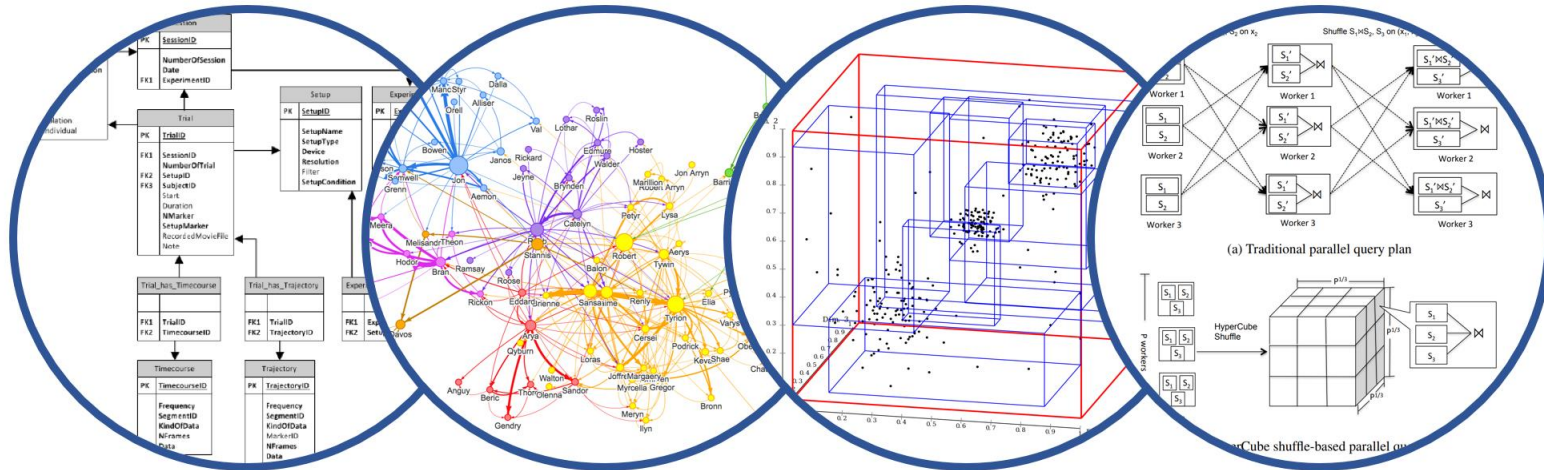




# Introduction to Data Management

## Relational Algebra

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# Announcements

- HW3 due on Wednesday, 10/23
- Midterm on Friday, 10/25 in class
  - Material up to date
  - Closed books, no cheat sheet (you won't need it)
  - Some practice midterms on the course website

# Relational Algebra

# Motivation

- SQL is a declarative language:  
we say **what**, we don't say **how**
- The query optimizer needs to convert the query into some intermediate language that can be both optimized, and executed
- That language is Relational Algebra

# The Five Basic Relational Operators

1. Selection  $\sigma_{\text{condition}}(S)$
2. Projection  $\Pi_{\text{attrs}}(S)$
3. Join  $R \bowtie_{\theta} S = \sigma_{\theta}(R \times S)$
4. Union  $\cup$
5. Set difference  $-$

Rename  $\rho$

Let's discuss them one by one

# 1. Selection

$\sigma_{\text{condition}}(T)$

Returns those tuples in T  
that satisfy the condition:

```
SELECT *  
FROM T  
WHERE condition;
```

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$\sigma_{\text{salary} \geq 55000}(\text{Payroll}) =$

Payroll

| UserID | Name    | Job  | Salary |
|--------|---------|------|--------|
| 123    | Jack    | TA   | 50000  |
| 345    | Allison | TA   | 60000  |
| 567    | Magda   | Prof | 90000  |
| 789    | Dan     | Prof | 100000 |

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Payroll

| UserID | Name    | Job  | Salary |
|--------|---------|------|--------|
| 123    | Jack    | TA   | 50000  |
| 345    | Allison | TA   | 60000  |
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# 1. Selection

$\sigma_{\text{condition}}(T)$

Returns those tuples in T  
that satisfy the condition:

```
SELECT *  
FROM T  
WHERE condition;
```

$\sigma_{\text{salary} \geq 55000 \text{ and Job} = \text{'TA'}}(\text{Payroll}) =$

Payroll

| UserID | Name    | Job  | Salary |
|--------|---------|------|--------|
| 123    | Jack    | TA   | 50000  |
| 345    | Allison | TA   | 60000  |
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| 345    | Allison | TA  | 60000  |

$\sigma_{\text{salary} \geq 55000 \text{ and Job} = \text{'TA'}}(\text{Payroll}) =$  

Payroll

| UserID | Name    | Job  | Salary |
|--------|---------|------|--------|
| 123    | Jack    | TA   | 50000  |
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## 2. Projection

$\Pi_{\text{attrs}}(T)$

Returns all tuples in T keeping only the attributes in the subscript:

```
SELECT attrs  
FROM T;
```

