The Hardware/Software Interface

CSE 351 Spring 2020

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AN x64 PROCESSOR IS SCREAMING ALONG AT BILLIONS OF CYCLES PER SECOND TO RUN THE XNU KERNEL, WHICH IS FRANTICALLY WORKING THROUGH ALL THE POSIX-SPECIFIED ABSTRACTION TO CREATE THE DARWIN SYSTEM UNDERLYING OS X, WHICH IN TURN IS STRAINING ITSELF TO RUN FIREFOX AND ITS GECKO RENDERER, WHICH CREATES A PLASH OBJECT WHICH RENDERS DOZENS OF VIDEO FRAMES EVERY SECOND

BECAUSE I WANTED TO SEE A CAT JUMP INTO A BOX AND FALL OVER.



I AM A GOD.

http://xkcd.com/676/

Introductions: Course Staff

Instructor: Ruth Anderson

TAs:































- Learn more about me and the staff on the course website!
- Available in section, office hours, and on Piazza
- An invaluable source of information and help
- Get to know us
 - We are here to help you succeed!

Introductions: You!

~220 students registered across 2 lecture sections

- CSE majors, EE majors, and more
 - Most of you will find almost everything in the course new
- Get to know each other and help each other out!
 - Learning is much more fun with friends
 - Working well with others is a valuable life skill
 - Diversity of perspectives expands your horizons

Welcome to Spring 2020!

- Thanks in advance for working with us to make this the best on-line experience we can!
- Help us figure out the best ways to handle:
 - Lecture
 - Office Hours (Schedule & Zoom links coming soon!)
 - Sections (Zoom links coming soon!)
 - Students in different time zones
 - Other challenges/opportunities!
- We'll be experimenting with different formats/approaches to see what works best!
- Feedback Survey for today: (please fill this out after lecture today)
 - https://catalyst.uw.edu/webq/survey/rea2000/387634

Welcome to CSE351!



- Our goal is to teach you the key abstractions "under the hood"
 - How does your source code become something that your computer understands?
 - What happens as your computer is executing one or more processes?

Welcome to CSE351!



This is an introduction that will:

- Profoundly change/augment your view of computers and programs
- Leave you impressed that computers ever work

Code in Many Forms

```
if (x != 0) y = (y+z)/x;
               Compiler
          $0, -4(%ebp)
    cmpl
          .L2
    je
   movl -12 (%ebp), %eax
          -8(%ebp), %edx
   movl
    leal
         (%edx,%eax), %eax
   movl %eax, %edx
    sarl $31, %edx
    idivl -4 (%ebp)
   movl
          %eax, -8(%ebp)
.L2:
```

High Level Language (e.g. C, Java)

Assembly Language

Assembler

Machine Code

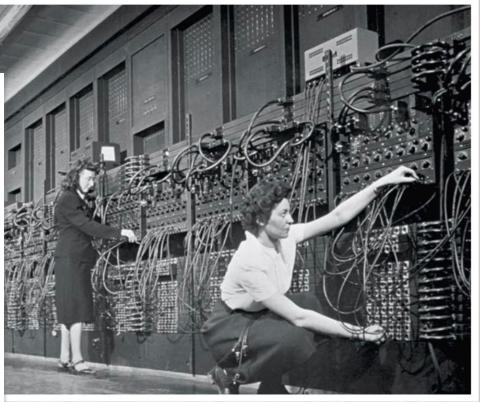
HW/SW Interface: Historical Perspective

Hardware started out quite primitive

1940s



https://s-media-cache-ak0.pinimg.com/564x/91/37/23/91372375e2e6517f8af128aab655e3b4.jpg



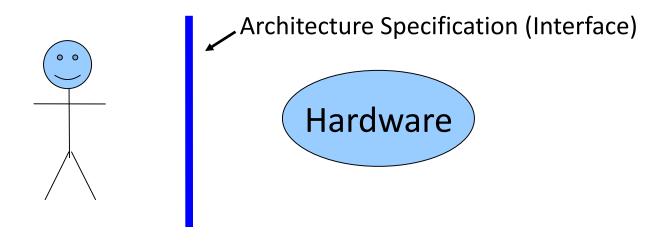
Jean Jennings (left), Marlyn Wescoff (center), and Ruth Lichterman program ENIAC at the University of Pennsylvania, circa 1946.

