

# The Hardware/Software Interface

CSE 351 Autumn 2018

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AN x64 PROCESSOR IS SCREAMING ALONG AT BILLIONS OF CYCLES PER SECOND TO RUN THE XNU KERNEL, WHICH IS FRANTICALLY WORKING THROUGH ALL THE POSIX-SPECIFIED ABSTRACTION TO CREATE THE DARWIN SYSTEM UNDERLYING OS X, WHICH IN TURN IS STRAINING ITSELF TO RUN FIREFOX AND ITS GECKO RENDERER, WHICH CREATES A FLASH OBJECT WHICH RENDERS DOZENS OF VIDEO FRAMES EVERY SECOND

BECAUSE I WANTED TO SEE A CAT JUMP INTO A BOX AND FALL OVER.



I AM A GOD.



# Introductions: Course Staff

- ❖ Instructor: just call me Justin
  - 3<sup>rd</sup> year Lecturer at UW
  - 4<sup>th</sup> time teaching 351
  - Learn more about me and the staff on the course website!

- ❖ TAs:



- Available in section, office hours, and on Piazza
  - An invaluable source of information and help
- ❖ **Get to know us**
  - We are here to help you succeed!

# Introductions: You!

- ❖ ~250 students registered, split across two lectures
- ❖ CSE majors, EE majors, and more
  - Most of you will find almost everything in the course new
- ❖ Get to know each other and help each other out!
  - Learning is much more fun with friends
  - Working well with others is a valuable life skill
  - Diversity of perspectives expands your horizons

# Welcome to CSE351!

1000001101111100001001000001110000000000  
0111010000011000  
10001011010001000010010000010100



1000100111000010  
110000011111101000011111  
11110111011111000010010000011100

HW/SW Interface

```
29 import android.widget.ImageView;
30 import android.widget.LinearLayout;
31 import android.widget.TextView;
32
33 /**
34  * Contains two sub-views to provide a simple stereo HUD.
35  */
36 public class CardboardOverlayView extends LinearLayout {
37     private final CardboardOverlayEyeView leftView;
```



```
55 // Set some reasonable defaults.
56 setDepthOffset(0.01f);
57 setColor(Color.rgb(150, 255, 180));
58 setVisibility(View.VISIBLE);
59
60 textFadeAnimation = new AlphaAnimation(1.0f, 0.0f);
61 textFadeAnimation.setDuration(5000);
```

- ❖ Our goal is to teach you the key abstractions “under the hood”
  - How does your source code become something that your computer understands?
  - What happens as your computer is executing one or more processes?

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62
63
```

❖ This is an *introduction* that will:

- Profoundly change/augment your view of computers and programs
- Leave you impressed that computers ever work

# Code in Many Forms

```
if (x != 0) y = (y+z)/x;
```

Compiler

```
    cmpl    $0, -4(%ebp)
    je      .L2
    movl    -12(%ebp), %eax
    movl    -8(%ebp), %edx
    leal    (%edx,%eax), %eax
    movl    %eax, %edx
    sarl    $31, %edx
    idivl   -4(%ebp)
    movl    %eax, -8(%ebp)
.L2:
```

Assembler

```
1000001101111100001001000001110000000000
0111010000011000
10001011010001000010010000010100
10001011010001100010010100010100
1000110100000100000000010
1000100111000010
110000011111101000011111
11110111011111000010010000011100
10001001010001000010010000011000
```

High Level Language  
(e.g. C, Java)

Assembly Language

Machine Code



# Roadmap

C:

```
car *c = malloc(sizeof(car));  
c->miles = 100;  
c->gals = 17;  
float mpg = get_mpg(c);  
free(c);
```

Java:

```
Car c = new Car();  
c.setMiles(100);  
c.setGals(17);  
float mpg =  
    c.getMPG();
```

Memory & data  
Integers & floats  
x86 assembly  
Procedures & stacks  
Executables  
Arrays & structs  
Memory & caches  
Processes  
Virtual memory  
Memory allocation  
Java vs. C

Assembly  
language:

```
get_mpg:  
    pushq    %rbp  
    movq     %rsp, %rbp  
    ...  
    popq     %rbp  
    ret
```

Machine  
code:

```
0111010000011000  
100011010000010000000010  
1000100111000010  
110000011111101000011111
```

OS:



Computer  
system:



# Course Perspective

- ❖ CSE351 will make you a better programmer
  - Purpose is to show how software really works
    - Understanding of some of the abstractions that exist between programs and the hardware they run on, why they exist, and how they build upon each other
  - Understanding the underlying system makes you more effective
    - Better debugging
    - Better basis for evaluating performance
    - How multiple activities work in concert (e.g. OS and user programs)
  - “Stuff everybody learns and uses and forgets not knowing”
- ❖ CSE351 presents a world-view that will empower you
  - The intellectual and software tools to understand the trillions+ of 1s and 0s that are “flying around” when your program runs



# Lecture Outline

- ❖ Course Introduction
- ❖ **Course Policies**
  - <https://courses.cs.washington.edu/courses/cse351/18au/syllabus/>
- ❖ Binary

# Bookmarks

- ❖ Course Website: <http://cs.uw.edu/351>
  - Schedule, policies, materials, videos, assignments, etc.
- ❖ Discussion: <http://piazzza.com/washington/fall2018/cse351>
  - Announcements made here
  - Ask and answer questions – staff will monitor and contribute
- ❖ Canvas: <https://canvas.uw.edu/courses/1219680/>
  - Assignment submissions and gradebook
- ❖ Poll Everywhere: <http://PollEv.com/justinh>
  - In-lecture voting

# Textbooks

## ❖ *Computer Systems: A Programmer's Perspective*

- Randal E. Bryant and David R. O'Hallaron

- Website: <http://csapp.cs.cmu.edu>

- Must be (North American) 3rd edition

- <http://csapp.cs.cmu.edu/3e/changes3e.html>

- <http://csapp.cs.cmu.edu/3e/errata.html>

- This book really matters for the course!

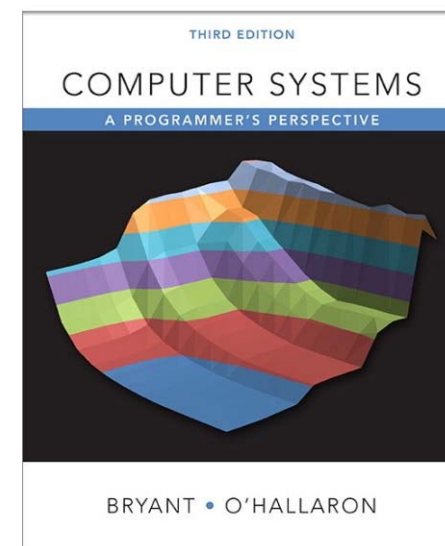
- Lecture readings

- Practice problems and homework

## ❖ A good C book – any will do

- *The C Programming Language* (Kernighan and Ritchie)

- *C: A Reference Manual* (Harbison and Steele)



# Grading

- ❖ **Homework:** 20% total
  - Autograded; 20 submission attempts
  - *Group work okay*
- ❖ **Labs:** 30% total
  - Graded by TAs; last submission graded
  - *Individual work only*
- ❖ **Exams:** Midterm (15%) and Final (30%)
  - Many old exams on course website
- ❖ **EPA:** Effort, Participation, and Altruism (5%)

# Collaboration and Academic Integrity


- ❖ All submissions are expected to be yours and yours alone
- ❖ You are encouraged to discuss your assignments with other students (*ideas*), but we expect that what you turn in is yours
- ❖ It is NOT acceptable to copy solutions from other students or to copy (or start your) solutions from the Web (including Github)
- ❖ Our goal is that **\*YOU\*** learn the material so you will be prepared for exams, interviews, and the future

