The Hardware/Software Interface

CSE 351 Spring 2020

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AN x64 PROCESSOR IS SCREAMING ALONG AT BILLIONS OF CYCLES PER SECOND TO RUN THE XNU KERNEL, WHICH IS FRANTICALLY WORKING THROUGH ALL THE POSIX-SPECIFIED ABSTRACTION TO CREATE THE DARWIN SYSTEM UNDERLYING OS X, WHICH IN TURN IS STRAINING ITSELF TO RUN FIREFOX AND ITS GECKO RENDERER, WHICH CREATES A PLASH OBJECT WHICH RENDERS DOZENS OF VIDEO FRAMES EVERY SECOND

BECAUSE I WANTED TO SEE A CAT JUMP INTO A BOX AND FALL OVER.



I AM A GOD.

http://xkcd.com/676/

Introductions: Course Staff

Instructor: Ruth Anderson

TAs:































- Learn more about me and the staff on the course website!
- Available in section, office hours, and on Piazza
- An invaluable source of information and help
- Get to know us
 - We are here to help you succeed!

Introductions: You!

- ~220 students registered across 2 lecture sections
- CSE majors, EE majors, and more
 - Most of you will find almost everything in the course new
- Get to know each other and help each other out!
 - Learning is much more fun with friends
 - Working well with others is a valuable life skill
 - Diversity of perspectives expands your horizons

Welcome to Spring 2020!

- Thanks in advance for working with us to make this the best on-line experience we can!
- Help us figure out the best ways to handle:
 - Lecture
 - Office Hours (Schedule & Zoom links coming soon!)
 - Sections (Zoom links coming soon!)
 - Students in different time zones
 - Other challenges/opportunities!
- We'll be experimenting with different formats/approaches to see what works best!
- Feedback Survey for today: (please fill this out after lecture today)
 - https://catalyst.uw.edu/webq/survey/rea2000/387634

Welcome to CSE351!



- Our goal is to teach you the key abstractions "under the hood"
 - How does your source code become something that your computer understands?
 - What happens as your computer is executing one or more processes?

Welcome to CSE351!



- This is an introduction that will:
 - Profoundly change/augment your view of computers and programs
 - Leave you impressed that computers ever work

Code in Many Forms

High Level Language (e.g. C, Java)

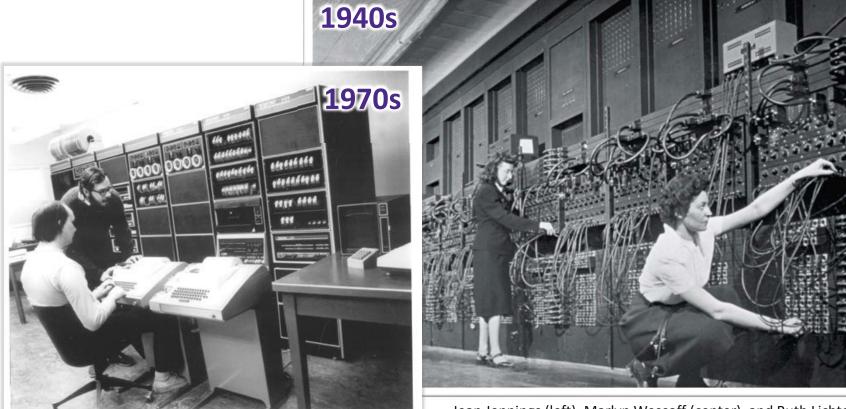
Assembly Language

Assembler

Machine Code

HW/SW Interface: Historical Perspective

Hardware started out quite primitive



https://s-media-cacheak0.pinimg.com/564x/91/37/23/91372375e2e6517f8af128aa b655e3b4.jpg

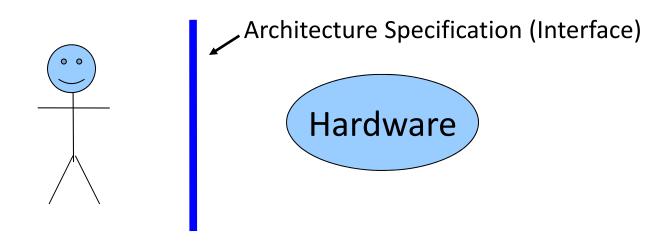
Jean Jennings (left), Marlyn Wescoff (center), and Ruth Lichterman program ENIAC at the University of Pennsylvania, circa 1946.

