

# Database Systems

## CSE 344

### Lectures 8: Relational Algebra (Ch. 2.4, & 5.1)

# Announcements

- WQ3 is due next Monday 11pm
- Don't miss section tomorrow
  - Will need your Azure account emails
  - will go through Azure setup and basic use
- HW3 will be posted by Thu night
  - due on Tuesday, 7/18 (in 13 days)

# Corrections From Monday

People that frequent some restaurant that serves some food they like.

$$Q(p) = \exists r, \exists f, (F(p,r) \wedge S(r, f) \wedge L(p, f))$$

People that frequent some restaurant that serves only food they don't like

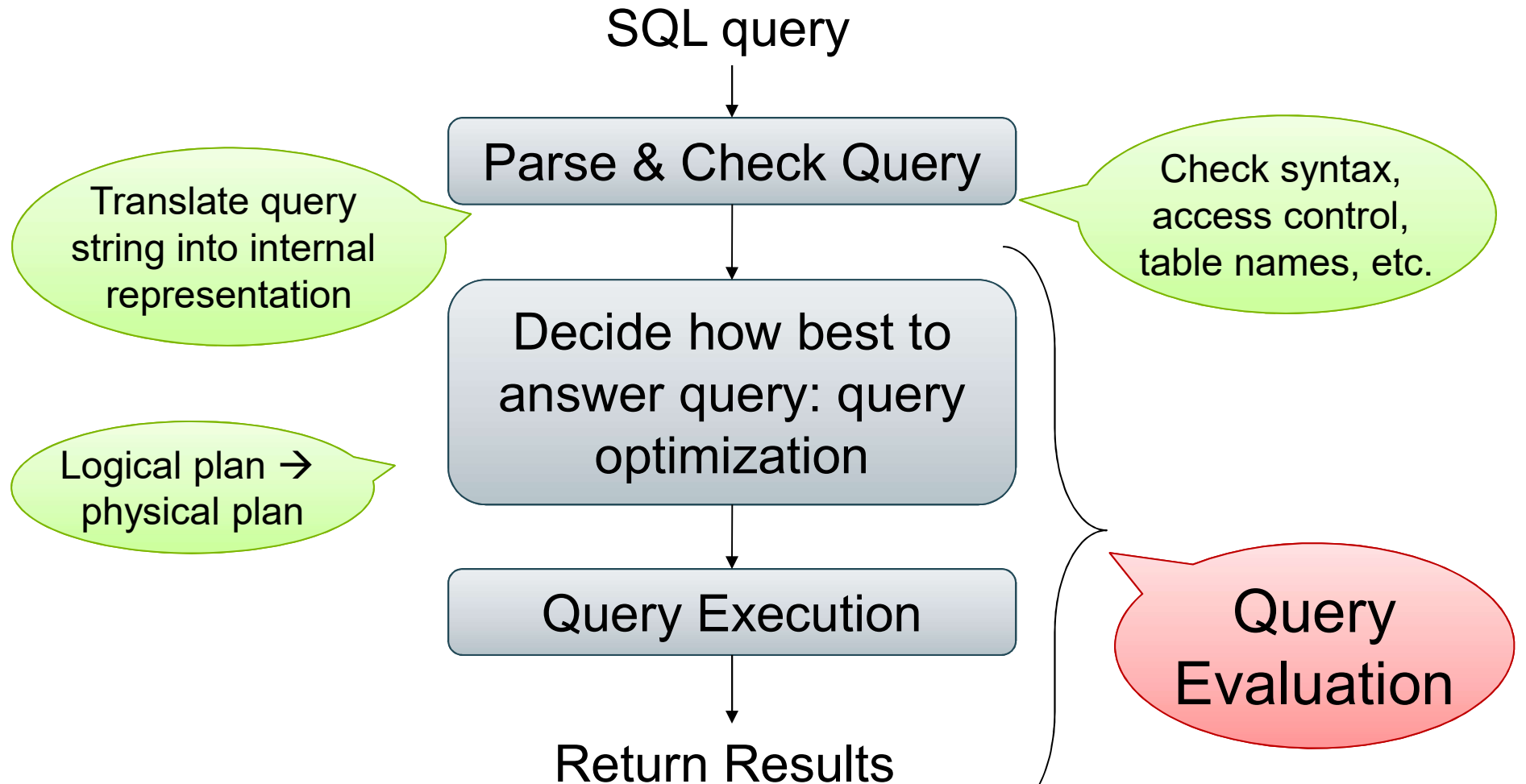
$$Q(p) = \exists r (F(p,r) \wedge \forall f (S(r, f) \rightarrow \neg L(p, r) ) )$$

