



# CSE341: Programming Languages

## Lecture 3

### Local bindings, Options, Benefits of No Mutation

Dan Grossman

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# Review

Huge progress in 2 lectures on the core pieces of SML:

- Types: `int bool unit t1*...*tn t list t1*...*tn->t`
  - Types “nest” (each `t` above can be itself a compound type)
- Variables and environments
- Functions
  - Build: `fun x0 (x1:t1, ..., xn:tn) = e`
  - Use: `e0 (e1, ..., en)`
- Tuples
  - Build: `(e1, ..., en)`
  - Use: `#1 e, #2 e, ...`
- Lists
  - Build: `[] e1::e2`
  - Use: `null e hd e tl e`

# Today

- The big thing we need: local bindings
  - For style and convenience
  - For efficiency (**not** “just a little faster”)
  - A big but natural idea: nested function bindings
- One last feature for last problem of homework 1: options
- Why not having mutation (assignment statements) is a valuable language feature
  - No need for you to keep track of sharing/aliasing, which Java programmers must obsess about

# *Let-expressions*

The construct for introducing local bindings is ***just an expression***, so we can use it anywhere we can use an expression

3 questions:

- Syntax: `let b1 b2 ... bn in e end`
  - Each ***b<sub>i</sub>*** is any *binding* and ***e*** is any *expression*
- Type-checking: Type-check each ***b<sub>i</sub>*** and ***e*** in a static environment that includes the previous bindings.  
Type of whole let-expression is the type of ***e***.
- Evaluation: Evaluate each ***b<sub>i</sub>*** and ***e*** in a dynamic environment that includes the previous bindings.  
Result of whole let-expression is result of evaluating ***e***.

# Silly examples

```
fun silly1 (z : int) =
  let val x = if z > 0 then z else 34
      val y = x+9
  in
    if x > y then x*2 else y*y
  end
fun silly2 () =
  let val x = 1
  in
    (let val x = 2 in x+1 end) +
    (let val y = x+2 in y+1 end)
  end
```

`silly2` is poor style but shows let-expressions are expressions

- Could also use them in function-call arguments, parts of conditionals, etc.
- Also notice shadowing

# *What's new*

- What's new is *scope*: where a binding is in the environment
  - *In* later bindings and body of the let-expression
    - (Unless a later or nested binding shadows it)
  - *Only in* later bindings and body of the let-expression
- *Nothing else is new*:
  - Can put any binding we want, even function bindings
  - Type-check and evaluate just like at “top-level”

# *Nested functions, part 1*

- Good style to define helper functions inside the functions they help if they are:
  - Unlikely to be useful elsewhere
  - Likely to be misused if available elsewhere
  - Likely to be changed or removed later
- A fundamental trade-off in code design: reusing code saves effort and avoids bugs, but makes the reused code harder to change later

## *(Inferior) Example*

```
fun countup_from1 (x : int) =  
  let fun count (from : int, to : int) =  
        if from = to  
        then to :: []  
        else from :: count(from+1, to)  
      in  
        count (1, x)  
      end
```

- This shows how to use a local function binding, but:
  - Will show a better version next
  - **count** might be useful elsewhere

# *Nested functions, better*

- Functions can use any binding in the environment where they are defined:
  - Bindings from “outer” environments
    - Such as parameters to the outer function
  - Earlier bindings in the let-expression
- Usually bad style to have unnecessary parameters
  - Like `to` in the previous example

```
fun countup_from1_better (x : int) =  
  let fun count (from : int) =  
        if from = x  
        then x :: []  
        else from :: count(from+1)  
      in  
        count 1  
      end
```