Photo: Corbis

http://fortune.com/2014/09/18/walter-isaacson-the-women-of-eniac/

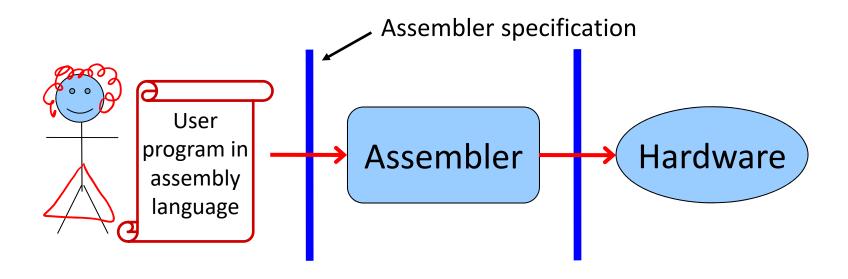
HW/SW Interface: Historical Perspective

- Hardware started out quite primitive
 - Programmed with very basic instructions (primitives)
 - e.g., a single instruction for adding two integers
- Software was also very basic
 - Closely reflected the actual hardware it was running on
 - Specify each step manually



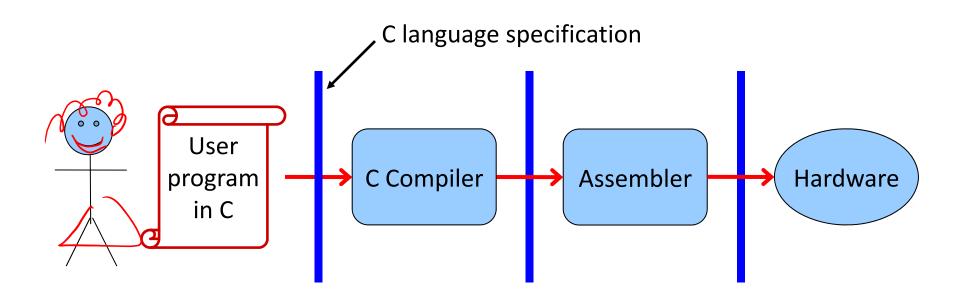
HW/SW Interface: Assemblers

- Life was made a lot better by assemblers
 - 1 assembly instruction = 1 machine instruction
 - More human-readable syntax
 - Assembly instructions are character strings, not bit strings
 - Can use symbolic names



HW/SW Interface: Higher-Level Languages

- Higher level of abstraction
 - 1 line of a high-level language is compiled into many (sometimes very many) lines of assembly language



Roadmap

How does your source code become something that your computer understands?

```
C:
                                   Java:
car *c = malloc(sizeof(car));
                                   Car c = new Car();
c->miles = 100;
                                   c.setMiles(100);
c->qals = 17;
                                   c.setGals(17);
float mpg = get_mpg(c);
                                   float mpg =
                                        c.getMPG();
free(c);
Assembly
             get_mpg:
                        %rbp
                 pushq
language:
                        %rsp, %rbp
                 movq
                        %rbp
                 popq
                 ret
Machine
             0111010000011000
             100011010000010000000010
code:
             1000100111000010
             110000011111101000011111
```

Memory & data Integers & floats x86 assembly Procedures & stacks Executables Arrays & structs Memory & caches **Processes** Virtual memory Memory allocation Java vs. C

OS:



Computer system:







Roadmap

What happens as your computer is executing one or more processes?