Photo: Corbis

http://fortune.com/2014/09/18/walter-isaacson-the-women-of-eniac/

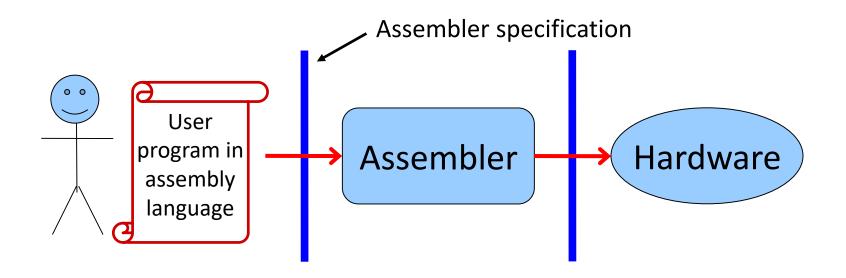
HW/SW Interface: Historical Perspective

- Hardware started out quite primitive
 - Programmed with very basic instructions (primitives)
 - e.g., a single instruction for adding two integers
- Software was also very basic
 - Closely reflected the actual hardware it was running on
 - Specify each step manually



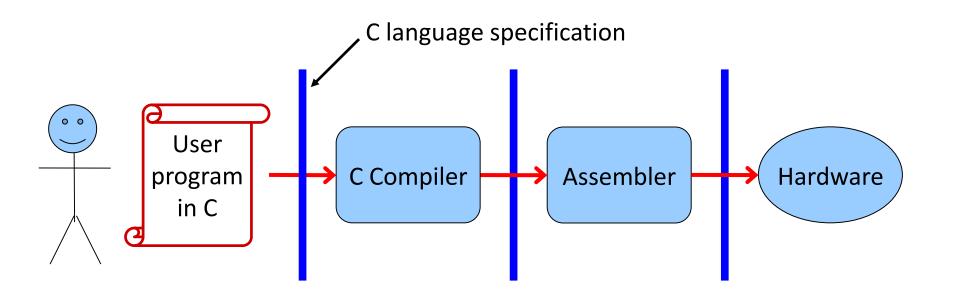
HW/SW Interface: Assemblers

- Life was made a lot better by assemblers
 - 1 assembly instruction = 1 machine instruction
 - More human-readable syntax
 - Assembly instructions are character strings, not bit strings
 - Can use symbolic names



HW/SW Interface: Higher-Level Languages

- Higher level of abstraction
 - 1 line of a high-level language is compiled into many (sometimes very many) lines of assembly language



Roadmap

How does your source code become something that your computer understands?

```
car *c = malloc(sizeof(car));
c->miles = 100;
c->qals = 17;
float mpg = get mpg(c);
free(c);
```

Java:

```
Car c = new Car();
c.setMiles(100);
c.setGals(17);
float mpg =
    c.getMPG();
```

Assembly language:

```
get mpg:
    pushq
            %rbp
            %rsp, %rbp
    movq
            %rbp
    popq
    ret
```

OS:

Memory & data Integers & floats x86 assembly Procedures & stacks Executables Arrays & structs Memory & caches **Processes** Virtual memory Memory allocation Java vs. C

Machine code:

```
0111010000011000
100011010000010000000010
1000100111000010
110000011111101000011111
```



Computer system:







Roadmap

What happens as your computer is executing one or more processes?