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$\Pi_{\text{attrs}}(T)$

Returns all tuples in T keeping only the attributes in the subscript:

$\Pi_{\text{Name,Salary}}(\text{Payroll}) =$

```
SELECT attrs  
FROM T;
```

Payroll

| UserID | Name    | Job  | Salary |
|--------|---------|------|--------|
| 123    | Jack    | TA   | 50000  |
| 345    | Allison | TA   | 60000  |
| 567    | Magda   | Prof | 90000  |
| 789    | Dan     | Prof | 100000 |

## 2. Projection

 $\Pi_{\text{attrs}}(T)$ 

| Name    | Salary |
|---------|--------|
| Jack    | 50000  |
| Allison | 60000  |
| Magda   | 90000  |
| Dan     | 100000 |

Returns all tuples in T keeping only the attributes in the subscript:

 $\Pi_{\text{Name,Salary}}(\text{Payroll}) =$ 

```
SELECT attrs
FROM T;
```

Payroll

| UserID | Name    | Job  | Salary |
|--------|---------|------|--------|
| 123    | Jack    | TA   | 50000  |
| 345    | Allison | TA   | 60000  |
| 567    | Magda   | Prof | 90000  |
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## 2. Projection

$\Pi_{\text{attrs}}(T)$

Returns all tuples in T keeping only the attributes in the subscript:

$\Pi_{\text{Job}}(\text{Payroll}) =$

```
SELECT attrs  
FROM T;
```

Payroll

| UserID | Name    | Job  | Salary |
|--------|---------|------|--------|
| 123    | Jack    | TA   | 50000  |
| 345    | Allison | TA   | 60000  |
| 567    | Magda   | Prof | 90000  |
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## 2. Projection

$\Pi_{\text{attrs}}(T)$

| Job  |
|------|
| TA   |
| TA   |
| Prof |
| Prof |

Returns all tuples in T keeping only the attributes in the subscript:

$\Pi_{\text{Job}}(\text{Payroll}) =$



```
SELECT attrs
FROM T;
```

Payroll

| UserID | Name    | Job  | Salary |
|--------|---------|------|--------|
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# 2. Projection

$\Pi_{\text{attrs}}(T)$

Returns all tuples in T keeping only the attributes in the subscript:

```
SELECT attrs
FROM T;
```

| Job  |
|------|
| TA   |
| TA   |
| Prof |
| Prof |

RA can be defined using bag semantics or set semantics.

We always need to say which one we mean.

| Job  |
|------|
| TA   |
| Prof |

$\Pi_{\text{Job}}(\text{Payroll}) =$



Payroll

| UserID | Name    | Job  | Salary |
|--------|---------|------|--------|
| 123    | Jack    | TA   | 50000  |
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# 3. Join

$$S \bowtie_{\theta} T$$

Join S and T using condition  $\theta$

```
SELECT *  
FROM S, T  
WHERE  $\theta$ ;
```

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$$S \bowtie_{\theta} T$$

Join S and T using condition  $\theta$

```
SELECT *  
FROM S, T  
WHERE  $\theta$ ;
```

$\text{Payroll} \bowtie_{\text{UserID}=\text{UserID}} \text{Regist} =$

Payroll

| UserID | Name    | Job  | Salary |
|--------|---------|------|--------|
| 123    | Jack    | TA   | 50000  |
| 345    | Allison | TA   | 60000  |
| 567    | Magda   | Prof | 90000  |
| 789    | Dan     | Prof | 100000 |

Regist

| UserID | Car     |
|--------|---------|
| 123    | Charger |
| 567    | Civic   |
| 567    | Pinto   |

# 3. Join

$$S \bowtie_{\theta} T$$

| UserID | Name  | Job  | Salary | UserID | Car     |
|--------|-------|------|--------|--------|---------|
| 123    | Jack  | TA   | 50000  | 123    | Charger |
| 567    | Magda | Prof | 90000  | 567    | Civic   |
| 567    | Magda | Prof | 90000  | 567    | Pinto   |

Join S and T using condition  $\theta$

```
SELECT *  
FROM S, T  
WHERE  $\theta$ ;
```

Payroll  $\bowtie_{\text{UserID}=\text{UserID}}$  Regist =



Payroll

| UserID | Name    | Job  | Salary |
|--------|---------|------|--------|
| 123    | Jack    | TA   | 50000  |
| 345    | Allison | TA   | 60000  |
| 567    | Magda   | Prof | 90000  |
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Regist

| UserID | Car     |
|--------|---------|
| 123    | Charger |
| 567    | Civic   |
| 567    | Pinto   |

# Many Variants of Join

- **Eq-join**:  $\text{Payroll} \bowtie_{\text{UserID}=\text{UserID}} \text{Regist}$
- **Theta-join**:  $\text{Payroll} \bowtie_{\text{UserID} < \text{UserID}} \text{Regist}$
- **Cartesian product**:  $\text{Payroll} \times \text{Regist}$
- **Natural Join**:  $\text{Payroll} \bowtie \text{Regist}$

