Introduction to Data Management
CSE 344

Lecture 5: Grouping and
Query Evaluation

Announcements

- Web quiz 2 is open: due Tuesday 11pm

- Homework 2 is released: Wednesday 11pm
  - TA office hours

Review

- Selection
- Projection
- Join
  - Inner and outer
- Aggregates

Today

- Aggregations and grouping (6.4.3 – 6.4.6)
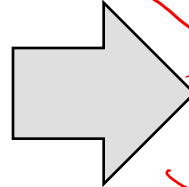- Order of query evaluation

Grouping and Aggregation

`Purchase(product, price, quantity)`

Find total quantities for all sales over $1, by product.

Grouping and Aggregation

Product	Price	Quantity
Bagel	3	20
Bagel	1.50	20
Banana	0.5	50
Banana	2	10
Banana	4	10

Product	TotalSales
Bagel	40
Banana	20

```
SELECT    product, Sum(quantity) AS TotalSales
FROM      Purchase
WHERE     price > 1
GROUP BY  product
```

6

Other Examples

Compare these two queries:

```
SELECT    product, count(*)
FROM      Purchase
GROUP BY  product
```

```
SELECT    month, count(*)
FROM      Purchase
GROUP BY  month
```

```
SELECT    product,
          sum(quantity) AS SumQuantity,
          max(price) AS MaxPrice
FROM      Purchase
GROUP BY  product
```

What does it mean ?

Need to be Careful…

```
SELECT product,
       max(quantity)
FROM   Purchase
GROUP BY product
```

```
SELECT   product, quantity
FROM     Purchase
GROUP BY product
```

Product	Price	Quantity
Bagel	3	20
Bagel	1.50	20
Banana	0.5	50
Banana	2	10
Banana	4	10

```
SELECT    product, quantity
FROM      Purchase
GROUP BY  product
```

Need to be Careful…

```
SELECT    product
FROM      Purchase
GROUP BY  product
```

```
SELECT    quantity
FROM      Purchase
```

Product	Price	Quantity
Bagel	3	20
Bagel	1.50	20
Banana	0.5	50
Banana	2	10
Banana	4	10

Product		Quantity
Bagel	+	20
Banana		20
	???	50
		10
		10

```
SELECT    product, quantity
FROM      Purchase
GROUP BY  product
```

Can't project a non-grouped / non-aggregated column!

Need to be Careful...

```
SELECT product,
       max(quantity)
FROM   Purchase
GROUP BY product
```

```
SELECT   product, quantity
FROM     Purchase
GROUP BY product
```

Product	Price	Quantity
Bagel	3	20
Bagel	1.50	20
Banana	0.5	50
Banana	2	10
Banana	4	10

sqlite is WRONG on this query.

Advanced DBMS (e.g. SQL Server) gives an error

Grouping and Aggregation

`Purchase(product, price, quantity)`

Find total quantities for all sales over $1, by product.

```
SELECT    product, Sum(quantity) AS TotalSales
FROM      Purchase
WHERE     price > 1
GROUP BY  product
```

How is this query processed?

Grouping and Aggregation

1. Compute the FROM and WHERE clauses.

2. Group by the attributes in the GROUPBY

3. Compute the SELECT clause:
   grouped attributes and aggregates.

FWGS ™

1,2: From, Where

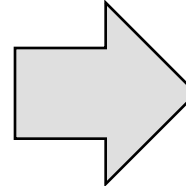| Product	Price	Quantity
Bagel	3	20
Bagel	1.50	20
Banana	0.5	50
Banana	2	10
Banana	4	10

WHERE price > 1

```
SELECT    product, Sum(quantity) AS TotalSales
FROM      Purchase
WHERE     price > 1
GROUP BY  product
```

13

3,4. Grouping, Select

Product	Price	Quantity
Bagel	3	20
Bagel	1.50	20
Banana	0.5	50
Banana	2	10
Banana	4	10

Product	TotalSales
Bagel	40
Banana	20

```
SELECT    product, Sum(quantity) AS TotalSales
FROM      Purchase
WHERE     price > 1
GROUP BY  product
```

14

`Purchase(pid, product, price, quantity, month)`

Ordering Results

```
SELECT product, sum(price*quantity) as rev
FROM     Purchase
GROUP BY product
ORDER BY rev desc
```

FWGOS ™

Note: some SQL engines
want you to say `ORDER BY sum(price*quantity)`

`Purchase(pid, product, price, quantity, month)`

HAVING Clause

Same query as before, except that we consider only products that had at least 30 sales.

```
SELECT     product, sum(price*quantity)
FROM       Purchase
WHERE      price > 1
GROUP BY   product
HAVING     sum(quantity) > 30
```

HAVING clause contains conditions on aggregates.