C:

```
car *c = malloc(sizeof(car));
c->miles = 100;
c->gals = 17;
float mpg = get_mpg(c);
free(c);
```

Java:

OS:

Windows 10

Memory & data
Integers & floats
x86 assembly
Procedures & stacks
Executables
Arrays & structs
Memory & caches
Processes
Virtual memory
Memory allocation
Java vs. C

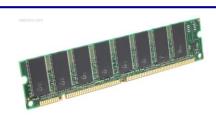
Assembly language:

```
get_mpg:
    pushq %rbp
    movq %rsp, %rbp
    ...
    popq %rbp
    ret
    ...
```

Machine code:

Computer system:









Course Perspective

- CSE351 will make you a better programmer
 - Purpose is to show how software really works
 - Understanding of some of the abstractions that exist between programs and the hardware they run on, why they exist, and how they build upon each other
 - Understanding the underlying system makes you more effective
 - Better debugging
 - Better basis for evaluating performance
 - How multiple activities work in concert (e.g. OS and user programs)
 - "Stuff everybody learns and uses and forgets not knowing"
- CSE351 presents a world-view that will empower you
 - The intellectual and software tools to understand the trillions+ of 1s and 0s that are "flying around" when your program runs

Lecture Outline

- Course Introduction
- Course Policies
 - https://courses.cs.washington.edu/courses/cse351/20sp/syllabus/
- Binary

Bookmarks

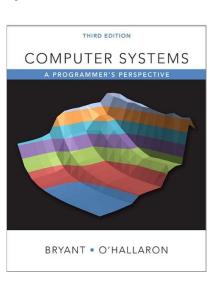
- Course Website: http://cs.uw.edu/351
 - Schedule, policies, materials, videos, assignments, etc.
- Discussion:

http://piazza.com/washington/spring2020/cse351

- Announcements made here
- Ask and answer questions staff will monitor and contribute
- Gradescope: https://www.gradescope.com/courses/106949
 - Assignment submissions
- Canvas: https://canvas.uw.edu/courses/1371942
 - Gradebook
- Poll Everywhere: http://pollev.com/rea
 - In-lecture voting

Textbooks

- Computer Systems: A Programmer's Perspective
 - Randal E. Bryant and David R. O'Hallaron
 - Website: http://csapp.cs.cmu.edu
 - Must be (North American) 3rd edition
 - http://csapp.cs.cmu.edu/3e/changes3e.html
 - http://csapp.cs.cmu.edu/3e/errata.html
 - This book really matters for the course!
 - Lecture readings
 - Practice problems and homework
- A good C book any will do
 - The C Programming Language (Kernighan and Ritchie)
 - C: A Reference Manual (Harbison and Steele)





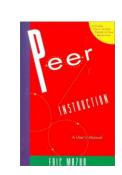
- Homework: 30% total
 - Autograded; unlimited submission attempts
 - Group work encouraged
- Labs: 45% total
 - Graded by TAs; last submission graded
 - Individual work only
- Unit Summaries: 15% total
 - Meant to replace the review, summarizing, and reflecting that studying for exams provides. More info on these later.
 - Individual work only
- Participation: 10%

Lab Collaboration and Academic Integrity

- All submissions are expected to be yours and yours alone
- You are encouraged to discuss your assignments with other students (ideas), but we expect that what you turn in is yours
- It is NOT acceptable to copy solutions from other students or to copy (or start your) solutions from the Web (including Github)
- Our goal is that *YOU* learn the material so you will be prepared for exams, interviews, and the future

Lecture Polling

 Increase real-time learning in lecture, test your understanding, increase student interactions



- Lots of research supports its effectiveness
- Multiple choice question during lecture
 - 1 minute to decide on your own
 - 2-4 minutes in pairs to reach consensus
 - Learn through discussion & teaching



- Vote using Poll Everywhere
 - Use website (https://www.polleverywhere.com) or app
 - Linked to your UWNetID

