The Hardware/Software Interface

CSE 351 Spring 2019

Instructor:

Ruth Anderson

Teaching Assistants:

Gavin Cai

Jack Eggleston

John Feltrup

Britt Henderson

Richard Jiang

Jack Skalitzky

Sophie Tian

Connie Wang

Sam Wolfson

Casey Xing

Chin Yeoh

AN X64 PROCESSOR IS SCREAMING ALONG AT BILLIONS OF CYCLES PER SECOND TO RUN THE XNU KERNEL, WHICH IS FRANTICALLY WORKING THROUGH ALL THE POSIX-SPECIFIED ABSTRACTION TO CREATE THE DARWIN SYSTEM UNDERLYING OS X, WHICH IN TURN IS STRAINING ITSELF TO RUN FIREFOX AND ITS GECKO RENDERER, WHICH CREATES A PLASH OBJECT WHICH RENDERS DOZENS OF VIDEO FRAMES EVERY SECOND

BECAUSE I WANTED TO SEE A CAT JUMP INTO A BOX AND FALL OVER.



I AM A GOD.

http://xkcd.com/676/

Introductions: Course Staff

- Instructor: Ruth Anderson
 - Learn more about me and the staff on the course website!





















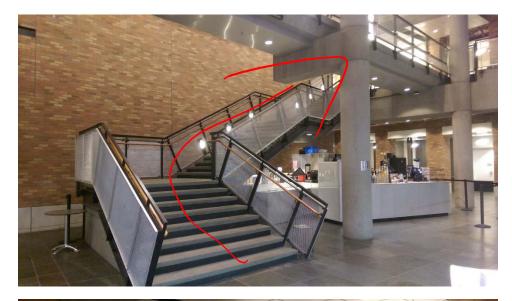




- Available in section, office hours, and on Piazza
- An invaluable source of information and help
- Get to know us
 - We are here to help you succeed!

TA Office Hours – in Allen Center (CSE)

- CSE 2nd floor breakout
 - Up the stairs in the CSE Atrium (next to the café)



At the top of that first flight, the open area with the whiteboard wall is the 2nd floor breakout!



Introductions: You!

- ~250 students registered, split across two lectures
- CSE majors, EE majors, and more
 - Most of you will find almost everything in the course new
- Get to know each other and help each other out!
 - Learning is much more fun with friends
 - Working well with others is a valuable life skill
 - Diversity of perspectives expands your horizons

Welcome to CSE351!



- Our goal is to teach you the key abstractions "under the hood"
 - How does your source code become something that your computer understands?
 - What happens as your computer is executing one or more processes?

Welcome to CSE351!



- This is an introduction that will:
 - Profoundly change/augment your view of computers and programs
 - Leave you impressed that computers ever work

Code in Many Forms

```
if (x != 0) y = (y+z)/x;

Compiler
```

```
High Level Language (e.g. C, Java)
```

```
cmpl $0, -4(%ebp)
je .L2
movl -12(%ebp), %eax
movl -8(%ebp), %edx
leal (%edx,%eax), %eax
movl %eax, %edx
sarl $31, %edx
idivl -4(%ebp)
movl %eax, -8(%ebp)
.L2:
```

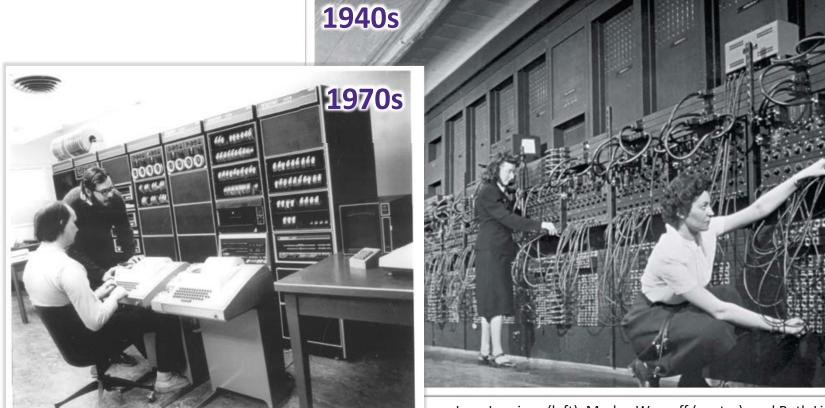
Assembly Language

Assembler

Machine Code

HW/SW Interface: Historical Perspective

Hardware started out quite primitive



https://s-media-cacheak0.pinimg.com/564x/91/37/23/91372375e2e6517f8af128aa b655e3b4.jpg