# Many Variants of Join

- **Eq-join**:  $\text{Payroll} \bowtie_{\text{UserID}=\text{UserID}} \text{Regist}$

Only =

- **Theta-join**:  $\text{Payroll} \bowtie_{\text{UserID} < \text{UserID}} \text{Regist}$

Any condition

- **Cartesian product**:  $\text{Payroll} \times \text{Regist}$

- **Natural Join**:  $\text{Payroll} \bowtie \text{Regist}$

# Many Variants of Join

■ **Eq-join**:  $\text{Payroll} \bowtie_{\text{UserID}=\text{UserID}} \text{Regist}$

Only =

■ **Theta-join**:  $\text{Payroll} \bowtie_{\text{UserID} < \text{UserID}} \text{Regist}$

Any condition

■ **Cartesian product**:  $\text{Payroll} \times \text{Regist}$

■ **Natural Join**:  $\text{Payroll} \bowtie \text{Regist}$

Next

# Cartesian Product / Cross Product

$S \times T$

Cross product of S and T

```
SELECT *  
FROM S, T
```

# Cartesian Product / Cross Product

$S \times T$

Cross product of S and T

```
SELECT *  
FROM S, T
```

Payroll  $\times$  Regist =

Payroll

| UserID | Name    | Job  | Salary |
|--------|---------|------|--------|
| 123    | Jack    | TA   | 50000  |
| 345    | Allison | TA   | 60000  |
| 567    | Magda   | Prof | 90000  |
| 789    | Dan     | Prof | 100000 |

Regist

| UserID | Car     |
|--------|---------|
| 123    | Charger |
| 567    | Civic   |
| 567    | Pinto   |

# Cartesian Product / Cross Product

$S \times T$

12 tuples

| UserID | Name | Job  | Salary | UserID | Car     |
|--------|------|------|--------|--------|---------|
| 123    | Jack | TA   | 50000  | 123    | Charger |
| 123    | Jack | TA   | 50000  | 567    | Civic   |
|        |      |      | ...    |        |         |
| 789    | Dan  | Prof | 100000 | 567    | Pinto   |

Cross product of S and T

```
SELECT *  
FROM S, T
```

Payroll  $\times$  Regist =



Payroll

| UserID | Name    | Job  | Salary |
|--------|---------|------|--------|
| 123    | Jack    | TA   | 50000  |
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Regist

| UserID | Car     |
|--------|---------|
| 123    | Charger |
| 567    | Civic   |
| 567    | Pinto   |

# Cartesian Product / Cross Product

$$S \times T$$

Cross product of S and T

```
SELECT *  
FROM S, T
```

Join = cartesian product + selection

$$R \bowtie_{\theta} S = \sigma_{\theta}(R \times S)$$

# Natural Join

$$S \bowtie T$$

Join S, T on  
common attributes,  
retain only one copy  
of those attributes

# Natural Join

$$S \bowtie T$$

Join S, T on  
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$$\text{Payroll} \bowtie \text{Regist} =$$

| Payroll |         |      |        | Regist |         |
|---------|---------|------|--------|--------|---------|
| UserID  | Name    | Job  | Salary | UserID | Car     |
| 123     | Jack    | TA   | 50000  | 123    | Charger |
| 345     | Allison | TA   | 60000  | 567    | Civic   |
| 567     | Magda   | Prof | 90000  | 567    | Pinto   |
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# Natural Join

$S \bowtie T$

Join S, T on  
common attributes,  
retain only one copy  
of those attributes

| UserID | Name  | Job  | Salary | Car     |
|--------|-------|------|--------|---------|
| 123    | Jack  | TA   | 50000  | Charger |
| 567    | Magda | Prof | 90000  | Civic   |
| 567    | Magda | Prof | 90000  | Pinto   |

Only one  
UserID attr

Payroll  $\bowtie$  Regist =

Payroll

| UserID | Name    | Job  | Salary |
|--------|---------|------|--------|
| 123    | Jack    | TA   | 50000  |
| 345    | Allison | TA   | 60000  |
| 567    | Magda   | Prof | 90000  |
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Regist

| UserID | Car     |
|--------|---------|
| 123    | Charger |
| 567    | Civic   |
| 567    | Pinto   |

# Natural Join

What do these natural joins output?

- $R(A, B) \bowtie S(B, C)$

- $R(A, B) \bowtie S(C, D)$

- $R(A, B) \bowtie S(A, B)$

# Natural Join

What do these natural joins output?

- $R(A, B) \bowtie S(B, C)$

| R | A | B  | S | B  | C |
|---|---|----|---|----|---|
|   | 1 | 10 |   | 10 | 8 |
|   | 2 | 10 |   | 10 | 9 |
|   | 2 | 20 |   | 20 | 8 |
|   |   |    |   | 50 | 7 |

- $R(A, B) \bowtie S(C, D)$

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# Natural Join

What do these natural joins output?