C:

car *c = malloc(sizeof(car)); c->miles = 100; c->gals = 17; float mpg = get_mpg(c); free(c);

Java:

Memory & data
Integers & floats
x86 assembly
Procedures & stacks
Executables
Arrays & structs
Memory & caches
Processes
Virtual memory
Memory allocation
Java vs. C

Assembly language:

```
get_mpg:
    pushq %rbp
    movq %rsp, %rbp
    ...
    popq %rbp
    ret _
```

Machine code:

 OS:



Computer system:







Course Perspective

- CSE351 will make you a better programmer
 - Purpose is to show how software really works
 - Understanding of some of the abstractions that exist between programs and the hardware they run on, why they exist, and how they build upon each other
 - Understanding the underlying system makes you more effective
 - Better debugging
 - Better basis for evaluating performance
 - How multiple activities work in concert (e.g. OS and user programs)
 - "Stuff everybody learns and uses and forgets not knowing"
- CSE351 presents a world-view that will empower you
 - The intellectual and software tools to understand the trillions+ of 1s and 0s that are "flying around" when your program runs

Lecture Outline

- Course Introduction
- Course Policies
 - https://courses.cs.washington.edu/courses/cse351/20sp/syllabus/

L01: Introduction, Binary

Binary

Bookmarks

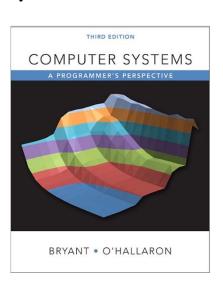
- Course Website: http://cs.uw.edu/351
 - Schedule, policies, materials, videos, assignments, etc.
- Discussion:

http://piazza.com/washington/spring2020/cse351

- Announcements made here
- Ask and answer questions staff will monitor and contribute
- Gradescope: https://www.gradescope.com/courses/106949
 - Assignment submissions
- Canvas: https://canvas.uw.edu/courses/1371942
 - Gradebook
- Poll Everywhere: http://pollev.com/rea
 - In-lecture voting

Textbooks

- Computer Systems: A Programmer's Perspective
 - Randal E. Bryant and David R. O'Hallaron
 - Website: http://csapp.cs.cmu.edu
 - Must be (North American) 3rd edition
 - http://csapp.cs.cmu.edu/3e/changes3e.html
 - http://csapp.cs.cmu.edu/3e/errata.html
 - This book really matters for the course!
 - Lecture readings
 - Practice problems and homework
- A good C book any will do
 - The C Programming Language (Kernighan and Ritchie)
 - C: A Reference Manual (Harbison and Steele)



Grading:

- Homework: 30% total
 - Autograded; unlimited submission attempts
 - Group work encouraged
- Labs: 45% total
 - Graded by TAs; last submission graded
 - Individual work only
- Unit Summaries: 15% total
 - Meant to replace the review, summarizing, and reflecting that studying for exams provides. More info on these later.
 - Individual work only
- Participation: 10%

Lab Collaboration and Academic Integrity

- All submissions are expected to be yours and yours alone
- You are encouraged to discuss your assignments with other students (ideas), but we expect that what you turn in is yours
- It is NOT acceptable to copy solutions from other students or to copy (or start your) solutions from the Web (including Github)
- Our goal is that *YOU* learn the material so you will be prepared for exams, interviews, and the future

Lecture Polling

- Increase real-time learning in lecture, test your understanding, increase student interactions
- eer MSIIIIIIII

- Lots of research supports its effectiveness
- Multiple choice question during lecture
 - 1 minute to decide on your own
 - 2-4 minutes in pairs to reach consensus
 - Learn through discussion & teaching



- Vote using Poll Everywhere
 - Use website (<u>https://www.polleverywhere.com</u>) or app
 - Linked to your UWNetID

Some fun topics that we will touch on

- Which of the following seems the most interesting to you? (vote at http://pollev.com/rea)
- a) What is a GFLOP and why is it used in computer benchmarks?
- b) How and why does running many programs for a long time eat into your memory (RAM)?
- c) What is stack overflow and how does it happen?
- d) Why does your computer slow down when you run out of disk space?
- e) What is the meaning behind the different CPU specifications? (e.g. # of cores, # and size of cache, supported memory types)