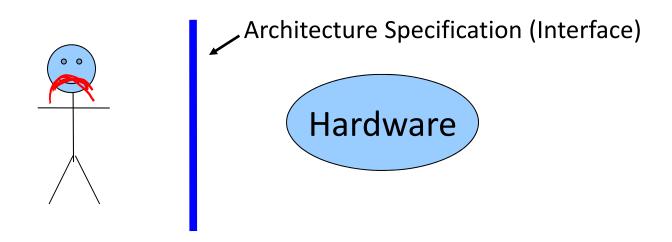
Jean Jennings (left), Marlyn Wescoff (center), and Ruth Lichterman program ENIAC at the University of Pennsylvania, circa 1946.

Photo: Corbis

http://fortune.com/2014/09/18/walter-isaacson-the-women-of-eniac/

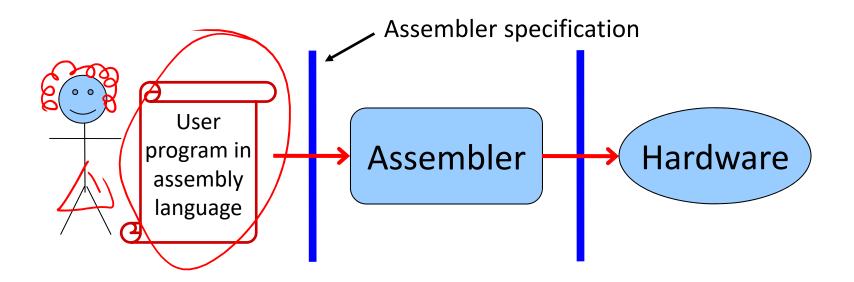
HW/SW Interface: Historical Perspective

- Hardware started out quite primitive
 - Programmed with very basic instructions (primitives)
 - e.g., a single instruction for adding two integers
- Software was also very basic
 - Closely reflected the actual hardware it was running on
 - Specify each step manually



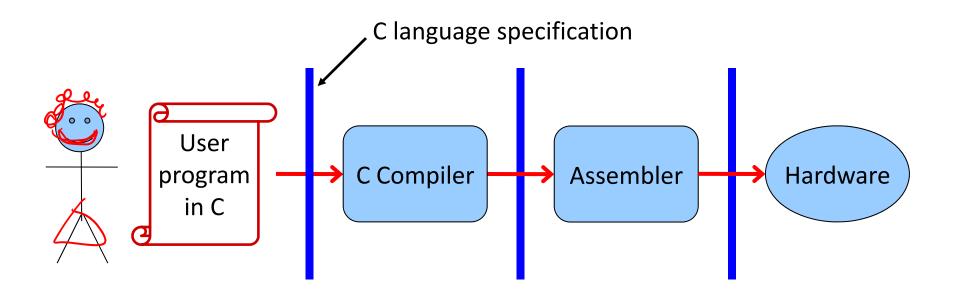
HW/SW Interface: Assemblers

- Life was made a lot better by assemblers
 - 1 assembly instruction = 1 machine instruction
 - More human-readable syntax
 - Assembly instructions are character strings, not bit strings
 - Can use symbolic names



HW/SW Interface: Higher-Level Languages

- Higher level of abstraction
 - 1 line of a high-level language is compiled into many (sometimes very many) lines of assembly language