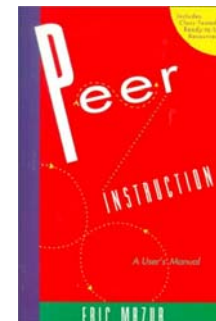
# EPA

- ❖ Encourage class-wide learning!
- ❖ Effort
  - Attending office hours, completing all assignments
  - Keeping up with Piazza activity
- ❖ Participation
  - Making the class more interactive by asking questions in lecture, section, office hours, and on Piazza
  - Peer instruction voting
- ❖ Altruism
  - Helping others in section, office hours, and on Piazza



# Peer Instruction

- ❖ Increase real-time learning in lecture, test your understanding, increase student interactions
  - Lots of research supports its effectiveness
- ❖ Multiple choice question at end of lecture “segment”
  - 1 minute to decide on your own
  - 2-4 minutes in pairs to reach consensus
  - Learn through discussion
- ❖ Vote using  **Poll Everywhere**
  - Use website (<https://www.polleverywhere.com>) or app
  - Linked to your UWNetID



# Some fun topics that we will touch on

- ❖ Which of the following seems the most interesting to you? (vote at <http://PollEv.com/justinh>)
  - a) What is a GFLOP and why is it used in computer benchmarks?
  - b) How and why does running many programs for a long time eat into your memory (RAM)?
  - c) What is stack overflow and how does it happen?
  - d) Why does your computer slow down when you run out of *disk* space?
  - e) What was the flaw behind the original Internet worm, the Heartbleed bug, and the Cloudblood bug?
  - f) What is the meaning behind the different CPU specifications? (e.g. # of cores, # and size of cache, supported memory types)

# Tips for Success in 351

- ❖ Attend all lectures and sections
  - Avoid devices during lecture except for Poll Everywhere
- ❖ Do the textbook readings ahead of time
- ❖ Learn by doing
  - Can answer many questions by writing small programs
- ❖ Visit Piazza often
  - Ask questions and try to answer fellow students' questions
- ❖ Go to office hours
  - Even if you don't have specific questions in mind
- ❖ Find a study and homework group
- ❖ Start assignments early
- ❖ Don't be afraid to ask questions

# To-Do List

## ❖ Admin

- Explore/read website *thoroughly*: <http://cs.uw.edu/351>
- Check that you are enrolled in Piazza; read posts
- Log in to Poll Everywhere
- **Get your machine set up for this class (VM or attu) *as soon as possible***

## ❖ Assignments

- Pre-Course Survey due Friday (9/28)
- Lab 0 due Monday (10/1)
- HW 1 due Wednesday (10/3)

# Lecture Outline

- ❖ Course Introduction
- ❖ Course Policies
- ❖ **Binary**
  - **Decimal, Binary, and Hexadecimal**
  - **Base Conversion**
  - **Binary Encoding**

# Decimal Numbering System

- ❖ Ten **symbols**: 0, 1, 2, 3, 4, 5, 6, 7, 8, 9
- ❖ Represent larger numbers as a sequence of **digits**