- $R(A, B) \bowtie S(B, C)$   
eqjoin on attribute B (5 tuples)

| R | A | B  | S | B  | C |
|---|---|----|---|----|---|
|   | 1 | 10 |   | 10 | 8 |
|   | 2 | 10 |   | 10 | 9 |
|   | 2 | 20 |   | 20 | 8 |
|   |   |    |   | 50 | 7 |

- $R(A, B) \bowtie S(C, D)$

- $R(A, B) \bowtie S(A, B)$

# Natural Join

What do these natural joins output?

- $R(A, B) \bowtie S(B, C)$   
eqjoin on attribute B (5 tuples)

| R | A | B  | S | B  | C |
|---|---|----|---|----|---|
|   | 1 | 10 |   | 10 | 8 |
|   | 2 | 10 |   | 10 | 9 |
|   | 2 | 20 |   | 20 | 8 |
|   |   |    |   | 50 | 7 |

- $R(A, B) \bowtie S(C, D)$

| R | A | B  | S | C | D |
|---|---|----|---|---|---|
|   | 1 | 10 |   | 8 | u |
|   | 2 | 10 |   | 9 | v |
|   | 2 | 20 |   | 8 | v |
|   |   |    |   | 7 | w |

- $R(A, B) \bowtie S(A, B)$

# Natural Join

What do these natural joins output?

- $R(A, B) \bowtie S(B, C)$   
eqjoin on attribute B (5 tuples)

| R | A | B  | S | B  | C |
|---|---|----|---|----|---|
|   | 1 | 10 |   | 10 | 8 |
|   | 2 | 10 |   | 10 | 9 |
|   | 2 | 20 |   | 20 | 8 |
|   |   |    |   | 50 | 7 |

- $R(A, B) \bowtie S(C, D)$   
cross product (12 tuples)

| R | A | B  | S | C | D |
|---|---|----|---|---|---|
|   | 1 | 10 |   | 8 | u |
|   | 2 | 10 |   | 9 | v |
|   | 2 | 20 |   | 8 | v |
|   |   |    |   | 7 | w |

- $R(A, B) \bowtie S(A, B)$

# Natural Join

What do these natural joins output?

- $R(A, B) \bowtie S(B, C)$   
eqjoin on attribute B (5 tuples)

| R | A | B  | S | B  | C |
|---|---|----|---|----|---|
|   | 1 | 10 |   | 10 | 8 |
|   | 2 | 10 |   | 10 | 9 |
|   | 2 | 20 |   | 20 | 8 |
|   |   |    |   | 50 | 7 |

- $R(A, B) \bowtie S(C, D)$   
cross product (12 tuples)

| R | A | B  | S | C | D |
|---|---|----|---|---|---|
|   | 1 | 10 |   | 8 | u |
|   | 2 | 10 |   | 9 | v |
|   | 2 | 20 |   | 8 | v |
|   |   |    |   | 7 | w |

- $R(A, B) \bowtie S(A, B)$

| R | A | B  | S | A | B  |
|---|---|----|---|---|----|
|   | 1 | 10 |   | 1 | 10 |
|   | 2 | 10 |   | 2 | 20 |
|   | 2 | 20 |   |   |    |

# Natural Join

What do these natural joins output?

- $R(A, B) \bowtie S(B, C)$   
eqjoin on attribute B (5 tuples)

| R | A | B  | S | B  | C |
|---|---|----|---|----|---|
|   | 1 | 10 |   | 10 | 8 |
|   | 2 | 10 |   | 10 | 9 |
|   | 2 | 20 |   | 20 | 8 |
|   |   |    |   | 50 | 7 |

- $R(A, B) \bowtie S(C, D)$   
cross product (12 tuples)

| R | A | B  | S | C | D |
|---|---|----|---|---|---|
|   | 1 | 10 |   | 8 | u |
|   | 2 | 10 |   | 9 | v |
|   | 2 | 20 |   | 8 | v |
|   |   |    |   | 7 | w |

- $R(A, B) \bowtie S(A, B)$   
intersection (2 tuples)

| R | A | B  | S | A | B  |
|---|---|----|---|---|----|
|   | 1 | 10 |   | 1 | 10 |
|   | 2 | 10 |   | 2 | 20 |
|   | 2 | 20 |   |   |    |

# Even More Joins

- Inner join  $\bowtie$ 
  - Eq-join, theta-join, cross product, natural join
- Outer join
  - Left outer join  $\bowtie\! \! \! \leftarrow$
  - Right outer join  $\bowtie\! \! \! \rightarrow$
  - Full outer join  $\bowtie\! \! \! \times$
- Semi join  $\ltimes$

# 4. Union

$S \cup T$

The union of S and T

`S UNION T;`

SQL

# 4. Union

$S \cup T$

The union of S and T

Regist  $\cup$  Bicycle =

$S \text{ UNION } T;$

Regist

| UserID | Model   |
|--------|---------|
| 123    | Charger |
| 567    | Civic   |
| 567    | Pinto   |

