

Introduction to Concurrency

CSE 333

Instructor: Hannah C. Tang

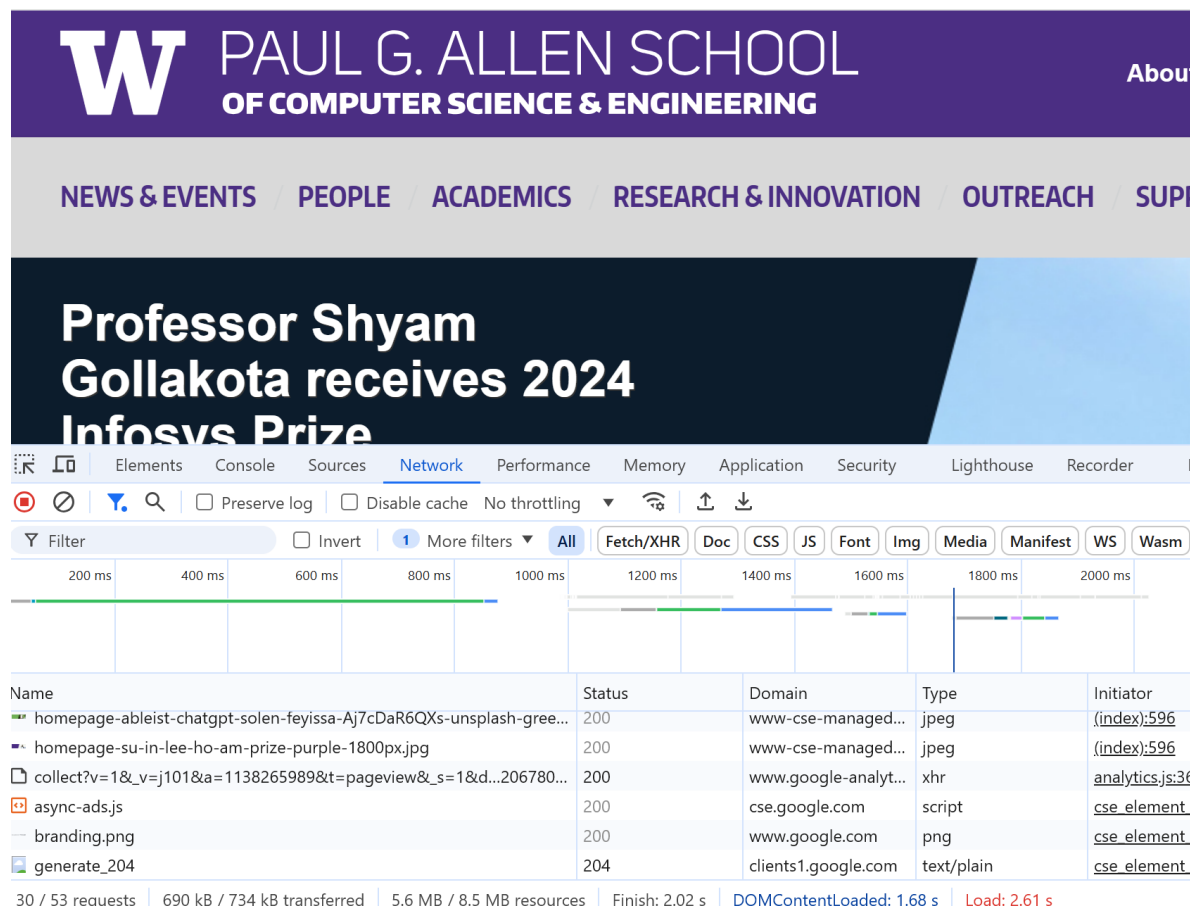
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- ❖ Find your favorite browser's version of its "developer console" and open a request to cs.washington.edu



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Professor Shyam Gollakota receives 2024 Infosys Prize

Elements Console Sources **Network** Performance Memory Application Security Lighthouse Recorder P

Preserve log Disable cache No throttling

Filter Invert 1 More filters All Fetch/XHR Doc CSS JS Font Img Media Manifest WS Wasm

