

The Hardware/Software Interface

CSE 351 Spring 2016

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Shan Yang

AN x64 PROCESSOR IS SCREAMING ALONG AT BILLIONS OF CYCLES PER SECOND TO RUN THE XNU KERNEL, WHICH IS FRANTICALLY WORKING THROUGH ALL THE POSIX-SPECIFIED ABSTRACTION TO CREATE THE DARWIN SYSTEM UNDERLYING OS X, WHICH IN TURN IS STRAINING ITSELF TO RUN FIREFOX AND ITS GECKO RENDERER, WHICH CREATES A FLASH OBJECT WHICH RENDERS DOZENS OF VIDEO FRAMES EVERY SECOND

BECAUSE I WANTED TO SEE A CAT JUMP INTO A BOX AND FALL OVER.



I AM A GOD.

Welcome!

10 weeks to see the key abstractions “under the hood” to describe “what really happens” when a program runs

- How is it that “everything is 1s and 0s”?
- Where does all the data get stored and how do you find it?
- How can more than one program run at once?
- What happens to a Java or C program before the hardware can execute it?
- What is *The Stack* and *The Heap*?
- And much, much, much more...

An introduction that will:

- Profoundly change/augment your view of computers and programs
- Connect your source code down to the hardware
- Leave you impressed that computers ever work.

Who: Course Staff

■ **Brandon Holt: 5th year PhD student**

- Excited to teach what was my favorite class in undergrad.
- I like: compilers, mountains, and sandwiches (esp. w/ avocado).
- First time teaching an entire class, so help me out!

■ **TAs: 8 (!)**

- Alfian, Anton, Anthony, Kevin, Sarang, Shan, Xi, Yufang
- all have taken the course and most have TA'd 351 in the past.

■ **Office hours will be figured out ASAP**

■ **Get to know us!**

- We are here to help you succeed
- And to make the course better – with your help!

Acknowledgments

Many thanks to the people whose course content we are liberally reusing with at most minor changes

- CMU: Randy Bryant, David O'Halloran, Gregory Kesden, Markus Püschel
- Harvard: Matt Welsh (now at Google-Seattle)
- UW: Gaetano Borriello, Luis Ceze, Peter Hornyack, Hal Perkins, Ben Wood, John Zahorjan, Katelin Bailey, Ruth Anderson, Dan Grossman
- Not listed: dozens of TAs

Who are you?

- **~116(!) registered**
- **CSE majors, EE majors, and more!**
 - Most of you will find almost everything in the course “brand new”
- **Please get to know each other, and help each other out**
- See me if you are interested in taking the class but are not yet registered.

Staying In Touch

- **Course web page:** <http://cs.uw.edu/351>
 - Schedule, policies, labs, homeworks, and everything else
- **Catalyst discussion board**
 - Keep in touch outside of class – help each other
 - Staff will monitor and contribute
- **Course mailing list** [`cse351a_sp16@u.washington.edu`](mailto:cse351a_sp16@u.washington.edu)
 - Low traffic – mostly announcements; your @uw.edu is subscribed
- **Office hours, appointments, drop-ins**
 - Spread throughout the week
- **Staff e-mail (Brandon + TAs):** [`cse351-staff@cs.uw.edu`](mailto:cse351-staff@cs.uw.edu)
 - For things that are not appropriate for the discussion board
- **Anonymous feedback**
 - Comments about anything related to the course where you would feel better not attaching your name, linked from website, goes directly to Brandon.

Course Components

■ Lectures (28)

- Introduce the concepts; supplemented by textbook

■ Sections (10)

- Applied concepts, important tools and skills for labs, clarification of lectures, exam review and preparation

■ Written homework assignments (4)

- Mostly problems from textbook to solidify understanding

■ Programming labs/assignments (5, plus “lab 0”)

- Provide in-depth understanding (via practice) of an aspect of system

■ Exams (midterm + final)

- Test your understanding of concepts and principles
- Midterm Friday, April 29, in class
- Final time set by the university: Wednesday June 8, 2:30-4:20PM

Policies: Grading

■ Exams (45%): 15% midterm, 30% final

- Many old exams on course website (but now 64-bit, +new instructor)

■ Written assignments (20%): weighted according to effort

- We'll try to make these about the same

■ Lab assignments (35%): weighted according to effort

- These will likely increase in weight as the quarter progresses

■ Late days:

- 3 late days to use as you wish throughout the quarter – see website

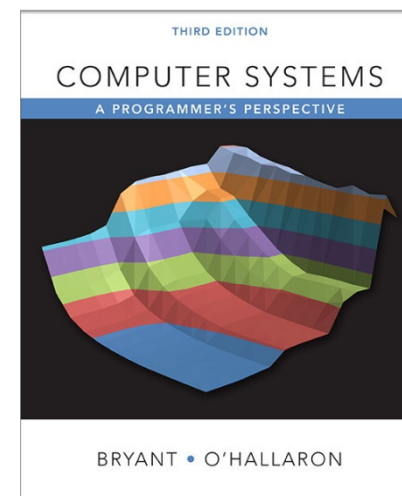
■ Collaboration:

- <http://www.cse.uw.edu/education/courses/cse351/16sp/policies.html>
- <http://www.cse.uw.edu/students/policies/misconduct>
- Do not cheat!!! It's an affront to the course staff, your fellow students, and yourself. CSE courses are special and valuable – keep it that way!

Textbooks

■ **Computer Systems: A Programmer's Perspective, 3rd Edition**

- Randal E. Bryant and David R. O'Hallaron
- Prentice-Hall, 2015
- <http://csapp.cs.cmu.edu>
- 3rd edition includes complete rewrite of Chapter 3
 - All code examples in x86-64
 - <http://csapp.cs.cmu.edu/3e/changes3e.html>
- This book really matters for the course!
 - How to solve labs
 - Practice problems typical of exam problems



■ **A good C book – any will do**

- The C Programming Language (Kernighan and Ritchie)
- C: A Reference Manual (Harbison and Steele)

Videos / Online course

- **Gaetano Borriello and Luis Ceze made videos in 2013 covering the course content [for an online version of the course]**
 - And self-check quiz questions
- **These are a great resource – encourage you to watch them**
 - Generally optional unless class is cancelled or something
 - *Occasionally* may “require before class” so you don’t get lost in an example
- **But some content has changed**
 - Now “all 64-bit” so some videos may have extra information no longer relevant.
 - This means we may get to new things that class didn’t get to.
 - If in doubt, go with what we talked about in this class.

Other details

- **Consider taking CSE 391 Unix Tools, 1 credit, useful skills**
 - Available to all CSE majors and everyone registered in CSE351
- **Office hours will be held this week, check web page for times**

Concise To-Do List

- **Review syllabus, course goals, collaboration policy, etc.:**
<http://cs.uw.edu/351>
- **Make sure you are receiving announcement emails**
- **Beginning-of-course survey, “due” Friday**
- **Lab 0, due Monday, January 11 at 5pm**
 - Make sure you get our virtual machine set up and are able to do work
 - Basic exercises to *start* getting familiar with C
 - Credit/no-credit
 - Get this done as quickly as possible
- **Section Thursday**
 - **Please install the virtual machine BEFORE coming to section**
 - **BRING your computer with you to section**
 - Includes activities to help you get started with Lab 0

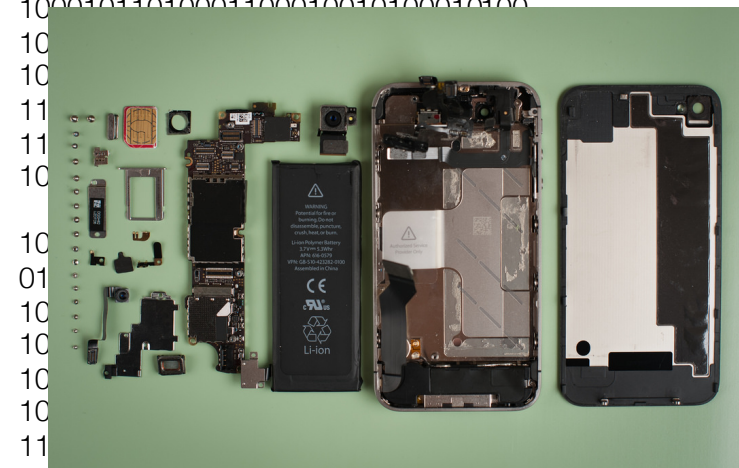
*Anything I forgot about course mechanics before we discuss,
you know, hardware and software?*

The Hardware/Software Interface

- What do we mean by hardware? software?
- What is an interface?
- Why do we need a hardware/software interface?
- Why do we need to understand both sides of this interface?