Roadmap



Course Perspective

- CSE351 will make you a better programmer
 - Purpose is to show how software really works
 - Understanding of some of the abstractions that exist between programs and the hardware they run on, why they exist, and how they build upon each other
 - Understanding the underlying system makes you more effective
 - Better debugging
 - Better basis for evaluating performance
 - How multiple activities work in concert (e.g. OS and user programs)
 - "Stuff everybody learns and uses and forgets not knowing"
- CSE351 presents a world-view that will empower you
 - The intellectual and software tools to understand the trillions+ of 1s and 0s that are "flying around" when your program runs

Lecture Outline

- Course Introduction
- Course Policies
 - https://courses.cs.washington.edu/courses/cse351/19sp/syllabus/
- Binary

Bookmarks

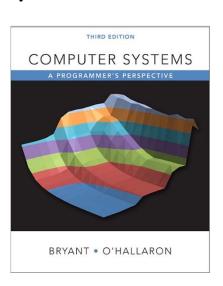
- Course Website: http://cs.uw.edu/351
 - Schedule, policies, materials, videos, assignments, etc.
- Discussion:

http://piazza.com/washington/spring2019/cse351

- Announcements made here
- Ask and answer questions staff will monitor and contribute
- Canvas: https://canvas.uw.edu/courses/1271313
 - Assignment submissions and gradebook
- Poll Everywhere: http://PollEv.com/rea
 - In-lecture voting

Textbooks

- Computer Systems: A Programmer's Perspective
 - Randal E. Bryant and David R. O'Hallaron
 - Website: http://csapp.cs.cmu.edu
 - Must be (North American) 3rd edition
 - http://csapp.cs.cmu.edu/3e/changes3e.html
 - http://csapp.cs.cmu.edu/3e/errata.html
 - This book really matters for the course!
 - Lecture readings
 - Practice problems and homework
- A good C book any will do
 - The C Programming Language (Kernighan and Ritchie)
 - C: A Reference Manual (Harbison and Steele)



Grading

- Homework: 20% total
 - Autograded; 20 submission attempts
 - Group work okay
- Labs: 30% total
 - Graded by TAs; last submission graded
 - Individual work only
- Exams: Midterm (15%) and Final (30%)
 - Midterm date is still tentative!!
 - Many old exams on course website
- **EPA:** Effort, Participation, and Altruism (5%)

Collaboration and Academic Integrity

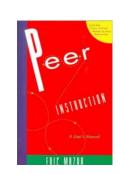
- All submissions are expected to be yours and yours alone
- You are encouraged to discuss your assignments with other students (ideas), but we expect that what you turn in is yours
- It is NOT acceptable to copy solutions from other students or to copy (or start your) solutions from the Web (including Github)
- Our goal is that *YOU* learn the material so you will be prepared for exams, interviews, and the future

EPA

- Encourage class-wide learning!
- Effort
 - Attending office hours, completing all assignments
 - Keeping up with Piazza activity
- Participation
 - Making the class more interactive by asking questions in lecture, section, office hours, and on Piazza
 - Peer instruction voting
- Altruism
 - Helping others in section, office hours, and on Piazza

Peer Instruction

 Increase real-time learning in lecture, test your understanding, increase student interactions



- Lots of research supports its effectiveness
- Multiple choice question at end of lecture "segment"
 - 1 minute to decide on your own
 - 2-4 minutes in pairs to reach consensus
 - Learn through discussion



- Vote using Poll Everywhere
 - Use website (<u>https://www.polleverywhere.com</u>) or app
 - Linked to your UWNetID

Some fun topics that we will touch on

- Which of the following seems the most interesting to you? (vote at http://pollEv.com/rea)
- a) What is a GFLOP and why is it used in computer benchmarks?
- b) How and why does running many programs for a long time eat into your memory (RAM)?
- c) What is stack overflow and how does it happen?
- d) Why does your computer slow down when you run out of disk space?
- e) What was the flaw behind the original Internet worm, the Heartbleed bug, and the Cloudbleed bug?
- f) What is the meaning behind the different CPU specifications? (e.g. # of cores, # and size of cache, supported memory types)