Tips for Success in 351

- Attend all lectures and sections
 - Avoid devices during lecture except for Poll Everywhere
- Do the textbook readings ahead of time
- Learn by doing
 - Can answer many questions by writing small programs
- Visit Piazza often
 - Ask questions and try to answer fellow students' questions
- Go to office hours
 - Even if you don't have specific questions in mind
- Start assignments early
- Don't be afraid to ask questions
- Give us feedback on how things are going this quarter

To-Do List

Admin

- Explore/read website thoroughly: http://cs.uw.edu/351
- Check that you are enrolled in Piazza; read posts
- Log in to Poll Everywhere
- Get your machine set up for this class (VM or attu) as soon as possible
- Make sure you're also enrolled in CSE391! (EEs included)
 - TOMORROW, Tuesday 1:30-2:20pm
 - https://courses.cs.washington.edu/courses/cse391/20sp/

Assignments – Nothing <u>Due</u> this week!

- Pre-Course Survey, hw0, hw1, hw2 due Monday (4/06) 11:59pm
- Lab 0 due Tuesday (4/07) 11:59pm
- hw3 due Wednesday (4/08) 11am

Lecture Outline

- Course Introduction
- Course Policies
- Binary
 - Decimal, Binary, and Hexadecimal
 - Base Conversion
 - Binary Encoding

Decimal Numbering System

- Ten symbols: 0, 1, 2, 3, 4, 5, 6, 7, 8, 9
- Represent larger numbers as a sequence of digits
 - Each digit is one of the available symbols

```
"thousands digit"

* Example: 7061 in decimal (base 10)

position: \frac{32}{7061} [1] = (\frac{7}{100} \times \frac{100}{100}) | \frac{32}{100} | \frac{32}{1
```

Octal Numbering System



- * Eight symbols: 0, 1, 2, 3, 4, 5, 6, 7
 - Notice that we no longer use 8 or 9
- Base comparison:
 - Base 10: 0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12...
 Base 8: 0, 1, 2, 3, 4, 5, 6, 7, 10, 11, 12, 13, 145.
- Example: What is 7061₈ in base 10?

■
$$7061_8 = (7 \times 8^3) + (0 \times 8^2) + (6 \times 8^1) + (1 \times 8^0) = 3633_{10}$$

(subscript indicates base now powers of 8

Warmup Question

- What is 34₈ in base 10?
 - No voting for this question

Binary and Hexadecimal

- Binary is base 2
 - Symbols: 0, 1
 - Convention: $2_{10} = 10_2 = 0b10$
- Example: What is 0b110 in base 10?
 - $0b110 = 110_2 = (1 \times 2^2) + (1 \times 2^1) + (0 \times 2^0) = 6_{10}$
- Hexadecimal (hex, for short) is base 16
- Symbols? 0, 1, 2, 3, 4, 5, 6, 7, 8, 9, A, B, C, D, E, F

 Convention: $16_{10} = 10_{16} = 0 \times 10$ Example: What is 0xA5 in base 10?
- - $0xA5 = A5_{16} = (10) \times 16^{1} + (5 \times 16^{0}) = 165_{10}$

Polling Question

Which of the following orderings is correct?

- A. 0xC < 0b1010 < 11
- B. 0xC < 11 < 0b1010
- C. 11 < 0b1010 < 0xC
- D. 0b1010 < 11 < 0xC
- E. 0b1010 < 0xC < 11

$$0 \times C = 12_{10}$$

 $0 \cdot 10 \cdot 10 \cdot 10 = 1 \times 2^{3} + 1 \times 2^{1} = 8 + 2 = 10_{10}$
 $11 = 11_{10}$

- Think on your own for a minute, then discuss with your neighbor(s)
 - Vote at http://pollev.com/rea

Converting to Base 10

- Can convert from any base to base 10
 - $0b110 = 110_2 = (1 \times 2^2) + (1 \times 2^1) + (0 \times 2^0) = 6_{10}$
 - $0xA5 = A5_{16} = (10 \times 16^{1}) + (5 \times 16^{0}) = 165_{10}$
- We learned to think in base 10, so this is fairly natural for us
- Challenge: Convert into other bases (e.g. 2, 16)

