# C++ Smart Pointers CSE 333

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#### **Administrivia**

Exercise 13 is due Monday (July 29th)

HW3 due next Thursday (August 1st)

#### **Lecture Outline**

- Abstract Classes
- Smart Pointers
  - Intro and toy ptr
  - std::unique ptr
  - std::shared\_ptr and std::weak\_ptr

#### **Abstract Classes**

- Sometimes we want to include a function in a class just for overriding
  - In Java, we would use an abstract method
  - In C++, we use a "pure virtual" function
    - Example: virtual string noise() = 0;
- A class containing any pure virtual methods is abstract
  - You can't create instances of an abstract class
  - Extend abstract classes and override methods to use them
- A class containing only pure virtual methods is the same as a Java interface used to be
  - Pure type specification without implementations

#### **Lecture Outline**

- Abstract Classes
- Smart Pointers
  - Intro and toy\_ptr
  - std::unique ptr
  - std::shared\_ptr and std::weak\_ptr

Reference: C++ Primer, Chapter 12.1

#### Last Week...

We learned about STL

- We noticed that STL was doing an enormous amount of copying
- A solution: store pointers in containers instead of objects
  - But who's responsible for deleting and when???

#### **C++ Smart Pointers**

- A smart pointer is an object that stores a pointer to heap-allocated data
  - A smart pointer looks and behaves like a regular C++ pointer
    - By overloading \*, ->, [], etc.
  - These can help you manage memory
    - With correct use of smart pointers, you no longer have to remember when to delete heap memory! (If it's owned by a smart pointer)
    - The smart pointer will delete the pointed-to object at the right time including invoking the object's destructor
      - When that is depends on what kind of smart pointer you use

#### **A Toy Smart Pointer**

- We can implement a simple one with:
  - A constructor that accepts a pointer
  - A destructor that frees the pointer
  - Overloaded \* and -> operators that access the pointer

#### **ToyPtr Class Template**

#### ToyPtr.h

```
#ifndef TOYPTR H
#define TOYPTR H
template <typename T> class ToyPtr {
public:
 explicit ToyPtr(T *ptr) : ptr (ptr) { } // constructor
 ~ToyPtr() { delete ptr ; }
                                      // destructor
 T &operator*() { return *ptr ; } // * operator
 T *operator->() { return ptr ; }
                                      // -> operator
private:
 T *ptr;
                                         // the pointer
};
#endif // TOYPTR H
```

This is weird! The overload for the -> operator behaves differently than others

#### **ToyPtr Example**

usetoy.cc

```
#include <iostream>
#include "ToyPtr.h"
// simply struct to illustrate the "->" operator
typedef struct { int x = 1, y = 2; } Point;
std::ostream &operator<<(std::ostream &out, const Point &rhs) {</pre>
 return out << "(" << rhs.x << "," << rhs.y << ")";
int main(int argc, char **argv) {
 // Create a dumb pointer
 Point *leak = new Point;
 // Create a "smart" pointer
 ToyPtr<Point> notleak (new Point);
 std::cout << " leak->x: " << leak->x << std::endl;</pre>
 std::cout << " *notleak: " << *notleak << std::endl;</pre>
 std::cout << "notleak->x: " << notleak->x << std::endl;</pre>
 return 0;
```

#### ToyPtr Example

usetoy.cc

```
#include <iostream>
#include "TovPtr.h"
==2554== Memcheck, a memory error detector
==2554== Copyright (C) 2002-2024, and GNU GPL'd, by Julian Seward et al.
==2554== Using Valgrind-3.23.0 and LibVEX; rerun with -h for copyright info
==2554== Command: ./usetoy
==2554==
   *leak: (1,2)
  leak->x: 1
 *notleak: (1,2)
notleak->x: 1
==2554==
==2554== HEAP SUMMARY:
==2554== in use at exit: 8 bytes in 1 blocks
==2554== total heap usage: 4 allocs, 3 frees, 74,768 bytes allocated
  std::cout << " leak->x: " << leak->x << std::endl;
  std::cout << " *notleak: " << *notleak << std::endl;</pre>
  std::cout << "notleak->x: " << notleak->x << std::endl;</pre>
  return 0;
```

#### What Makes This a Toy?

- Can't handle:
  - Arrays
  - Copying
  - Reassignment
  - Comparison
  - ... plus many other subtleties...
- Luckily, others have built non-toy smart pointers for us!