Name	Status	Domain	Type	Initiator
homepage-ableist-chatgpt-solen-feyissa-Aj7cDaR6QXs-unsplash-gree...	200	www-cse-managed...	jpeg	(index);596
homepage-su-in-lee-ho-am-prize-purple-1800px.jpg	200	www-cse-managed...	jpeg	(index);596
collect?v=1&_v=j101&a=1138265989&t=pageview&s=1&d...206780...	200	www.google-analyt...	xhr	analytics.js:36
async-ads.js	200	cse.google.com	script	cse_element
branding.png	200	www.google.com	png	cse_element
generate_204	204	clients1.google.com	text/plain	cse_element

30 / 53 requests | 690 kB / 734 kB transferred | 5.6 MB / 8.5 MB resources | Finish: 2.02 s | DOMContentLoaded: 1.68 s | Load: 2.61 s

Administrivia

- ❖ Ex16 due Monday!
- ❖ HW4 has an abbreviated timeline!
 - Median completion time was 16h
- ❖ Learning is supposed to be **hard**
 - But it's not supposed to cause **suffering**. It's ok to ask for help when things are too hard – many of your peers already have!
- ❖ About HW3 in particular ...
 - Just 1 hw out of 4, and homeworks are "only" 35% of your grade
 - This class does not attempt to "fit a curve" – if a few peers do well, it doesn't mean that you will do poorly

Lecture Outline

- ❖ **Understanding Concurrency**
- ❖ **Concurrent Programming Styles**
 - Threads vs. processes
 - Asynchronous or non-blocking I/O
 - aka “Event-driven programming”

Building a Web Search Engine

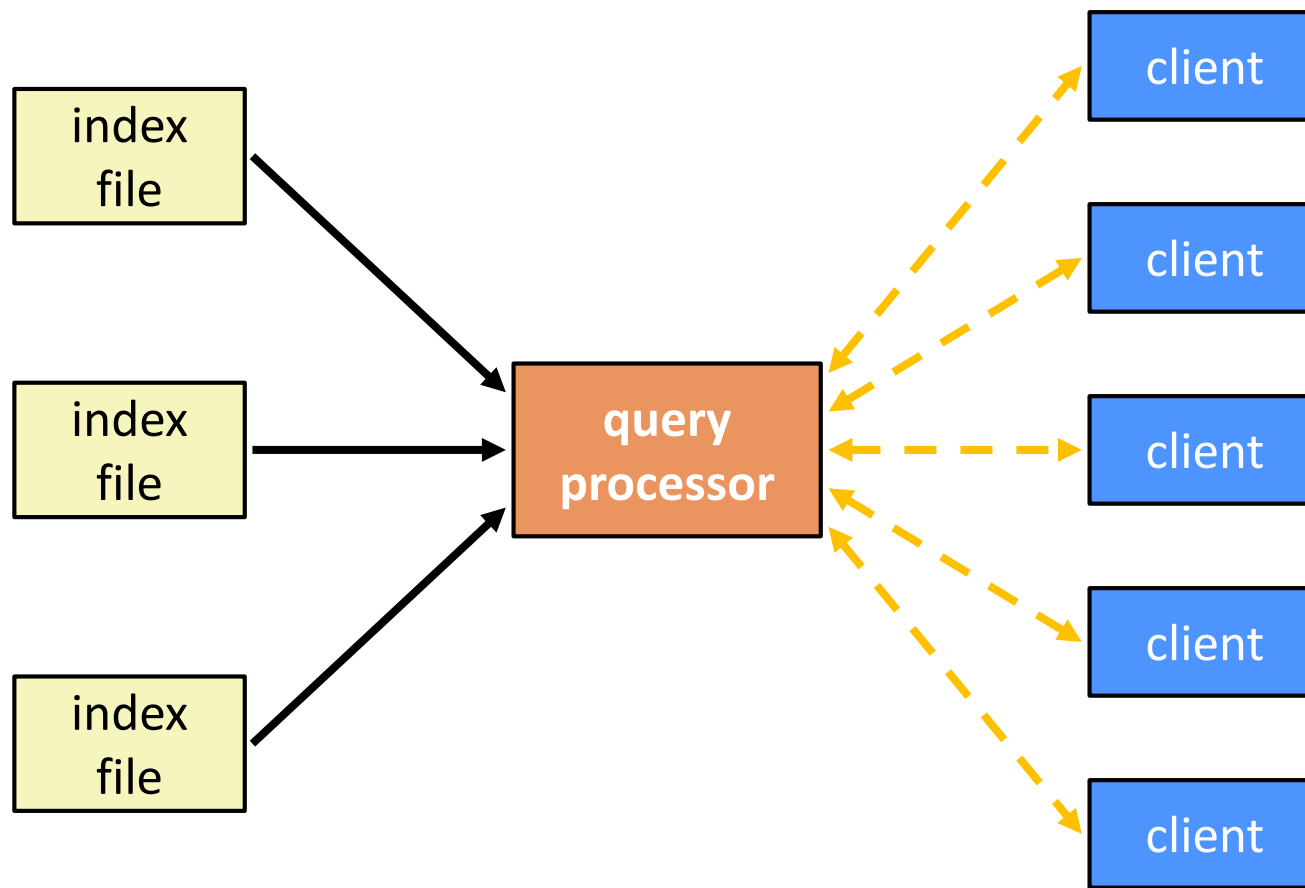
❖ We have:

- A web index
 - A map from *<word>* to *<list of documents containing the word>*
 - This is probably *sharded* over multiple files
- A query processor
 - Accepts a query composed of multiple words
 - Looks up each word in the index
 - Merges the result from each word into an overall result set

❖ We need:

- Something that turns HTTP requests into well-formed queries

Web Search Architecture



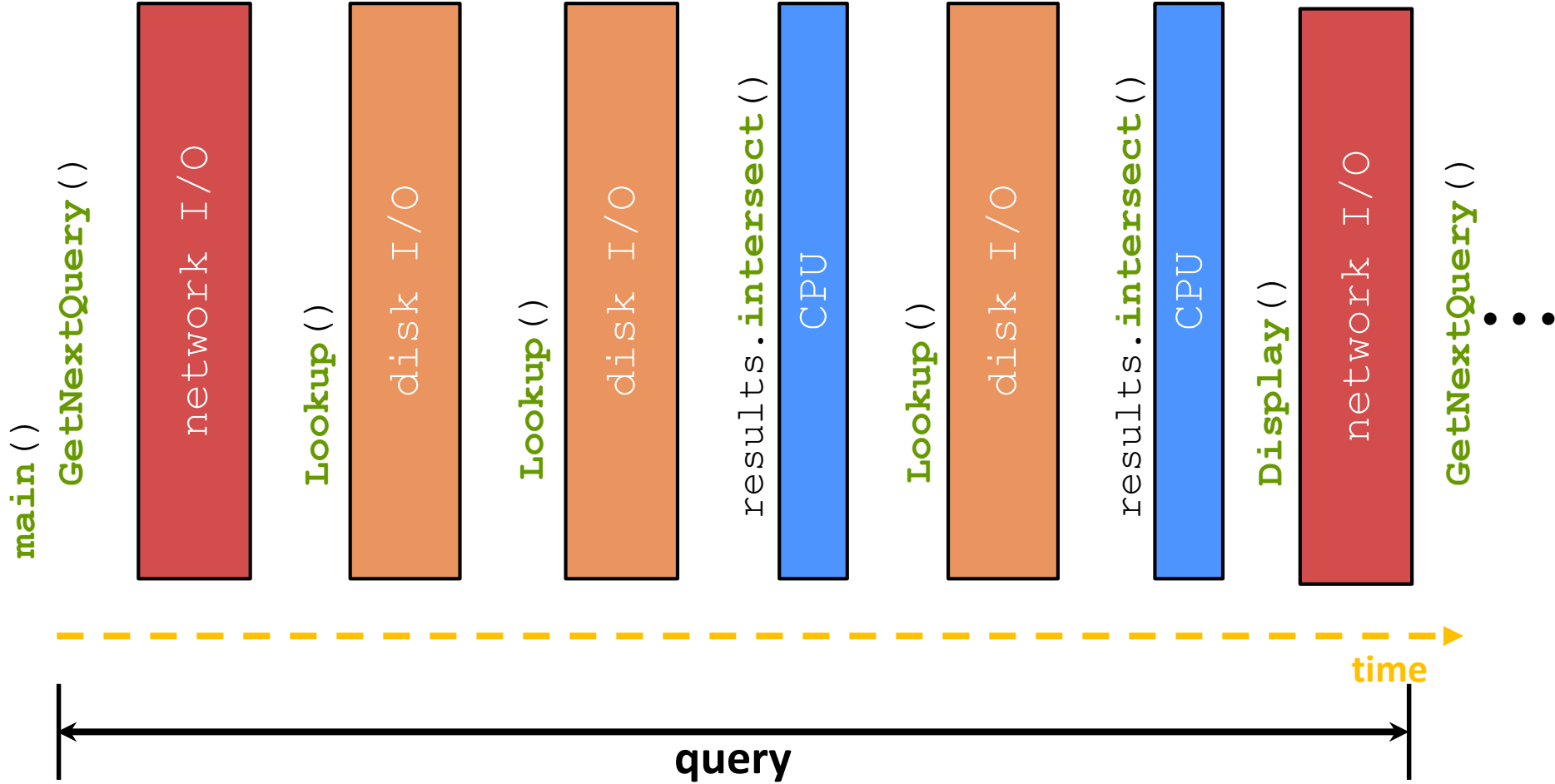
Sequential Implementation

- ❖ Pseudocode for sequential query processor:

```
doclist Lookup(string word) {
    bucket = hash(word);
    hitlist = file.read(bucket);
    foreach hit in hitlist {
        doclist.append(file.read(hit));
    }
    return doclist;
}

main() {
    while (1) {
        string query_words[] = GetNextQuery();
        results = Lookup(query_words[0]);
        foreach word in query[1..n] {
            results = results.intersect(Lookup(word));
        }
        Display(results);
    }
}
```