1000001101111100001001000001110000000000
0111010000011000

10001011010001000010010000010100
10001011010001000010010100010100



11110111011111000010010000011100
10001001010001000010010000011000

HW/SW Interface

```

29 import android.widget.ImageView;
30 import android.widget.LinearLayout;
31 import android.widget.TextView;
32
33 /**
34  * Contains two sub-views to provide a simple stereo HUD.
35  */
36 public class CardboardOverlayView extends LinearLayout {
37     private final CardboardOverlayEyeView leftView;
38     private final CardboardOverlayEyeView rightView;
39     private AlphaAnimation textFadeAnimation;
40
41     public CardboardOverlayView(Context context, AttributeSet attrs) {
42         super(context, attrs);
43         setOrientation(HORIZONTAL);
44
45         Layout
46         Layo
47         params
48
49         leftVi
50         leftVi
51         addView
52
53         rightV
54         rightV
55         addView
56
57         // Set some reasonable defaults.
58         setDepthOffset(0.01f);
59         setColor(Color.rgb(150, 255, 180));
60         setVisibility(View.VISIBLE);
61
62         textFadeAnimation = new AlphaAnimation(1.0f, 0.0f);
63         textFadeAnimation.setDuration(5000);

```



C/Java, assembly, and machine code

```
if (x != 0) y = (y+z)/x;
```



```
    cmp    $0, -4(%ebp)
    je     .L2
    movl   -12(%ebp), %eax
    movl   -8(%ebp), %edx
    leal   (%edx, %eax), %eax
    movl   %eax, %edx
    sarl   $31, %edx
    idivl  -4(%ebp)
    movl   %eax, -8(%ebp)
.L2:
```



```
1000001101111100001001000001110000000000
0111010000011000
10001011010001000010010000010100
10001011010001100010010100010100
1000110100000100000000010
1000100111000010
110000011111101000011111
11110111011111000010010000011100
10001001010001000010010000011000
```

High Level Language
(e.g. C, Java)

Assembly Language

Machine Code

C/Java, assembly, and machine code

```
if (x != 0) y = (y+z)/x;
```

High Level Language
(e.g. C, Java)

Compiler

```
cmpl    $0, -4(%ebp)
je      .L2
movl    -12(%ebp), %eax
movl    -8(%ebp), %edx
leal    (%edx, %eax), %eax
movl    %eax, %edx
sarl    $31, %edx
idivl   -4(%ebp)
movl    %eax, -8(%ebp)
.L2:
```

Assembly Language

Assembler

```
1000001101111100001001000001110000000000
0111010000011000
10001011010001000010010000010100
10001011010001100010010100010100
100011010000010000000010
1000100111000010
110000011111101000011111
11110111011111000010010000011100
10001001010001000010010000011000
```

Machine Code

C/Java, assembly, and machine code

```
if (x != 0) y = (y+z)/x;
```



```

    cmpl    $0, -4(%ebp)
    je      .L2
    movl    -12(%ebp), %eax
    movl    -8(%ebp), %edx
    leal    (%edx, %eax), %eax
    movl    %eax, %edx
    sarl    $31, %edx
    idivl   -4(%ebp)
    movl    %eax, -8(%ebp)
.L2:

```



```

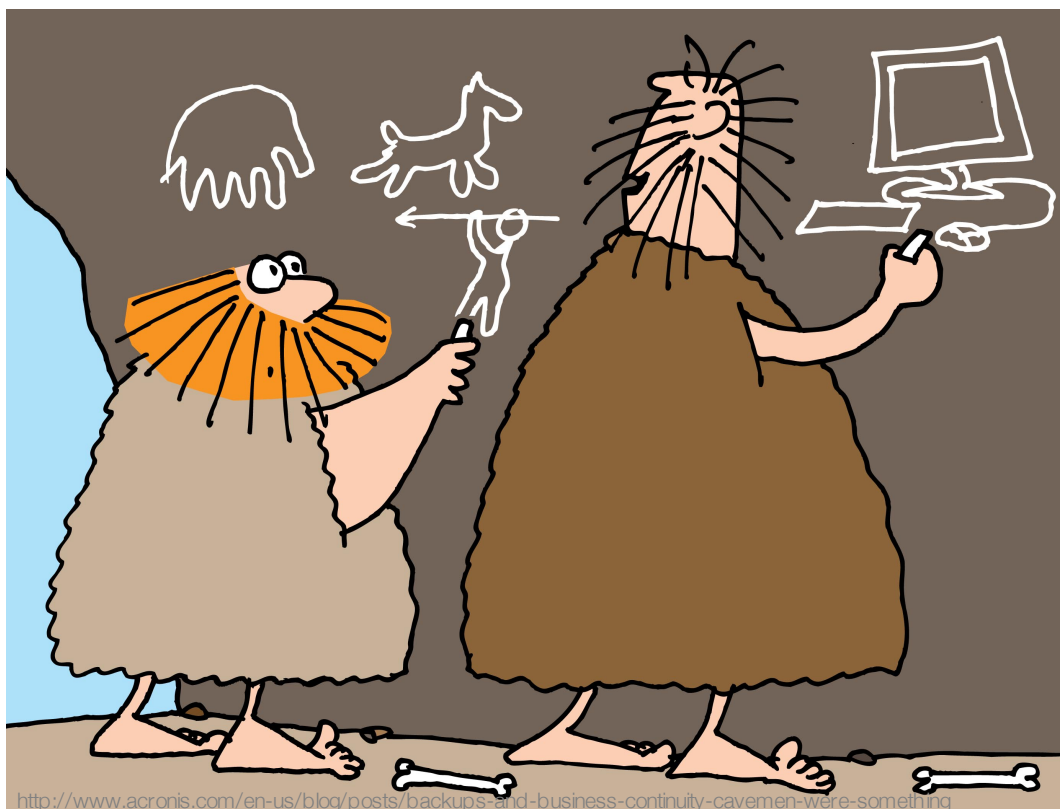
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0111010000011000
10001011010001000010010000010100
10001011010001100010010100010100
100011010000010000000010
1000100111000010
110000011111101000011111
11110111011111000010010000011100
10001001010001000010010000011000