#### **Lecture Outline**

- C++ Inheritance
- Smart Pointers
  - Intro and toy ptr
  - std::unique\_ptr
  - std::shared\_ptr and std::weak\_ptr

Reference: C++ Primer, Chapter 12.1

# std::unique\_ptr

- A unique\_ptr<T> takes ownership of a pointer
  - Template parameter is the type that the "owned" pointer references (i.e., the T in pointer type T\*)
  - Part of C++'s standard library (C++11)
  - Its destructor invokes delete on the owned pointer
    - Invoked when unique ptr object is delete'd or falls out of scope

# Using unique\_ptr

unique1.cc

```
#include <iostream> // for std::cout, std::endl
#include <memory> // for std::unique ptr
#include <cstdlib> // for EXIT SUCCESS
void Leaky() {
 int *x = new int(5); // heap-allocated
 (*x)++;
 std::cout << *x << std::endl;</pre>
} // never used delete, therefore leak
void NotLeaky() {
 std::unique ptr<int> x(new int(5)); // wrapped, heap-allocated
 (*x)++;
 std::cout << *x << std::endl;</pre>
} // never used delete, but no leak
int main(int argc, char **argv) {
 Leaky();
 NotLeaky();
  return EXIT SUCCESS;
```

# Why are unique\_ptrs useful?

- If you have many potential exits out of a function, it's easy to forget to call delete on all of them
  - unique\_ptr will delete its pointer when it falls out of scope
  - Thus, a unique ptr also helps with exception safety

```
void NotLeaky() {
   std::unique_ptr<int> x(new int(5));
   ...
   // lots of code, including several returns
   // lots of code, including potential exception throws
   ...
}
```

#### unique\_ptr Operations

unique2.cc

```
#include <memory> // for std::unique_ptr
#include <cstdlib> // for EXIT SUCCESS
using namespace std;
typedef struct { int a, b; } IntPair;
int main(int argc, char **argv) {
                                  ptr is invalid after reset!
 unique ptr<int> x (new int (5))
 int val = *x; // Return the va ointed-to object
 // Access a field or function pointed-to object
 unique ptr<IntPair> ip(new / air);
 ip->a = 100;
 // Deallocate current pointed-to object and store new pointer
 x.reset(new int(1));
 ptr = x.release(); // Release responsibility for freeing
 delete ptr; —
                             If we don't do this, the int in \times
 return EXIT SUCCESS;
                                      will leak!
```

#### **Transferring Ownership**

- Use reset() and release() to transfer ownership
  - release returns the pointer, sets wrapped pointer to nullptr
  - reset delete's the current pointer and stores a new one

#### **Transferring Ownership**

#### z owns int(5), x and y own nothing

```
int main(int argc, char **argv) {
                                                         unique3.cc
 unique ptr<int> x (new int(5));
 cout << "x: " << x.get() << endl;
 unique ptr<int> y(x.release()); // x abdicates ownership to y
 cout << "x: " << x.get() << endl; // prints "0"
 cout << "y: " << y.get() << endl;
 unique ptr<int> z(ne 0));
 // y transfers ownership of its pointer to z.
 // z's old pointer was delete'd in the process.
 z.reset(y.release());
 return EXIT SUCCESS;
```

# unique\_ptrs Cannot Be Copied

- std::unique\_ptr has disabled its copy constructor and assignment operator
  - You cannot copy a unique\_ptr, helping maintain "uniqueness" or "ownership"

uniquefail.cc

# unique\_ptrs Cannot Be Copied

- std::unique\_ptr has disabled its copy constructor
   and assignment operator
  - You cannot copy a unique\_ptr, helping maintain "uniqueness" or "ownership"

uniquefail.cc

# unique\_ptr and STL

- unique\_ptrs can be stored in STL containers
  - Wait, what? STL containers like to make lots of copies of stored objects and unique ptrs cannot be copied...
- Move semantics to the rescue!
  - When supported, STL containers will move rather than copy
    - unique ptrs support move semantics

#### **Aside: Copy Semantics**

- Assigning values typically means making a copy
  - Sometimes this is what you want
    - e.g. assigning a string to another makes a copy of its value
  - Sometimes this is wasteful
    - e.g. assigning a returned string goes through a temporary copy