Bicycle

| UserID | Model   |
|--------|---------|
| 345    | Schwinn |
| 567    | Sirrus  |

# 4. Union

$$S \cup T$$

The union of S and T

`S UNION T;`

Regist  $\cup$  Bicycle =

Regist

| UserID | Model   |
|--------|---------|
| 123    | Charger |
| 567    | Civic   |
| 567    | Pinto   |

Bicycle

| UserID | Model   |
|--------|---------|
| 345    | Schwinn |
| 567    | Sirrus  |

Must have  
same schema

# 4. Union

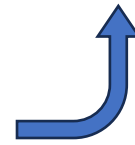
$S \cup T$

The union of S and T

`S UNION T;`

| UserID | Model   |
|--------|---------|
| 123    | Charger |
| 567    | Civic   |
| 567    | Pinto   |
| 345    | Schwinn |
| 567    | Sirrus  |

Regist  $\cup$  Bicycle =



Must have  
same schema

Regist

| UserID | Model   |
|--------|---------|
| 123    | Charger |
| 567    | Civic   |
| 567    | Pinto   |

Bicycle

| UserID | Model   |
|--------|---------|
| 345    | Schwinn |
| 567    | Sirrus  |

# 5. Difference

$$S - T$$

The set difference of S and T

```
S EXCEPT T;
```



SQL

# 5. Difference

$$S - T$$

The set difference of S and T

`S EXCEPT T;`

Regist – Bicycle =

Regist

| UserID | Model   |
|--------|---------|
| 123    | Charger |
| 567    | Civic   |
| 567    | Pinto   |

Bicycle

| UserID | Model   |
|--------|---------|
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Must have  
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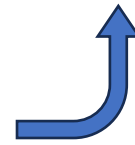
# 5. Difference

$$S - T$$

| UserID | Model   |
|--------|---------|
| 123    | Charger |
| 567    | Pinto   |

The set difference of S and T

$$\text{Regist} - \text{Bicycle} =$$



S **EXCEPT** T;

Must have  
same schema

Regist

| UserID | Model   |
|--------|---------|
| 123    | Charger |
| 567    | Civic   |
| 567    | Pinto   |

Bicycle

| UserID | Model   |
|--------|---------|
| 345    | Schwinn |
| 567    | Civic   |

# Renaming

 $\rho_{attrs'}(T)$ 

Rename attributes

```
SELECT  a1  as a1',  
          a2  as a2',  
          ...  
FROM T;
```

# Renaming

$$\rho_{attrs'}(T)$$

Rename attributes

```
SELECT  a1 as a1',  
          a2 as a2',  
          ...  
FROM T;
```

$$\rho_{\text{UserID,Model}}(\text{Regist}) =$$

Regist

| UserID | Car     |
|--------|---------|
| 123    | Charger |
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# Renaming

 $\rho_{attrs'}(T)$ 

Rename attributes

```
SELECT  a1 as a1',  
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$\rho_{\text{UserID,Model}}(\text{Regist}) =$  

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| 123    | Charger |
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# Renaming

 $\rho_{attrs'}(T)$ 

Rename attributes

```
SELECT  a1  as  a1',  
          a2  as  a2',  
          ...  
FROM T;
```

| UserID | Model   |
|--------|---------|
| 123    | Charger |
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$\rho_{\text{UserID,Model}}(\text{Regist}) =$  

Regist

| UserID | Car     |
|--------|---------|
| 123    | Charger |
| 567    | Civic   |
| 567    | Pinto   |

Corrected union:

$\rho_{\text{UserID,Model}}(\text{Regist}) \cup \text{Bicycle}$

# The Five Basic Relational Operators

1. Selection  $\sigma_{\text{condition}}(S)$
  2. Projection  $\Pi_{\text{attrs}}(S)$
  3. Join  $R \bowtie_{\theta} S = \sigma_{\theta}(R \times S)$
  4. Union  $\cup$
  5. Set difference  $-$
- Rename  $\rho$

Which operators are monotone?

# The Five Basic Relational Operators

1. Selection  $\sigma_{\text{condition}}(S)$
2. Projection  $\Pi_{\text{attrs}}(S)$
3. Join  $R \bowtie_{\theta} S = \sigma_{\theta}(R \times S)$
4. Union  $\cup$
5. Set difference  $-$

Monotone

Non-monotone

Rename  $\rho$  Monotone, but doesn't do anything

Which operators are monotone?

# Query Plans

# Relational Algebra Plan, or Query Plan

```
SELECT P.Name  
FROM Payroll P, Regist R  
WHERE P.UserID = R.UserID  
and P.Job = 'TA';
```

Payroll

| UserID | Name    | Job  | Salary |
|--------|---------|------|--------|
| 123    | Jack    | TA   | 50000  |
| 345    | Allison | TA   | 60000  |
| 567    | Magda   | Prof | 90000  |
| 789    | Dan     | Prof | 100000 |

Regist

| UserID | Car     |
|--------|---------|
| 123    | Charger |
| 567    | Civic   |
| 567    | Pinto   |

# Relational Algebra Plan, or Query Plan