Tips for Success in 351

- Attend all lectures and sections
 - Avoid devices during lecture except for Poll Everywhere
- Do the textbook readings ahead of time
- Learn by doing
 - Can answer many questions by writing small programs
- Visit Piazza often
 - Ask questions and try to answer fellow students' questions
- Go to office hours
 - Even if you don't have specific questions in mind
- Find a study and homework group
- Start assignments early
- Don't be afraid to ask questions

To-Do List

Admin

- Explore/read website thoroughly: http://cs.uw.edu/351
- Check that you are enrolled in Piazza; read posts
- Log in to Poll Everywhere
- Get your machine set up for this class (VM or attu) as soon as possible
- Make sure you're also enrolled in CSE391! (EEs included)
 - TOMORROW, Tuesday 1:30-2:20 in CSE2 G20

Assignments

- Pre-Course Survey due Wednesday (4/03)
- Lab 0 due Monday (4/08)
- HW 1 due Wednesday (4/10)

Lecture Outline

- Course Introduction
- Course Policies
- * Binary
 - Decimal, Binary, and Hexadecimal
 - Base Conversion
 - Binary Encoding

Decimal Numbering System

- * Ten symbols: 0, 1, 2, 3, 4, 5, 6, 7, 8, 9
- Represent larger numbers as a sequence of digits
 - Each digit is one of the available symbols
- Example: 7061 in decimal (base 10)

$$7061_{10} = (7 \times 10^{3}) + (0 \times 10^{2}) + (6 \times 10^{1}) + (1 \times 10^{0})$$

Octal Numbering System



- Eight symbols: 0, 1, 2, 3, 4, 5, 6, 7
 - Notice that we no longer use 8 or 9
- Base comparison:
 - Base 10: 0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12...
 Base 8: 0, 1, 2, 3, 4, 5, 6, 7, 10, 11, 12, 13, 14...
- Example: What is 7061₈ in base 10?

■
$$7061_8 = (7 \times 8^3) + (0 \times 8^2) + (6 \times 8^1) + (1 \times 8^0) = 3633_{10}$$

Subscript indicates base

Warmup Question

What is 34g in base 10?

- A. 32₁₀
- B. 34₁₀
- C. 7₁₀

3-8' + 4.8° 24 + 4 = Z8₁₀

- Think on your own for a minute, then discuss with your neighbor(s)
 - No voting for this question

Binary and Hexadecimal

- Binary is base 2
 - Symbols: 0, 1
 - Convention: $2_{10} = 10_2 = 0b10$
- * Example: What is 0b110 in base 10?
 - $0b110 = 110_2 = (1 \times 2^2) + (1 \times 2^1) + (0 \times 2^0) = 6_{10}$
- Hexadecimal (hex, for short) is base 16
 - Symbols? 0, 1, 2, 3, 4, 5, 6, 7, 8, 9, A, B, C, D, E, F
 - Convention: $16_{10} = 10_{16} = 0 \times 10^{10}$
- Example: What is 0xA5 in base 10?
 - $0xA5 = A5_{16} = (10 \times 16^{1}) + (5 \times 16^{0}) = 165_{10}$

Peer Instruction Question

Which of the following orderings is correct?

- A. 0xC < 0b1010 < 11
- B. 0xC < 11 < 0b1010
- C. 11 < 0b1010 < 0xC
- D. 0b1010 < 11 < 0xC
- E. 0b1010 < 0xC < 11

$$0 \times C = 12_{10}$$

 $0 \times 0^{3} = 1 \times 2^{3} + 1 \times 2^{1} = 842 = 10_{10}$
 $11 = 11_{10}$

- Think on your own for a minute, then discuss with your neighbor(s)
 - Vote at http://PollEv.com/rea

Converting to Base 10

- Can convert from any base to base 10
 - $0b110 = 110_2 = (1 \times 2^2) + (1 \times 2^1) + (0 \times 2^0) = 6_{10}$
 - $0xA5 = A5_{16} = (10 \times 16^{1}) + (5 \times 16^{0}) = 165_{10}$
- We learned to think in base 10, so this is fairly natural for us
- Challenge: Convert into other bases (e.g. 2, 16)