# Move Semantics (added in C++11)

- "Move semantics" move values from one object to another without copying
  - Useful for optimizing away temporary copies
  - A complex topic that uses things called "rvalue references"
    - Mostly beyond the scope of 333 this quarter

#### movesemantics.cc

```
std::string ReturnFoo(void) {
  std::string x("foo");
 // this return might copy
 return x;
int main(int argc, char **argv) {
  std::string a("hello");
  // moves a to b
  std::string b = std::move(a);
  std::cout << "a: " << a << std::endl;
  std::cout << "b: " << b << std::endl;
  // moves the returned value into b
 b = std::move(ReturnFoo());
  std::cout << "b: " << b << std::endl;
 return EXIT SUCCESS;
```

#### **Transferring Ownership via Move**

- unique\_ptr supports move semantics
  - Can "move" ownership from one unique ptr to another
    - Behavior is equivalent to the "release-and-reset" combination

```
equivalent to:
int main(int argc, char **argv) {
 unique ptr<int> x (new int(5));
                                      unique ptr<int> y(x.release())
 cout << "x: " << x.get() << endl;
 unique ptr<int> y = std::move(x); // x abdicates ownership to y
  cout << "x: " << x.get() << endl;
  cout << "y: " << y.get() << endl;
 unique ptr<int> z(new int(10));
  // y transfers ownership of its pointer to z.
  // z's old pointer was delete'd in the process.
  z = std: move(y);
                                           equivalent to:
  return EXIT SUCCESS;
                                        z.reset(y.release())
```

#### unique\_ptr and STL Example

uniquevec.cc

```
int main(int argc, char **argv) {
 std::vector<std::unique ptr<int> > vec;
 vec.push back(std::unique ptr<int>(new int(9)));
 vec.push back(std::unique ptr<int>(new int(5)));
 vec.push back(std::unique ptr<int>(new int(7)));
 // z gets a copy of int value pointed to by vec[1]
 int z = *vec[1];
 std::cout << "z is: " << z << std::endl;
 // won't compile! Cannot copy unique ptr
                                               No leaks!
 std::unique ptr<int> copied = vec[1];
 // Works! vec[1] now wraps a nullptr
 std::unique ptr<int> moved = std::move(vec[1]);
 std::cout << "*moved: " << *moved << std::endl;</pre>
 std::cout << "vec[1].get(): " << vec[1].get() << std::endl;
 return EXIT SUCCESS;
```

# unique\_ptr and "<"

- A unique\_ptr implements some comparison operators, including operator<</p>
  - However, it doesn't invoke operator< on the pointed-to objects</p>
    - Instead, it just promises a stable, strict ordering (probably based on the pointer address, not the pointed-to-value)
  - So to use sort() on vectors, you want to provide it with a comparison function

```
template <class Iter, class T>
sort(Iter begin_it, Iter end_it,
bool (*sort_function)(T, T));
```

#### unique\_ptr and STL Sorting

uniquevecsort.cc

```
using namespace std;
bool sortfunction (const unique ptr<int> &x,
                  const unique ptr<int> &y) { return *x < *y; }</pre>
void printfunction(unique ptr<int> &x) { cout << *x << endl; }</pre>
int main(int argc, char **argv) {
 vector<unique ptr<int>> vec;
  vec.push back(unique ptr<int>(new int(9)));
 vec.push back(unique ptr<int>(new int(5)));
 vec.push back(unique ptr<int>(new int(7)));
  // buggy: sorts based on the values of the ptrs
  sort(vec.begin(), vec.end());
  cout << "Sorted:" << endl;</pre>
  for each(vec.begin(), vec.end(), &printfunction);
  // better: sorts based on the pointed-to values
  sort(vec.begin(), vec.end(), &sortfunction);
  cout << "Sorted:" << endl;</pre>
  for each(vec.begin(), vec.end(), &printfunction);
  return EXIT SUCCESS;
```

# unique\_ptr and Arrays

- unique\_ptr can store arrays as well
  - Will call delete [ ] on destruction

#### unique5.cc

#### **Lecture Outline**

- C++ Inheritance
- Smart Pointers
  - Intro and toy ptr
  - std::unique ptr
  - std::shared\_ptr and std::weak ptr

Reference: C++ Primer, Chapter 12.1

### std::shared\_ptr

- shared\_ptr is similar to unique\_ptr but we allow shared data to have multiple owners
  - How? Reference counting!