$$Q(p) = \exists r F(p,r) \wedge \neg \exists f (S(r, f) \wedge L(p, f) )$$

# Where We Are

- Motivation for using a DBMS for managing data
- SQL:
  - Declaring the schema for our data (CREATE TABLE)
  - Inserting data one row at a time or in bulk (INSERT/.import)
  - Modifying the schema and updating the data (ALTER/UPDATE)
  - Querying the data (SELECT)
- Next step: More knowledge of how DBMSs work
  - Client-server architecture
  - Relational algebra and query execution

# Query Evaluation Steps



# The WHAT and the HOW

- SQL = **WHAT** we want to get from the data
- Relational Algebra = **HOW** to get the data we want
- Move from **WHAT** to **HOW** is **query optimization**
  - SQL ~> Relational Algebra ~> Physical Plan
  - Relational Algebra = Logical Plan

# Relational Algebra

# Sets v.s. Bags

- Sets:  $\{a,b,c\}$ ,  $\{a,d,e,f\}$ ,  $\{\}$ , . . .
- Bags:  $\{a, a, b, c\}$ ,  $\{b, b, b, b, b\}$ , . . .

Relational Algebra has two semantics:

- Set semantics = standard Relational Algebra
- Bag semantics = extended Relational Algebra

DB systems implement bag semantics (Why?)



# Relational Algebra Operators

- Union  $\cup$ , intersection  $\cap$ , difference  $-$
- Selection  $\sigma$  (Sigma)
- Projection  $\pi$  ( $\Pi$ ) (Pi)
- Cartesian product  $\times$ , join  $\bowtie$
- Rename  $\rho$  (Rho)
- Duplicate elimination  $\delta$  (Delta)
- Grouping and aggregation  $\gamma$  (Gamma)
- Sorting  $\tau$  (Tau)

RA

Extended RA

# Union and Difference

$$R1 \cup R2$$
$$R1 - R2$$

What do they mean over bags ?

# Union and Difference

$R1 \cup R2$   
 $R1 - R2$

What do they mean over bags ?

R1

A	B
1	2
3	4
3	4
5	6

$\cup$

R2

A	B
1	2
1	2
3	4
5	6

=

# Union and Difference

$R1 \cup R2$   
 $R1 - R2$

What do they mean over bags ?

R1

A	B
1	2
3	4
3	4
5	6

$\cup$

R2

A	B
1	2
1	2
3	4
5	6

=

A	B
1	2
1	2
1	2
3	4
3	4
3	4
5	6
5	6

If tuple  $t$  appears  $m$  times in  $R1$  and  $n$  times in  $R2$  then it appears  $m+n$  times in  $R1 \cup R2$

# Union and Difference

$R1 \cup R2$   
 $R1 - R2$

What do they mean over bags ?

R1

A	B
1	2
3	4
3	4
5	6

R2

A	B
1	2
1	2
3	4
5	6

—

=

# Union and Difference

$R1 \cup R2$   
 $R1 - R2$

What do they mean over bags ?