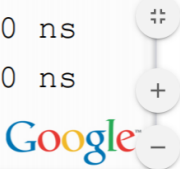

Execution Timeline: a Multi-Word Query



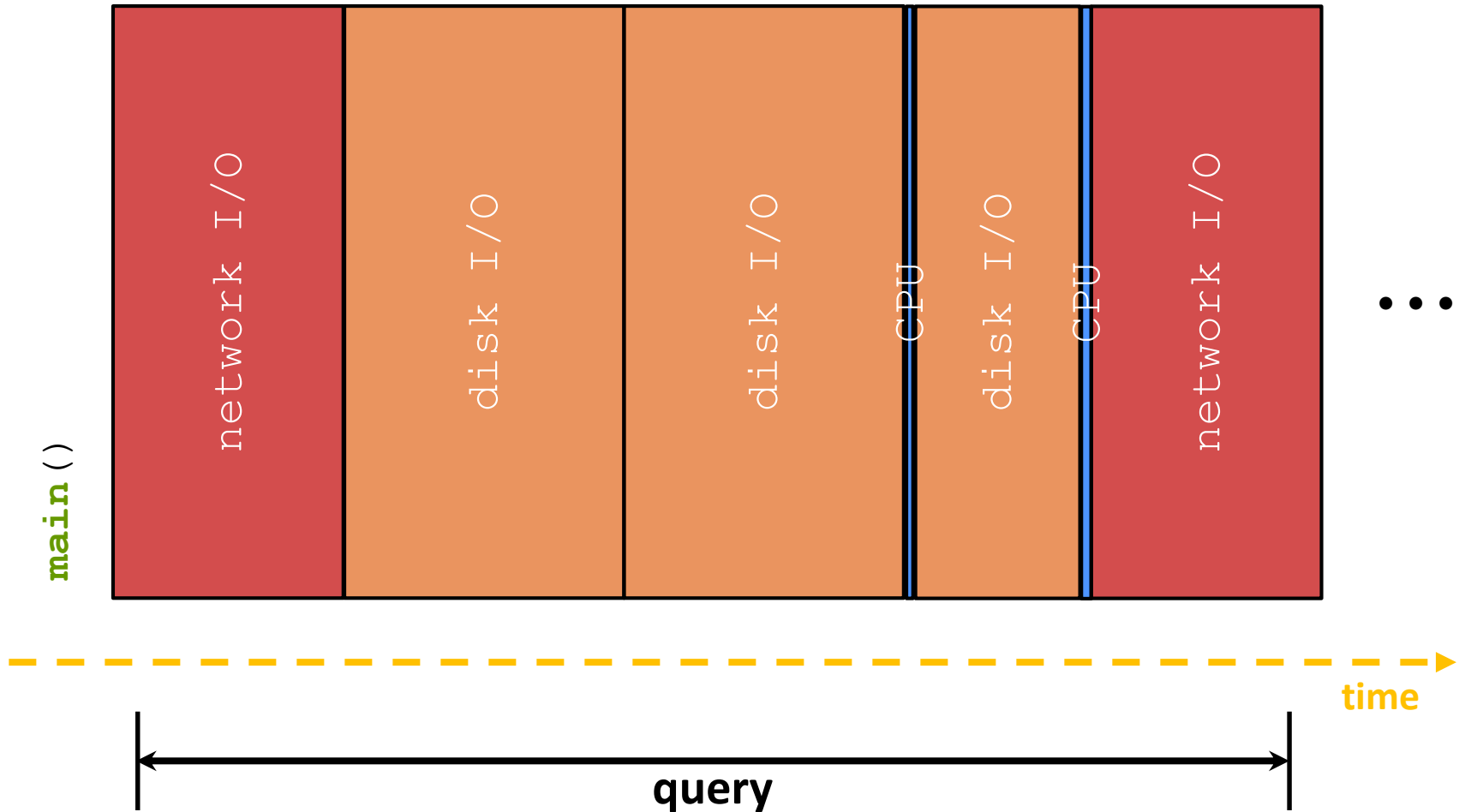
What About I/O-caused Latency?

- ❖ Jeff Dean's "Numbers Everyone Should Know" (LADIS '09)