Challenge Question

Convert 13₁₀ into binary

Hints:

$$2^3 = 8$$

$$2^2 = 4$$

$$2^1 = 2$$

$$2^0 = 1$$

$$13_{10} = 8 + 4 + 1$$

$$= 2^{3} + 2^{2} + 2^{0}$$

$$= 1 \times 2^{3} + 1 \times 2^{2} + 0 \times 2^{1} + 1 \times 2^{0}$$

$$= 061101$$

- Think!
 - No voting for this question

Converting from Decimal to Binary

- Given a decimal number N:
 - 1. List increasing powers of 2 from right to left until $\geq N$
 - 2. Then from *left to right*, ask is that (power of 2) \leq N?
 - If YES, put a 1 below and subtract that power from N
 - If NO, put a 0 below and keep going
- Example: 13 to binary

- 8	
5	
-4	
1	_

24=16	2 ³ =8	2 ² =4	2 ¹ =2	20=1
\bigcirc			D	1

Converting from Decimal to Base B

- Given a decimal number N:
 - 1. List increasing powers of B from right to left until $\geq N$
 - 2. Then from *left to right*, ask is that (power of B) \leq N?
 - If YES, put how many of that power go into N and subtract from N
 - If NO, put a 0 below and keep going
- * Example: 165 to hex

16 ² =256	16 ¹ =16	16 ⁰ =1
Ò	10	



con "drop"

Converting Binary ↔ **Hexadecimal**

- ♦ Hex → Binary
 - Substitute hex digits, then drop any leading zeros
 - Example: 0x2D to binary
 - 0x2 is 0b0010, 0xD is 0b1101 0b 00101101
 - Drop two leading zeros, answer is 0b101101
- ♦ Binary → Hex
 - Pad with leading zeros until multiple of
 4, then substitute each group of 4
 - Example: 0b101101 6 ձոյին
 - Pad to 0b/0010/1101/
 - Substitute to get 0x2D

	binary	hex
Base 10	Base 2	Base 16
0	0000	0
1	0001	1
2	0010	→ 2
3	0011	3
4	0100	4
5	0101	5
6	0110	6
7	0111	7
8	1000	8
9	1001	9
10	1010	Α
11	1011	В
12	1100	С
13	1101	→ D
14	1110	Е
15	1111	F

Binary → **Hex Practice**

- Convert 0b100110110101101
 - How many digits? 15
 - Pad: 0b 0100 1101 1010 1101
 - Substitute: Ox 4DAD

Base 10	Base 2	Base 16
0	0000	0
1	0001	1
2	0010	2
3	0011	3
4	0100	4
5	0101	5
6	0110	6
7	0111	7
8	1000	8
9	1001	9
10	1010	Α
11	1011	В
12	1100	С
13	1101	D
14	1110	Е
1 5	1111	F

Base Comparison

- Why does all of this matter?
 - Humans think about numbers in base
 10, but computers "think" about
 numbers in base 2
 - Binary encoding is what allows computers to do all of the amazing things that they do!
- You should have this table memorized by the end of the class
 - Might as well start now!

Base 10	Base 2	Base 16
0	0000	0
1	0001	1
2	0010	2
3	0011	3
4	0100	4
5	0101	5
6	0110	6
7	0111	7
8	1000	8
9	1001	9
10	1010	Α
11	1011	В
12	1100	С
13	1101	D
14	1110	Е
15	1111	F

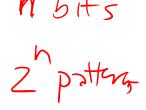
Numerical Encoding

- AMAZING FACT: You can represent anything countable using numbers!
 - Need to agree on an encoding
 - Kind of like learning a new language
- Examples:
 - Decimal Integers: $0\rightarrow0b0$, $1\rightarrow0b1$, $2\rightarrow0b10$, etc.
 - English Letters: CSE→0x435345, yay→0x796179
 - Emoticons: 3 0x0, 3 0x1, 3 0x2, 3 0x3, 3 0x4, 4 0x5