R1

A	B
1	2
3	4
3	4
5	6

R2

A	B
1	2
1	2
3	4
5	6

—

=

A	B
3	4

If tuple  $t$  appears  $m$  times in  $R1$  and  $n$  times in  $R2$  than it appears  $\max(0, n - m)$  times in  $R1 - R2$

# What about Intersection ?

- Derived operator using minus

$$R1 \cap R2 = R1 - (R1 - R2)$$

- Derived using join (will explain later)

$$R1 \cap R2 = R1 \bowtie R2$$

# What about Intersection ?

$$R1 \cap R2 = R1 - (R1 - R2)$$

R1			R2		
A	B		A	B	
1	2	∩	1	2	=
3	4		1	2	
3	4		3	4	
5	6		5	6	



# What about Intersection ?

$$R1 \cap R2 = R1 - (R1 - R2)$$

R1			R2			R1 $\cap$ R2	
A	B		A	B	=	A	B
1	2		1	2		1	2
3	4	$\cap$	1	2		3	4
3	4		3	4		5	6
5	6		5	6			

If tuple  $t$  appears  $m$  times in  $R1$  and  $n$  times in  $R2$  than it appears  $\min(n, m)$  times in  $R1 \cap R2$

# Selection

- Returns all tuples which satisfy a condition

$$\sigma_c(R)$$

**SQL: WHERE**

- Examples

- $\sigma_{\text{Salary} > 40000}$  (Employee)

- $\sigma_{\text{name} = \text{"Smith"}}$  (Employee)

- The condition  $c$  can be  $=$ ,  $<$ ,  $\leq$ ,  $>$ ,  $\geq$ ,  $<>$  combined with AND, OR, NOT

Employee

SSN	Name	Salary
1234545	John	20000
5423341	Smith	60000
4352342	Fred	50000

$\sigma_{\text{Salary} > 40000}$  (Employee)

SSN	Name	Salary
5423341	Smith	60000
4352342	Fred	50000

# Projection

- Eliminates columns

$$\pi_{A_1, \dots, A_n}(R)$$

**SQL: SELECT**

- Example: project social-security number and names:
  - $\Pi_{SSN, Name}(\text{Employee})$
  - `Answer(SSN, Name)`

Different semantics over sets or bags! Why?

Employee

SSN	Name	Salary
1234545	John	20000
5423341	John	60000
4352342	John	20000

$\pi_{\text{Name,Salary}}(\text{Employee})$

Name	Salary
John	20000
John	60000
John	20000

Bag semantics

Name	Salary
John	20000
John	60000

Set semantics

Which is more efficient?

# Composing RA Operators

Patient

no	name	zip	disease
1	p1	98125	flu
2	p2	98125	heart
3	p3	98120	lung
4	p4	98120	heart

$\pi_{\text{zip,disease}}(\text{Patient})$

zip	disease
98125	flu
98125	heart
98120	lung
98120	heart

$\sigma_{\text{disease}='heart'}(\text{Patient})$

no	name	zip	disease
2	p2	98125	heart
4	p4	98120	heart

$\pi_{\text{zip,disease}}(\sigma_{\text{disease}='heart'}(\text{Patient}))$

zip	disease
98125	heart
98120	heart

# Cartesian Product

- Each tuple in R1 with each tuple in R2

$$R1 \times R2$$

- Rare in practice; mainly used to express joins

# Cross-Product Example

## Employee

Name	SSN
John	999999999
Tony	777777777

## Dependent

EmpSSN	DepName
999999999	Emily
777777777	Joe

## Employee $\times$ Dependent

Name	SSN	EmpSSN	DepName
John	999999999	999999999	Emily
John	999999999	777777777	Joe
Tony	777777777	999999999	Emily
Tony	777777777	777777777	Joe



# Renaming

- Changes the schema, not the instance

$\rho_S (B_1, \dots, B_n) (R)$

SQL: Alias

- Example:

–  $\rho_{E(N,S)}(\text{Employee}) \rightarrow \text{Answer}(N, S)$

**Employee**

Name	SSN
John	9999999999
Tony	7777777777

→

**E**

N	S
John	9999999999
Tony	7777777777

Not really used by systems, but needed on paper

# Natural Join

$$R1 \bowtie R2$$

- Meaning:  $R1 \bowtie R2 = \pi_A(\sigma_\theta(R1 \times R2))$
- Where:
  - Selection  $\sigma$  checks equality of **all common attributes** (attributes with same names)
  - Projection  $\pi$  eliminates duplicate **common attributes**