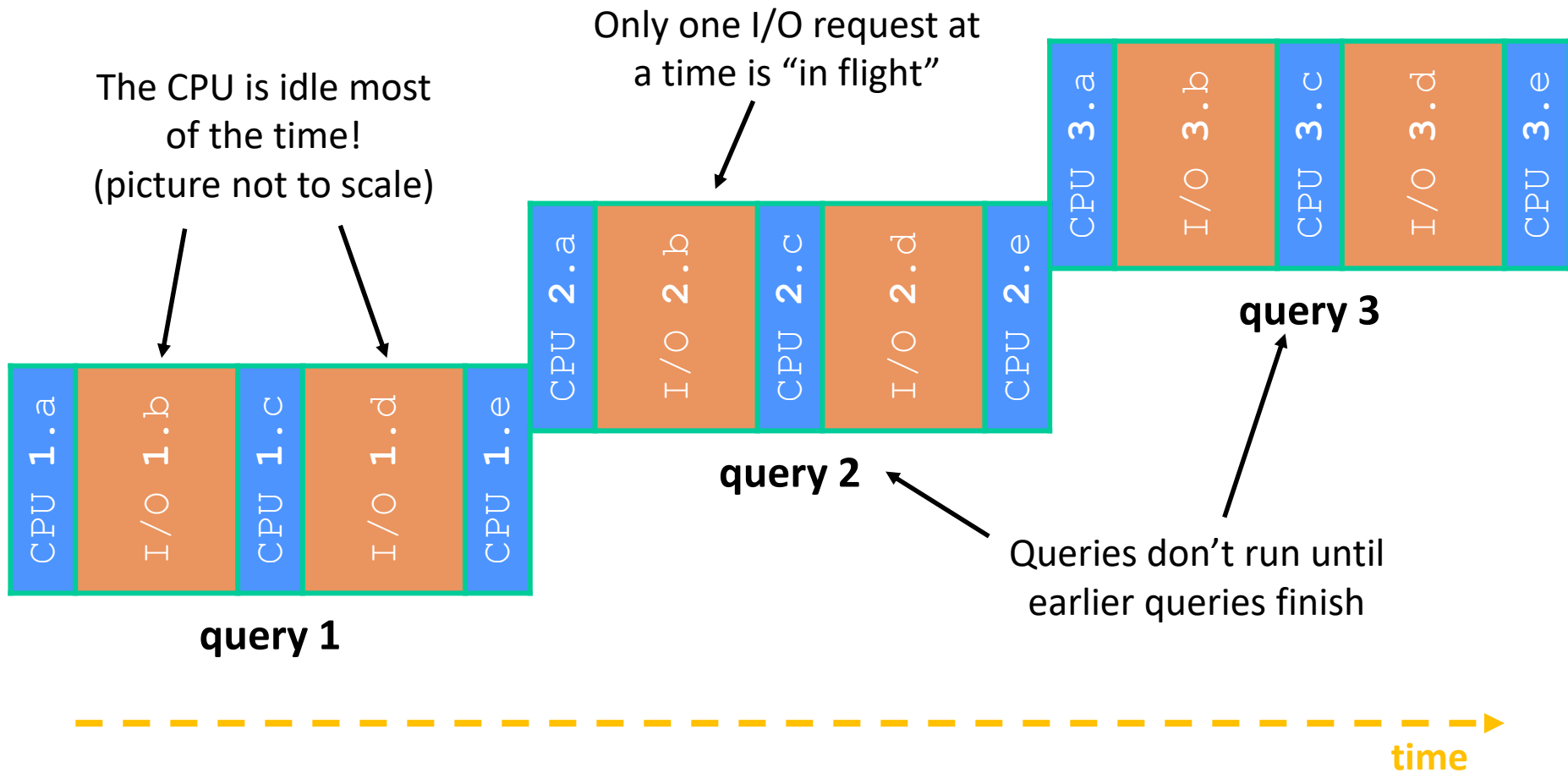
L1 cache reference	0.5 ns
Branch mispredict	5 ns
L2 cache reference	7 ns
Mutex lock/unlock	100 ns
Main memory reference	100 ns
Compress 1K bytes with Zippy	10,000 ns
Send 2K bytes over 1 Gbps network	20,000 ns
Read 1 MB sequentially from memory	250,000 ns
Round trip within same datacenter	500,000 ns
Disk seek	10,000,000 ns
Read 1 MB sequentially from network	10,000,000 ns
Read 1 MB sequentially from disk	30,000,000 ns
Send packet CA->Netherlands->CA	150,000,000 ns