Some fun topics that we will touch on

- Which of the following seems the most interesting to you? (vote at http://pollev.com/rea)
- a) What is a GFLOP and why is it used in computer benchmarks?
- b) How and why does running many programs for a long time eat into your memory (RAM)?
- c) What is stack overflow and how does it happen?
- d) Why does your computer slow down when you run out of disk space?
- e) What is the meaning behind the different CPU specifications? (e.g. # of cores, # and size of cache, supported memory types)

Tips for Success in 351

- Attend all lectures and sections
 - Avoid devices during lecture except for Poll Everywhere
- Do the textbook readings ahead of time
- Learn by doing
 - Can answer many questions by writing small programs
- Visit Piazza often
 - Ask questions and try to answer fellow students' questions
- Go to office hours
 - Even if you don't have specific questions in mind
- Start assignments early
- Don't be afraid to ask questions
- Give us feedback on how things are going this quarter

To-Do List

Admin

- Explore/read website thoroughly: http://cs.uw.edu/351
- Check that you are enrolled in Piazza; read posts
- Log in to Poll Everywhere
- Get your machine set up for this class (VM or attu) as soon as possible
- Make sure you're also enrolled in CSE391! (EEs included)
 - TOMORROW, Tuesday 1:30-2:20pm
 - https://courses.cs.washington.edu/courses/cse391/20sp/

Assignments – Nothing <u>Due</u> this week!

- Pre-Course Survey, hw0, hw1, hw2 due Monday (4/06) 11:59pm
- Lab 0 due Tuesday (4/07) 11:59pm
- hw3 due Wednesday (4/08) 11am

Lecture Outline

- Course Introduction
- Course Policies
- * Binary
 - Decimal, Binary, and Hexadecimal
 - Base Conversion
 - Binary Encoding

Decimal Numbering System

Ten symbols: 0, 1, 2, 3, 4, 5, 6, 7, 8, 9

- Represent larger numbers as a sequence of digits
 - Each digit is one of the available symbols

- Example: 7061 in decimal (base 10)
 - $7061_{10} = (7 \times 10^3) + (0 \times 10^2) + (6 \times 10^1) + (1 \times 10^0)$

Octal Numbering System

- Eight symbols: 0, 1, 2, 3, 4, 5, 6, 7
 - Notice that we no longer use 8 or 9
- Base comparison:
 - Base 10: 0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12...
 - Base 8: 0, 1, 2, 3, 4, 5, 6, 7, 10, 11, 12, 13, 14...
- Example: What is 7061₈ in base 10?

$$7061_8 = (7 \times 8^3) + (0 \times 8^2) + (6 \times 8^1) + (1 \times 8^0) = 3633_{10}$$



Warmup Question

- What is 34₈ in base 10?
 - No voting for this question
 - A. 32₁₀
 - B. 34₁₀
 - C. 7₁₀
 - D. 28₁₀
 - E. 35₁₀

Binary and Hexadecimal

- Binary is base 2
 - Symbols: 0, 1
 - Convention: $2_{10} = 10_2 = 0b10$
- Example: What is 0b110 in base 10?
 - $0b110 = 110_2 = (1 \times 2^2) + (1 \times 2^1) + (0 \times 2^0) = 6_{10}$
- Hexadecimal (hex, for short) is base 16
 - Symbols? 0, 1, 2, 3, 4, 5, 6, 7, 8, 9, A, B, C, D, E, F
 - Convention: $16_{10} = 10_{16} = 0 \times 10$
- Example: What is 0xA5 in base 10?
 - $0xA5 = A5_{16} = (10 \times 16^{1}) + (5 \times 16^{0}) = 165_{10}$

Polling Question

Which of the following orderings is correct?