```

- The three program fragments are equivalent
- You'd rather write C! (more human-friendly)
- Hardware likes bit strings!
 - Everything is voltages
 - The machine instructions are actually much shorter than the number of bits we would need to represent the characters in the assembly language

HW/SW Interface: Historical Perspective

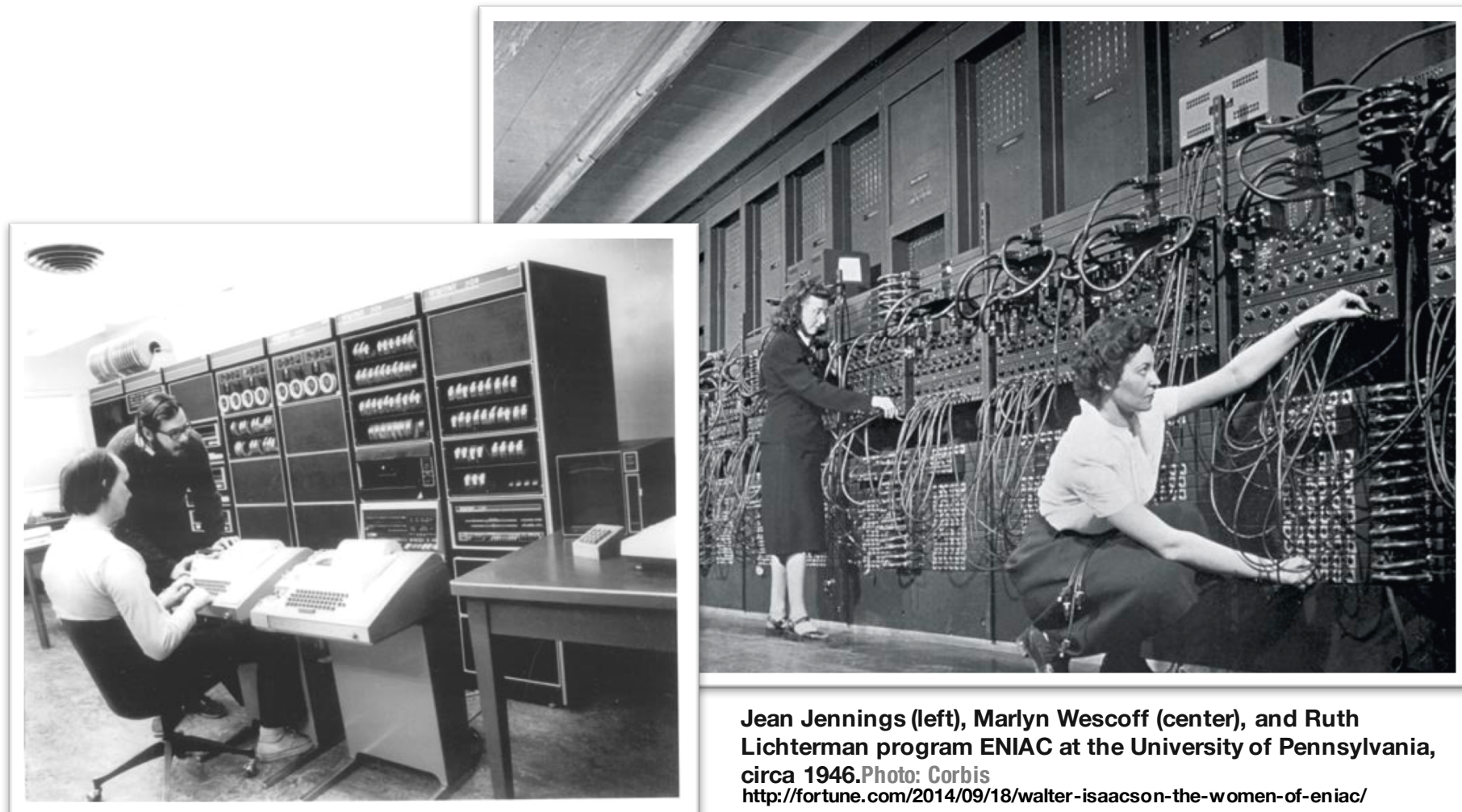
- **Hardware started out quite primitive**



