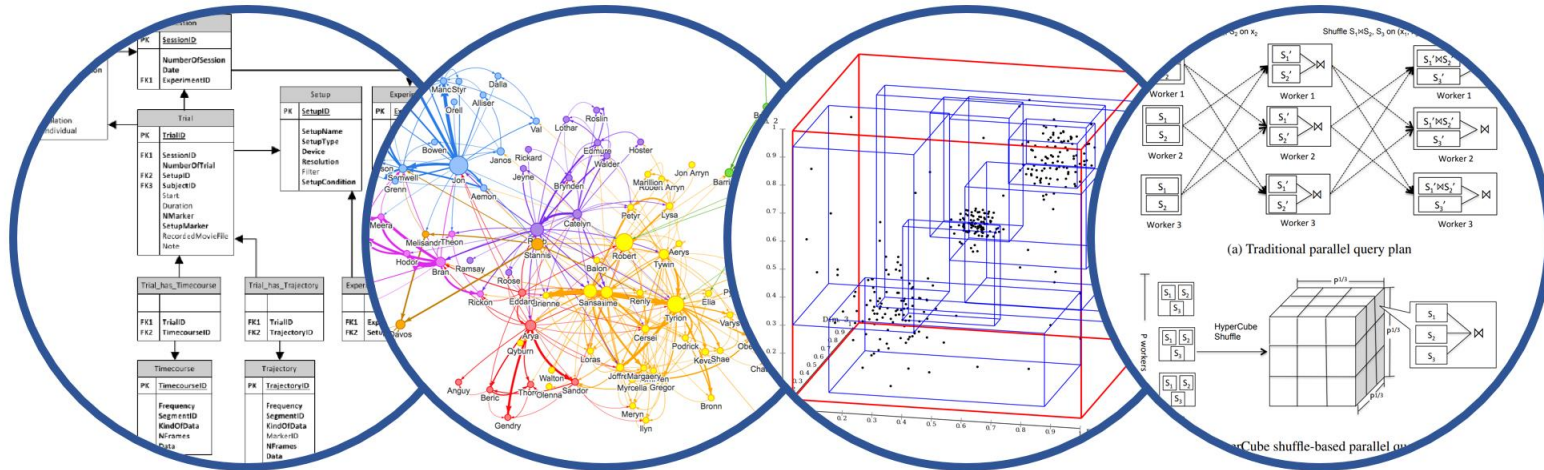




Introduction to Data Management RA and ER Diagrams

Paul G. Allen School of Computer Science and Engineering
University of Washington, Seattle

Announcements

- This Friday (10/18) we resume in-person lectures.
- HW3 due on Wednesday, 10/23
- Midterm on Friday, 10/25 in class
 - Material up to date
 - Closed books, no cheat sheet (you won't need it)
 - Some practice midterms on the course website

Agenda

- Finish discussion of RA
- Start discussing conceptual design

Recap: Relational Algebra

- SQL: declarative language; we say **what**
- RA: an algebra for saying **how**
- Optimizer converts SQL to RA

Recap: Relational Algebra

1. Selection $\sigma_{\text{condition}}(S)$
 2. Projection $\Pi_{\text{attrs}}(S)$
 3. Join $R \bowtie_{\theta} S = \sigma_{\theta}(R \times S)$
 4. Union $\cup$
 5. Set difference $-$
- Rename ρ

Recap: Relational Algebra

1. Selection $\sigma_{\text{condition}}(S)$
2. Projection $\Pi_{\text{attrs}}(S)$
3. Join $R \bowtie_{\theta} S = \sigma_{\theta}(R \times S)$
4. Union $\cup$
5. Set difference $-$

Monotone

Non-monotone

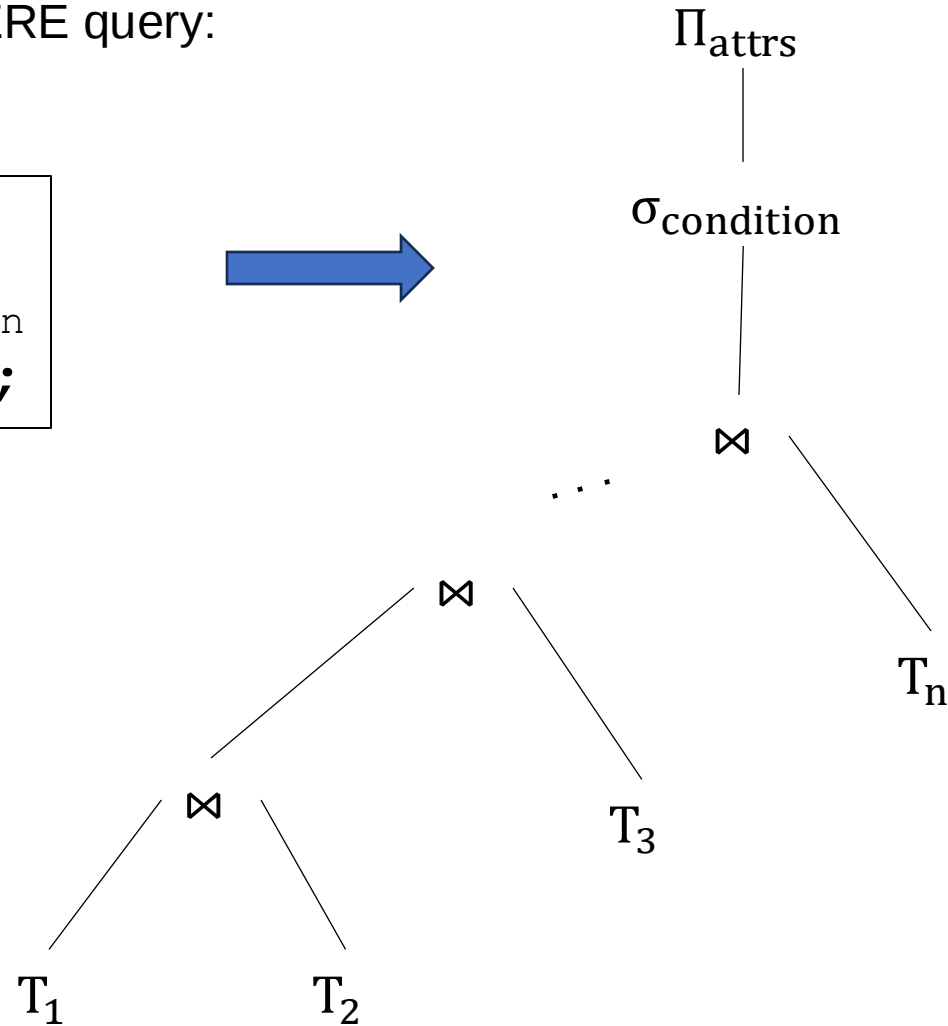
Rename ρ Monotone, but doesn't do anything

Simple SQL to RA

SQL to RA

Single SELECT-FROM-WHERE query:

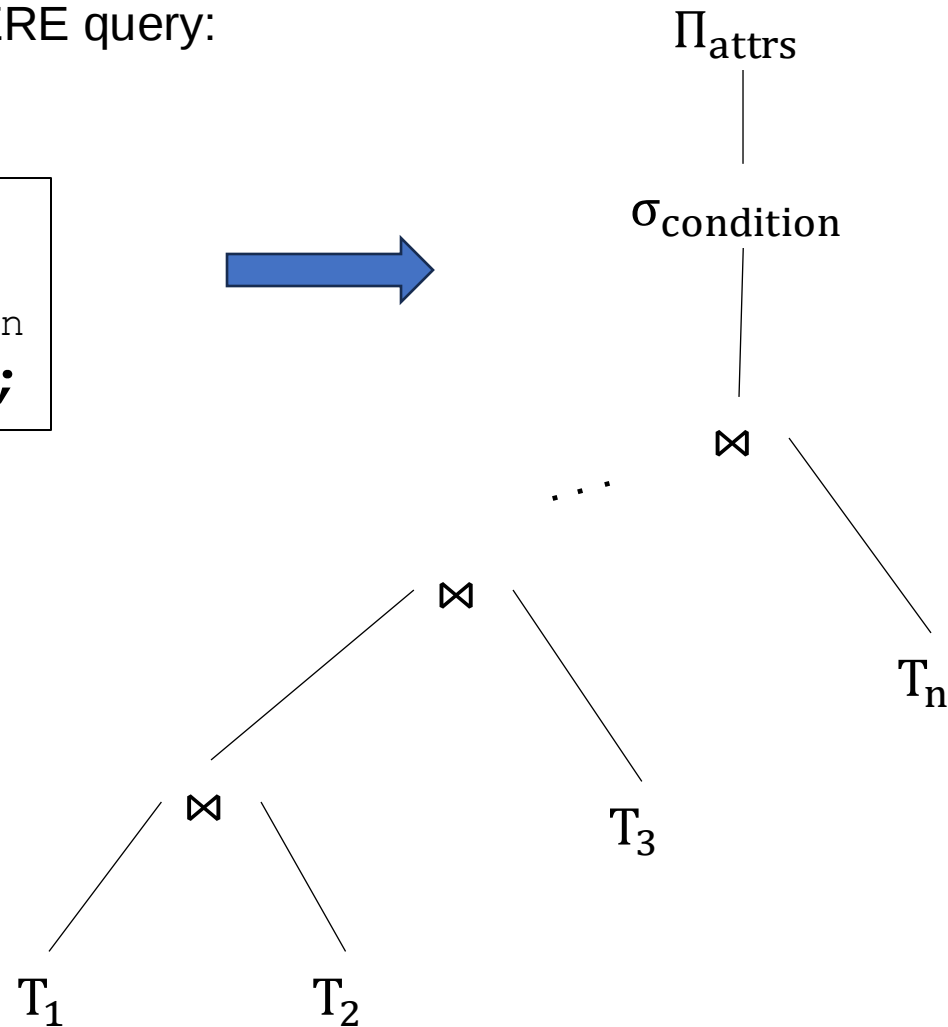
```
SELECT attrs  
FROM T1, T2, ..., Tn  
WHERE condition;
```



SQL to RA

Single SELECT-FROM-WHERE query:

```
SELECT attrs  
FROM T1, T2, ..., Tn  
WHERE condition;
```



Next: to convert group-by
we need to extend RA

Extended Relational Algebra

- Duplicate elimination δ
- Group-by aggregate $\gamma_{attr1,attr2,...,agg1,...}$

Duplicate Elimination

 $\delta(T)$

Eliminates duplicates
from the bag T

```
SELECT DISTINCT *  
FROM T;
```

Duplicate Elimination

$\delta(T)$

Eliminates duplicates
from the bag T

```
SELECT DISTINCT *  
FROM T;
```

$\delta(R) =$

R	A	B
	1	10
	2	10
	2	10
	2	20
	1	10

Duplicate Elimination

$\delta(T)$

Eliminates duplicates
from the bag T

```
SELECT DISTINCT *  
FROM T;
```

A	B
1	10
2	10
2	20

$\delta(R) =$ 

R

A	B
1	10
2	10
2	10
2	20
1	10

GroupBy-Aggregate

$\gamma_{attr1, attr2, \dots, agg1, \dots}(T)$

Group-by, then aggregate

```
SELECT attr1, ..., agg1, ...  
FROM T  
GROUP BY attr1, ...;
```

GroupBy-Aggregate

$\gamma_{attr1, attr2, \dots, agg1, \dots}(T)$

Group-by, then aggregate

$\gamma_{Job, avg(Salary) \rightarrow s}(Payroll) =$

```
SELECT attr1, ..., agg1, ...  
FROM T  
GROUP BY attr1, ...;
```

Payroll

UserID	Name	Job	Salary
123	Jack	TA	50000
345	Allison	TA	60000
567	Magda	Prof	90000
789	Dan	Prof	100000

GroupBy-Aggregate

$\gamma_{attr1, attr2, \dots, agg1, \dots}(T)$

Job	S
TA	55000
Prof	95000

Group-by, then aggregate

$\gamma_{Job, avg(Salary) \rightarrow s}(\text{Payroll}) =$ 

```
SELECT attr1, ..., agg1, ...  
FROM T  
GROUP BY attr1, ...;
```

Payroll

UserID	Name	Job	Salary
123	Jack	TA	50000
345	Allison	TA	60000
567	Magda	Prof	90000
789	Dan	Prof	100000

GroupBy-Aggregate

No need for a HAVING operator!

Find all jobs where the
average salary of employees
earning over 55000
is < 70000

Payroll

UserID	Name	Job	Salary
123	Jack	TA	50000
345	Allison	TA	60000
567	Magda	Prof	90000
789	Dan	Prof	100000

GroupBy-Aggregate

No need for a HAVING operator!