#### What is Reference Counting?

- Idea: associate a reference count with each object
  - Reference count holds number of references (pointers) to the object
  - Adjust reference count whenever pointers are changed:
    - Increase by 1 each time we have a new pointer to an object
    - Decrease by 1 each time a pointer to an object is removed
  - When reference counter decreased to 0, no more pointers to the object, so delete it (automatically)

# std::shared\_ptr

- shared\_ptr uses reference counting
  - The copy/assign operators are not disabled; instead they increment or decrement reference counts as needed
  - When a shared\_ptr is destroyed, the reference count is decremented
    - When the reference count hits 0, we delete the pointed-to object!
  - Allows us to have multiple smart pointers to the same object and still get automatic cleanup
    - At the cost of maintaining reference counts at runtime

# shared\_ptr Example

#### sharedexample.cc

```
#include <cstdlib> // for EXIT SUCCESS
#include <iostream> // for std::cout, std::endl
#include <memory> // for std::shared ptr
int main(int argc, char **argv) {
  std::shared ptr<int> x(new int(10)); // ref count: 1
 // temporary inner scope with local y (!)
                                       // ref count: 2
   std::shared ptr<int> y = x;
   std::cout << *y << std::endl;</pre>
                                         // exit scope, y deleted
                                        // ref count: 1
  std::cout << *x << std::endl;</pre>
  return EXIT SUCCESS;
                                         // ref count: 0
```

### shared\_ptrs and STL Containers

- Even simpler than unique\_ptrs
  - Safe to store shared\_ptrs in containers, since copy & assign maintain a shared reference count

sharedvec.cc

```
vector<std::shared ptr<int> > vec;
vec.push back(std::shared ptr<int>(new int(9)));
vec.push back(std::shared ptr<int>(new int(5)));
vec.push back(std::shared ptr<int>(new int(7)));
int z = *vec[1];
std::cout << "z is: " << z << std::endl;
std::shared ptr<int> copied = vec[1]; // works!
std::cout << "*copied: " << *copied << std::endl;</pre>
std::shared ptr<int> moved = std::move(vec[1]); // works!
std::cout << "*moved: " << *moved << std::endl;</pre>
std::cout << "vec[1].get(): " << vec[1].get() << std::endl;
```

# RefLang

- Suppose for the moment that we have a new C++ -like language that uses reference counting for heap data
- As in C++, a struct is a type with public fields, so we can implement lists of integers using the following Node type

```
struct Node {
  int payload;  // node payload
  Node * next;  // next Node or nullptr
};
```

The reference counts are handled behind the scenes by the memory manager code – they are not accessible to the programmer

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## Example 1

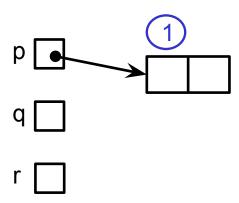
Let's execute the following code. Heap data is shown using rectangles; associated reference counts with ovals

```
р 🔲
```

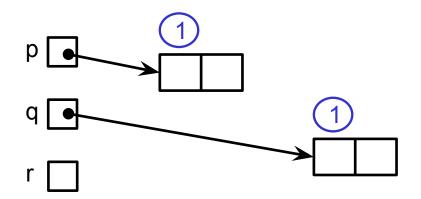
q  $\square$ 

```
r
```

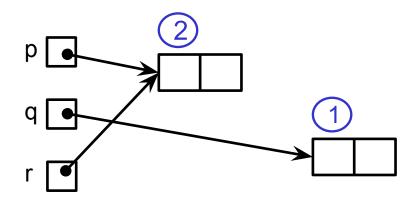
```
Node * p = new Node();
Node * q = new Node();
Node * r = p;
q->next = new Node();
p = nullptr;
r = nullptr;
q = nullptr;
```



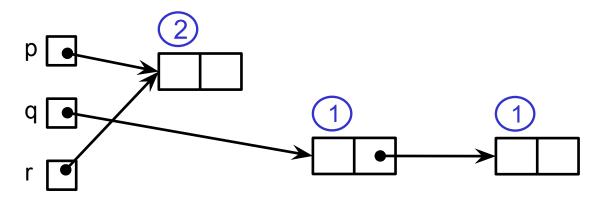
```
Node * p = new Node();
Node * q = new Node();
Node * r = p;
q->next = new Node();
p = nullptr;
r = nullptr;
q = nullptr;
```