<http://www.acronis.com/en-us/blog/posts/backups-and-business-continuity-cavemen-were-something>

HW/SW Interface: Historical Perspective

■ Hardware started out quite primitive



Jean Jennings (left), Marlyn Wescoff (center), and Ruth Lichterman program ENIAC at the University of Pennsylvania, circa 1946. Photo: Corbis
<http://fortune.com/2014/09/18/walter-isacson-the-women-of-eniac/>

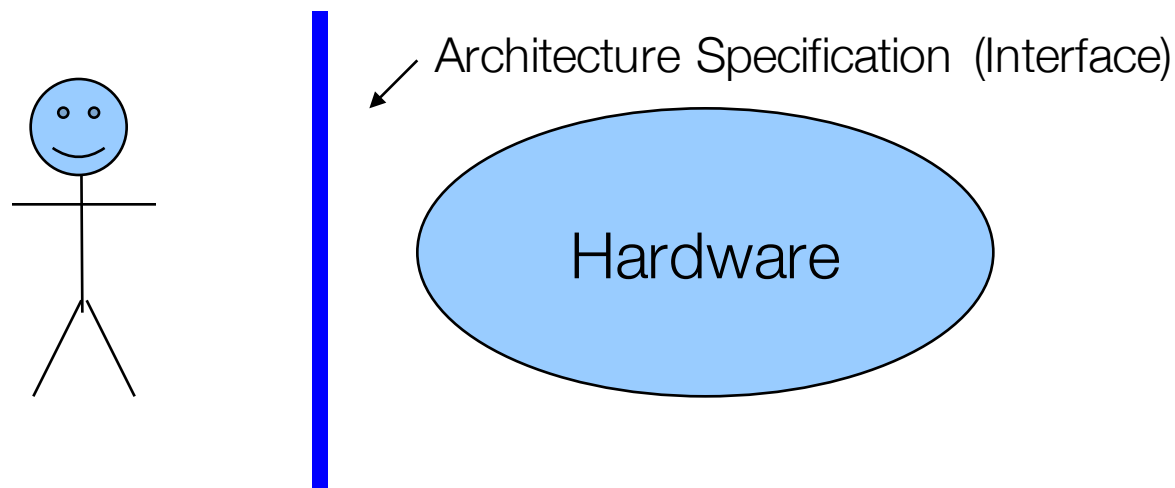
HW/SW Interface: Historical Perspective

■ Hardware started out quite primitive

- Programmed with very basic instructions.
- E.g. a single instruction for adding two integers

■ Software was also very basic

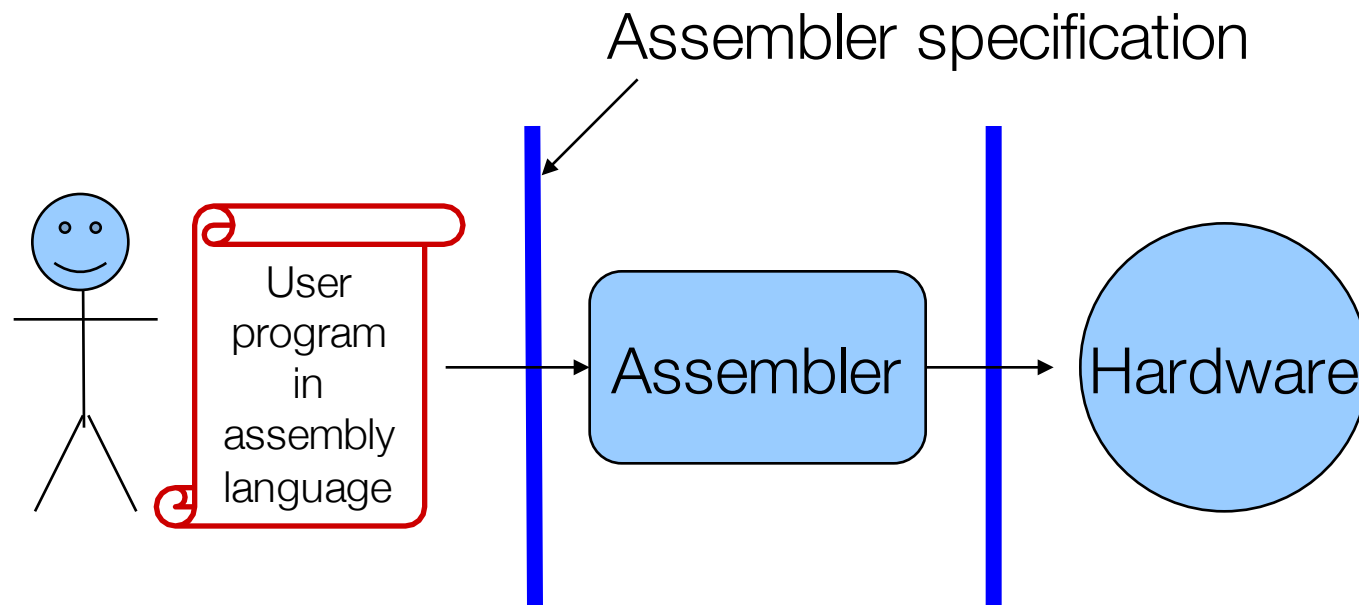
- Closely reflected the actual hardware it was running on
- Specify each step manually