Find all jobs where the
average salary of employees
earning over 55000
is < 70000

```
SELECT Job
FROM Payroll
WHERE Salary > 55000
GROUP BY Job
HAVING avg(Salary) < 70000;
```

Payroll

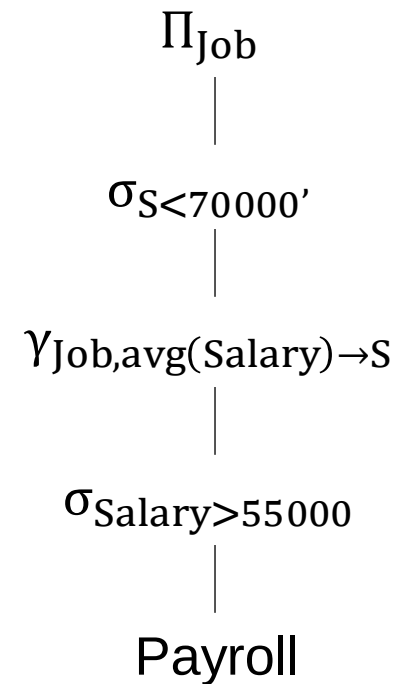
UserID	Name	Job	Salary
123	Jack	TA	50000
345	Allison	TA	60000
567	Magda	Prof	90000
789	Dan	Prof	100000

GroupBy-Aggregate

No need for a HAVING operator!

Find all jobs where the
average salary of employees
earning over 55000
is < 70000

```
SELECT Job
FROM Payroll
WHERE Salary > 55000
GROUP BY Job
HAVING avg(Salary) < 70000;
```



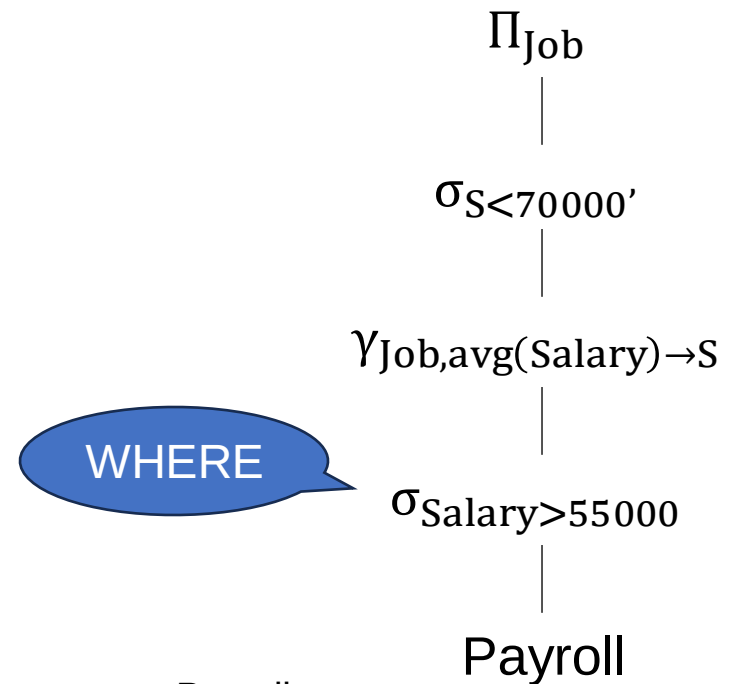
Payroll			
UserID	Name	Job	Salary
123	Jack	TA	50000
345	Allison	TA	60000
567	Magda	Prof	90000
789	Dan	Prof	100000

GroupBy-Aggregate

No need for a HAVING operator!

Find all jobs where the
average salary of employees
earning over 55000
is < 70000

```
SELECT Job
FROM Payroll
WHERE Salary > 55000
GROUP BY Job
HAVING avg(Salary) < 70000;
```



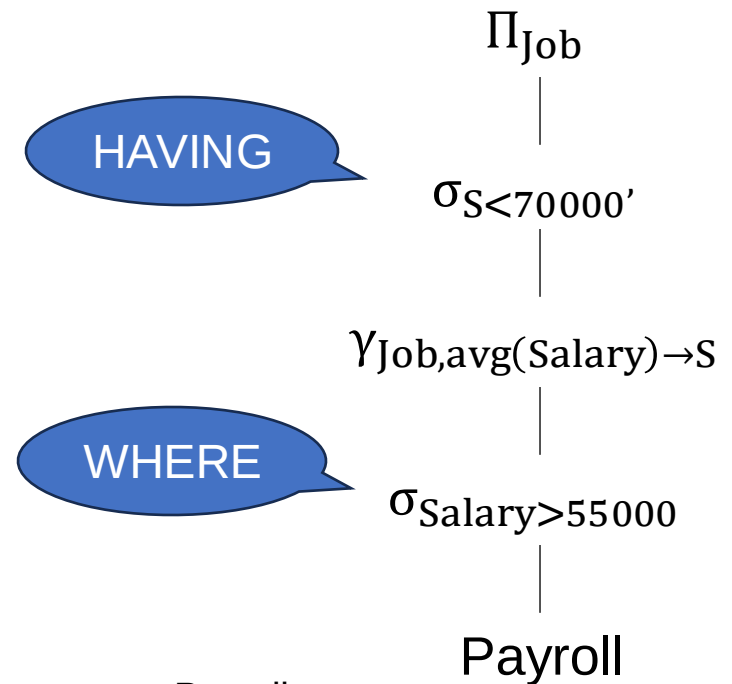
Payroll			
UserID	Name	Job	Salary
123	Jack	TA	50000
345	Allison	TA	60000
567	Magda	Prof	90000
789	Dan	Prof	100000

GroupBy-Aggregate

No need for a HAVING operator!

Find all jobs where the
average salary of employees
earning over 55000
is < 70000

```
SELECT Job
FROM Payroll
WHERE Salary > 55000
GROUP BY Job
HAVING avg(Salary) < 70000;
```



Payroll

UserID	Name	Job	Salary
123	Jack	TA	50000
345	Allison	TA	60000
567	Magda	Prof	90000
789	Dan	Prof	100000

Discussion

The Greek alphabet soup:

- $\sigma, \Pi, \delta, \gamma$
- They are standard RA symbols, get used to them

Next: converting nested SQL queries to RA

Nested SQL to RA

Nested Queries to RA

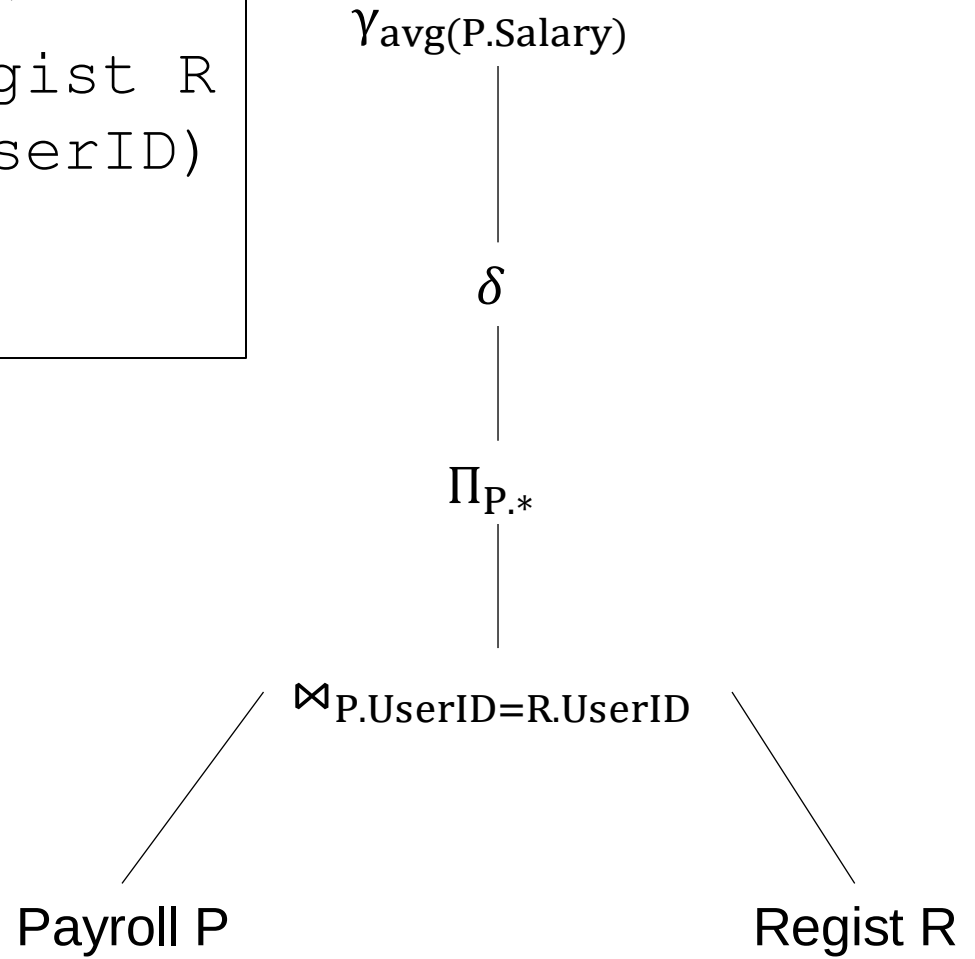
- RA is an algebra: has no nested expressions
- We cannot write EXISTS or NOT EXISTS in σ
- First unnest SQL query, then convert to RA

A Simple Case: the WITH Clause

```
WITH Cardrivers AS  
    (SELECT DISTINCT P.*  
     FROM Payroll P, Regist R  
     WHERE P.UserId=R.UserID)  
SELECT avg(Salary)  
FROM Cardrivers;
```

A Simple Case: the WITH Clause

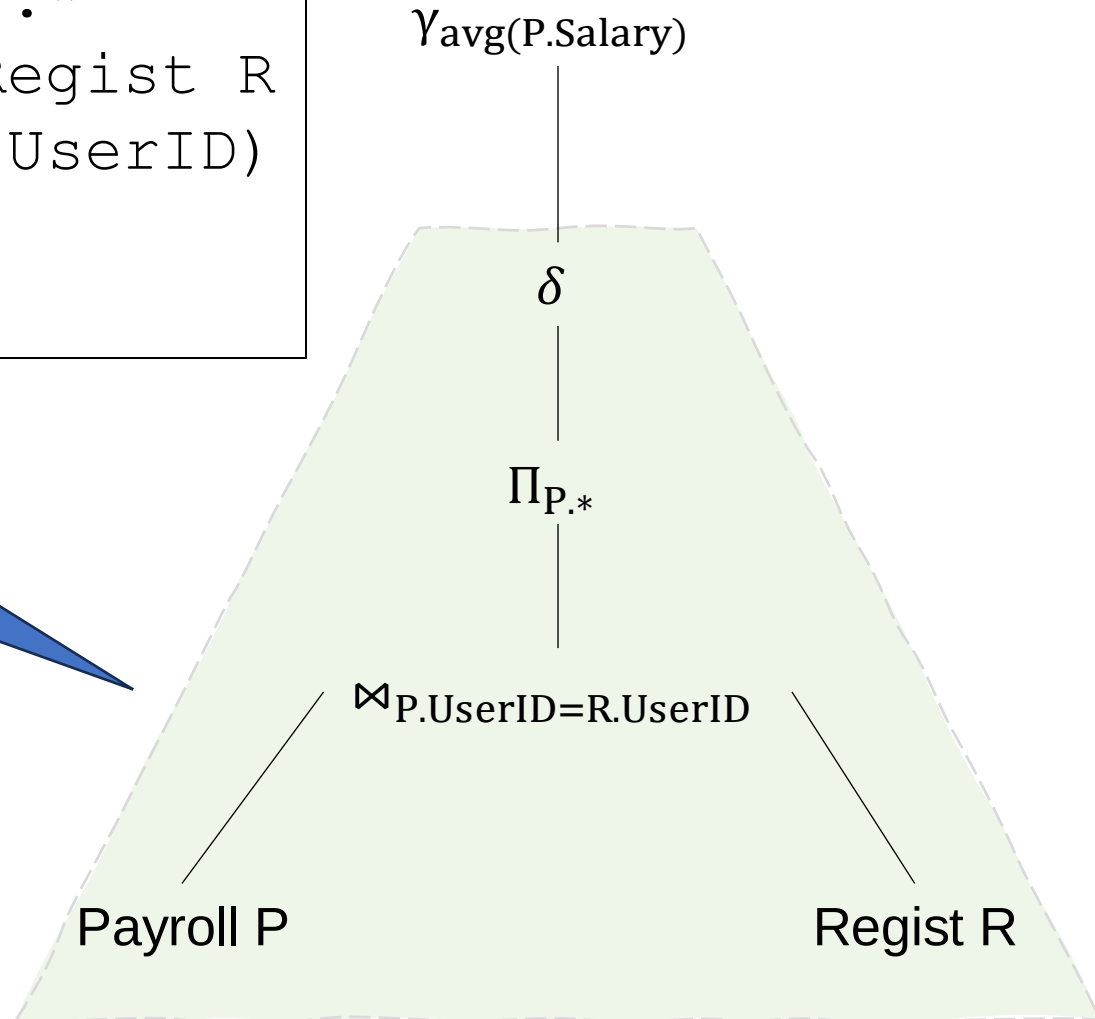
```
WITH Cardrivers AS  
  (SELECT DISTINCT P.*  
   FROM Payroll P, Regist R  
   WHERE P.UserID=R.UserID)  
SELECT avg(Salary)  
FROM Cardrivers;
```