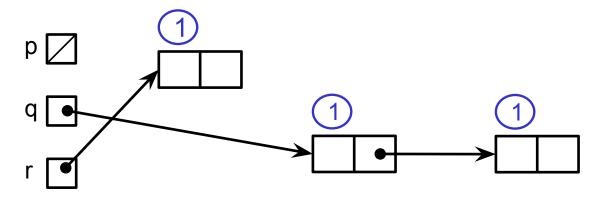
```
Node * p = new Node();
Node * q = new Node();
Node * r = p;
q->next = new Node();
p = nullptr;
r = nullptr;
q = nullptr;
```



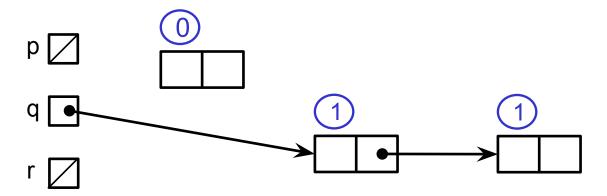
```
Node * p = new Node();
Node * q = new Node();
Node * r = p;
q->next = new Node();
p = nullptr;
r = nullptr;
q = nullptr;
```



```
Node * p = new Node();
Node * q = new Node();
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q->next = new Node();
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```



```
Node * p = new Node();
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q = nullptr;
```



```
Node * p = new Node();
Node * q = new Node();
Node * r = p;
q->next = new Node();
p = nullptr;
r = nullptr;
q = nullptr;
```

```
Node * p = new Node();
Node * q = new Node();
Node * r = p;
q->next = new Node();
p = nullptr;
r = nullptr;
q = nullptr;
```



What is the box-and-arrow diagram for this slightly-different snippet, when it finishes execution?

q 🔲

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r  $\square$ 

```
Node * q = new Node();
Node * r = new Node();
q->next = r;
r->next = q;
r = nullptr;
q = nullptr;
```

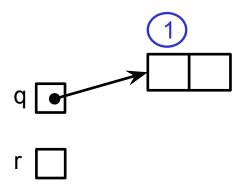
Similar to the previous code, but slightly different

```
q 🔲
```

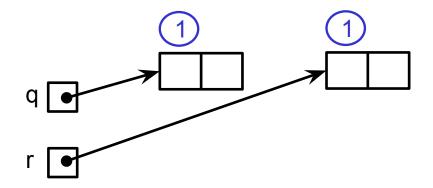
```
r \square
```

```
Node * q = new Node();
Node * r = new Node();
q->next = r;
r->next = q;
r = nullptr;
q = nullptr;
```

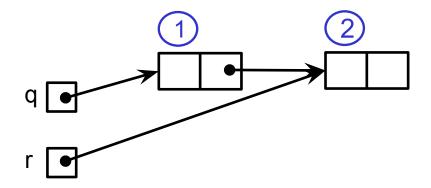
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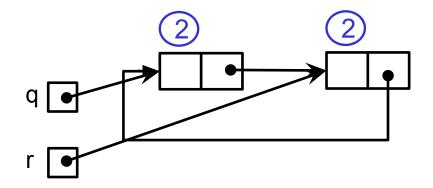
```
Node * q = new Node();
Node * r = new Node();
q->next = r;
r->next = q;
r = nullptr;
q = nullptr;
```



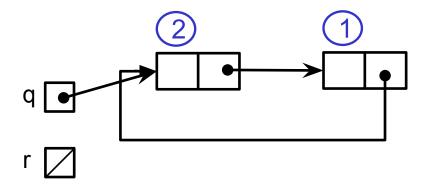
```
Node * q = new Node();
Node * r = new Node();
q->next = r;
r->next = q;
r = nullptr;
q = nullptr;
```



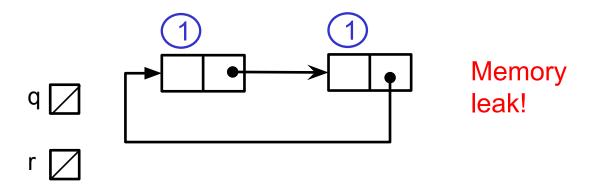
```
Node * q = new Node();
Node * r = new Node();
q->next = r;
r->next = q;
r = nullptr;
q = nullptr;
```