Challenge Question

Convert 13₁₀ into binary

- Hints:
 - $2^3 = 8$
 - $2^2 = 4$
 - $2^1 = 2$
 - $2^0 = 1$

061101 8+4 +1 =13

- Discuss with your neighbor(s)
 - No voting for this question

Converting from Decimal to Binary

- Given a decimal number N:
 - 1. List increasing powers of 2 from right to left until $\geq N$
 - 2. Then from *left to right*, ask is that (power of 2) \leq N?
 - If YES, put a 1 below and subtract that power from N
 - If NO, put a 0 below and keep going
- Example: 13 to binary

- •	_	•
_	-8	_
	ン	
-	- U	
		-
	1	
)	

24=16	2 ³ =8	2 ² =4	21=2	20=1
Q				

Converting from Decimal to Base B

- Given a decimal number N:
 - 1. List increasing powers of B from right to left until $\geq N$
 - 2. Then from *left to right*, ask is that (power of B) \leq N?
 - If YES, put how many of that power go into N and subtract from N
 - If NO, put a 0 below and keep going
- * Example: 165 to hex

16 ² =256	16 ¹ =16	16 ⁰ =1
0	A	5

Ox AS

Converting Binary ↔ **Hexadecimal**

- ♦ Hex → Binary
 - Substitute hex digits, then drop any leading zeros
 - Example: 0x2D to binary
 - 0x2 is 0b0010, 0xD is 0b1101 0010101
 - Drop two leading zeros, answer is 0b101101
- ♦ Binary → Hex
 - Pad with leading zeros until multiple of
 4, then substitute each group of 4
 - Example: 0b101101 6 digits
 - Pad to 0b/0010/1101/
 - Substitute to get 0x2D

	binary	nex
Base 10	Base 2	Base 16
0	0000 (→ 0
1	0001	1
2	0010 <	 2
3	0011	3
4	0100	4
5	0101	5
6	0110	6
7	0111	7
8	1000	8
9	1001	9
10	1010	Α
11	1011	В
12	1100	С
13	1101	<u></u> D
14	1110	E
15	1111	F

Binary → **Hex Practice**

- Convert 0b100110110101101
 - How many digits? |5
 - Pad: 0100 1101 1010 1101
 - Substitute: Ox 4DAD

Base 10	Base 2	Base 16
0	0000	0
1	0001	1
2	0010	2
3	0011	3
4	0100	4
5	0101	5
6	0110	6
7	0111	7
8	1000	8
9	1001	9
10	1010	А
11	1011	В
12	1100	С
13	1101	D
14	1110	Е
15	1111	F

Base Comparison

- Why does all of this matter?
 - Humans think about numbers in base
 10, but computers "think" about
 numbers in base 2
 - Binary encoding is what allows computers to do all of the amazing things that they do!
- You should have this table memorized by the end of the class
 - Might as well start now!

Base 10	Base 2	Base 16
0	0000	0
1	0001	1
2	0010	2
3	0011	3
4	0100	4
5	0101	5
6	0110	6
7	0111	7
8	1000	8
9	1001	9
10	1010	Α
11	1011	В
12	1100	С
13	1101	D
14	1110	Е
15	1111	F

Numerical Encoding

- AMAZING FACT: You can represent anything countable using numbers!
 - Need to agree on an encoding
 - Kind of like learning a new language
- Examples:
 - Decimal Integers: $0\rightarrow0b0$, $1\rightarrow0b1$, $2\rightarrow0b10$, etc.
 - English Letters: CSE→0x435345, yay→0x796179
 - Emoticons: = 0x0, = 0x1, = 0x2, = 0x3, = 0x4, = 0x5