General form of Grouping and Aggregation

```
SELECT      S
FROM        R_1, …, R_n
WHERE       C1
GROUP BY    a_1, …, a_k
HAVING      C2
```

Why ?

S = may contain attributes $a_1, \ldots, a_k$ and/or any
    aggregates but NO OTHER ATTRIBUTES

C1 = is any condition on the attributes in $R_1, \ldots, R_n$

C2 = is any condition on aggregate expressions
    and on attributes $a_1, \ldots, a_k$

Semantics of SQL With Group-By

```
SELECT      S
FROM        R_1,…,R_n
WHERE       C1
GROUP BY    a_1,…,a_k
HAVING      C2
```

FWGHOS

Evaluation steps:

1. Evaluate FROM-WHERE using Nested Loop Semantics

2. Group by the attributes $a_1,…,a_k$

3. Apply condition C2 to each group (may have aggregates)

4. Compute aggregates in S and return the result

`Purchase(pid, product, price, quantity, month)`

Exercise

Compute the total income per month
Show only months with less than 10 items sold
Order by quantity sold and display as "TotalSold"

Purchase(pid, product, price, quantity, month)

Exercise

Compute the total income per month
Show only months with less than 10 items sold
Order by quantity sold and display as "TotalSold"

```
FROM        Purchase
```

Purchase(pid, product, price, quantity, month)

Exercise

Compute the total income per month
Show only months with less than 10 items sold
Order by quantity sold and display as "TotalSold"

```
FROM        Purchase
GROUP BY    month
```

Purchase(pid, product, price, quantity, month)

Exercise

Compute the total income per month
Show only months with less than 10 items sold
Order by quantity sold and display as "TotalSold"

```
FROM       Purchase
GROUP BY  month
HAVING    sum(quantity) < 10
```

Purchase(pid, product, price, quantity, month)

Exercise

Compute the total income per month
Show only months with less than 10 items sold
Order by quantity sold and display as "TotalSold"

```
SELECT    month, sum(price*quantity),
          sum(quantity) as TotalSold
FROM      Purchase
GROUP BY  month
HAVING    sum(quantity) < 10
```

Purchase(pid, product, price, quantity, month)

Exercise

Compute the total income per month
Show only months with less than 10 items sold
Order by quantity sold and display as "TotalSold"

```
SELECT     month, sum(price*quantity),
           sum(quantity) as TotalSold
FROM       Purchase
GROUP BY   month
HAVING     sum(quantity) < 10
ORDER BY   sum(quantity)
```

WHERE vs HAVING

- WHERE condition is applied to individual rows
  - The rows may or may not contribute to the aggregate
  - No aggregates allowed here

- HAVING condition is applied to the entire group
  - Entire group is returned, or not at all
  - May use aggregate functions in the group

`Purchase(pid, product, price, quantity, month)`

Mystery Query

What do they compute?

```
SELECT      month, sum(quantity), max(price)
FROM        Purchase
GROUP BY    month
```

```
SELECT      month, sum(quantity)
FROM        Purchase
GROUP BY    month
```

```
SELECT      month
FROM        Purchase
GROUP BY    month
```

`Purchase(pid, product, price, quantity, month)`

Mystery Query

What do they compute?

```
SELECT      month, sum(quantity), max(price)
FROM        Purchase
GROUP BY  month
```

```
SELECT      month, sum(quantity)
FROM        Purchase
GROUP BY  month
```

```
SELECT      month
FROM        Purchase
GROUP BY  month
```

Lesson: DISTINCT is a special case of GROUP BY

27

Purchase(pid,product,price,quantity,month)

Product(pid,pname,manufacturer)

Aggregate + Join Example

What do these queries mean?

```
SELECT x.manufacturer, count(*)
FROM Product x, Purchase y
WHERE x.pname = y.product
GROUP BY  x.manufacturer
```

manufacturer	month	count(*)
canon	1	10
canon	2	20
sony	4	50

```
SELECT x.manufacturer, y.month, count(*)
FROM Product x, Purchase y
WHERE x.pname = y.product
GROUP BY  x.manufacturer, y.month
```

Empty Groups

Product	Price	Quantity
Bagel	3	20
Bagel	1.50	20
Banana	0.5	50
Banana	2	10

FWGHOS

- In the result of a group by query, there is one row per group in the result

- No group can be empty!
  - i.e., count(*) is never 0

What if there are no purchases for a manufacturer

```
SELECT x.manufacturer, count(*)
FROM Product x, Purchase y
WHERE x.pname = y.product
GROUP BY x.manufacturer
```

Empty Group Solution:
Outer Join

```
SELECT x.manufacturer, count(y.pid)
FROM Product x LEFT OUTER JOIN Purchase y
ON x.pname = y.product
GROUP BY x.manufacturer
```