A Simple Case: the WITH Clause

```
WITH Cardrivers AS  
  (SELECT DISTINCT P.*  
   FROM Payroll P, Regist R  
   WHERE P.UserID=R.UserID)  
SELECT avg(Salary)  
FROM Cardrivers;
```

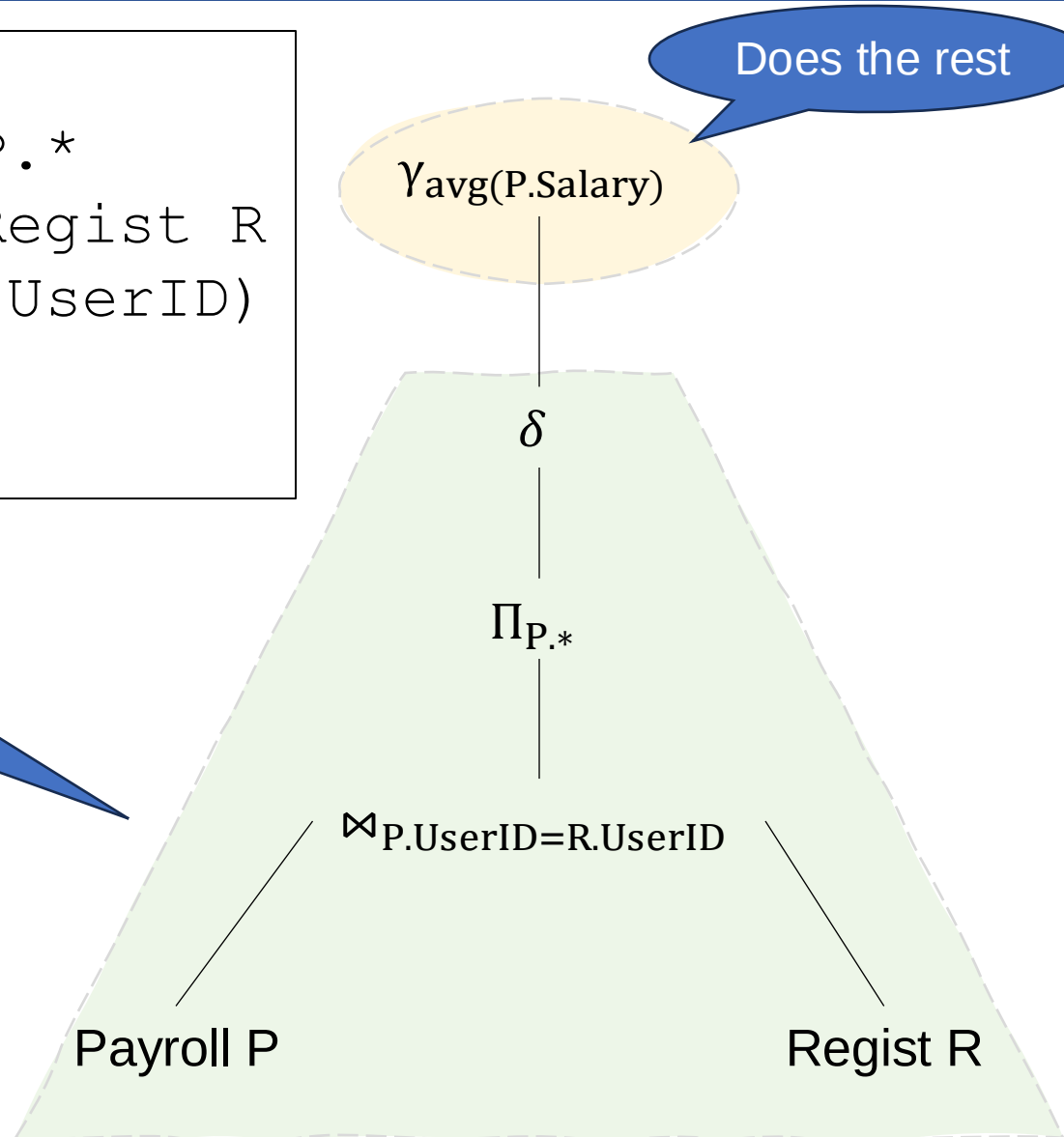
Computes
Cardrivers



A Simple Case: the WITH Clause

```
WITH Cardrivers AS  
  (SELECT DISTINCT P.*  
   FROM Payroll P, Regist R  
   WHERE P.UserID=R.UserID)  
SELECT avg(Salary)  
FROM Cardrivers;
```

Computes
Cardrivers



A Simple Case: a Monotone Query

```
SELECT P.UserID, P.Name
FROM Payroll P
WHERE exists
    (SELECT *
     FROM Regist R
     WHERE P.UserID = R.UserID);
```

A Simple Case: a Monotone Query

```
SELECT P.UserID, P.Name
FROM Payroll P
WHERE exists
    (SELECT *
     FROM Regist R
     WHERE P.UserID = R.UserID);
```

First
unnest



```
SELECT DISTINCT P.UserID, P.Name
FROM Payroll P, Regist R
WHERE P.UserID = R.UserID;
```

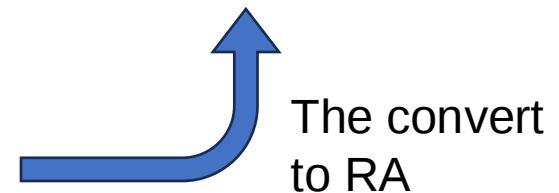
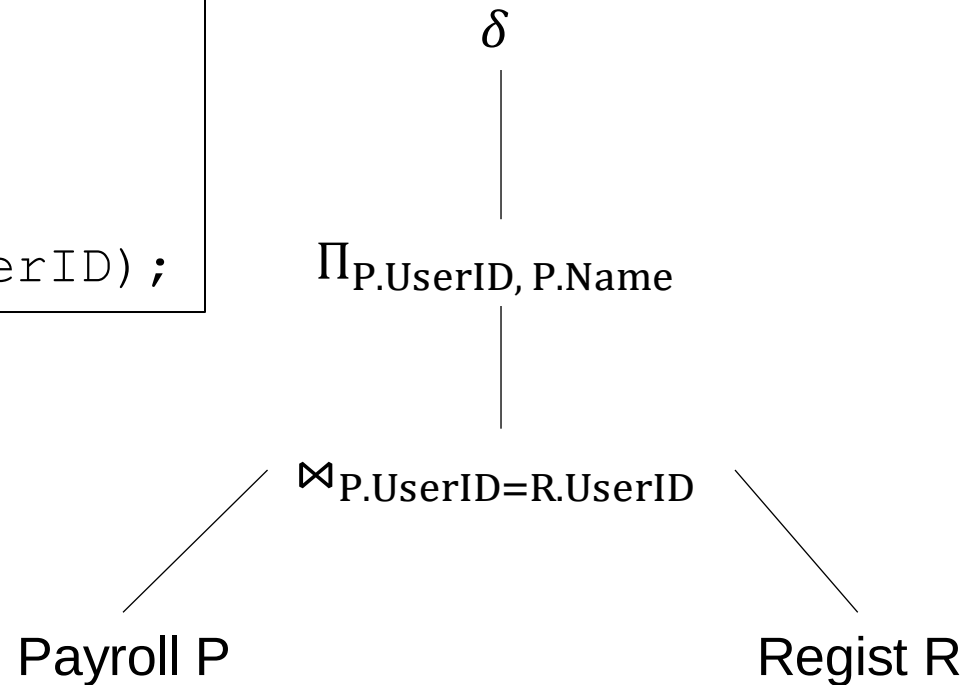
A Simple Case: a Monotone Query

```
SELECT P.UserID, P.Name  
FROM Payroll P  
WHERE exists  
    (SELECT *  
     FROM Regist R  
     WHERE P.UserID = R.UserID);
```

First
unnest



```
SELECT DISTINCT P.UserID, P.Name  
FROM Payroll P, Regist R  
WHERE P.UserID = R.UserID;
```



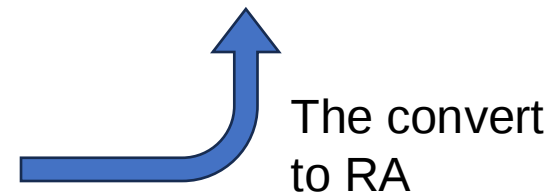
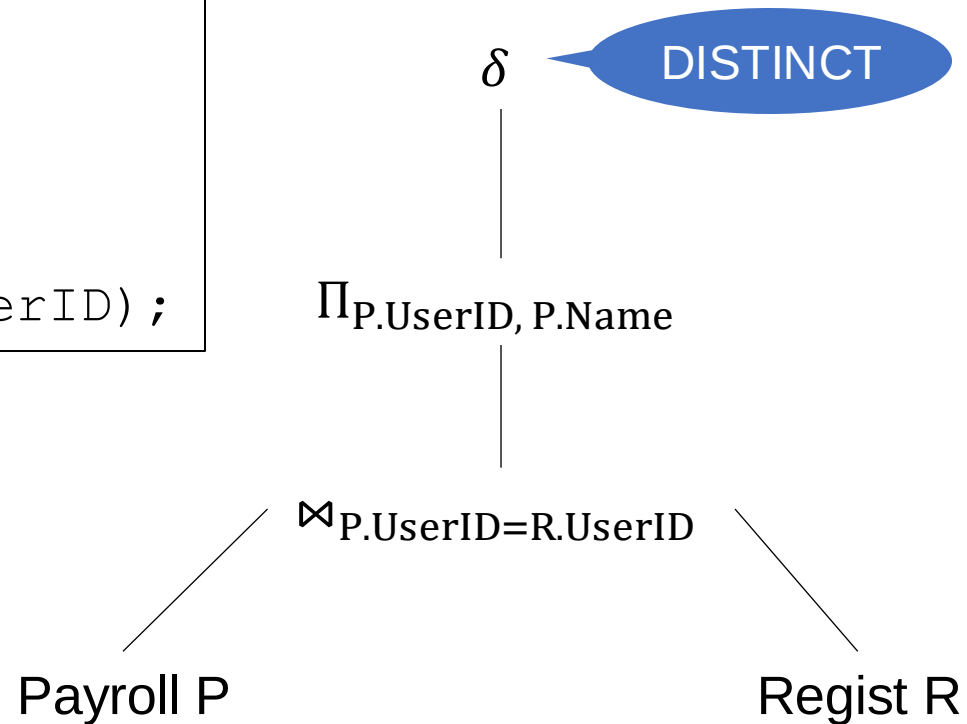
A Simple Case: a Monotone Query

```
SELECT P.UserID, P.Name  
FROM Payroll P  
WHERE exists  
    (SELECT *  
     FROM Regist R  
     WHERE P.UserID = R.UserID);
```

First
unnest



```
SELECT DISTINCT P.UserID, P.Name  
FROM Payroll P, Regist R  
WHERE P.UserID = R.UserID;
```



A Difficult Case: a Non-Monotone Query

```
SELECT P.UserID
FROM Payroll P
WHERE not exists
      (SELECT *
       FROM Regist R
       WHERE P.UserID = R.UserID);
```

A Difficult Case: a Non-Monotone Query

```
SELECT P.UserID
FROM Payroll P
WHERE not exists
      (SELECT *
       FROM Regist R
       WHERE P.UserID = R.UserID);
```

Totally, totally wrong!

$\sigma_{not(exists(...))}$

Payroll P

Regist R

A Difficult Case: a Non-Monotone Query

```
SELECT P.UserID
FROM Payroll P
WHERE not exists
  (SELECT *
   FROM Regist R
   WHERE P.UserID = R.UserID);
```

There are no
subqueries in RA.

Totally, totally
wrong!

$\sigma_{not(exists(...))}$

Payroll P

Regist R

A Difficult Case: a Non-Monotone Query

```
SELECT P.UserID
FROM Payroll P
WHERE not exists
  (SELECT *
   FROM Regist R
   WHERE P.UserID = R.UserID);
```

There are no
subqueries in RA.

Need to unnest,
but first need to de-correlate.

Totally, totally
wrong!