Binary Encoding

- With N binary digits, how many "things" can you represent?
 - Need N binary digits to represent n things, where $2^{N} \ge n$
 - Example: 5 binary digits for alphabet because $2^5 = 32 > 26$
- A binary digit is known as a bit
- A group of 4 bits (1 hex digit) is called a nibble
- A group of 8 bits (2 hex digits) is called a byte
 - 1 bit \rightarrow 2 things, 1 nibble \rightarrow 16 things, 1 byte \rightarrow 256 things

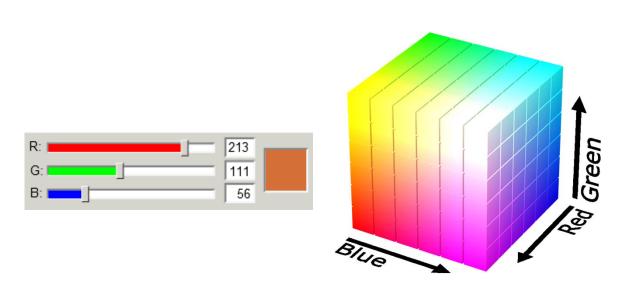
So What's It Mean?

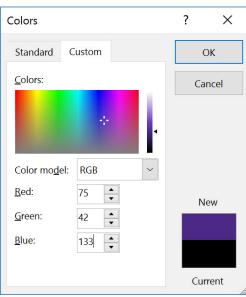
- * A sequence of bits can have many meanings!
- Consider the hex sequence 0x4E6F21
 - Common interpretations include:
 - The decimal number 5140257
 - The characters "No!"
 - The background color of this slide
 - The real number 7.203034×10^{-39}
- It is up to the program/programmer to decide how to interpret the sequence of bits

CSE351, Spring 2019

Binary Encoding – Colors

- ❖ RGB Red, Green, Blue
 - Additive color model (light): byte (8 bits) for each color
 - Commonly seen in hex (in HTML, photo editing, etc.)
 - Examples: Blue→0x0000FF, Gold→0xFFD700, White→0xFFFFF, Deep Pink→0xFF1493