L01: Introduction, Binary

- A. 0xC < 0b1010 < 11
- B. 0xC < 11 < 0b1010
- C. 11 < 0b1010 < 0xC
- D. 0b1010 < 11 < 0xC
- E. 0b1010 < 0xC < 11
- Think on your own for a minute, then discuss with your neighbor(s)
 - Vote at http://pollev.com/rea

Converting to Base 10

- Can convert from any base to base 10
 - $0b110 = 110_2 = (1 \times 2^2) + (1 \times 2^1) + (0 \times 2^0) = 6_{10}$
 - $0xA5 = A5_{16} = (10 \times 16^{1}) + (5 \times 16^{0}) = 165_{10}$
- We learned to think in base 10, so this is fairly natural for us

Challenge: Convert into other bases (e.g. 2, 16)

Challenge Question

- Convert 13₁₀ into binary
- * Hints:
 - $2^3 = 8$
 - $2^2 = 4$
 - $2^1 = 2$
 - $2^0 = 1$

- Think!
 - No voting for this question

Converting from Decimal to Binary

- Given a decimal number N:
 - 1. List increasing powers of 2 from right to left until $\geq N$
 - 2. Then from *left to right*, ask is that (power of 2) \leq N?
 - If YES, put a 1 below and subtract that power from N
 - If NO, put a 0 below and keep going

Example: 13 to binary

24=16	2 ³ =8	2 ² =4	2 ¹ =2	2 ⁰ =1

Converting from Decimal to Base B

- Given a decimal number N:
 - 1. List increasing powers of B from right to left until $\geq N$
 - 2. Then from *left to right*, ask is that (power of B) \leq N?
 - If YES, put how many of that power go into N and subtract from N
 - If NO, put a 0 below and keep going

Example: 165 to hex

16 ² =256	16 ¹ =16	16 ⁰ =1

Converting Binary ↔ **Hexadecimal**

- ♦ Hex → Binary
 - Substitute hex digits, then drop any leading zeros
 - Example: 0x2D to binary
 - 0x2 is 0b0010, 0xD is 0b1101
 - Drop two leading zeros, answer is 0b101101
- ♦ Binary → Hex
 - Pad with leading zeros until multiple of
 4, then substitute each group of 4
 - Example: 0b101101
 - Pad to 0b 0010 1101
 - Substitute to get 0x2D

Base 10	Base 2	Base 16
0	0000	0
1	0001	1
2	0010	2
3	0011	3
4	0100	4
5	0101	5
6	0110	6
7	0111	7
8	1000	8
9	1001	9
10	1010	Α
11	1011	В
12	1100	С
13	1101	D
14	1110	Е
1 5	1111	F

Binary → **Hex Practice**

- Convert 0b100110110101101
 - How many digits?
 - Pad:
 - Substitute:

Base 10	Base 2	Base 16
0	0000	0
1	0001	1
2	0010	2
3	0011	3
4	0100	4
5	0101	5
6	0110	6
7	0111	7
8	1000	8
9	1001	9
10	1010	Α
11	1011	В
12	1100	С
13	1101	D
14	1110	E
15	1111	F

Base Comparison

- Why does all of this matter?
 - Humans think about numbers in base
 10, but computers "think" about
 numbers in base 2
 - Binary encoding is what allows computers to do all of the amazing things that they do!
- You should have this table memorized by the end of the class
 - Might as well start now!

Base 10	Base 2	Base 16
0	0000	0
1	0001	1
2	0010	2
3	0011	3
4	0100	4
5	0101	5
6	0110	6
7	0111	7
8	1000	8
9	1001	9
10	1010	Α
11	1011	В
12	1100	С
13	1101	D
14	1110	E
15	1111	F

Numerical Encoding

- AMAZING FACT: You can represent anything countable using numbers!
 - Need to agree on an encoding
 - Kind of like learning a new language
- Examples:
 - Decimal Integers: $0\rightarrow0b0$, $1\rightarrow0b1$, $2\rightarrow0b10$, etc.
 - English Letters: CSE→0x435345, yay→0x796179
 - Emoticons: 3 0x0, 3 0x1, 3 0x2, 3 0x3, 3 0x4, 4 0x5

Binary Encoding

- With N binary digits, how many "things" can you represent?
 - Need N binary digits to represent n things, where $2^{N} \ge n$
 - Example: 5 binary digits for alphabet because $2^5 = 32 > 26$