$\sigma_{not(exists(...))}$

Payroll P

Regist R

A Difficult Case: a Non-Monotone Query

```
SELECT P.UserID
FROM Payroll P
WHERE not exists
      (SELECT *
       FROM Regist R
       WHERE P.UserID = R.UserID);
```

First
de-correlate



```
SELECT P.UserID
FROM Payroll P
WHERE P.UserID not in
      (SELECT R.UserID
       FROM Regist R);
```

A Difficult Case: a Non-Monotone Query

```
SELECT P.UserID
FROM Payroll P
WHERE not exists
      (SELECT *
       FROM Regist R
       WHERE P.UserID = R.UserID);
```

First
de-correlate



```
SELECT P.UserID
FROM Payroll P
WHERE P.UserID not in
      (SELECT R.UserID
       FROM Regist R);
```

Then unnest
using set difference



```
SELECT P.UserID
FROM Payroll P
EXCEPT
SELECT R.UserID
FROM Regist R;
```

A Difficult Case: a Non-Monotone Query

```
SELECT P.UserID
FROM Payroll P
WHERE not exists
      (SELECT *
       FROM Regist R
       WHERE P.UserID = R.UserID);
```

First
de-correlate



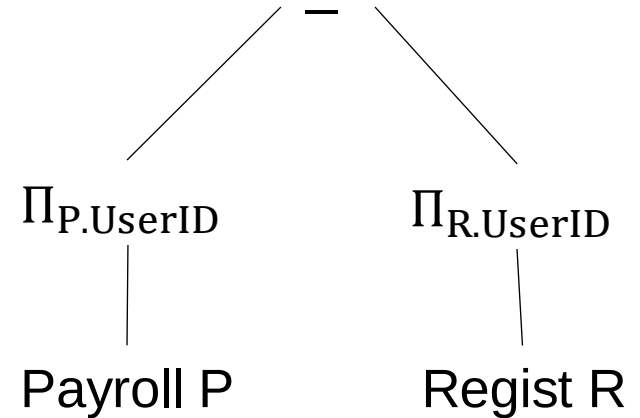
```
SELECT P.UserID
FROM Payroll P
WHERE P.UserID not in
      (SELECT R.UserID
       FROM Regist R);
```

Then unnest
using set difference



```
SELECT P.UserID
FROM Payroll P
EXCEPT
SELECT R.UserID
FROM Regist R;
```

Finally,
rewrite to RA



Discussion

- SQL = declarative language; **what** we want
RA = an algebra; **how** to get it
- We write in SQL, optimizers generates RA
- Some language resemble RA more than SQL,
e.g. Spark

Next topic: how to design a database from scratch

Database Design

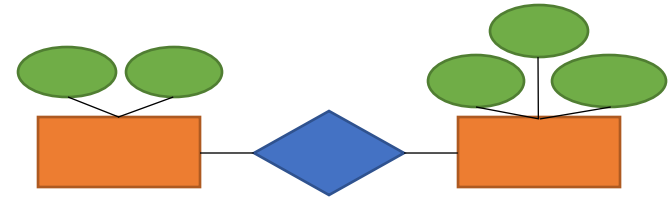
Database Design

- New application needs persistent database.
- The database will persist for a long period of time.
We need a good design from day 1.
- Incorporate feedback from many stakeholders
 - Programmers, business teams, analysts, data scientists, product managers, ...

The Database Design Process

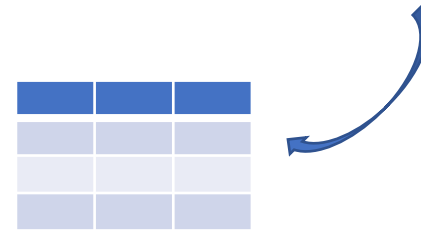
Today

Conceptual Model



Relational Model

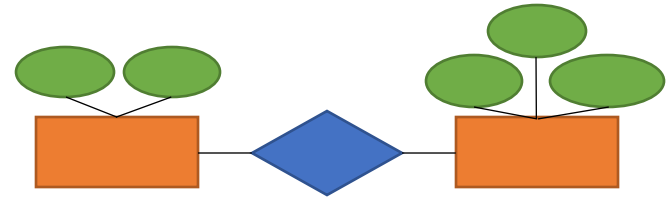
- + Schema
- + Constraints



The Database Design Process

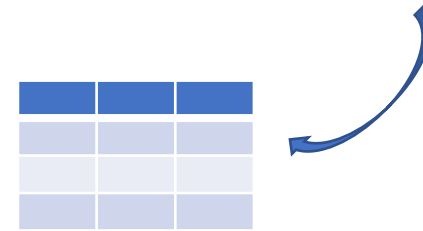
Today

Conceptual Model



Relational Model

+ Schema
+ Constraints



Next Lectures

Conceptual Schema

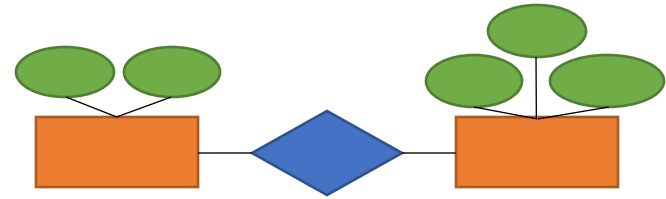
+ Normalization



The Database Design Process

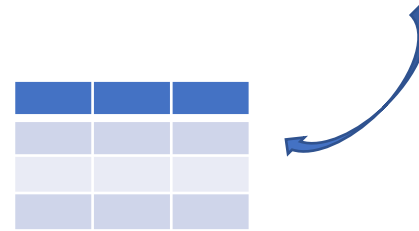
Today

Conceptual Model



Relational Model

- + Schema
- + Constraints



Next Lectures

Conceptual Schema

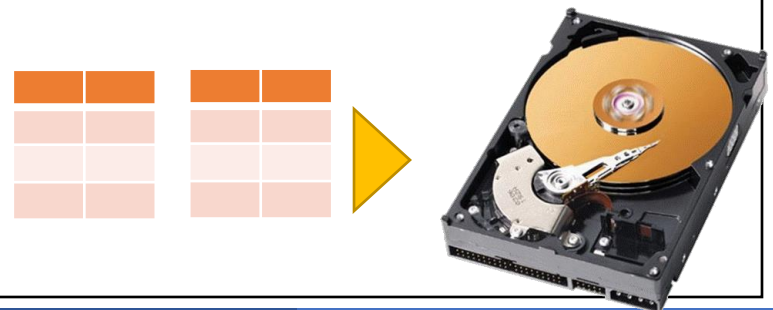
- + Normalization



Later...

Physical Schema

- + Partitioning
- + Indexing



ER Diagrams

Entity-Relationship (ER) Diagrams

- A visual way to describe the schema of a database
- Language independent: may implement in SQL, or some other data model

Example

Application to track the lifetime of products

- Keep information about Products: name, price, ...
- Who manufactures them? Company name, address, their workers, ...
- Who buys them? Customers with their names, ...