Binary Encoding – Characters/Text

- ASCII Encoding (<u>www.asciitable.com</u>)
 - American Standard Code for Information Interchange

```
Dec Hx Oct Html Chr Dec Hx Oct Html Chr Dec Hx Oct Html Chr
Dec Hx Oct Char
   0 000 NUL (null)
                                      32 20 040   Space
                                                           64 40 100 4#64; 0
                                                                              96 60 140 4#96;
                                                                              97 61 141 6#97;
   1 001 SOH (start of heading)
                                      33 21 041 4#33; !
                                                           65 41 101 A A
                                      34 22 042 6#34; "
                                                           66 42 102 B B
                                                                              98 62 142 4#98;
   2 002 STX (start of text)
   3 003 ETX (end of text)
                                      35 23 043 6#35; #
                                                           67 43 103 C C
                                                                              99 63 143 4#99;
                                      36 24 044 $ $
                                                           68 44 104 a#68; D
                                                                             100 64 144 d d
    4 004 EOT (end of transmission)
                                                                             101 65 145 @#101; @
                                      37 25 045 4#37; %
                                                           69 45 105 E E
    5 005 ENQ (enquiry)
                                                                             102 66 146 @#102; f
    6 006 ACK (acknowledge)
                                      38 26 046 4#38; 4
                                                           70 46 106 F F
    7 007 BEL (bell)
                                      39 27 047 4#39; 1
                                                           71 47 107 @#71; G
                                                                             103 67 147 @#103; g
    8 010 BS
                                      40 28 050 ( (
                                                           72 48 110 6#72; H
                                                                             104 68 150 @#104; h
              (backspace)
                                                           73 49 111 6#73; I
                                                                             105 69 151 i i
                                      41 29 051 ) )
    9 011 TAB
             (horizontal tab)
                                                                             106 6A 152 @#106; j
   A 012 LF
              (NL line feed, new line)
                                     42 2A 052 * *
                                                           74 4A 112 6#74; J
11 B 013 VT
              (vertical tab)
                                      43 2B 053 + +
                                                           75 4B 113 6#75; K
                                                                             107 6B 153 @#107; k
                                                           76 4C 114 @#76; L
                                                                             108 6C 154 @#108; 1
12 C 014 FF
              (NP form feed, new page)
                                     44 2C 054 ,
                                                           77 4D 115 6#77; M
                                                                             109 6D 155 m M
13 D 015 CR
              (carriage return)
                                      45 2D 055 - -
                                                                             110 6E 156 @#110; n
14 E 016 SO
             (shift out)
                                      46 2E 056 . .
                                                           78 4E 116 N N
15 F 017 SI
             (shift in)
                                      47 2F 057 / /
                                                           79 4F 117 4#79; 0
                                                                             111 6F 157 o 0
                                                           80 50 120 a#80; P
                                                                             112 70 160 @#112; p
16 10 020 DLE (data link escape)
                                      48 30 060 4#48; 0
                                      49 31 061 4#49; 1
                                                           81 51 121 6#81; 0
                                                                             113 71 161 @#113; q
17 11 021 DC1 (device control 1)
                                                                             114 72 162 @#114; r
                                      50 32 062 4#50; 2
                                                           82 52 122 R R
18 12 022 DC2 (device control 2)
19 13 023 DC3 (device control 3)
                                      51 33 063 4#51; 3
                                                           83 53 123 4#83; $
                                                                            115 73 163 4#115; 3
                                      52 34 064 4 4
                                                           84 54 124 T T
                                                                             116 74 164 @#116; t
20 14 024 DC4 (device control 4)
                                      53 35 065 4#53; 5
                                                           85 55 125 U U
                                                                             117 75 165 u u
21 15 025 NAK (negative acknowledge)
                                                                             118 76 166 @#118; 🔻
                                      54 36 066 4#54; 6
                                                           86 56 126 V V
22 16 026 SYN (synchronous idle)
23 17 027 ETB (end of trans. block)
                                      55 37 067 4#55; 7
                                                           87 57 127 487; W
                                                                             119 77 167 w ₩
24 18 030 CAN (cancel)
                                                                             120 78 170 x X
                                      56 38 070 4#56; 8
                                                           88 58 130 X X
                                      57 39 071 4#57; 9
                                                           89 59 131 4#89; Y
                                                                             121 79 171 y Y
25 19 031 EM
             (end of medium)
                                      58 3A 072 @#58; :
                                                           90 5A 132 Z Z
                                                                             122 7A 172 z Z
26 1A 032 SUB
             (substitute)
27 1B 033 ESC (escape)
                                      59 3B 073 4#59; ;
                                                           91 5B 133 [ [
                                                                             123 7B 173 {
                                                           92 5C 134 \ \
                                                                             124 7C 174 @#124;
28 1C 034 FS
              (file separator)
                                      60 3C 074 < <
                                      61 3D 075 = =
                                                           93 5D 135 6#93; ]
                                                                            125 7D 175 }
29 1D 035 GS
              (group separator)
                                      62 3E 076 > >
                                                           94 5E 136 ^
                                                                             126 7E 176 @#126;
30 1E 036 RS
              (record separator)
31 1F 037 US
              (unit separator)
                                      63 3F 077 4#63; ?
                                                           95 5F 137 6#95; _ 127 7F 177 6#127; DEL
```

Source: www.LookupTables.com

Binary Encoding – Files and Programs

- At the lowest level, all digital data is stored as bits!
- Layers of abstraction keep everything comprehensible

L01: Introduction, Binary

- Data/files are groups of bits interpreted by program
- Program is actually groups of bits being interpreted by your
 CPU
- Computer Memory Demo (if time)
 - From vim: %!xxd
 - From emacs: M-x hexl-mode

Summary

- Humans think about numbers in decimal; computers think about numbers in binary
 - Base conversion to go between them
 - Hexadecimal is more human-readable than binary
- All information on a computer is binary
- Binary encoding can represent anything!
 - Computer/program needs to know how to interpret the bits