  - Each digit is one of the available symbols

- ❖ Example: 7061 in decimal (base 10)

■  $7061_{10} = (7 \times 10^3) + (0 \times 10^2) + (6 \times 10^1) + (1 \times 10^0)$

Handwritten annotations:

- "thousand's digit" points to 7
- "hundred's digit" points to 0
- "ten's digit" points to 6
- "one's digit" points to 1
- "symbol value" points to the digits 7, 0, 6, and 1
- "digit position" points to the exponents 3, 2, 1, and 0



# Octal Numbering System



- ❖ Eight symbols: 0, 1, 2, 3, 4, 5, 6, 7
    - Notice that we no longer use 8 or 9
  - ❖ Base comparison:
    - Base 10: 0, 1, 2, 3, 4, 5, 6, 7, **8**, 9, 10, 11, 12...
    - Base 8: 0, 1, 2, 3, 4, 5, 6, 7, **10**, 11, 12, 13, 14...
- "eight's digit"*
- ❖ Example: What is  $7061_8$  in base 10?
  - $7061_8 = (7 \times \underline{8^3}) + (0 \times \underline{8^2}) + (6 \times \underline{8^1}) + (1 \times \underline{8^0}) = 3633_{10}$

*subscript indicates base*

*now powers of 8*

# Warmup Question

❖ What is  $\overset{1}{3}\overset{0}{4}_8$  in base 10?

A.  $32_{10}$

B.  $34_{10}$

C.  $7_{10}$

D.  $28_{10}$

E.  $35_{10}$

$$\begin{aligned} 3 \times 8^1 + 4 \times 8^0 \\ 24 + 4 = 28 \end{aligned}$$

❖ Think on your own for a minute, then discuss with your neighbor(s)

- No voting for this question

# Binary and Hexadecimal

## ❖ Binary is base 2

- Symbols: 0, 1

- Convention:  $2_{10} = 10_2 = \underline{0b}10$  *"zero bee"*

## ❖ Example: What is 0b110 in base 10?

- $0b110 = 110_2 = (1 \times 2^2) + (1 \times 2^1) + (0 \times 2^0) = 6_{10}$

## ❖ Hexadecimal (**hex**, for short) is base 16

- Symbols? 0, 1, 2, 3, 4, 5, 6, 7, 8, 9, **A, B, C, D, E, F** *10 11 12 13 14 15 ← values*

- Convention:  $16_{10} = 10_{16} = \underline{0x}10$  *"zero ex"*

## ❖ Example: What is 0xA5 in base 10?

- $0xA5 = \underline{A}5_{16} = (\underline{10}) \times 16^1 + (5 \times 16^0) = 165_{10}$   
*symbol value*

# Peer Instruction Question

❖ Which of the following orderings is correct?

A.  $0xC < 0b1010 < 11$

B.  $0xC < 11 < 0b1010$

C.  $11 < 0b1010 < 0xC$

D.  $0b1010 < 11 < 0xC$

E.  $0b1010 < 0xC < 11$

$$0xC = 12_{10}$$

$$0b1010 = 1 \times 2^3 + 1 \times 2^1 = 8 + 2 = 10_{10}$$

$$11 = 11_{10}$$

❖ Think on your own for a minute, then discuss with your neighbor(s)

■ Vote at <http://PollEv.com/justinh>

# Converting to Base 10

- ❖ Can convert from any base *to* base 10
  - $0b110 = 110_2 = (1 \times 2^2) + (1 \times 2^1) + (0 \times 2^0) = 6_{10}$
  - $0xA5 = A5_{16} = (10 \times 16^1) + (5 \times 16^0) = 165_{10}$
- ❖ We learned to think in base 10, so this is fairly natural for us
- ❖ **Challenge:** Convert into other bases (*e.g.* 2, 16)

# Challenge Question

❖ Convert  $13_{10}$  into binary

❖ Hints:

■  $2^3 = 8$

■  $2^2 = 4$

■  $2^1 = 2$

■  $2^0 = 1$

0 1 1 0 1  