Example: designing the Entity Sets

Product

Example: designing the Entity Sets

Product

Company

Worker

Example: designing the Entity Sets

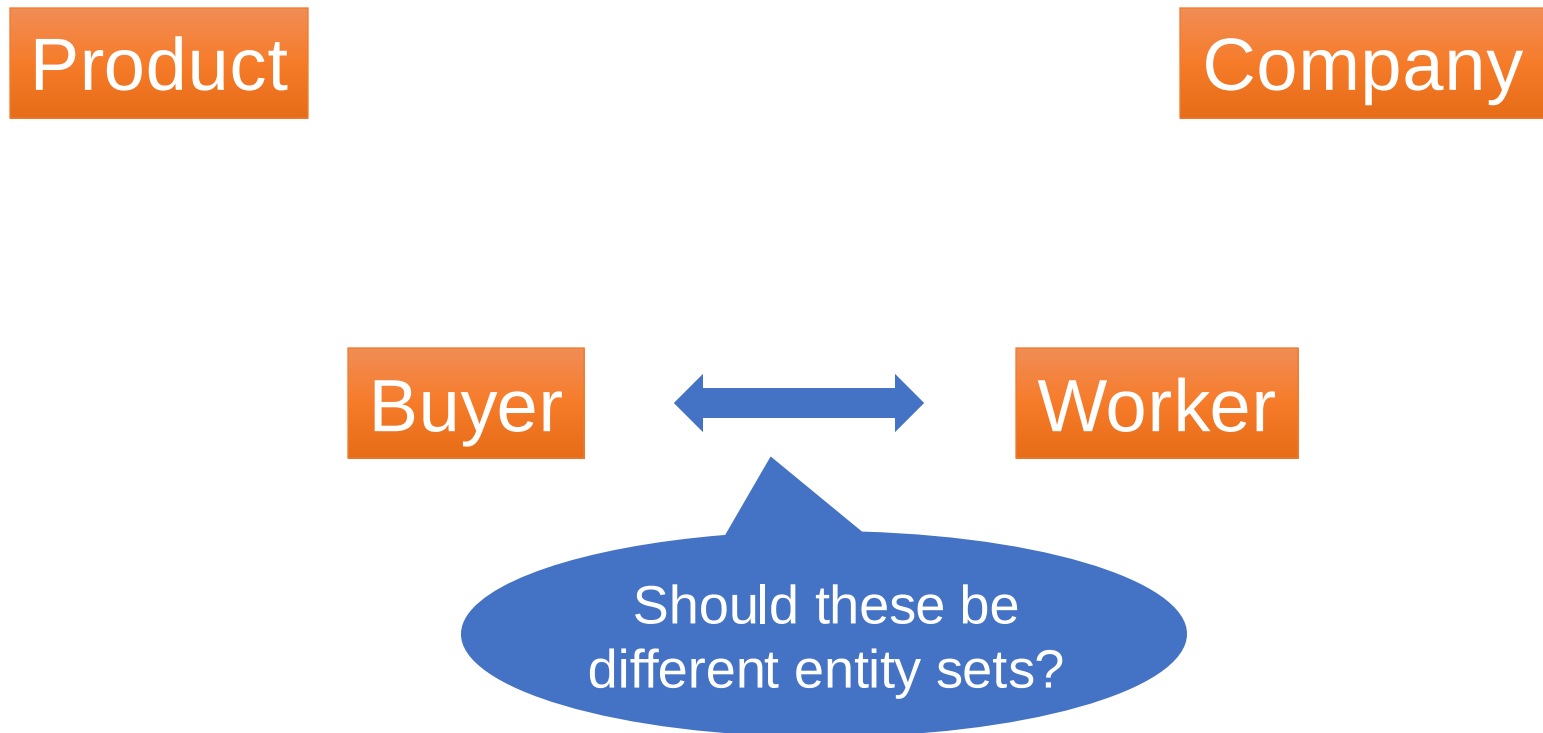
Product

Company

Buyer

Worker

Example: designing the Entity Sets



Example: designing the Entity Sets

Product

Company

Person

Let's keep things
simple for now

Example: adding Attributes

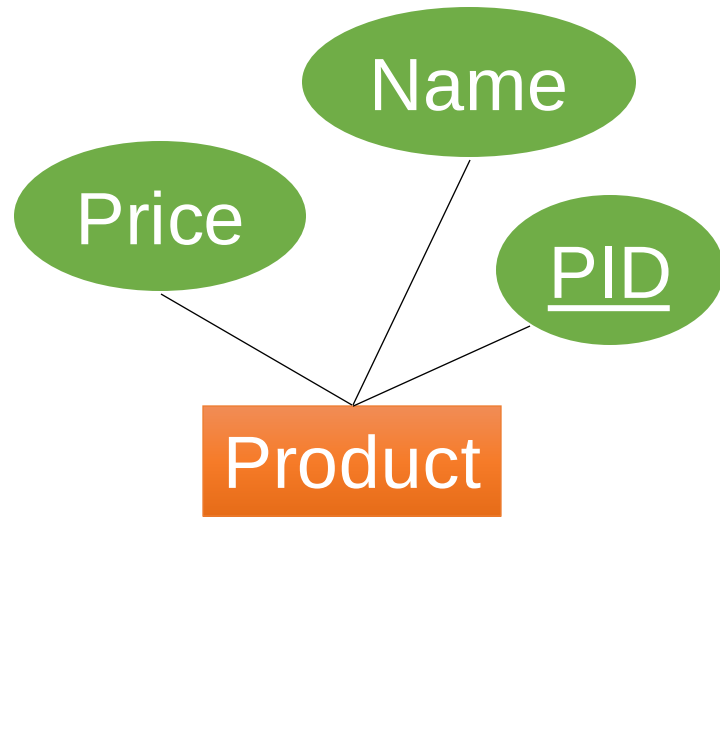
Next, let's design
their attributes

Product

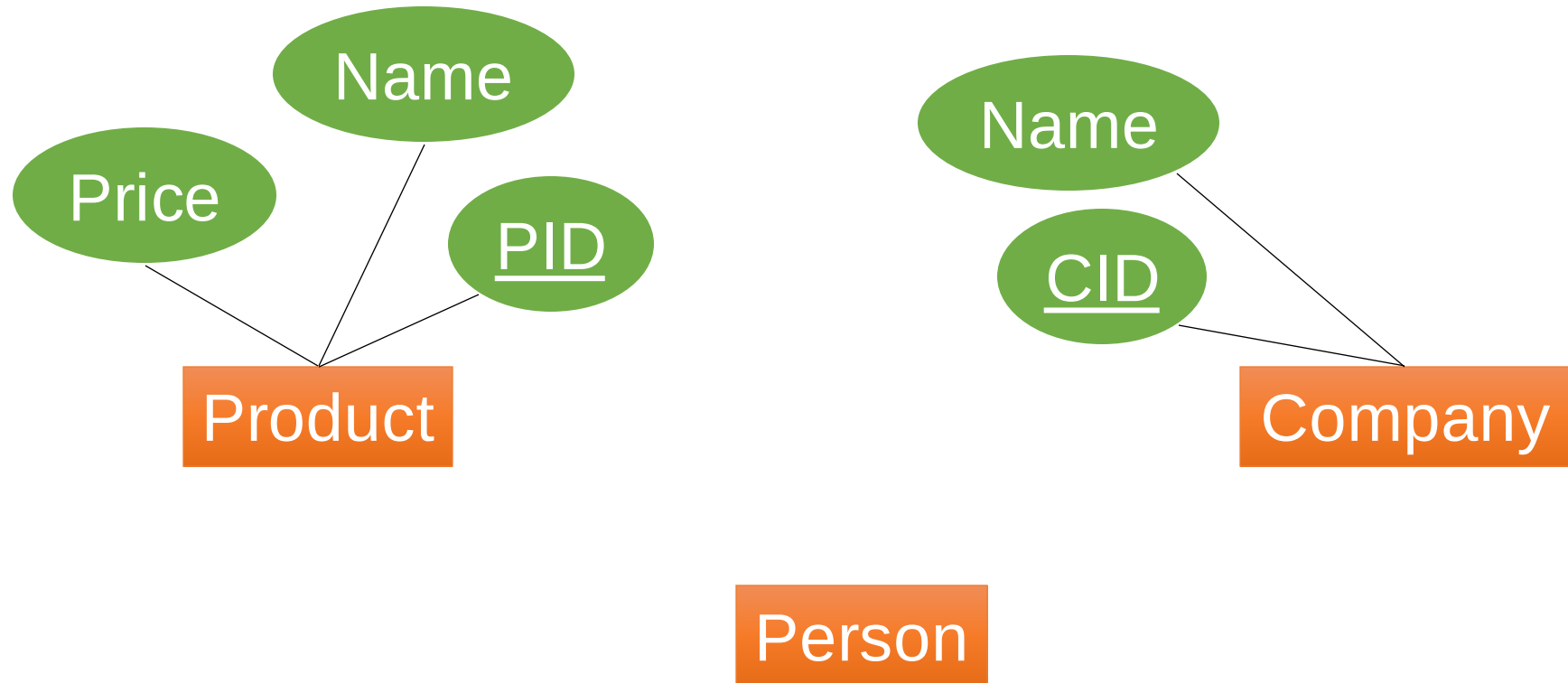
Company

Person

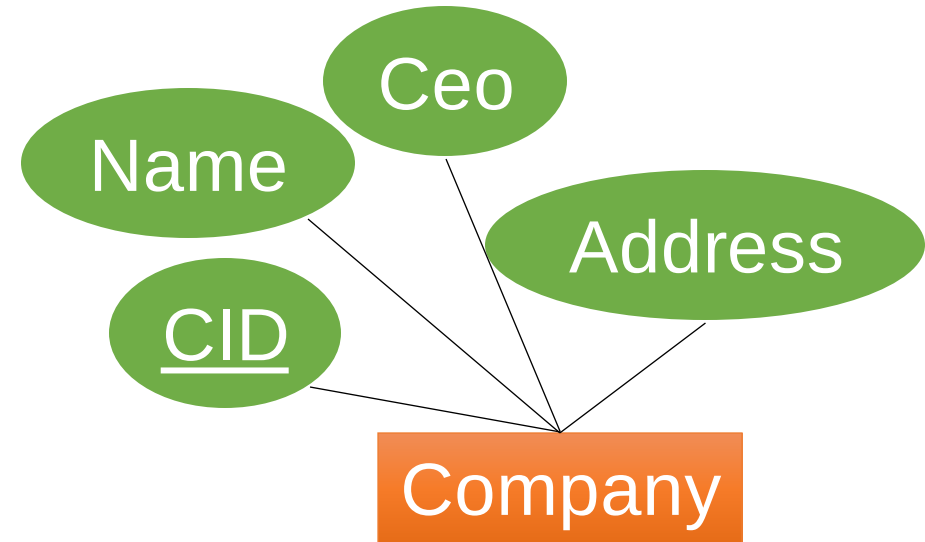
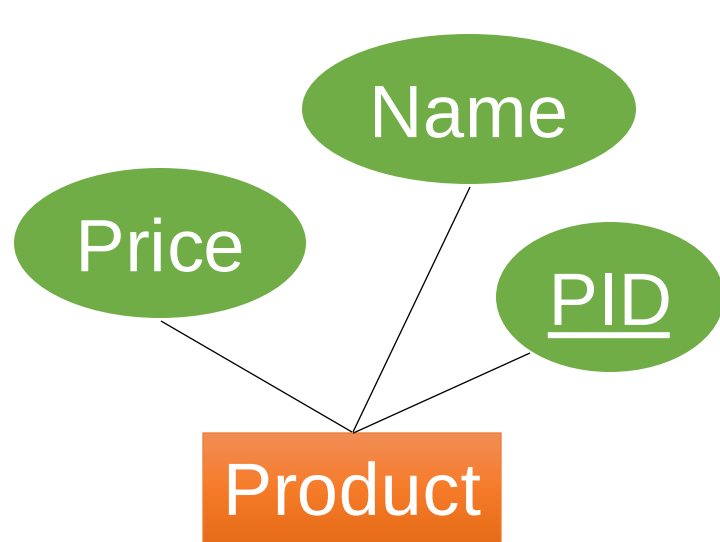
Example: adding Attributes



Example: adding Attributes



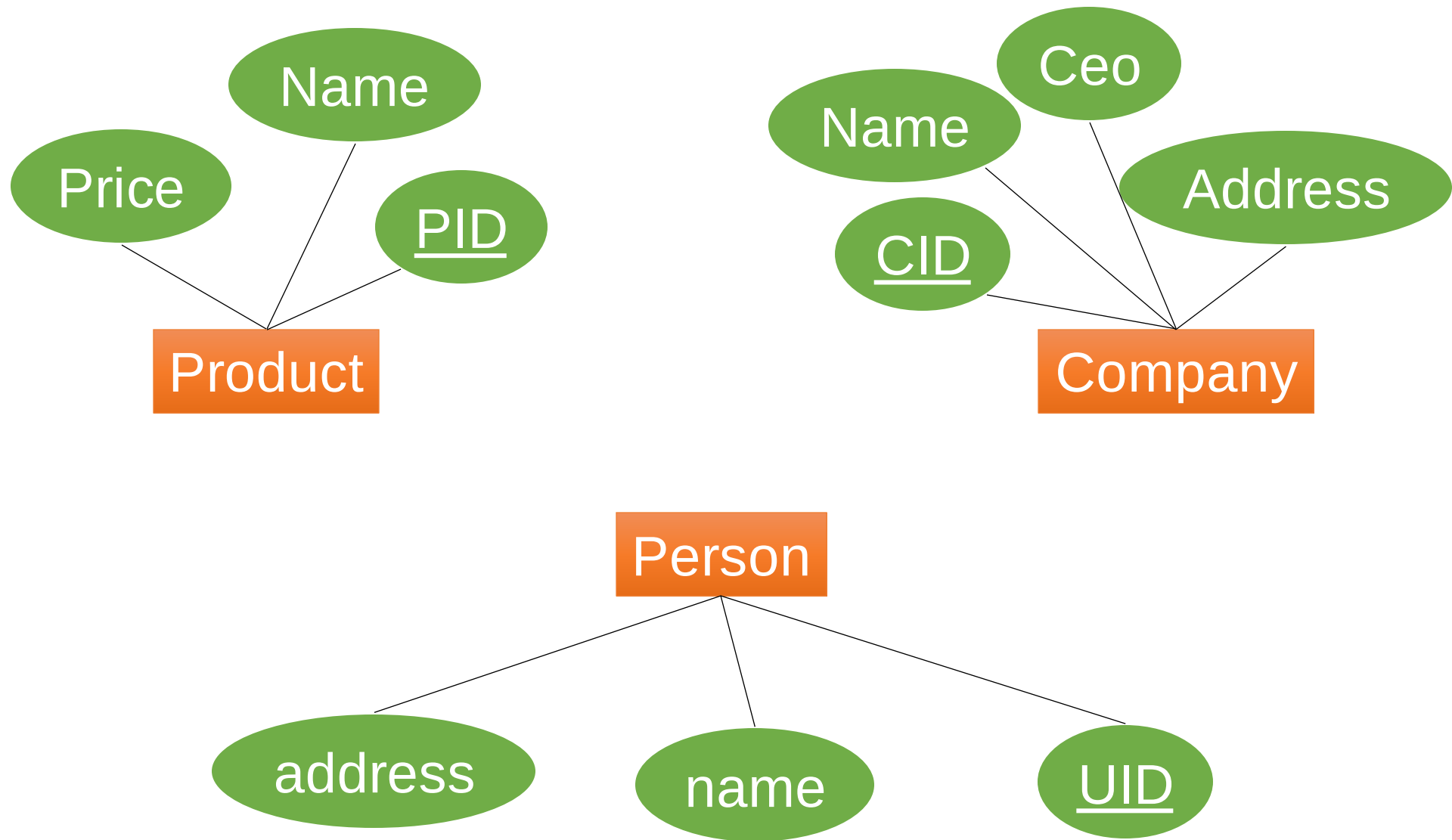
Example: adding Attributes



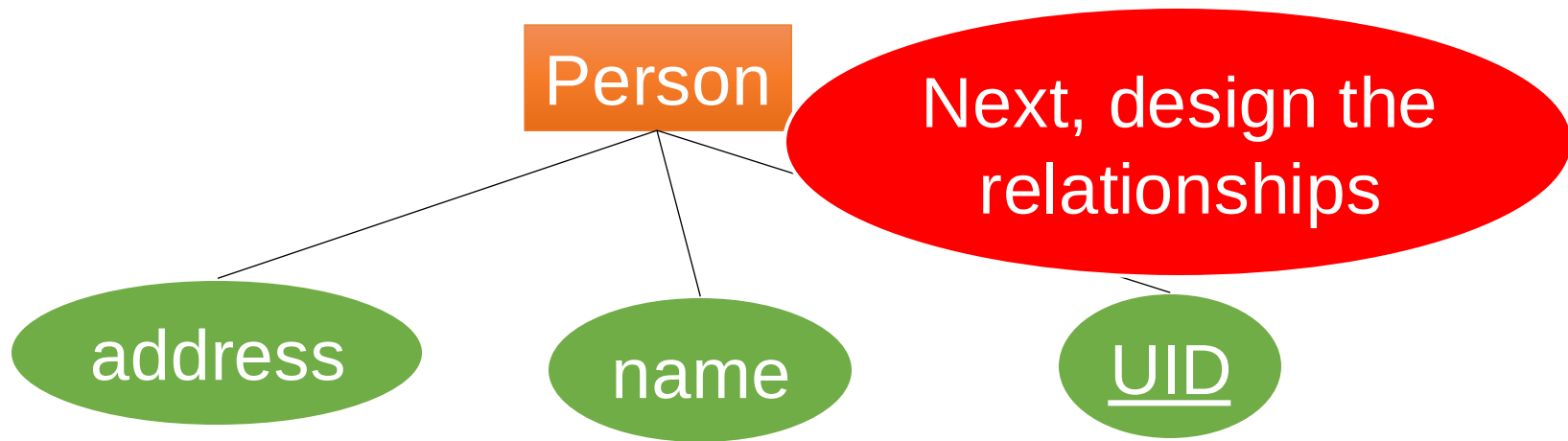
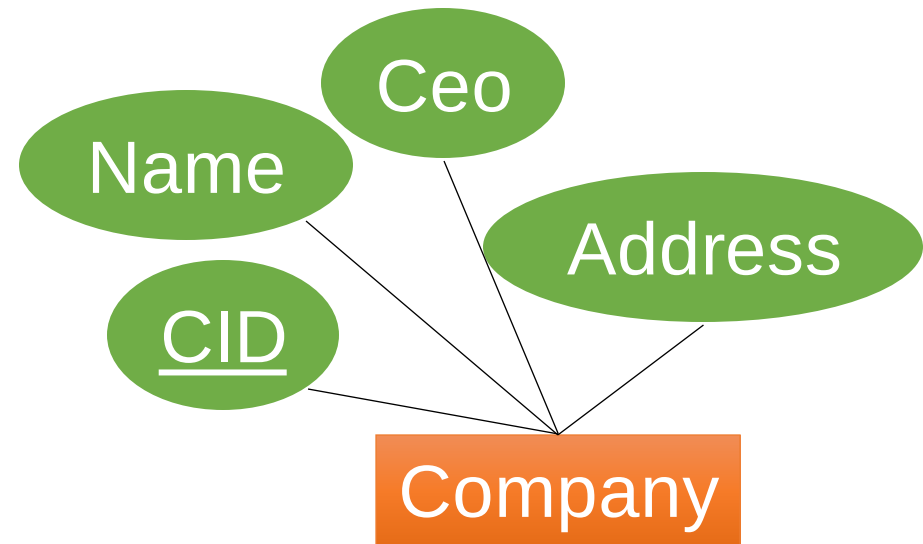
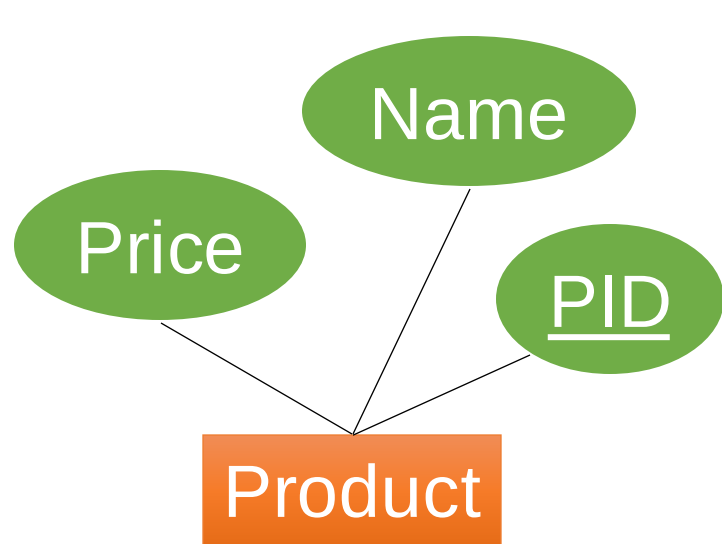
Person

