## **CSE 344**

APRIL 2<sup>ND</sup> - GROUPING/AGGREGATION

## **ADMINISTRIVIA**

- HW1 Due Wednesday (11:30)
  - Don't forget to git add and tag your assignment
  - Check on gitlab after submitting
- OQ1 Due Friday (11:00)
  - A few of you still need to enroll

## **QUERY COMPLEXITY**

- As the information we want gets more complex, we need to utilize more elements of the RDBMS
  - Multi-table queries -> join
  - Data statistics -> grouping

## **QUERY COMPLEXITY**

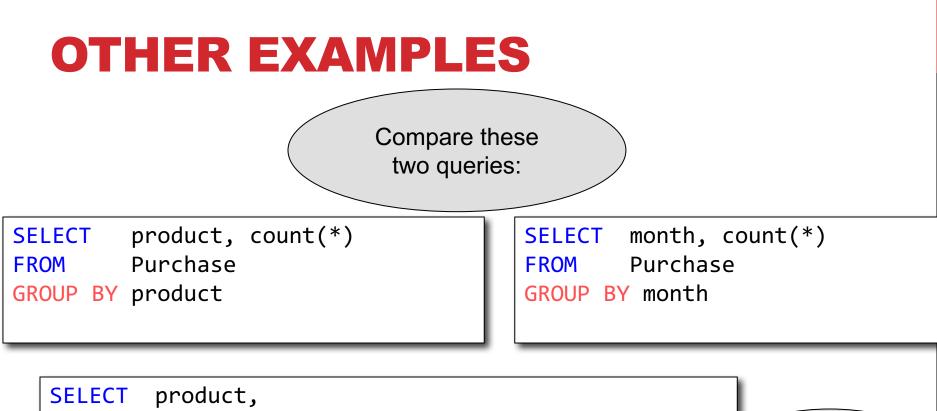
- As the information we want gets more complex, we need to utilize more elements of the RDBMS
  - Multi-table queries -> join
  - Data statistics -> grouping
- Whatever you can do in SQL, you should
  - Optimization
  - Basic analysis tools
    - Sum, min, average, max, count

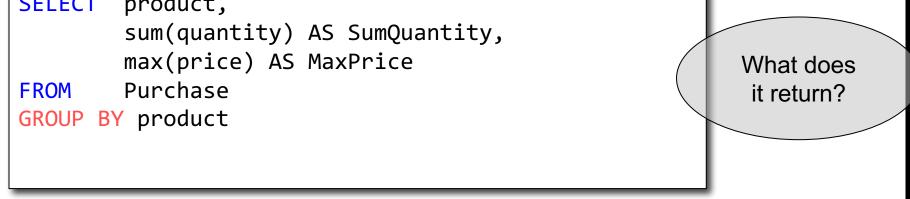
Purchase(product, price, quantity)

Find total quantities for all sales over \$1, by product.

Product	Price	Quantity			
Bagel	3	20	,	Product	TotalSales
Bagel	1.50	20		Bagel	40
Banana	0.5	50		Banana	20
Banana	2	10			
Banana	4	10			

SELECT	product, Sum(quantity) AS TotalSales
FROM	Purchase
WHERE	price > 1
GROUP BY	product





SELECT product, max(quantity)
FROM Purchase
GROUP BY product

Product	Price	Quantity	
Bagel	3	20	
Bagel	1.50	20	
Banana	0.5	50	
Banana	2	10	
Banana	4	10	

<pre>SELECT product, max(quantity)</pre>	Product	Price	Quantity
FROM Purchase	Bagel	3	20
GROUP BY product	Bagel	1.50	20
SELECT product, quantity	Banana	0.5	50
FROMPurchaseGROUPBYproduct	Banana	2	10
what does this mean?	Banana	4	10

<pre>SELECT product, max(quantity)</pre>	Product	Price	Quantity
FROM Purchase	Bagel	3	20
GROUP BY product	Bagel	1.50	20
SELECT product, quantity	Banana	0.5	50
FROM Purchase GROUP BY product	Banana	2	10
what does this mean?	Banana	4	10

Product	Max(quantity)
Bagel	20
Banana	50

<pre>SELECT product, max(quantity)</pre>	Product	Price	Quantity
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GROUP BY product	Bagel	1.50	20
SELECT product, quantity	Banana	0.5	50
FROM Purchase GROUP BY product	Banana	2	10
what does this mean?	Banana	4	10

Product	Max(quantity)
Bagel	20
Banana	50

Product	Quantity
Bagel	20
Banana	??