```
Node * q = new Node();
Node * r = new Node();
q->next = r;
r->next = q;
r = nullptr;
q = nullptr;
```



```
Node * q = new Node();
Node * r = new Node();
q->next = r;
r->next = q;
r = nullptr;
q = nullptr;
```



```
Node * q = new Node();
Node * r = new Node();
q->next = r;
r->next = q;
r = nullptr;
q = nullptr;
```

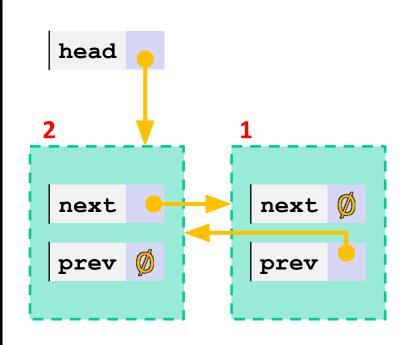
# Cycle of shared\_ptrs

- shared\_ptrs are deleted when their reference count drops to 0
- Linked data structures with cycles don't play nicely with that ...

### Cycle of shared\_ptrs

#### strongcycle.cc

```
#include <cstdlib>
#include <memory>
using std::shared ptr;
struct A {
  shared ptr<A> next;
  shared ptr<A> prev;
int main(int argc, char **argv) {
  shared ptr<A> head(new A());
  head->next = shared ptr<A>(new A());
 head->next->prev = head;
  return EXIT SUCCESS;
```

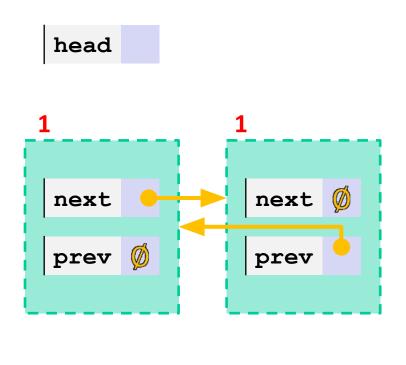


What happens when we delete head?

## Cycle of shared\_ptrs

#### strongcycle.cc

```
#include <cstdlib>
#include <memory>
using std::shared ptr;
struct A {
  shared ptr<A> next;
  shared ptr<A> prev;
int main(int argc, char **argv) {
  shared ptr<A> head(new A());
 head->next = shared ptr<A>(new A());
 head->next->prev = head;
 return EXIT SUCCESS;
```



What happens when we delete head? Nodes unreachable but not deleted because ref counts > 0

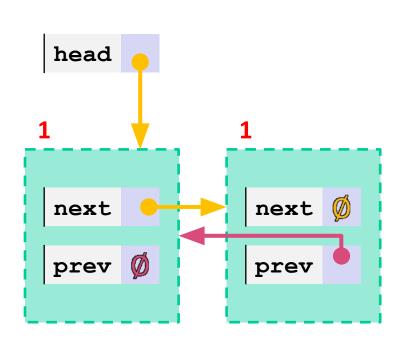
## std::weak\_ptr

- weak\_ptr is similar to a shared\_ptr but doesn't affect the reference count
  - Can only "point to" an object that is managed by a shared\_ptr
  - Because it doesn't influence the reference count, weak\_ptrs can become "dangling"
    - Object referenced may have been delete'd
  - Can't actually dereference unless you check if the object still exists
    - Then you can "get" its associated shared ptr
- Can be used to fix our cycle problem!

### Breaking the Cycle with weak\_ptr

#### weakcycle.cc

```
#include <cstdlib>
#include <memory>
using std::shared ptr;
using std::weak ptr;
struct A {
  shared ptr<A> next;
 weak ptr<A> prev;
};
int main(int argc, char **argv) {
  shared ptr<A> head(new A());
  head->next = shared ptr<A>(new A());
 head->next->prev = head;
  return EXIT SUCCESS;
```

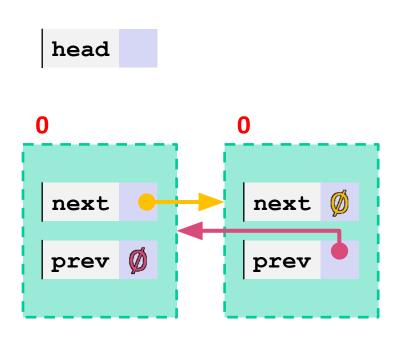


Now what happens when we delete head?

## Breaking the Cycle with weak\_ptr

#### weakcycle.cc