8 + 4 + 1 = 13 ✓

❖ Discuss with your neighbor(s)

■ No voting for this question



# Converting from Decimal to Binary

- ❖ Given a decimal number  $N$ :
  - List increasing powers of 2 from *right to left* until  $\geq N$
  - Then from *left to right*, ask is that (power of 2)  $\leq N$ ?
    - If **YES**, put a 1 below and subtract that power from  $N$
    - If **NO**, put a 0 below and keep going

❖ Example: ~~13~~<sup>8</sup> to binary  
~~5~~<sup>4</sup>  
~~1~~<sup>1</sup>  
0

0b

$2^4=16$	$2^3=8$	$2^2=4$	$2^1=2$	$2^0=1$
0	1	1	0	1

# Converting from Decimal to Base B

- ❖ Given a decimal number  $N$ :
  - List increasing powers of  $B$  from *right to left* until  $\geq N$
  - Then from *left to right*, ask is that (power of  $B$ )  $\leq N$ ?
    - If **YES**, put *how many of that power go into N* and subtract from  $N$
    - If **NO**, put a 0 below and keep going

❖ Example: ~~165~~ to hex

*Handwritten annotations:*  $10 \times 16$  above the 16,  $5 \times 1$  above the 5, and a 0 below the 5.

$16^2=256$	$16^1=16$	$16^0=1$
0	<sup>10</sup> A	5

*Handwritten:* 0x

# Converting Binary $\leftrightarrow$ Hexadecimal

## ❖ Hex $\rightarrow$ Binary

- Substitute hex digits, then drop any **leading zeros**
- Example: 0x2D to binary
  - 0x2 is 0b0010, 0xD is 0b1101
  - Drop two leading zeros, answer is 0b101101

*can "drop"*  
 00101101

## ❖ Binary $\rightarrow$ Hex

- Pad with **leading zeros** until multiple of 4, then substitute each group of 4
- Example: 0b101101 *6 digits*
  - Pad to 0b00101101
  - Substitute to get 0x2D

	<i>binary</i>	<i>hex</i>
Base 10	Base 2	Base 16
0	0000 $\leftrightarrow$	0
1	0001	1
2	0010 $\leftarrow$	2
3	0011	3
4	0100	4
5	0101	5
6	0110	6
7	0111	7
8	1000	8
9	1001	9
10	1010	A
11	1011	B
12	1100	C
13	1101 $\leftarrow$	D
14	1110	E
15	1111	F

# Binary → Hex Practice

❖ Convert 0b100110110101101

- How many digits? 15
- Pad: 0100 1101 1010 1101
- Substitute: 0x4DAD

Base 10	Base 2	Base 16
0	0000	0
1	0001	1
2	0010	2
3	0011	3
4	0100	4
5	0101	5
6	0110	6
7	0111	7
8	1000	8
9	1001	9
10	1010	A
11	1011	B
12	1100	C
13	1101	D
14	1110	E
15	1111	F

# Base Comparison

- ❖ Why does all of this matter?
  - *Humans* think about numbers in **base 10**, but *computers* “think” about numbers in **base 2**
  - **Binary encoding** is what allows computers to do all of the amazing things that they do!
- ❖ You should have this table memorized by the end of the class
  - Might as well start now!

MEMORIZE ME!

Base 10	Base 2	Base 16
0	0000	0
1	0001	1
2	0010	2
3	0011	3
4	0100	4
5	0101	5
6	0110	6
7	0111	7
8	1000	8
9	1001	9
10	1010	A
11	1011	B
12	1100	C
13	1101	D
14	1110	E
15	1111	F

# Numerical Encoding

## ❖ **AMAZING FACT: You can represent *anything* countable using numbers!**

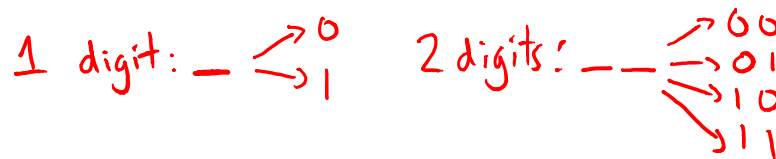