Everything in SELECT must be either a GROUP-BY attribute, or an aggregate

CA ÉFUL				
SELECT product, max(quantity) FROM Purchase GROUP BY product		Product	Price	Quantity
		Bagel	3	20
		Bagel	1.50	20
SELECT product, quan	tity	Banana	0.5	50
FROM Purchase GROUP BY product what does this mean?		Banana	2	10
		Banana	4	10

Product	Max(quantity)
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Banana	50

Product	Quantity
Bagel	20
Banana	??

Purchase(product, price, quantity)

Find total quantities for all sales over \$1, by product.

SELECT	<pre>product, Sum(quantity) AS TotalSales</pre>
FROM	Purchase
WHERE	price > 1
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How is this query processed?

Purchase(product, price, quantity)

Find total quantities for all sales over \$1, by product.

SELECT	<pre>product, Sum(quantity) AS TotalSales</pre>
FROM	Purchase
WHERE	price > 1
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Do these queries return the same number of rows? Why?

SELECT product, Sum(quantity) AS TotalSales
FROM Purchase
GROUP BY product

Purchase(product, price, quantity)

Find total quantities for all sales over \$1, by product.

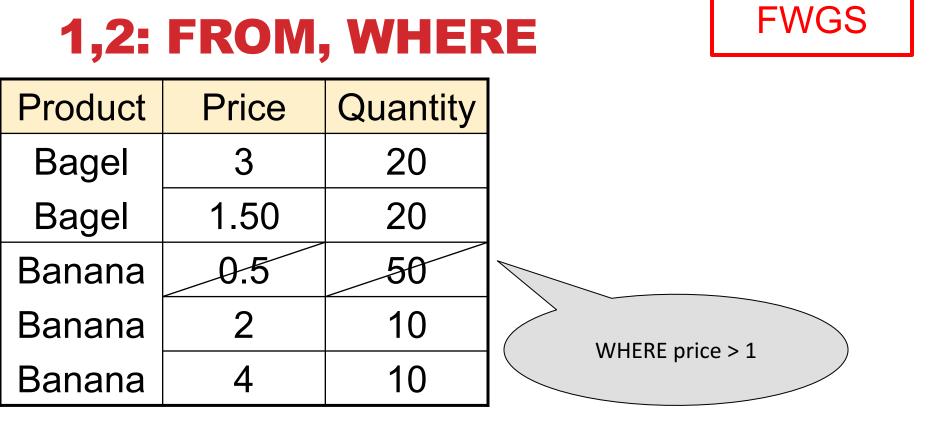
SELECT	<pre>product, Sum(quantity) AS TotalSales</pre>
FROM	Purchase
WHERE	price > 1
GROUP BY	product

Do these queries return the same number of rows? Why?

SELECTproduct, Sum(quFROMPurchase	antity) AS TotalSales	
GROUP BY product	Empty groups are removed, hence first query may return fewer groups	

- 1. Compute the FROM and WHERE clauses.
- 2. Group by the attributes in the GROUPBY
- 3. Compute the SELECT clause: grouped attributes and aggregates.