Binary Encoding







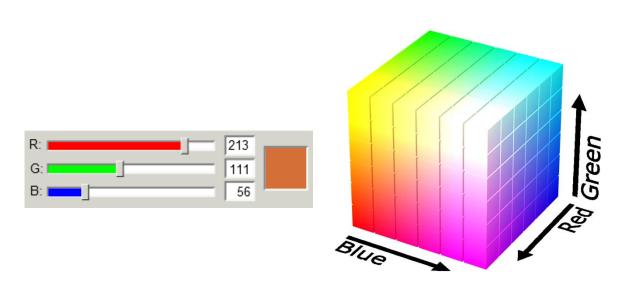
- With N binary digits, how many "things" can you represent?
 - Need N binary digits to represent n things, where $2^{N} \ge n$
 - Example: 5 binary digits for alphabet because $2^5 = 32 > 26$
- A binary digit is known as a bit
- A group of 4 bits (1 hex digit) is called a nibble
- A group of 8 bits (2 hex digits) is called a byte
 - 1 bit \rightarrow 2 things, 1 nibble \rightarrow 16 things, 1 byte \rightarrow 256 things

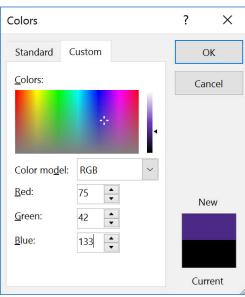
So What's It Mean?

- A sequence of bits can have many meanings!
- Consider the hex sequence 0x4E6F21
 - Common interpretations include:
 - The decimal number 5140257
 - The characters "No!"
 - The background color of this slide
 - The real number 7.203034×10^{-39}
- It is up to the program/programmer to decide how to interpret the sequence of bits

Binary Encoding – Colors

- ❖ RGB Red, Green, Blue
 - Additive color model (light): byte (8 bits) for each color
 - Commonly seen in hex (in HTML, photo editing, etc.)
 - Examples: Blue→0x0000FF, Gold→0xFFD700, White→0xFFFFFF, Deep Pink→0xFF1493