- Need to agree on an **encoding**
- Kind of like learning a new language

## ❖ Examples:

- Decimal Integers:  $0 \rightarrow 0b0$ ,  $1 \rightarrow 0b1$ ,  $2 \rightarrow 0b10$ , etc.
- English Letters: CSE  $\rightarrow$  0x435345, yay  $\rightarrow$  0x796179
- Emoticons: 😊 0x0, 😞 0x1, 😎 0x2, 😇 0x3, 😼 0x4, 🙋 0x5



# Binary Encoding



- ❖ With  $N$  binary digits, how many “things” can you represent?  $2^N$ 
  - Need  $N$  binary digits to represent  $n$  things, where  $2^N \geq n$
  - Example: 5 binary digits for alphabet because  $2^5 = 32 > 26$
- ❖ A binary digit is known as a **bit**
- ❖ A group of 4 bits (1 hex digit) is called a **nibble**
- ❖ A group of 8 bits (2 hex digits) is called a **byte**
  - 1 bit  $\rightarrow$  2 things, 1 nibble  $\rightarrow$  16 things, 1 byte  $\rightarrow$  256 things

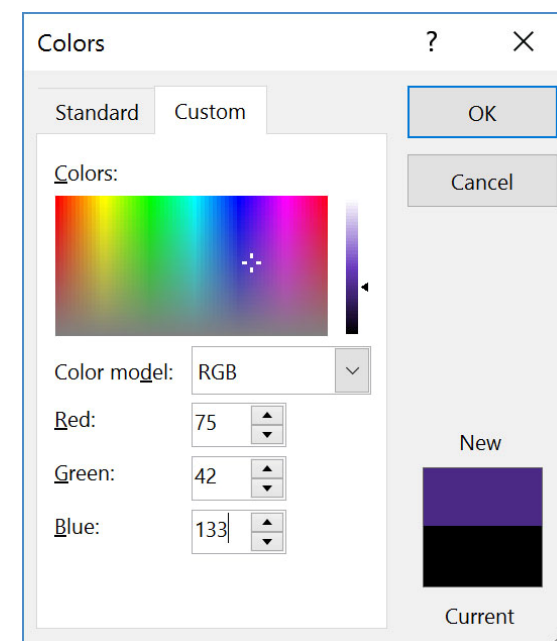
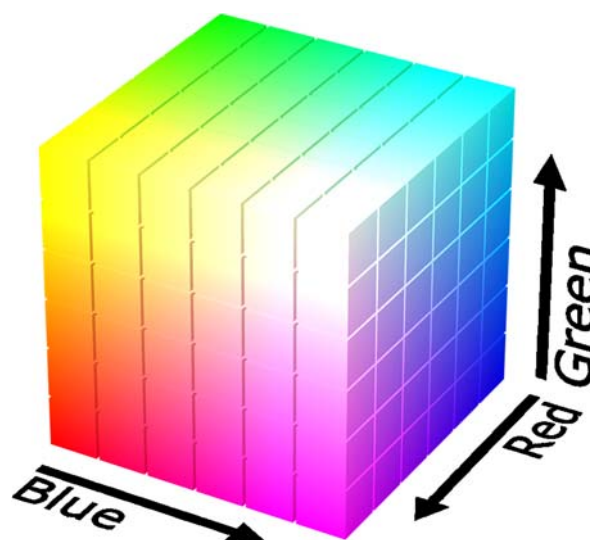
# So What's It Mean?

- ❖ *A sequence of bits can have many meanings!*
- ❖ Consider the hex sequence `0x4E6F21`
  - Common interpretations include:
    - The decimal number 5140257
    - The characters “No!”
    - The background color of this slide
    - The real number  $7.203034 \times 10^{-39}$
- ❖ It is up to the program/programmer to decide how to **interpret** the sequence of bits

# Binary Encoding – Colors

## ❖ RGB – Red, Green, Blue

- Additive color model (light): byte (8 bits) for each color
- Commonly seen in hex (in HTML, photo editing, etc.)
- Examples: **Blue**→0x0000FF, **Gold**→0xFFD700,  
**White**→0xFFFFFF, **Deep Pink**→0xFF1493



# Binary Encoding – Characters/Text

- ❖ ASCII Encoding ([www.asciitable.com](http://www.asciitable.com))
  - American Standard Code for Information Interchange

Dec	Hx	Oct	Char	Dec	Hx	Oct	Html	Chr	Dec	Hx	Oct	Html	Chr	Dec	Hx	Oct	Html	Chr
0	0	000	<b>NUL</b> (null)	32	20	040	&#32;	Space	64	40	100	&#64;	@	96	60	140	&#96;	`
1	1	001	<b>SOH</b> (start of heading)	33	21	041	&#33;	!	65	41	101	&#65;	A	97	61	141	&#97;	a
2	2	002	<b>STX</b> (start of text)	34	22	042	&#34;	"	66	42	102	&#66;	B	98	62	142	&#98;	b
3	3	003	<b>ETX</b> (end of text)	35	23	043	&#35;	#	67	43	103	&#67;	C	99	63	143	&#99;	c
4	4	004	<b>EOT</b> (end of transmission)	36	24	044	&#36;	\$	68	44	104	&#68;	D	100	64	144	&#100;	d
5	5	005	<b>ENQ</b> (enquiry)	37	25	045	&#37;	%	69	45	105	&#69;	E	101	65	145	&#101;	e
6	6	006	<b>ACK</b> (acknowledge)	38	26	046	&#38;	&	70	46	106	&#70;	F	102	66	146	&#102;	f
7	7	007	<b>BEL</b> (bell)	39	27	047	&#39;	'	71	47	107	&#71;	G	103	67	147	&#103;	g
8	8	010	<b>BS</b> (backspace)	40	28	050	&#40;	(	72	48	110	&#72;	H	104	68	150	&#104;	h
9	9	011	<b>TAB</b> (horizontal tab)	41	29	051	&#41;	)	73	49	111	&#73;	I	105	69	151	&#105;	i
10	A	012	<b>LF</b> (NL line feed, new line)	42	2A	052	&#42;	*	74	4A	112	&#74;	J	106	6A	152	&#106;	j