# *Avoid repeated recursion*

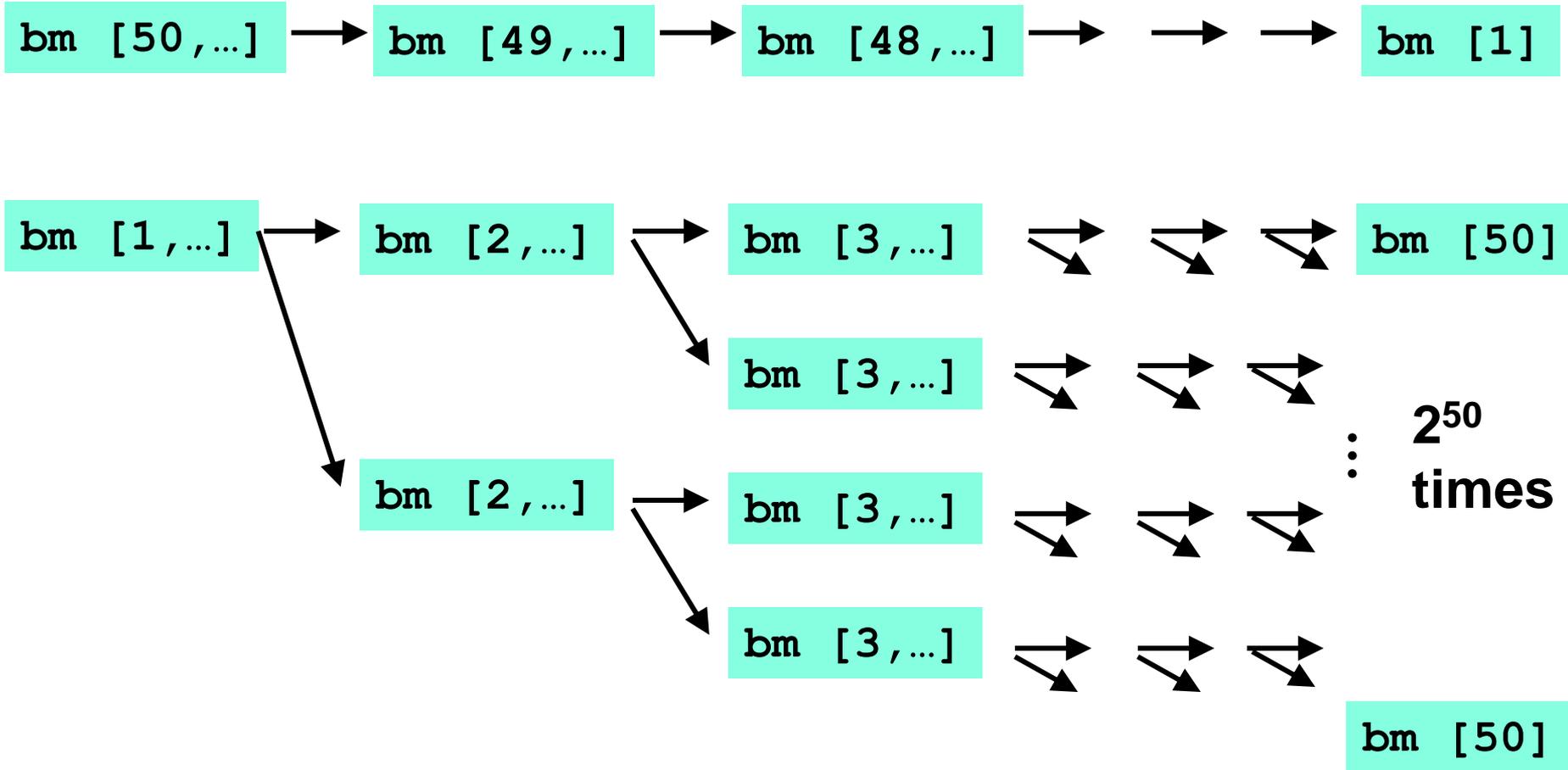
Consider this code and the recursive calls it makes