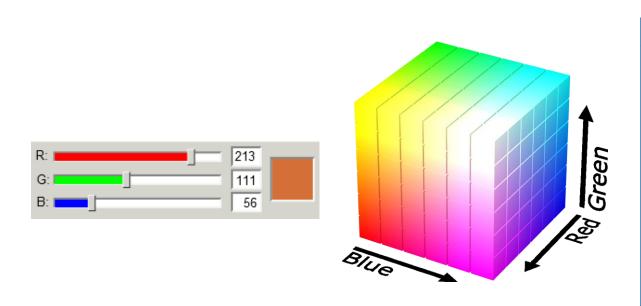
- A binary digit is known as a bit
- A group of 4 bits (1 hex digit) is called a nibble
- A group of 8 bits (2 hex digits) is called a byte
 - 1 bit \rightarrow 2 things, 1 nibble \rightarrow 16 things, 1 byte \rightarrow 256 things

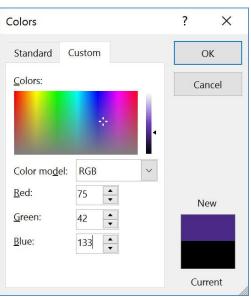
So What's It Mean?

- * A sequence of bits can have many meanings!
- Consider the hex sequence 0x4E6F21
 - Common interpretations include:
 - The decimal number 5140257
 - The characters "No!"
 - The background color of this slide
 - The real number 7.203034×10^{-39}
- It is up to the program/programmer to decide how to interpret the sequence of bits

Binary Encoding – Colors

- RGB Red, Green, Blue
 - Additive color model (light): byte (8 bits) for each color
 - Commonly seen in hex (in HTML, photo editing, etc.)
 - Examples: Blue→0x0000FF, Gold→0xFFD700, White→0xFFFFFF, Deep Pink→0xFF1493