SELECT		product, Sum(quantity) AS TotalSales
FROM		Purchase
WHERE		price > 1
GROUP	ΒY	product



Product	Price	Quantity			
Bagel	3	20		Product	TotalSales
Bagel	1.50	20		Bagel	40
Banana	05	50		Banana	20
Danana				Danana	20
Banana	2	10	-		
Banana	4	10			

**FWGS** 

SELECT	product, Sum(quantity) AS TotalSales
FROM	Purchase
WHERE	price > 1
GROUP BY	product

## **ORDERING RESULTS**

SELECT product, sum(price\*quantity) as rev
FROM Purchase
GROUP BY product
ORDER BY rev desc



Note: some SQL engines want you to say ORDER BY sum(price\*quantity) desc

### **HAVING CLAUSE**

Same query as before, except that we consider only products that had at least 30 sales.

SELECT	<pre>product, sum(price*quantity)</pre>
FROM	Purchase
WHERE	price > 1
GROUP BY	product
HAVING	<pre>sum(quantity) &gt; 30</pre>

HAVING clause contains conditions on aggregates.

### GENERAL FORM OF GROUPING AND AGGREGATION

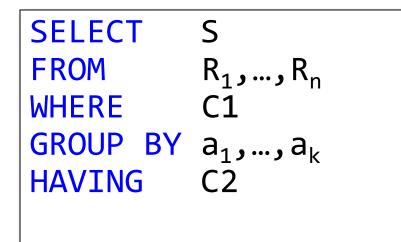
SELECTSFROM $R_1, \dots, R_n$ WHEREC1GROUP BY $a_1, \dots, a_k$ HAVINGC2

- S = may contain attributes a<sub>1</sub>,...,a<sub>k</sub> and/or any aggregates but NO OTHER ATTRIBUTES
- C1 = is any condition on the attributes in  $R_1, ..., R_n$

Why?

C2 = is any condition on aggregate expressions and on attributes a<sub>1</sub>,...,a<sub>k</sub>

## SEMANTICS OF SQL WITH GROUP-BY





#### **Evaluation steps:**

- 1. Evaluate FROM-WHERE using Nested Loop Semantic
- 2. Group by the attributes  $a_1, \dots, a_k$
- 3. Apply condition C2 to each group (may have aggrega
- 4. Compute aggregates in S and return the result





Compute the total income per month Show only months with less than 10 items sold Order by quantity sold and display as "TotalSold"

FROM

Purchase



Compute the total income per month Show only months with less than 10 items sold Order by quantity sold and display as "TotalSold"

FROMPurchaseGROUP BYmonth



FROM	Purchase
GROUP BY HAVING	month sum(quantity) < 10



SELECT	<pre>month, sum(price*quantity), sum(quantity) as TotalSold</pre>
FROM	Purchase
GROUP BY	month
HAVING	sum(quantity) < 10



SELECT	<pre>month, sum(price*quantity), sum(quantity) as TotalSold</pre>
FROM	Purchase
GROUP BY	month
HAVING	sum(quantity) < 10
ORDER BY	<pre>sum(quantity)</pre>

## WHERE VS HAVING

### WHERE condition is applied to individual rows

- The rows may or may not contribute to the aggregate
- No aggregates allowed here
- Occasionally, some groups become empty and are removed

### HAVING condition is applied to the entire group

- Entire group is returned, or removed
- May use aggregate functions on the group



What do they compute?

SELECTmonth, sum(quantity), max(price)FROMPurchaseGROUP BYmonth

SELECTmonth, sum(quantity)FROMPurchaseGROUP BYmonth

SELECTmonthFROMPurchaseGROUP BYmonth



What do they compute?

SELECTmonth, sum(quantity), max(price)FROMPurchaseGROUP BYmonth

SELECTmonth, sum(quantity)FROMPurchaseGROUP BYmonth

SELECTmonthFROMPurchaseGROUP BYmonth

Lesson: DISTINCT is a special case of GROUP BY

## **AGGREGATE + JOIN**

For each manufacturer, compute how many products with price > \$100 they sold

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Problem: manufacturer is in Purchase, price is in Product...