```
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#include <memory>
using std::shared ptr;
using std::weak ptr;
struct A {
  shared ptr<A> next;
 weak ptr<A> prev;
};
int main(int argc, char **argv) {
  shared ptr<A> head(new A());
  head->next = shared ptr<A>(new A());
  head->next->prev = head;
  return EXIT SUCCESS;
```



Now what happens when we delete head? Ref counts go to 0 and nodes deleted!

# Using a weak\_ptr

- lock():returns an "upgraded" weak\_ptr to a shared ptr
  - First checks if the data still exists, if not returns null
  - Otherwise, creates a shared\_ptr pointing to the same data as this

### Using a weak\_ptr

usingweak.cc

```
#include <cstdlib> // for EXIT SUCCESS
#include <iostream> // for std::cout, std::endl
#include <memory> // for std::shared ptr, std::weak ptr
int main(int argc, char **argv) {
 std::weak ptr<int> w;
 { // temporary inner scope with local x
   std::shared ptr<int> x;
   { // temporary inner-inner scope with local y
     std::shared ptr<int> y(new int(10));
     w = v; // weak ref; ref count for "10" node is same
     x = w.lock(); // get "promoted" shared ptr, ref cnt = 2
     std::cout << *x << std::endl;</pre>
   } // v deleted; ref count now 1
   std::cout << *x << std::endl;</pre>
 } // x deleted; ref count now 0; mem freed
 std::shared ptr<int> a = w.lock(); // nullptr
 return EXIT SUCCESS;
```

# Using a weak\_ptr

- lock():returns an "upgraded" weak\_ptr to a shared ptr
  - First checks if the data still exists, if not returns null
  - Otherwise, creates a shared\_ptr pointing to the same data as this
- use count():gets reference count
- expired():returns (use\_count() == 0)

# Caveat: shared\_ptrs Must Share Nicely

A warning: <a href="mailto:shared\_ptr">shared\_ptr</a> reference counting works as long as the shared references to the same object result from making copies of existing <a href="mailto:shared\_ptr">shared\_ptr</a> values

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# shared\_ptr Caveat

#### sharedbug.cc

```
#include <cstdlib> // for EXIT SUCCESS
#include <iostream> // for std::cout, std::endl
#include <memory> // for std::shared ptr
int main(int argc, char **argv) {
 std::shared ptr<int> x(new int(10)); // ref count: 1
 std::shared ptr<int> y(x);
                           // ref count: 2
 int *p = new int(10);
 std::shared ptr<int> xbug(p); // ref count: 1
 std::shared ptr<int> ybug(p); // separate ref count: 1
 return EXIT SUCCESS;
                         // x and y ref count: 0 - ok delete
                         // xbug and ybug ref counts both 0
                          // both try to delete p
                          // -- double-delete error!
```

# Caveat: shared\_ptrs Must Share Nicely

- If we create multiple shared\_ptrs using the same raw pointer, the shared\_ptrs will have separate reference counts.
  - Causes double deletes!
  - Good practice: allocate with new and create shared\_ptr in the same line.

```
std::shared_ptr<int> x(new int(10));

int *p = new int(10);
std::shared ptr<int> x(p);
Bad
```

### Reference Counting Perspective

- Reference counting works great! But...
  - Extra overhead on every pointer copy or delete
  - Not general enough for the language to do it automatically
    - Cannot reclaim linked objects with circular references

### Summary

- A unique\_ptr takes ownership of a pointer
  - Cannot be copied, but can be moved
  - get() returns a copy of the pointer, but is dangerous to use; better to use release() instead
  - reset() deletes old pointer value and stores a new one
- A shared\_ptr allows shared objects to have multiple owners by doing reference counting
  - deletes an object once its reference count reaches zero
- A weak\_ptr works with a shared object but doesn't affect the reference count
  - Can't actually be dereferenced, but can check if the object still
     exists and can get a shared ptr from the weak ptr if it does

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### Don't Forget!

Exercise 13 is due Monday (July 29th)

HW3 due next Thursday (August 1st)