```
SELECT P.Name  
FROM Payroll P, Regist R  
WHERE P.UserID = R.UserID  
and P.Job = 'TA';
```



$\Pi_{\text{Name}}(\sigma_{\text{Job}='TA'}(\text{Payroll} \bowtie \text{Regist}))$

Payroll

| UserID | Name    | Job  | Salary |
|--------|---------|------|--------|
| 123    | Jack    | TA   | 50000  |
| 345    | Allison | TA   | 60000  |
| 567    | Magda   | Prof | 90000  |
| 789    | Dan     | Prof | 100000 |

Regist

| UserID | Car     |
|--------|---------|
| 123    | Charger |
| 567    | Civic   |
| 567    | Pinto   |

# Relational Algebra Plan, or Query Plan

```
SELECT P.Name  
FROM Payroll P, Regist R  
WHERE P.UserID = R.UserID  
and P.Job = 'TA';
```

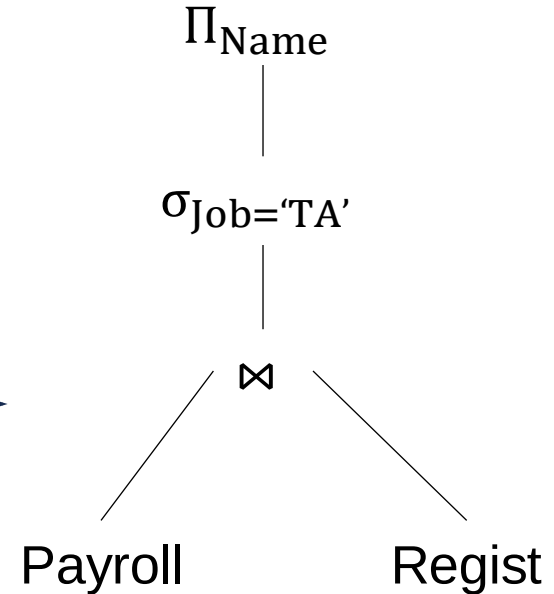


We write it as



a query plan

$\Pi_{\text{Name}}(\sigma_{\text{Job}='TA'}(\text{Payroll} \bowtie \text{Regist}))$



Payroll

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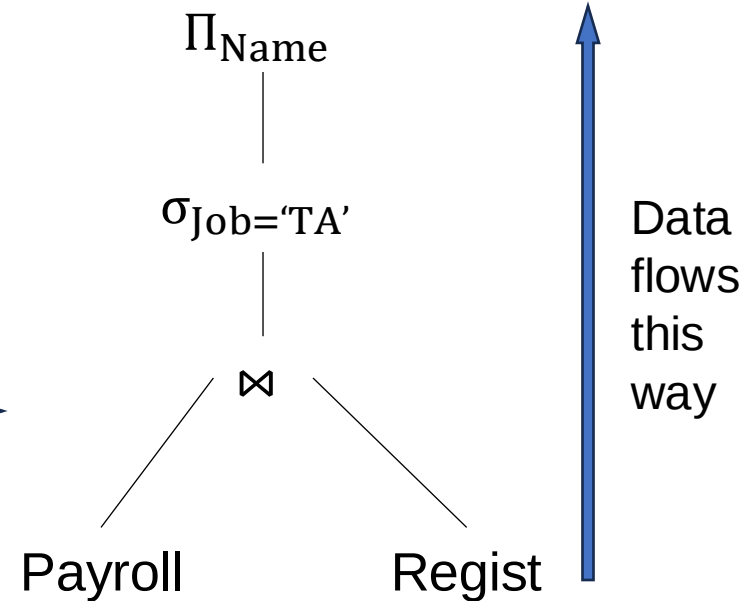