# Natural Join Example

**R**

A	B
X	Y
X	Z
Y	Z
Z	V

**S**

B	C
Z	U
V	W
Z	V

**R** ⋈ **S** =

$\pi_{ABC}(\sigma_{R.B=S.B}(R \times S))$

A	B	C
X	Z	U
X	Z	V
Y	Z	U
Y	Z	V
Z	V	W

# Natural Join Example 2

AnonPatient P

age	zip	disease
54	98125	heart
20	98120	flu

Voters V

name	age	zip
p1	54	98125
p2	20	98120

$P \bowtie V$

age	zip	disease	name
54	98125	heart	p1
20	98120	flu	p2

# Natural Join

- Given schemas  $R(A, B, C, D)$ ,  $S(A, C, E)$ , what is the schema of  $R \bowtie S$  ?
- Given  $R(A, B, C)$ ,  $S(D, E)$ , what is  $R \bowtie S$  ?
- Given  $R(A, B)$ ,  $S(A, B)$ , what is  $R \bowtie S$  ?

AnonPatient (age, zip, disease)

Voters (name, age, zip)

# Theta Join

- A join that involves a predicate

$$R1 \bowtie_{\theta} R2 = \sigma_{\theta} (R1 \times R2)$$

- Here  $\theta$  can be any condition
- For our voters/patients example:

$$P \bowtie_{P.zip = V.zip \text{ and } P.age \geq V.age - 1 \text{ and } P.age \leq V.age + 1} V$$

# Equijoin

- A theta join where  $\theta$  is an equality predicate
- By far the most used variant of join in practice

# Equijoin Example

AnonPatient P

age	zip	disease
54	98125	heart
20	98120	flu

Voters V

name	age	zip
p1	54	98125
p2	20	98120

$P \bowtie_{P.age=V.age} V$

P.age	P.zip	P.disease	P.name	V.zip	V.age
54	98125	heart	p1	98125	54
20	98120	flu	p2	98120	20



# Join Summary

- **Theta-join:**  $R \bowtie_{\theta} S = \sigma_{\theta}(R \times S)$ 
  - Join of R and S with a join condition  $\theta$
  - Cross-product followed by selection  $\theta$
- **Equijoin:**  $R \bowtie_{\theta} S = \pi_A (\sigma_{\theta}(R \times S))$ 
  - Join condition  $\theta$  consists only of equalities
- **Natural join:**  $R \bowtie S = \pi_A (\sigma_{\theta}(R \times S))$ 
  - Equijoin
  - Equality on **all** fields with same name in R and in S
  - Projection  $\pi_A$  drops all redundant attributes

# So Which Join Is It ?

When we write  $R \bowtie S$  we usually mean an equijoin, but we often omit the equality predicate when it is clear from the context

# More Joins

- **Outer join**
  - Include tuples with no matches in the output
  - Use NULL values for missing attributes
  - Does not eliminate duplicate columns
- Variants
  - Left outer join
  - Right outer join
  - Full outer join

# Outer Join Example

AnonPatient P

age	zip	disease
54	98125	heart
20	98120	flu
33	98120	lung

AnnonJob J

job	age	zip
lawyer	54	98125
cashier	20	98120

P ⋈ J

P.age	P.zip	disease	job	J.age	J.zip
54	98125	heart	lawyer	54	98125
20	98120	flu	cashier	20	98120
33	98120	lung	null	33	98120

# More Examples

Supplier(sno,sname,scity,sstate)

Part(pno,pname,psize,pcolor)

Supply(sno,pno,qty,price)

Name of supplier of parts with size greater than 10

$\pi_{\text{sname}}(\text{Supplier} \bowtie \text{Supply} \bowtie (\sigma_{\text{psize}>10}(\text{Part})))$

Name of supplier of red parts or parts with size greater than 10

$\pi_{\text{sname}}(\text{Supplier} \bowtie \text{Supply} \bowtie (\sigma_{\text{psize}>10}(\text{Part}) \cup \sigma_{\text{pcolor}='red'}(\text{Part})))$