- Don't worry about calls to `null`, `hd`, and `tl` because they do a small constant amount of work

```
fun bad_max (lst : int list) =  
  if null lst  
  then 0 (* horrible style; fix later *)  
  else if null (tl lst)  
  then hd lst  
  else if hd lst > bad_max (tl lst)  
  then hd lst  
  else bad_max (tl lst)  
  
let x = bad_max [50,49,...,1]  
let y = bad_max [1,2,...,50]
```

# Fast vs. unusable

```
if hd lst > bad_max (tl lst)
then hd lst
else bad_max (tl lst)
```



# *Math never lies*

Suppose one `bad_max` call's if-then-else logic and calls to `hd`, `null`, `t1` take  $10^{-7}$  seconds

- Then `bad_max [50, 49, ..., 1]` takes  $50 \times 10^{-7}$  seconds
- And `bad_max [1, 2, ..., 50]` takes  $2.25 \times 10^8$  seconds
  - (over 7 years)
  - `bad_max [55, 54, ..., 1]` takes over 2 centuries
  - Buying a faster computer won't help much 😊

The key is not to do repeated work that might do repeated work that might do...

- Saving recursive results in local bindings is essential...

# *Efficient max*

```
fun good_max (lst : int list) =  
  if null lst  
  then 0 (* horrible style; fix later *)  
  else if null (tl lst)  
  then hd lst  
  else  
    let val tl_ans = good_max(tl lst)  
    in  
      if hd lst > tl_ans  
      then hd lst  
      else tl_ans  
    end  
end
```

# Fast vs. fast

```
let val tl_ans = good_max(tl lst)
in
  if hd lst > tl_ans
  then hd lst
  else tl_ans
end
```

gm [50, ...] → gm [49, ...] → gm [48, ...] → → → gm [1]

gm [1, ...] → gm [2, ...] → gm [3, ...] → → → gm [50]

# Options

Having `good_max` return 0 for the empty list is really awful

- Could raise an exception (see section this week)
- Could return a zero-element or one-element list
  - That works but is poor style because the built-in support for *options* expresses this situation directly

- `t option` is a type for any type `t`
  - (much like `t list`, but a different type, not a list)

Building:

- `NONE` has type `'a option` (much like `[]` has type `'a list`)
- `SOME e` has type `t option` if `e` has type `t` (much like `e :: []`)

Accessing:

- `isSome` has type `'a option -> bool`
- `valOf` has type `'a option -> 'a` (exception if given `NONE`)

# Example

```
fun better_max (lst : int list) =  
  if null lst  
  then NONE  
  else  
    let val tl_ans = better_max(tl lst)  
    in  
      if isSome tl_ans  
        andalso valOf tl_ans > hd lst  
      then tl_ans  
      else SOME (hd lst)  
    end
```

```
val better_max = fn : int list -> int option
```

- Nothing wrong with this, but as a matter of style might prefer not to do so much useless “valOf” in the recursion

## *Example variation*

```
fun better_max2 (lst : int list) =
  if null lst
  then NONE
  else let (* ok to assume lst nonempty b/c local *)
        fun max_nonempty (lst : int list) =
          if null (tl lst)
          then hd lst
          else
            let val tl_ans = max_nonempty (tl lst)
            in
              if hd lst > tl_ans
              then hd lst
              else tl_ans
            end
        in
          SOME (max_nonempty lst)
        end
```

# *A valuable non-feature: no mutation*

Those are all the features you need (and should use) on hw1

Now learn a very important non-feature

- Huh?? How could the *lack* of a feature be important?
- When it lets you know things *other* code will *not* do with your code and the results your code produces

A major aspect and contribution of functional programming:

Not being able to assign to (a.k.a. mutate) variables or parts of tuples and lists

# Suppose we had mutation...

```
val x = (4,3)
val y = sort_pair x
```

*somehow mutate #1 x to hold 5*

```
val z = #1 y
```

- What is **z**?
  - Would depend on how we implemented `sort_pair`
    - Would have to decide carefully and document `sort_pair`
  - But without mutation, we can implement “either way”
    - No code can ever distinguish aliasing vs. identical copies
    - No need to think about aliasing: focus on other things
    - Can use aliasing, which saves space, without danger