HW/SW Interface: Assemblers

■ Life was made a lot better by assemblers

- 1 assembly instruction = 1 machine instruction, but...
- different syntax: assembly instructions are character strings, not bit strings, a lot easier to read/write by humans
- can use symbolic names

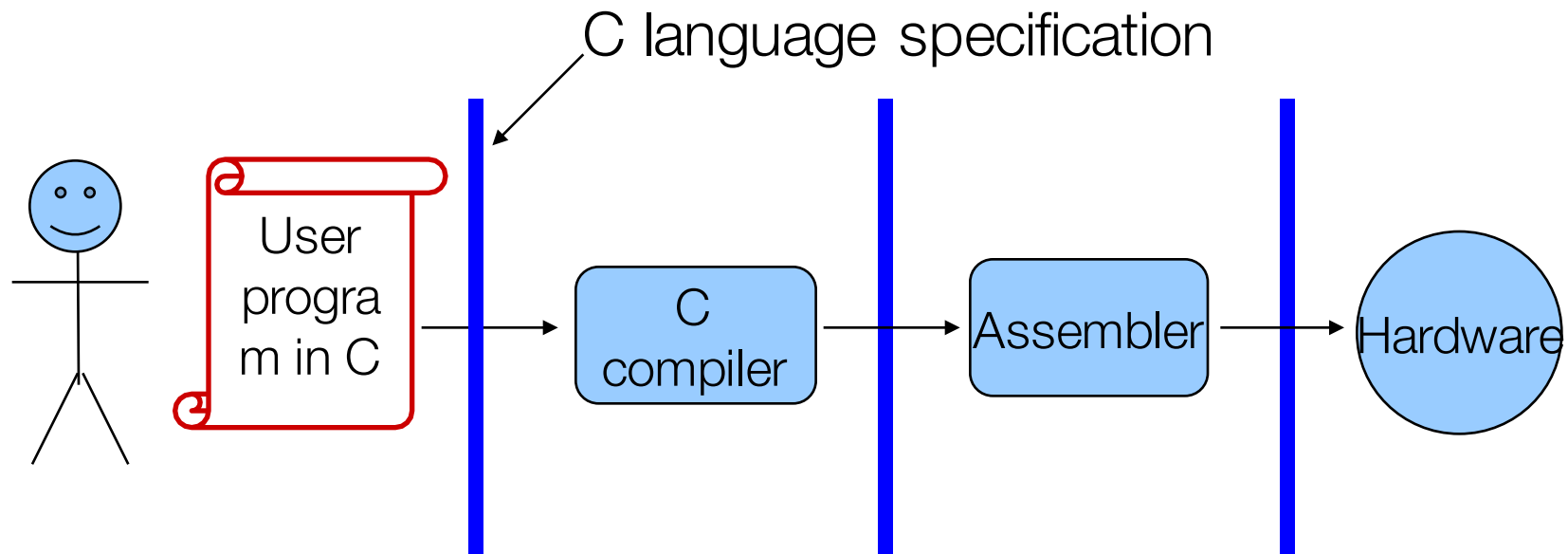


HW/SW Interface:

Higher-Level Languages

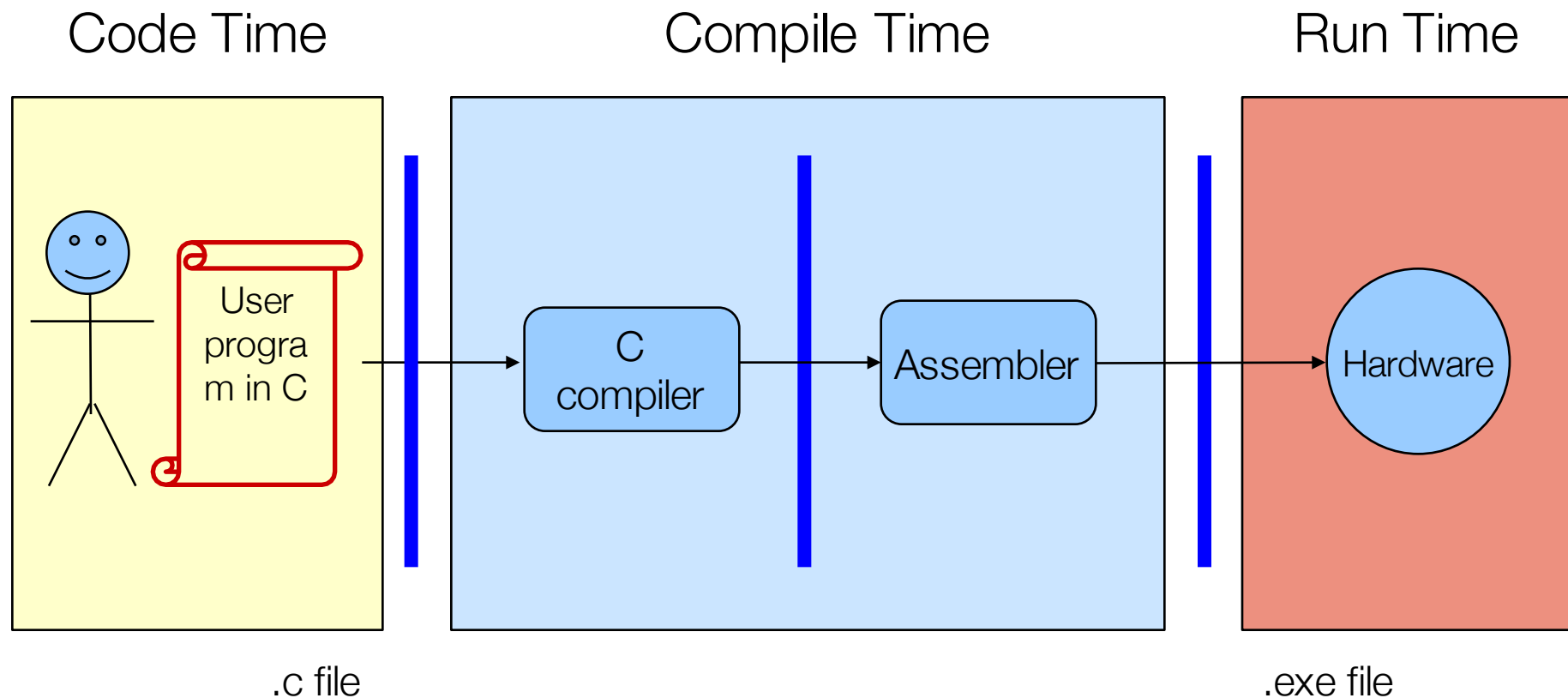
■ Higher level of abstraction:

- 1 line of a high-level language is compiled into many (sometimes very many) lines of assembly language



HW/SW Interface:

Code / Compile / Run Times



Note: The compiler and assembler are just programs, developed using this same process.

The Big Theme:

Abstractions and Interfaces

- **Computing is about abstractions**
 - (but we can't forget reality)
- **What are the abstractions that we use?**
- **What do you need to know about them?**
 - When do they break down and you have to peek under the hood?
 - What bugs can they cause and how do you find them?
- **How does the hardware (0s and 1s, processor executing instructions) relate to the software (C/Java programs)?**
 - Become a better programmer and begin to understand the important concepts that have evolved in building ever more complex computer systems

Roadmap

C:

```
car *c = malloc(sizeof(car));
c->miles = 100;
c->gals = 17;
float mpg = get_mpg(c);
free(c);
```

Java:

```
Car c = new Car();
c.setMiles(100);
c.setGals(17);
float mpg =
    c.getMPG();
```

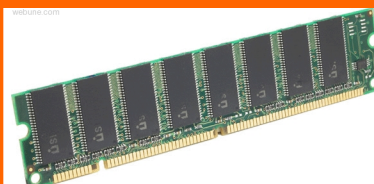
Assembly
language:

```
get_mpg:
    pushq    %rbp
    movq     %rsp, %rbp
    ...
    popq     %rbp
    ret
```