11	B	013	<b>VT</b> (vertical tab)	43	2B	053	&#43;	+	75	4B	113	&#75;	K	107	6B	153	&#107;	k
12	C	014	<b>FF</b> (NP form feed, new page)	44	2C	054	&#44;	,	76	4C	114	&#76;	L	108	6C	154	&#108;	l
13	D	015	<b>CR</b> (carriage return)	45	2D	055	&#45;	-	77	4D	115	&#77;	M	109	6D	155	&#109;	m
14	E	016	<b>SO</b> (shift out)	46	2E	056	&#46;	.	78	4E	116	&#78;	N	110	6E	156	&#110;	n
15	F	017	<b>SI</b> (shift in)	47	2F	057	&#47;	/	79	4F	117	&#79;	O	111	6F	157	&#111;	o
16	10	020	<b>DLE</b> (data link escape)	48	30	060	&#48;	0	80	50	120	&#80;	P	112	70	160	&#112;	p
17	11	021	<b>DC1</b> (device control 1)	49	31	061	&#49;	1	81	51	121	&#81;	Q	113	71	161	&#113;	q
18	12	022	<b>DC2</b> (device control 2)	50	32	062	&#50;	2	82	52	122	&#82;	R	114	72	162	&#114;	r
19	13	023	<b>DC3</b> (device control 3)	51	33	063	&#51;	3	83	53	123	&#83;	S	115	73	163	&#115;	s
20	14	024	<b>DC4</b> (device control 4)	52	34	064	&#52;	4	84	54	124	&#84;	T	116	74	164	&#116;	t
21	15	025	<b>NAK</b> (negative acknowledge)	53	35	065	&#53;	5	85	55	125	&#85;	U	117	75	165	&#117;	u
22	16	026	<b>SYN</b> (synchronous idle)	54	36	066	&#54;	6	86	56	126	&#86;	V	118	76	166	&#118;	v
23	17	027	<b>ETB</b> (end of trans. block)	55	37	067	&#55;	7	87	57	127	&#87;	W	119	77	167	&#119;	w
24	18	030	<b>CAN</b> (cancel)	56	38	070	&#56;	8	88	58	130	&#88;	X	120	78	170	&#120;	x
25	19	031	<b>EM</b> (end of medium)	57	39	071	&#57;	9	89	59	131	&#89;	Y	121	79	171	&#121;	y
26	1A	032	<b>SUB</b> (substitute)	58	3A	072	&#58;	:	90	5A	132	&#90;	Z	122	7A	172	&#122;	z
27	1B	033	<b>ESC</b> (escape)	59	3B	073	&#59;	:	91	5B	133	&#91;	[	123	7B	173	&#123;	{
28	1C	034	<b>FS</b> (file separator)	60	3C	074	&#60;	<	92	5C	134	&#92;	\	124	7C	174	&#124;	
29	1D	035	<b>GS</b> (group separator)	61	3D	075	&#61;	=	93	5D	135	&#93;	]	125	7D	175	&#125;	}
30	1E	036	<b>RS</b> (record separator)	62	3E	076	&#62;	>	94	5E	136	&#94;	^	126	7E	176	&#126;	~
31	1F	037	<b>US</b> (unit separator)	63	3F	077	&#63;	?	95	5F	137	&#95;	_	127	7F	177	&#127;	DEL

Source: [www.LookupTables.com](http://www.LookupTables.com)

# Binary Encoding – Files and Programs

- ❖ At the lowest level, all digital data is stored as bits!
- ❖ Layers of abstraction keep everything comprehensible
  - Data/files are groups of bits interpreted by program
  - Program is actually groups of bits being interpreted by your CPU
- ❖ Computer Memory Demo (if time)
  - From vim: `% !xxd`
  - From emacs: `M-x hexl-mode`

# Summary

- ❖ Humans think about numbers in decimal; computers think about numbers in binary
  - Base conversion to go between them
  - Hexadecimal is more human-readable than binary
- ❖ All information on a computer is binary
- ❖ Binary encoding can represent *anything!*
  - Computer/program needs to know how to interpret the bits