Binary Encoding – Characters/Text

- ASCII Encoding (<u>www.asciitable.com</u>)
 - American Standard Code for Information Interchange

```
Dec Hx Oct Html Chr Dec Hx Oct Html Chr Dec Hx Oct Html Chr
Dec Hx Oct Char
   0 000 NUL (null)
                                      32 20 040   Space
                                                           64 40 100 @ 0
                                                                              96 60 140 4#96;
                                                                              97 61 141 6#97;
   1 001 SOH (start of heading)
                                      33 21 041 4#33; !
                                                           65 41 101 A A
                                      34 22 042 6#34; "
                                                           66 42 102 B B
                                                                              98 62 142 4#98;
   2 002 STX (start of text)
   3 003 ETX (end of text)
                                      35 23 043 6#35; #
                                                           67 43 103 C C
                                                                              99 63 143 4#99;
                                      36 24 044 $ $
                                                           68 44 104 a#68; D
                                                                             100 64 144 d d
    4 004 EOT (end of transmission)
                                                                             101 65 145 @#101; @
                                      37 25 045 4#37; %
                                                           69 45 105 E E
    5 005 ENQ (enquiry)
                                                                             102 66 146 @#102; f
    6 006 ACK (acknowledge)
                                      38 26 046 4#38; 4
                                                           70 46 106 F F
    7 007 BEL (bell)
                                      39 27 047 4#39; 1
                                                           71 47 107 @#71; G
                                                                             103 67 147 @#103; g
    8 010 BS
                                      40 28 050 ( (
                                                           72 48 110 6#72; H
                                                                             104 68 150 @#104; h
              (backspace)
                                                           73 49 111 6#73; I
                                                                             105 69 151 i i
                                      41 29 051 ) )
    9 011 TAB
             (horizontal tab)
                                                                             106 6A 152 @#106; j
   A 012 LF
              (NL line feed, new line)
                                     42 2A 052 * *
                                                           74 4A 112 6#74; J
11 B 013 VT
              (vertical tab)
                                      43 2B 053 6#43; +
                                                           75 4B 113 6#75; K
                                                                             107 6B 153 @#107; k
                                                           76 4C 114 @#76; L
                                                                             108 6C 154 @#108; 1
12 C 014 FF
              (NP form feed, new page)
                                     44 2C 054 ,
                                                           77 4D 115 6#77; M
                                                                             109 6D 155 m M
13 D 015 CR
              (carriage return)
                                      45 2D 055 - -
                                                                             110 6E 156 @#110; n
14 E 016 SO
             (shift out)
                                      46 2E 056 . .
                                                           78 4E 116 N N
15 F 017 SI
             (shift in)
                                      47 2F 057 / /
                                                           79 4F 117 4#79; 0
                                                                             111 6F 157 o 0
                                                           80 50 120 a#80; P
                                                                             112 70 160 @#112; p
16 10 020 DLE (data link escape)
                                      48 30 060 4#48; 0
                                      49 31 061 4#49; 1
                                                           81 51 121 6#81; 0
                                                                             113 71 161 @#113; q
17 11 021 DC1 (device control 1)
                                                                             114 72 162 @#114; r
                                      50 32 062 4#50; 2
                                                           82 52 122 R R
18 12 022 DC2 (device control 2)
19 13 023 DC3 (device control 3)
                                      51 33 063 4#51; 3
                                                           83 53 123 4#83; $
                                                                            115 73 163 4#115; 3
                                      52 34 064 4 4
                                                           84 54 124 T T
                                                                             116 74 164 @#116; t
20 14 024 DC4 (device control 4)
                                      53 35 065 4#53; 5
                                                           85 55 125 U U
                                                                             117 75 165 u u
21 15 025 NAK (negative acknowledge)
                                                                             118 76 166 @#118; 🔻
                                      54 36 066 4#54; 6
                                                           86 56 126 V V
22 16 026 SYN (synchronous idle)
23 17 027 ETB (end of trans. block)
                                      55 37 067 4#55; 7
                                                           87 57 127 6#87; W
                                                                             119 77 167 w ₩
24 18 030 CAN (cancel)
                                                                             120 78 170 x X
                                      56 38 070 4#56; 8
                                                           88 58 130 X X
                                      57 39 071 4#57; 9
                                                           89 59 131 4#89; Y
                                                                             121 79 171 y Y
25 19 031 EM
             (end of medium)
                                      58 3A 072 @#58; :
                                                           90 5A 132 Z Z
                                                                             122 7A 172 z Z
26 1A 032 SUB
             (substitute)
27 1B 033 ESC (escape)
                                      59 3B 073 4#59; ;
                                                           91 5B 133 [ [
                                                                             123 7B 173 {
                                                           92 5C 134 \ \
                                                                             124 7C 174 @#124;
28 1C 034 FS
              (file separator)
                                      60 3C 074 < <
                                      61 3D 075 = =
                                                           93 5D 135 6#93; ]
                                                                            125 7D 175 }
29 1D 035 GS
              (group separator)
                                      62 3E 076 > >
                                                           94 5E 136 ^ ^
                                                                             126 7E 176 @#126;
30 1E 036 RS
              (record separator)
31 1F 037 US
              (unit separator)
                                      63 3F 077 4#63; ?
                                                           95 5F 137 6#95; _ 127 7F 177 6#127; DEL
```

Source: www.LookupTables.com

Binary Encoding – Files and Programs

- At the lowest level, all digital data is stored as bits!
- Layers of abstraction keep everything comprehensible

L01: Introduction, Binary

- Data/files are groups of bits interpreted by program
- Program is actually groups of bits being interpreted by your
 CPU
- Computer Memory Demo (try it!)
 - From vim: %!xxd
 - From emacs: M-x hexl-mode

Summary

- Humans think about numbers in decimal; computers think about numbers in binary
 - Base conversion to go between them
 - Hexadecimal is more human-readable than binary
- All information on a computer is binary
- Binary encoding can represent anything!
 - Computer/program needs to know how to interpret the bits