Machine
code:

```
0111010000011000
100011010000010000000010
1000100111000010
110000011111101000011111
```

Computer
system:



Memory & data
Integers & floats
Machine code & C
x86 assembly
Procedures &
stacks
Arrays & structs
Memory & caches
Processes
Virtual memory
Memory allocation
Java vs. C

OS:



Little Theme 1: Representation

- **All digital systems represent everything as 0s and 1s**
 - The 0 and 1 are really two different voltage ranges in the wires
 - Or magnetic positions on a disc, or hole depths on a DVD, or even *DNA*...
- **“Everything” includes:**
 - Numbers – integers and floating point
 - Characters – the building blocks of strings
 - Instructions – the directives to the CPU that make up a program
 - Pointers – addresses of data objects stored away in memory
- **These encodings are stored throughout a computer system**
 - In registers, caches, memories, disks, etc.
- **They all need addresses**
 - A way to find them
 - Find a new place to put a new item
 - Reclaim the place in memory when data no longer needed

Little Theme 2: Translation

- There is a **big gap** between how we think about programs and data and the 0s and 1s of computers
- Need **languages** to describe what we mean
- These languages need to be **translated** one level at a time
- We know **Java** as a programming language
 - Have to work our way down to the 0s and 1s of computers
 - Try not to lose anything in translation!
 - We'll encounter Java byte-codes, C language, assembly language, and machine code (for the X86 family of CPU architectures)
 - Not in that order, but will all connect by the last lecture!!!

Little Theme 3: Control Flow

- **How do computers orchestrate everything they are doing?**
- **Within one program:**
 - How do we implement if/else, loops, switches?
 - What do we have to keep track of when we call a procedure, and then another, and then another, and so on?
 - How do we know what to do upon “return”?
- **Across programs and operating systems:**
 - Multiple user programs
 - Operating system has to orchestrate them all
 - Each gets a share of computing cycles
 - They may need to share system resources (memory, I/O, disks)
 - Yielding and taking control of the processor
 - Voluntary or “by force”?

Writing Assembly Code??? In 2016???

■ Chances are, you'll never write a program in assembly

- Compilers are much better and more patient than you are

■ But: understanding assembly is the key to the machine-level execution model

- Behavior of programs in presence of bugs
 - High-level language model breaks down
- Tuning program performance
 - Understand optimizations done/not done by the compiler
 - Understanding sources of program inefficiency
- Implementing system software
 - Operating systems must manage process state
- Fighting malicious software
- Using special units (timers, I/O co-processors, etc.) inside processor!

Course Outcomes

- **Understanding of some of the abstractions that exist between programs and the hardware they run on, why they exist, and how they build upon each other**
- **Knowledge of some of the details of underlying implementations**
 - Less important later, but cannot “get it” without “doing it” and “doing it” requires details
- **Become more effective programmers**
 - Understand some of the many factors that influence program performance
 - More efficient at finding and eliminating bugs
 - Facility with more languages that we use to describe programs and data
 - Better understand ***new hardware***
- **Prepare for later classes in CSE**

CSE351's role in the CSE Curriculum

■ Pre-requisites

- 142 and 143: Intro Programming I and II
- Also recommended: 391: System and Software Tools

■ Complementary to:

- CSE311->CSE369->EE371 / EE271->EE371: hardware design “below us”
 - “arranging wires to do addition and stuff”
- EE/CSE474 embedded systems: CSE351 invaluable but not a pre-req [EE]
- CSE331/332/341: high-level software design and structures

■ Essential pre-req for:

- CSE401: compilers – write a *program* to do CSE351 translations
- CSE333: building well-structured systems in C/C++
- Courses after CSE333: OS, networks, distributed systems, graphics, ...

Course Perspective

■ CSE351 will make you a better programmer

- Purpose is to show how software really works
- Understanding the underlying system makes you more effective
 - Better debugging
 - Better basis for evaluating performance
 - How multiple activities work in concert (e.g., OS and user programs)
- Not just a course for hardware enthusiasts!
 - What **every** CSE major needs to know (plus many more details)
 - See many **patterns** that come up over and over in computing (like caching)
- Like other 300-level courses,
“stuff everybody learns and uses and forgets not knowing”

■ CSE351 presents a world-view that will empower you

- The intellectual tools and software tools to understand the trillions+ of 1s and 0s that are “flying around” when your program runs

[HTTP://XKCD.COM/676/](http://xkcd.com/676/)

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