Determine ALL
attributes that
your application
needs

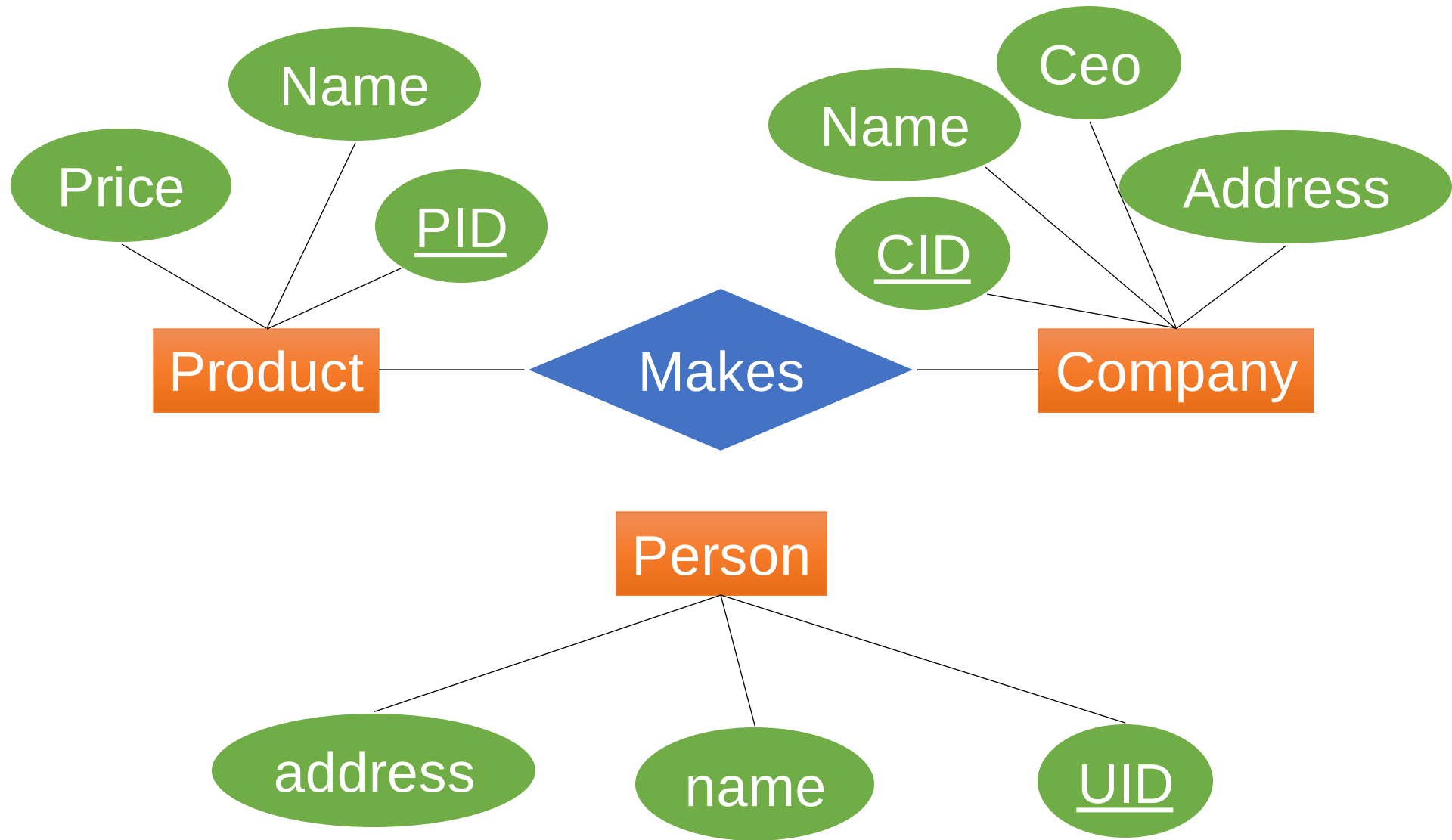
Example: adding Attributes



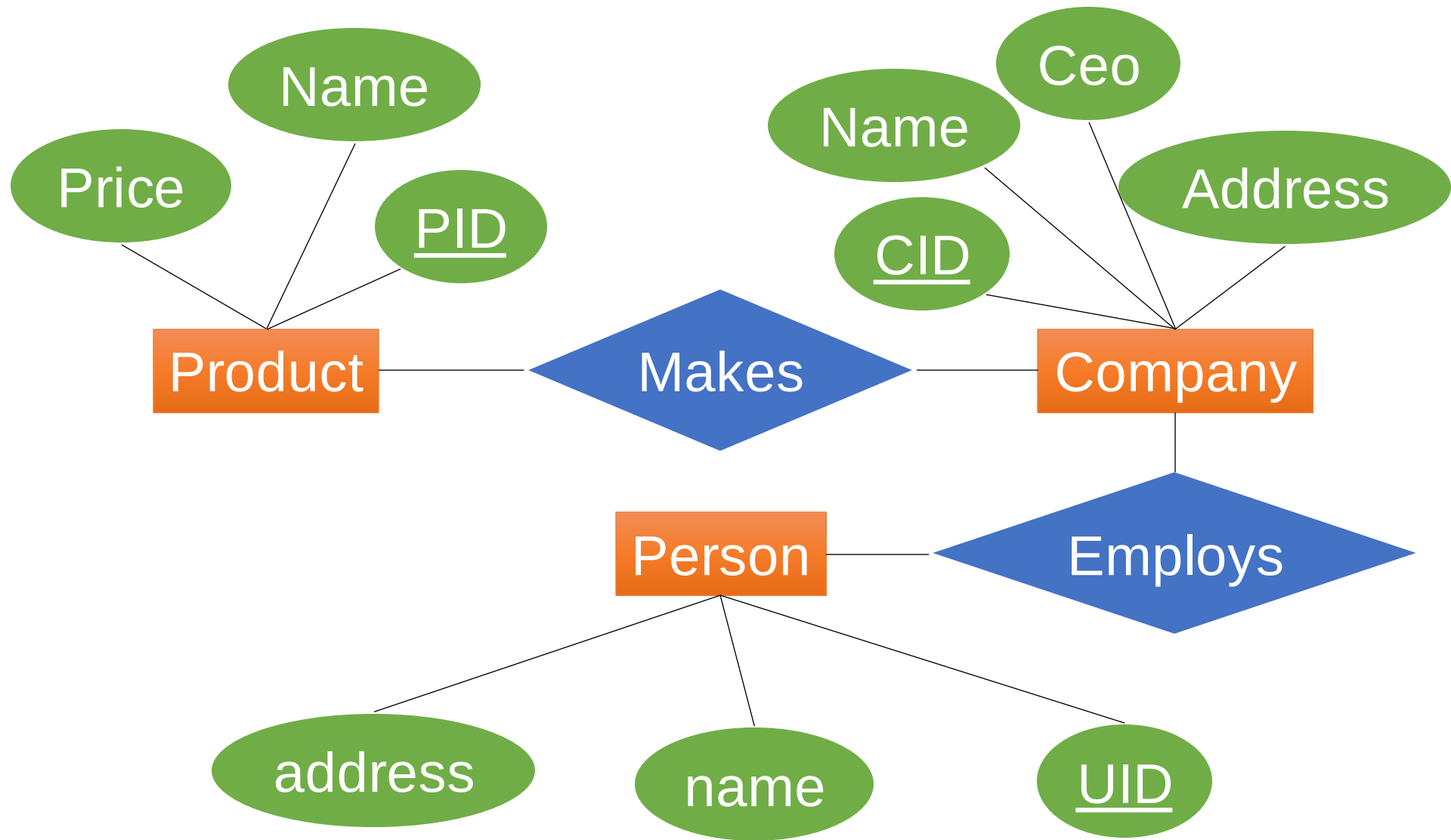
Example: adding Relationships



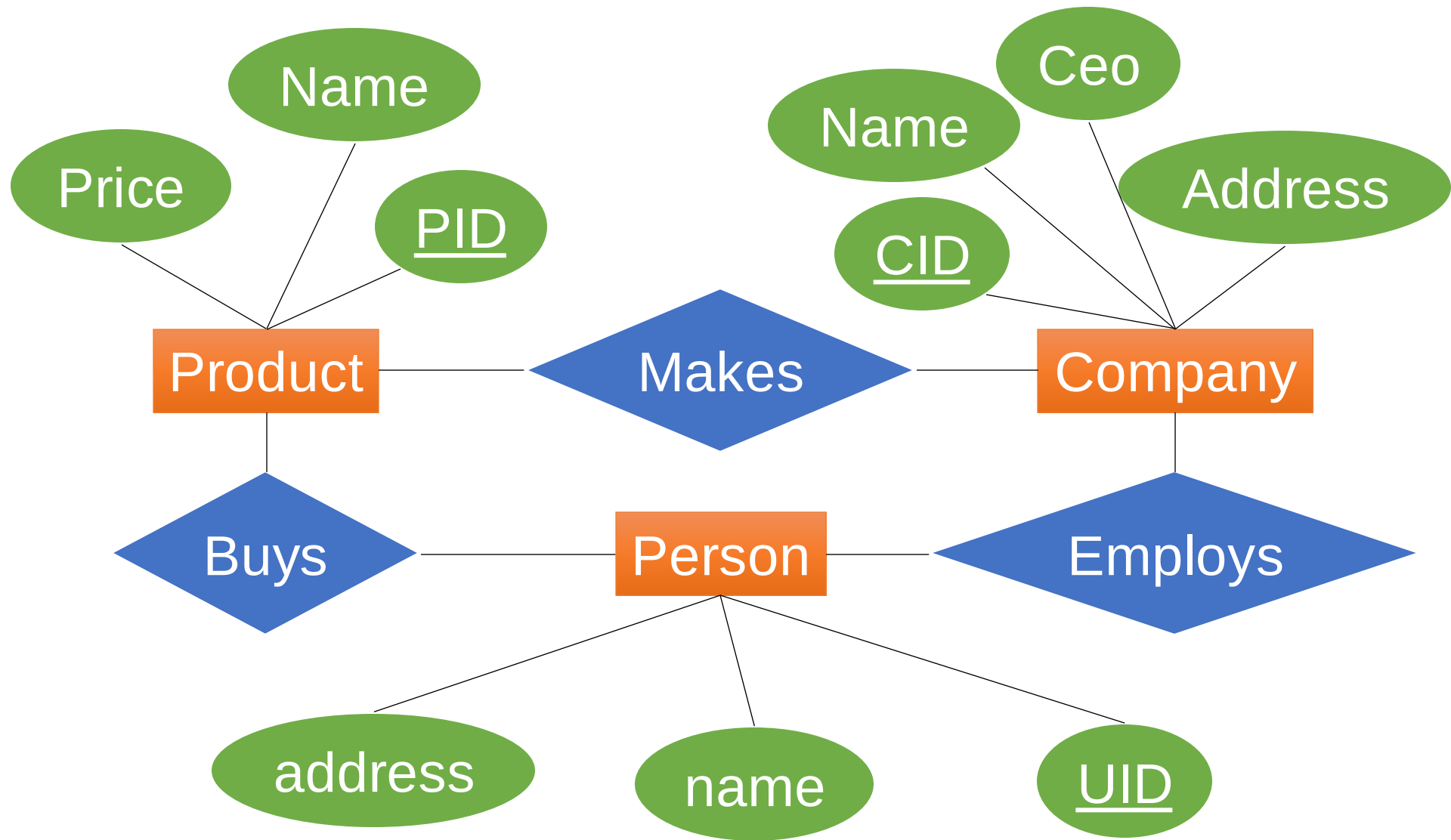
Example: adding Relationships



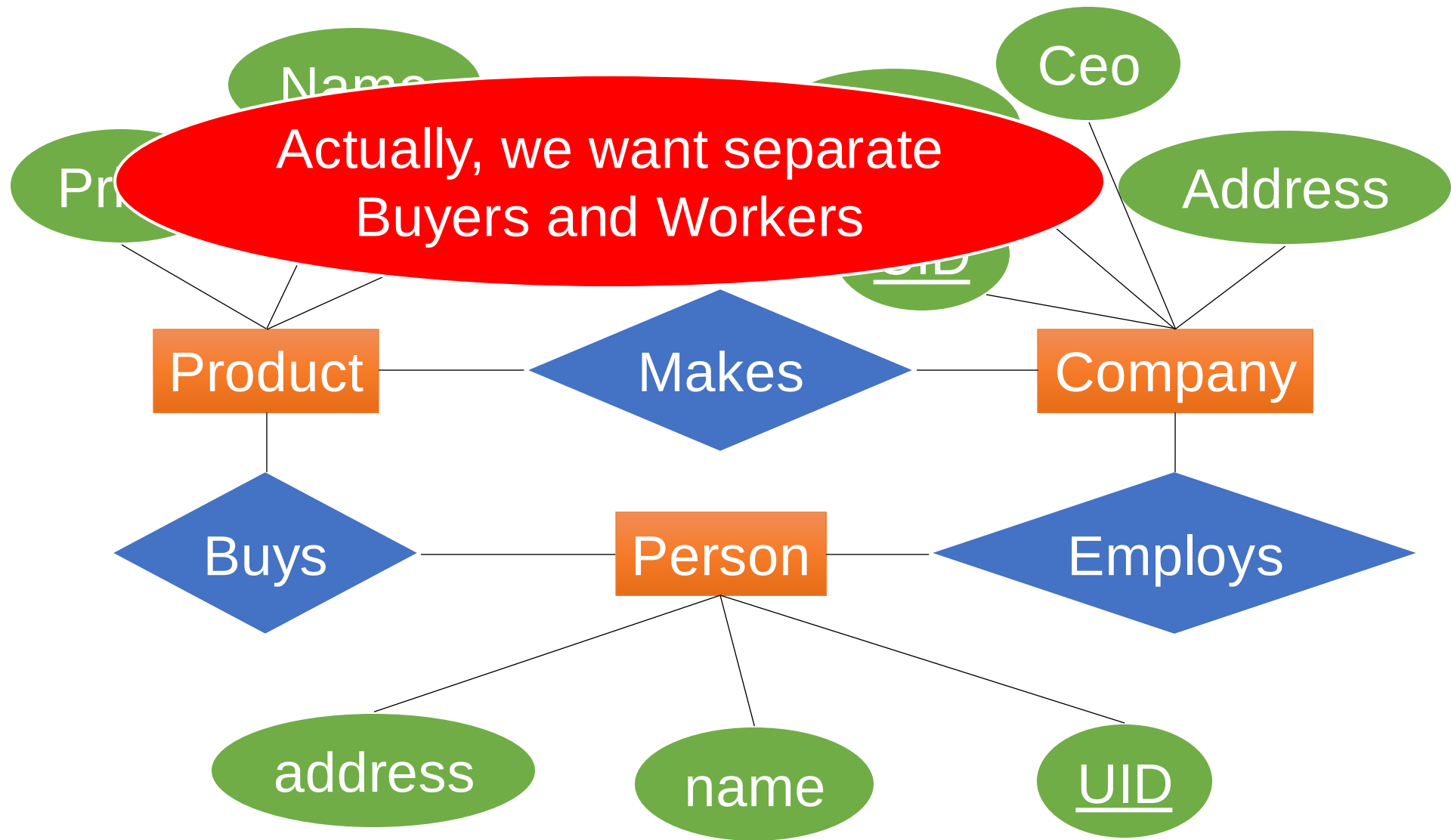
Example: adding Relationships



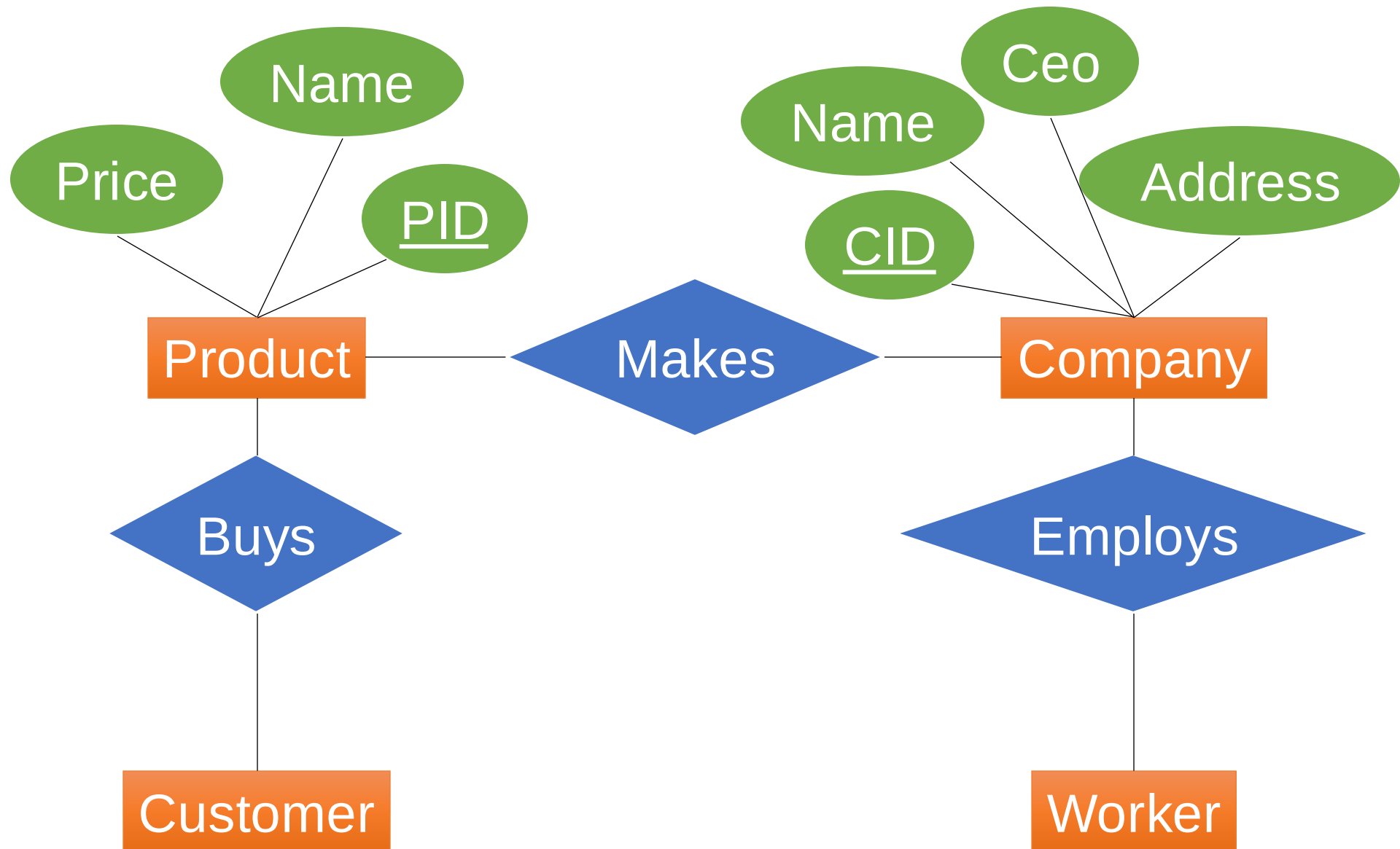