Binary Encoding – Characters/Text

- ASCII Encoding (<u>www.asciitable.com</u>)
 - American Standard Code for Information Interchange

```
Dec Hx Oct Html Chr
Dec Hx Oct Char
                                                         Dec Hx Oct Html Chr Dec Hx Oct Html Chr
                                                          64 40 100 @ 0
 0 0 000 NUL (null)
                                     32 20 040   Space
                                                                            96 60 140 4#96;
 1 1 001 SOH (start of heading)
                                     33 21 041 ! !
                                                          65 41 101 A A
                                                                            97 61 141 a
                                     34 22 042 4#34; "
                                                          66 42 102 B B
                                                                            98 62 142 b b
   2 002 STX (start of text)
   3 003 ETX (end of text)
                                     35 23 043 4#35; #
                                                          67 43 103 C C
                                                                            99 63 143 4#99; 🕻
                                     36 24 044 $ $
                                                          68 44 104 D D
                                                                           100 64 144 d d
   4 004 EOT (end of transmission)
   5 005 ENQ (enquiry)
                                     37 25 045 4#37; %
                                                          69 45 105 E E
                                                                           101 65 145 e e
                                     38 26 046 4#38; 4
                                                          70 46 106 F F
                                                                           102 66 146 f f
   6 006 ACK (acknowledge)
                                                          71 47 107 4#71; 🚱
                                                                           103 67 147 @#103; g
 7 7 007 BEL (bell)
                                     39 27 047 ' '
                                                          72 48 110 H H
                                                                           104 68 150 h h
   8 010 BS
             (backspace)
                                     40 28 050 ( (
  9 011 TAB (horizontal tab)
                                     41 29 051 6#41; )
                                                          73 49 111 @#73; I
                                                                           |105 69 151 i i
10 A 012 LF
             (NL line feed, new line)
                                     42 2A 052 * *
                                                          74 4A 112 @#74; J
                                                                           |106 6A 152 j j
                                     43 2B 053 6#43; +
                                                          75 4B 113 K K | 107 6B 153 k k
11 B 013 VT
            (vertical tab)
                                                          76 4C 114 L L
                                                                           |108 6C 154 l <mark>l</mark>
12 C 014 FF
             (NP form feed, new page)
                                     44 2C 054 , ,
13 D 015 CR
             (carriage return)
                                     45 2D 055 - -
                                                          77 4D 115 M M
                                                                           |109 6D 155 m m
14 E 016 SO
             (shift out)
                                     46 2E 056 .
                                                          78 4E 116 N N
                                                                           |110 6E 156 n n
                                                                           |111 6F 157 @#111; o
15 F 017 SI (shift in)
                                     47 2F 057 / /
                                                          79 4F 117 O 0
                                     48 30 060 4#48; 0
                                                          80 50 120 P P
                                                                           112 70 160 @#112; p
16 10 020 DLE (data link escape)
17 11 021 DC1 (device control 1)
                                     49 31 061 6#49; 1
                                                          81 51 121 4#81; 0
                                                                           |113 71 161 q q
                                     50 32 062 4#50; 2
                                                          82 52 122 6#82; R | 114 72 162 6#114; r
18 12 022 DC2 (device control 2)
19 13 023 DC3 (device control 3)
                                     51 33 063 3 3
                                                          83 53 123 4#83; 5 | 115 73 163 4#115; 5
20 14 024 DC4 (device control 4)
                                     52 34 064 4 4
                                                          84 54 124 @#84; T |116 74 164 @#116; t
21 15 025 NAK (negative acknowledge)
                                     53 35 065 4#53; 5
                                                          85 55 125 @#85; U | 117 75 165 @#117; u
22 16 026 SYN (synchronous idle)
                                     54 36 066 6 6
                                                          86 56 126 V V
                                                                           |118 76 166 v V
                                     55 37 067 4#55; 7
                                                          87 57 127 4#87; ₩
                                                                           |119 77 167 w ₩
23 17 027 ETB (end of trans. block)
                                                                           120 78 170 @#120; X
24 18 030 CAN (cancel)
                                     56 38 070 4#56; 8
                                                          88 58 130 X X
25 19 031 EM
            (end of medium)
                                     57 39 071 4#57; 9
                                                          89 59 131 Y Y
                                                                           |121 79 171 y Y
26 1A 032 SUB (substitute)
                                     58 3A 072 4#58; :
                                                          90 5A 132 Z Z
                                                                           122 7A 172 @#122; Z
                                     59 3B 073 &#59; ;
                                                          91 5B 133 [ [
                                                                           |123 7B 173 { {
27 1B 033 ESC (escape)
                                                                           124 7C 174 @#124;
             (file separator)
                                     60 3C 074 < <
                                                          92 5C 134 \ \
28 1C 034 FS
29 1D 035 GS
             (group separator)
                                     61 3D 075 = =
                                                          93 5D 135 ] ]
                                                                           125 7D 175 @#125; )
                                                                           126 7E 176 ~ ~
                                     62 3E 076 > >
30 1E 036 RS
             (record separator)
                                                          94 5E 136 ^ ^
                                                         95 5F 137 6#95; _ | 127 7F 177 6#127; DEL
                                     63 3F 077 ? ?
31 1F 037 US
             (unit separator)
```

Binary Encoding – Files and Programs

- At the lowest level, all digital data is stored as bits!
- Layers of abstraction keep everything comprehensible
 - Data/files are groups of bits interpreted by program
 - Program is actually groups of bits being interpreted by your
 CPU
- Computer Memory Demo (try it!)
 - From vim: %!xxd
 - From emacs: M-x hexl-mode

Summary

- Humans think about numbers in decimal; computers think about numbers in binary
 - Base conversion to go between them
 - Hexadecimal is more human-readable than binary
- All information on a computer is binary
- Binary encoding can represent anything!
 - Computer/program needs to know how to interpret the bits