# *Interface vs. implementation*

In ML, these two implementations of `sort_pair` are indistinguishable

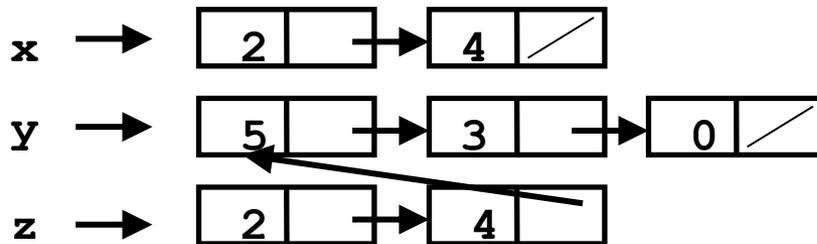
- But only because tuples are immutable
- The first is better style: simpler and avoids making a new pair in the then-branch
- In Java, you make copies like the second one all the time

```
fun sort_pair (pr : int * int) =  
  if #1 pr > #2 pr  
  then pr  
  else (#2 pr, #1 pr)
```

```
fun sort_pair (pr : int * int) =  
  if #1 pr > #2 pr  
  then (#1 pr, #2 pr)  
  else (#2 pr, #1 pr)
```

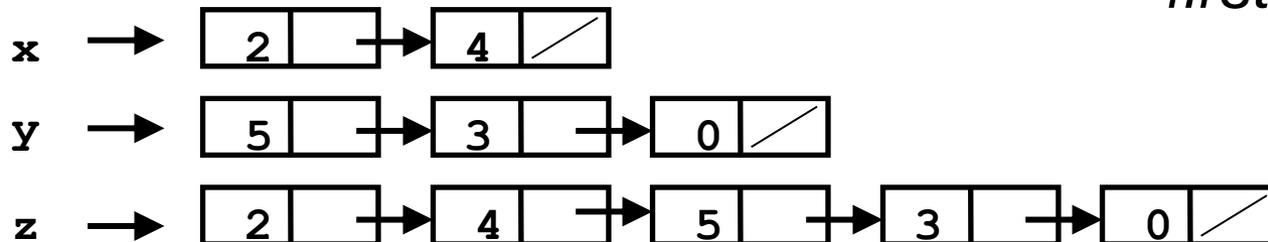
# An even better example

```
fun append (lst1 : int list, lst2 : int list) =  
  if null lst1  
  then lst2  
  else hd (lst1) :: append (tl (lst1), lst2)  
val x = [2,4]  
val y = [5,3,0]  
val z = append(x,y)
```



*(can't tell,  
but it's the  
first one)*

**or**



# *ML vs. Java on mutable data*

- In ML, we create aliases all the time without thinking about it because it is *impossible* to tell where there is aliasing
  - Example:  $\tau_1$  is constant time; does not copy rest of the list
  - So don't worry and focus on your algorithm
- In Java, programmers are *obsessed* with aliasing and object identity
  - They have to be (!) so that subsequent assignments affect the right parts of the program
  - Often crucial to make copies in just the right places...

# *Java security nightmare (bad code)*

```
class ProtectedResource {
    private Resource theResource = ...;
    private String[] allowedUsers = ...;
    public String[] getAllowedUsers() {
        return allowedUsers;
    }
    public String currentUser() { ... }
    public void useTheResource() {
        for(int i=0; i < allowedUsers.length; i++) {
            if(currentUser().equals(allowedUsers[i])) {
                ... // access allowed: use it
                return;
            }
        }
        throw new IllegalAccessException();
    }
}
```

# *Have to make copies*

The problem:

```
p.getAllowedUsers()[0] = p.currentUser();  
p.useTheResource();
```

The fix:

```
public String[] getAllowedUsers() {  
    ... return a copy of allowedUsers ...  
}
```