Example: adding Relationships



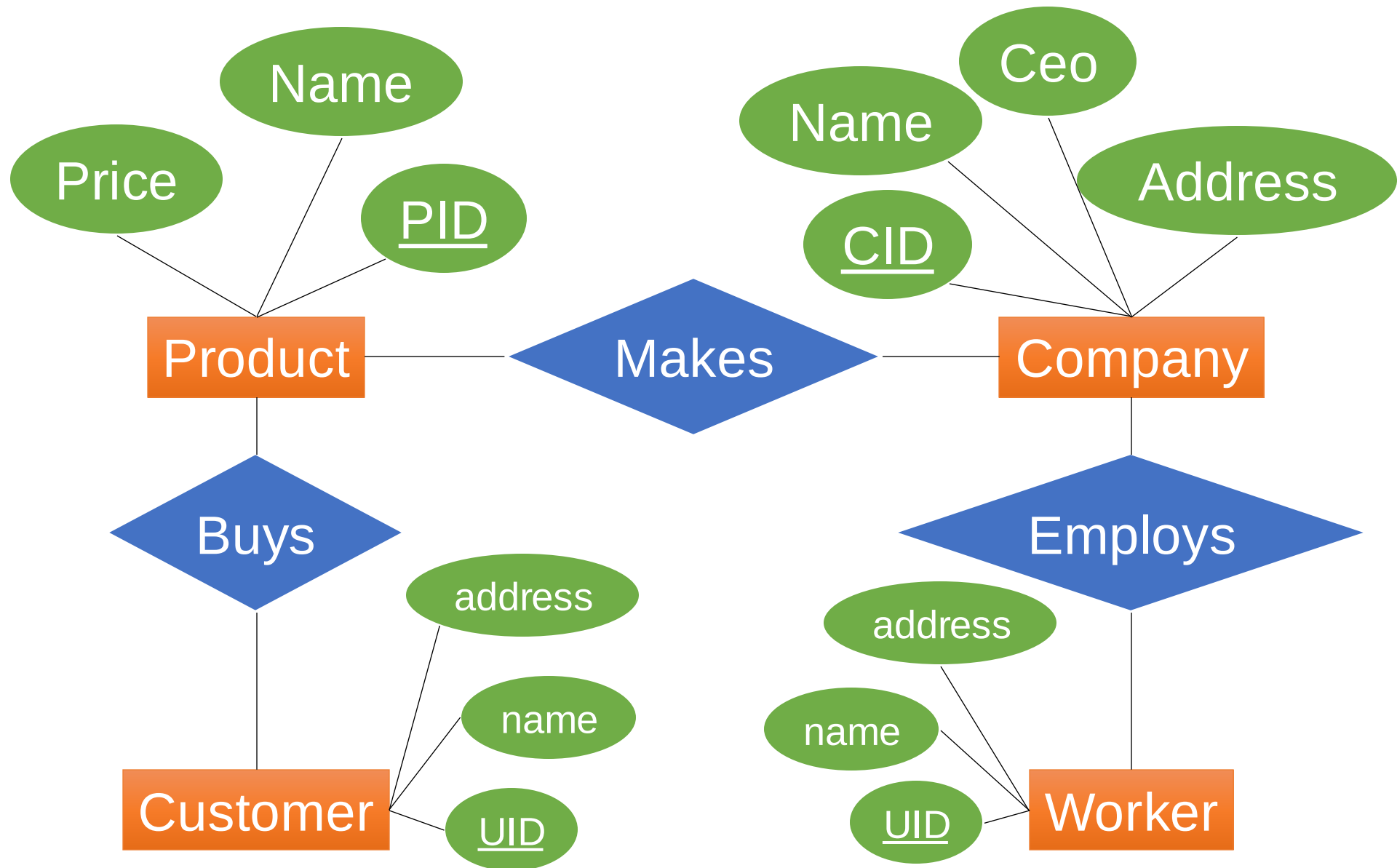
Example: Refining the Schema



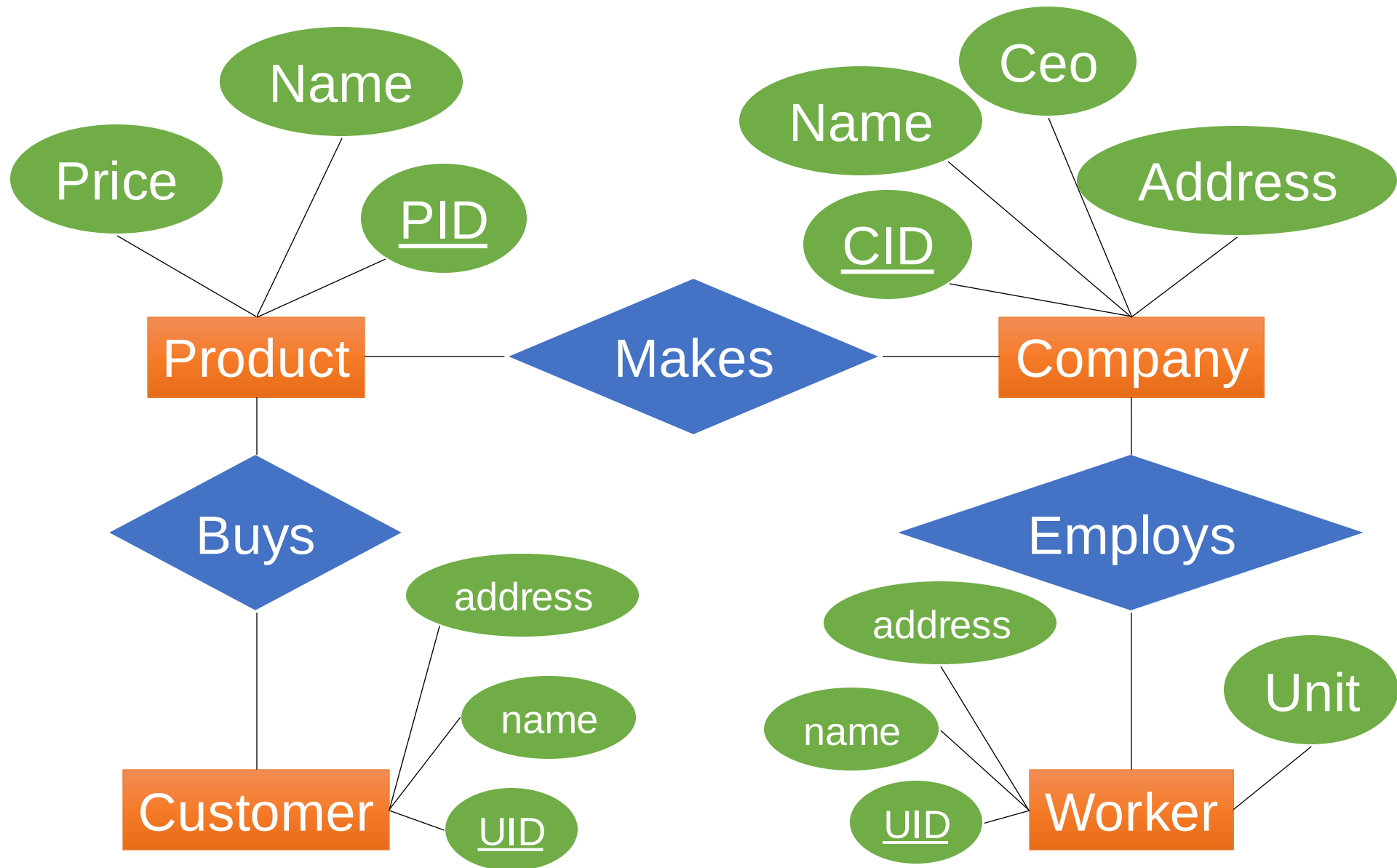
Example: Refining the Schema



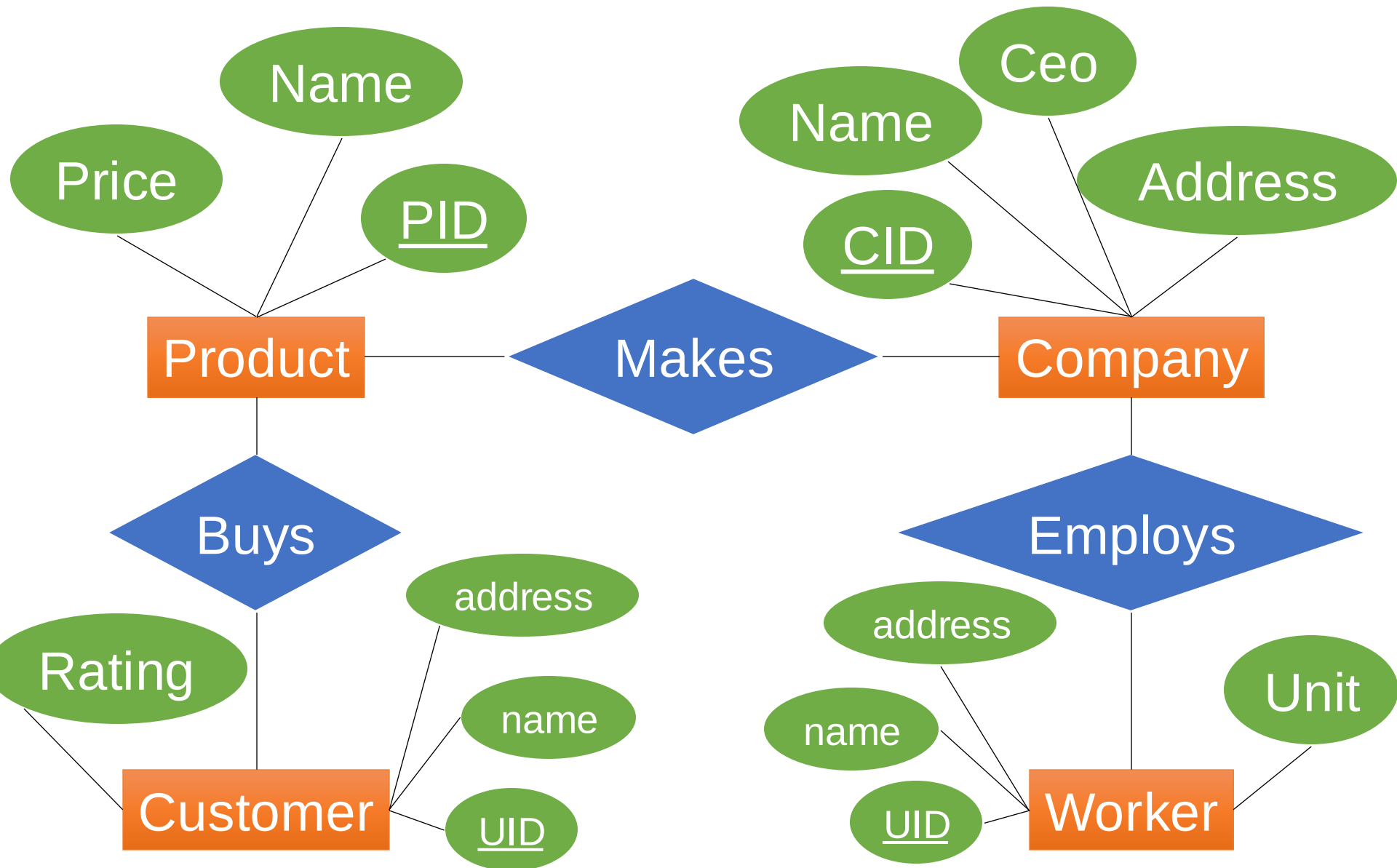
Example: Refining the Schema



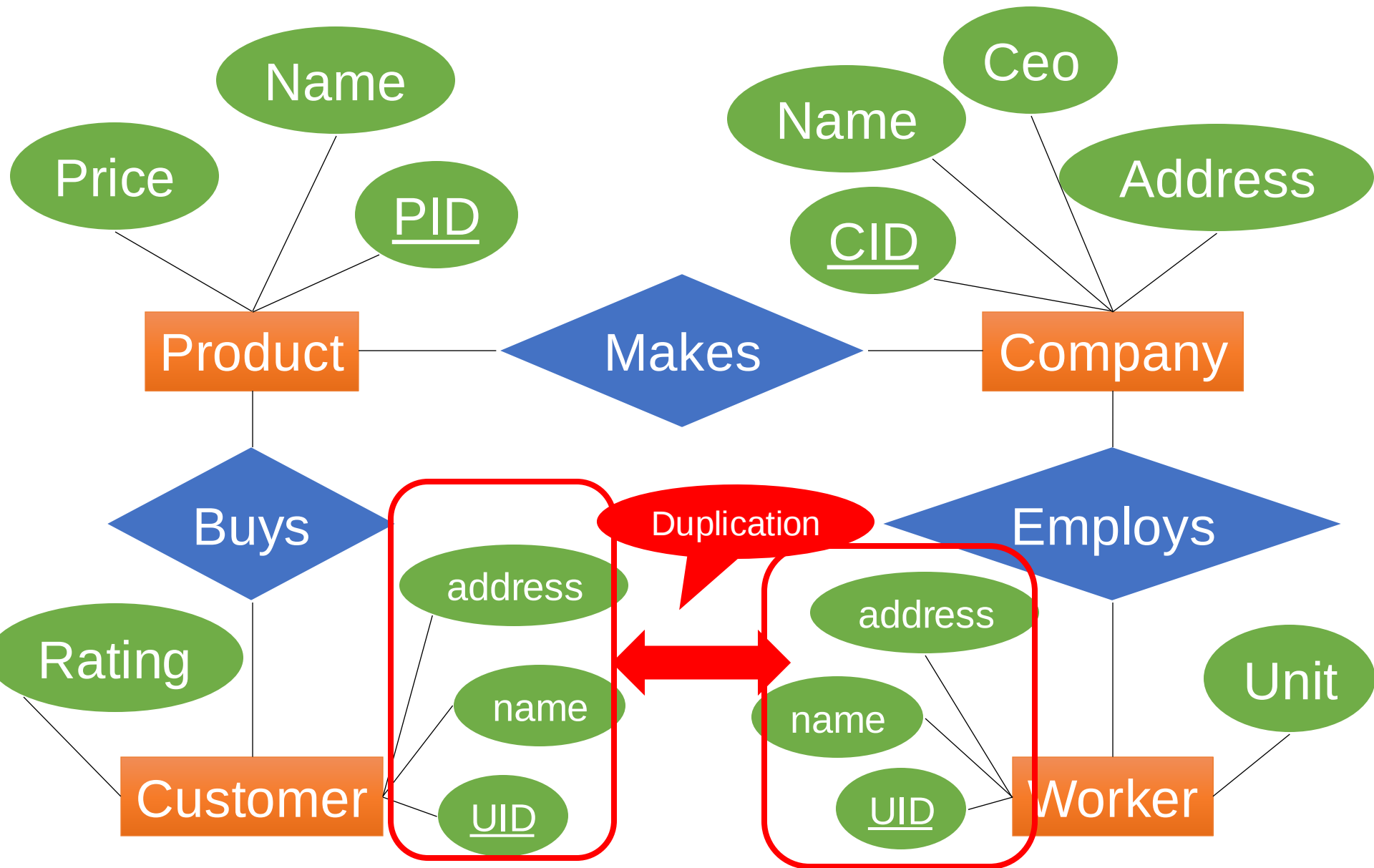
Example: Refining the Schema



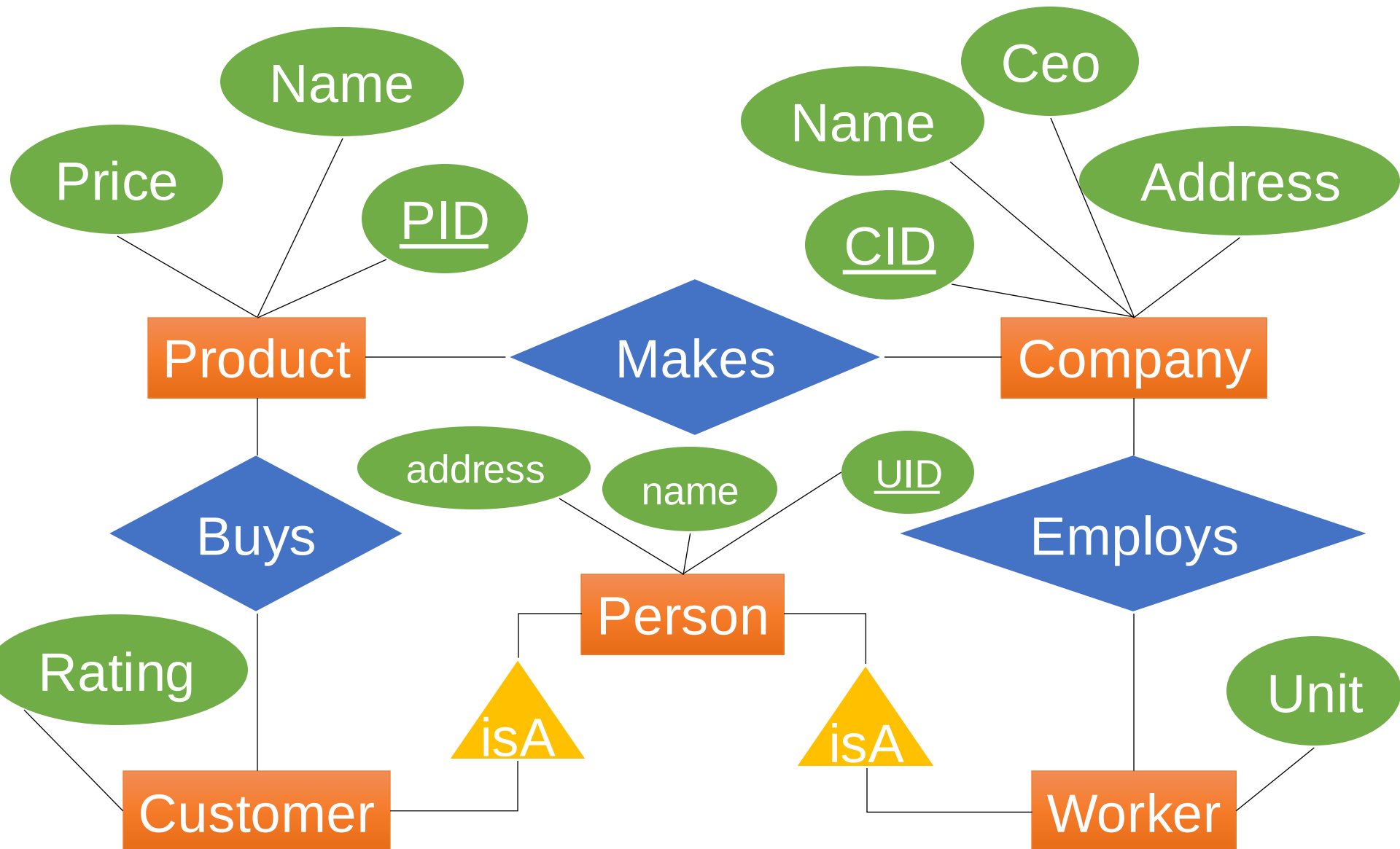
Example: Refining the Schema



Example: Refining the Schema



Example: Refining the Schema



Discussion

- ER diagram are easy to design, yet rigorous enough to convert to SQL
- Lots of ER diagram "dialects"
 - Textbook use rectangles/diamonds/ovals
 - Industry uses other standards
- In class we use the textbook version

Next lecture: E/R diagrams in detail