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Problem: manufacturer is in Purchase, price is in Product...

```
-- step 1: think about their join
SELECT ...
FROM Product x, Purchase y
WHERE x.pid = y.product_id
and y.price > 100
```

manu facturer	 price	
Hitachi	150	
Canon	300	
Hitachi	180	

## **AGGREGATE + JOIN**

For each manufacturer, compute how many products with price > \$100 they sold

Problem: manufacturer is in Purchase, price is in Product...

```
-- step 1: think about their join
SELECT ...
FROM Product x, Purchase y
WHERE x.pid = y.product_id
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```

manu facturer	 price	
Hitachi	150	
Canon	300	
Hitachi	180	

```
-- step 2: do the group-by on the join
SELECT x.manufacturer, count(*)
FROM Product x, Purchase y
WHERE x.pid = y.product_id
and y.price > 100
GROUP BY x.manufacturer
```

manu facturer	count(*)	
Hitachi	2	
Canon	1	

## **AGGREGATE + JOIN**

Variant:

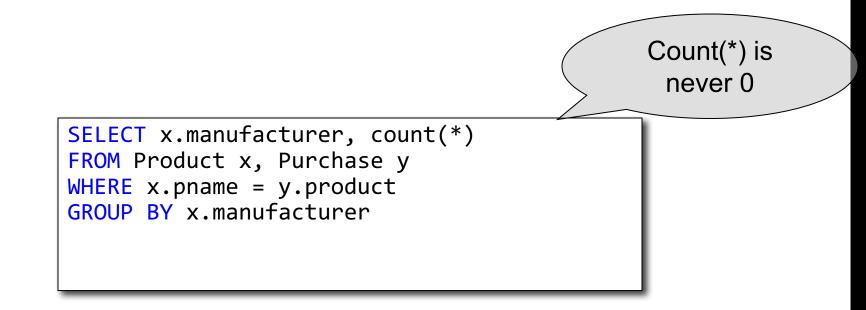
For each manufacturer, compute how many products with price > \$100 they sold in each month

```
SELECT x.manufacturer, y.month, count(*)
FROM Product x, Purchase y
WHERE x.pid = y.product_id
and y.price > 100
GROUP BY x.manufacturer, y.month
```

manu facturer	month	count(*)
Hitachi	Jan	2
Hitachi	Feb	1
Canon	Jan	3

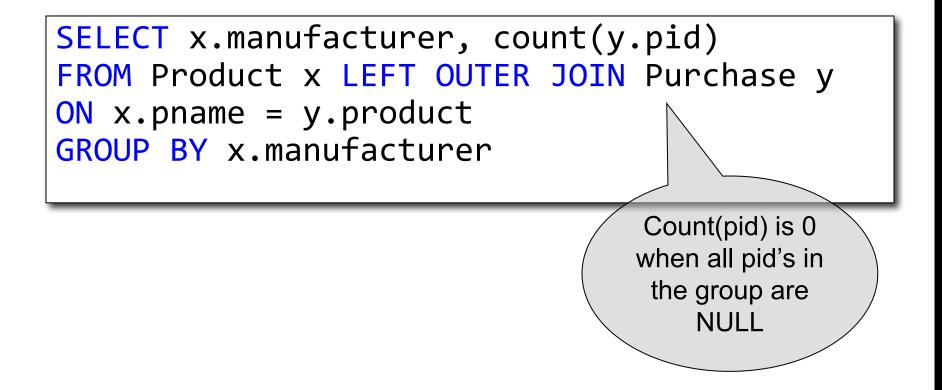
## INCLUDING EMPTY GROUPS

In the result of a group by query, there is one row per group in the result



**FWGHOS** 

## INCLUDING EMPTY GROUPS



## **SUBQUERIES**

A subquery is a SQL query nested inside a larger query

Such inner-outer queries are called nested queries

#### A subquery may occur in:

- A SELECT clause
- A FROM clause
- A WHERE clause

#### Rule of thumb: avoid nested queries when possible

• But sometimes it's impossible to avoid, as we will see

## **SUBQUERIES...**

- Can return a single value to be included in a SELECT clause
- Can return a relation to be included in the FROM clause, aliased using a tuple variable
- Can return a single value to be compared with another value in a WHERE clause
- Can return a relation to be used in the WHERE or HAVING clause under an existential quantifier