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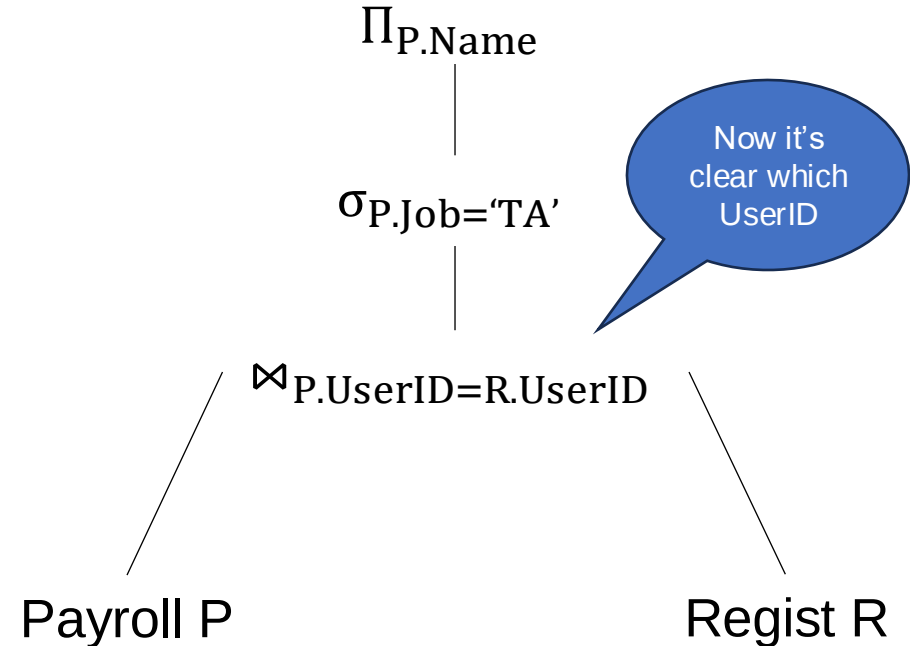
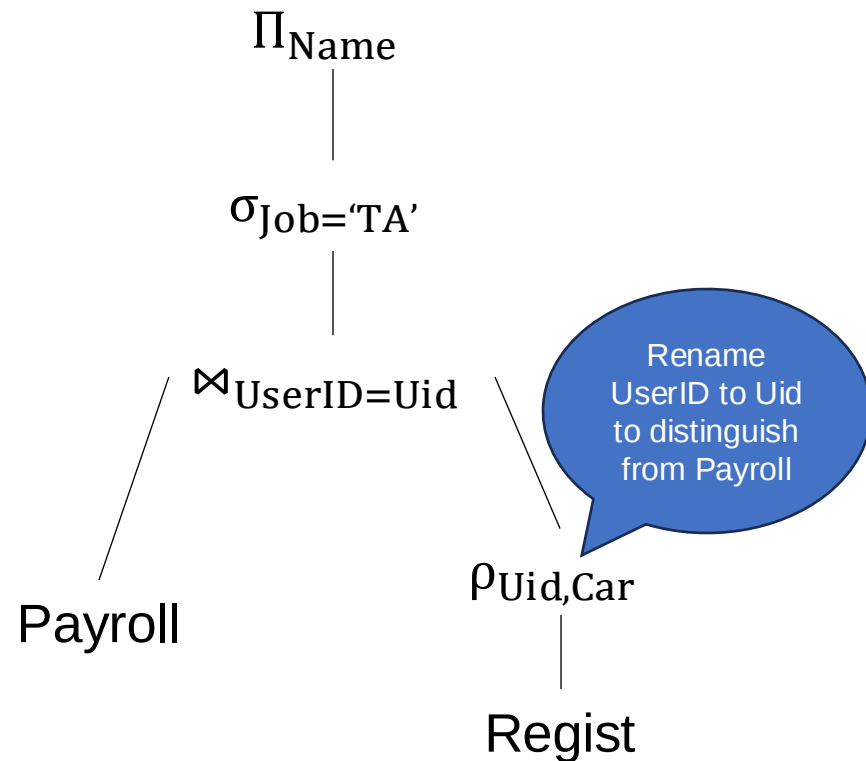
Regist

| UserID | Car     |
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# Query Plan: Attribute Names

Managing attribute names correctly is tedious

Better: use aliases, much like in SQL



# Query Plan: Execution Order

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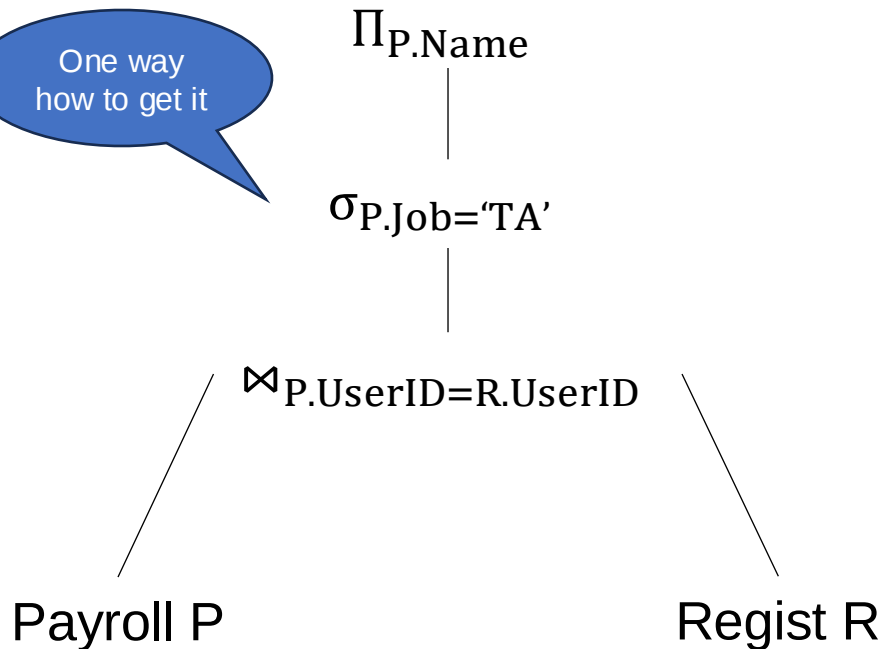
We say **what** we want,  
not **how** to get it

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One way  
how to get it

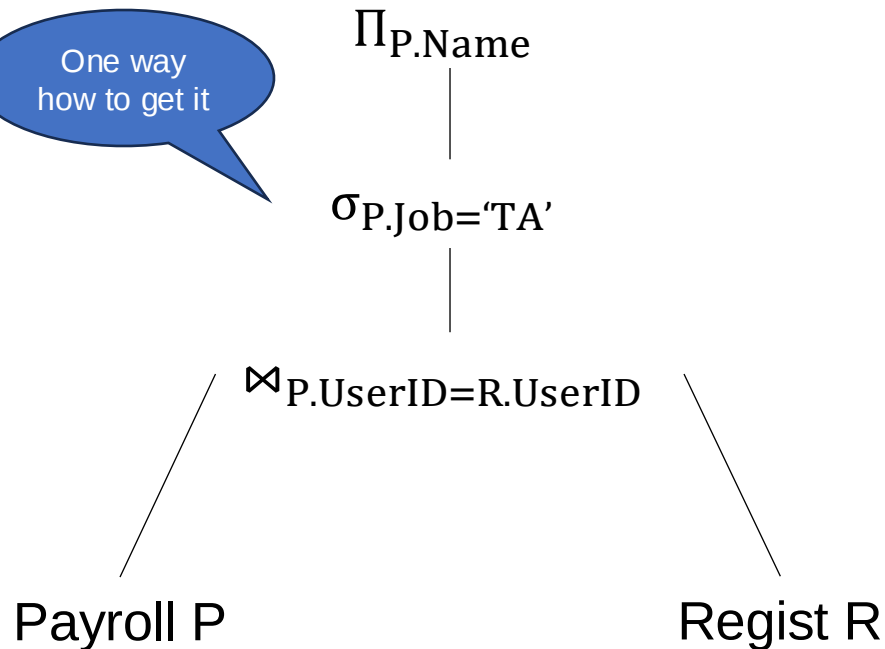


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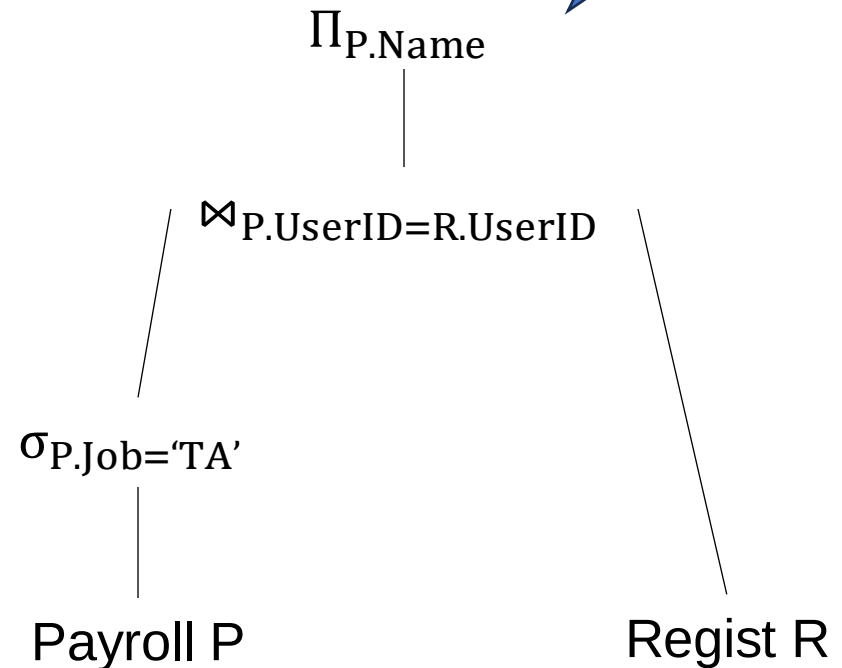
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# Query Plan: Execution Order

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We say **what** we want,  
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One way  
how to get it

$\Pi_{P.Name}$

$\sigma_{P.Job='TA'}$

$\bowtie_{P.UserID=R.UserID}$

Payroll P

Regist R

Which one  
is more  
efficient?

Another way  
how to get it

$\Pi_{P.Name}$

$\bowtie_{P.UserID=R.UserID}$

$\sigma_{P.Job='TA'}$

Payroll P

Regist R

# Query Plan: Execution Order

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Another way  
how to get it

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Most likely  
this one

Payroll P

Regist R

# Discussion

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- Then it optimizes the plan by exploring equivalent plans, using simple algebraic identities:

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... many others\*

\*over 500 rules in SQL Server

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- Next lecture: how to convert SQL to RA plan

\*over 500 rules in SQL Server