
                                                                           |119 77 167 w ₩
23 17 027 ETB (end of trans. block)
                                                                           120 78 170 @#120; X
24 18 030 CAN (cancel)
                                     56 38 070 4#56; 8
                                                          88 58 130 X X
25 19 031 EM
            (end of medium)
                                     57 39 071 4#57; 9
                                                          89 59 131 Y Y
                                                                           |121 79 171 y Y
26 1A 032 SUB (substitute)
                                     58 3A 072 4#58; :
                                                          90 5A 132 Z Z
                                                                           122 7A 172 @#122; Z
                                     59 3B 073 &#59; ;
                                                          91 5B 133 [ [
                                                                           |123 7B 173 { {
27 1B 033 ESC (escape)
                                                                           124 7C 174 @#124;
             (file separator)
                                     60 3C 074 < <
                                                          92 5C 134 \ \
28 1C 034 FS
29 1D 035 GS
             (group separator)
                                     61 3D 075 = =
                                                          93 5D 135 ] ]
                                                                           125 7D 175 @#125; )
                                                                           126 7E 176 ~ ~
                                     62 3E 076 > >
30 1E 036 RS
             (record separator)
                                                          94 5E 136 ^ ^
                                                          95 5F 137 6#95; _ | 127 7F 177 6#127; DEL
                                     63 3F 077 ? ?
31 1F 037 US
             (unit separator)
```

### Binary Encoding – Files and Programs

- At the lowest level, all digital data is stored as bits!
- Layers of abstraction keep everything comprehensible
  - Data/files are groups of bits interpreted by program
  - Program is actually groups of bits being interpreted by your CPU
- Computer Memory Demo (try it!)
  - From vim: %!xxd
  - From emacs: M-x hexl-mode

## Summary

 Humans think about numbers in decimal; computers think about numbers in binary

L01: Introduction, Binary

- Base conversion to go between them
- Hexadecimal is more human-readable than binary
- All information on a computer is binary
- Binary encoding can represent anything!
  - Computer/program needs to know how to interpret the bits