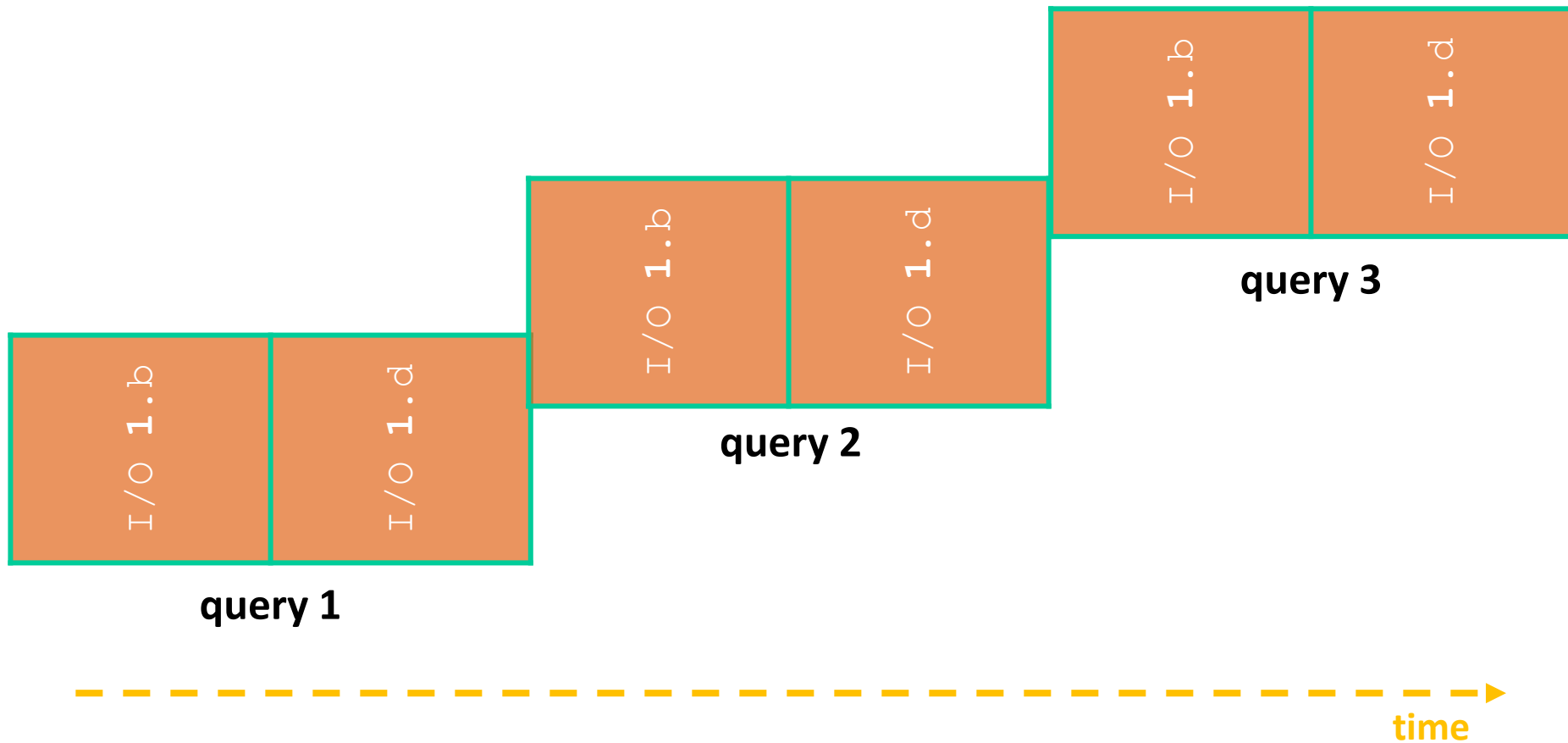
Execution Timeline: To Scale



Sequential Queries – Simplified



Sequential Queries: To Scale



Sequential Can Be Inefficient

- ❖ Only one query is being processed at a time
 - All other queries queue up behind the first one
- ❖ The CPU is idle most of the time
 - It is *blocked* waiting for I/O to complete
 - Disk I/O can be very, very slow
- ❖ At most one I/O operation is in flight at a time
 - Missed opportunities to speed I/O up
 - Separate devices in parallel, better scheduling of a single device, etc.

Concurrency

- ❖ A version of the program that executes multiple tasks simultaneously
 - Example: Our web server could execute multiple *queries* at the same time
 - While one is waiting for I/O, another can be executing on the CPU
 - Example: Execute queries one at a time, but issue *I/O requests* against different files/disks simultaneously
 - Could read from several index files at once, processing the I/O results as they arrive
- ❖ Concurrency != parallelism
 - Parallelism is when multiple CPUs work simultaneously on 1 job

A Concurrent Implementation

- ❖ Use multiple threads or processes
 - As a query arrives, fork a new thread (or process) to handle it
 - The thread reads the query from the console, issues read requests against files, assembles results and writes to the console
 - The thread uses blocking I/O; the thread alternates between consuming CPU cycles and blocking on I/O

- ❖ The OS context switches between threads/processes
 - While one is blocked on I/O, another can use the CPU
 - Multiple threads' I/O requests can be issued at once

Lecture Outline

- ❖ Understanding Concurrency
- ❖ Concurrent Programming Styles
 - **Threads vs. processes**
 - Asynchronous or non-blocking I/O
 - aka “Event-driven programming”

- ❖ To implement a “process”, the operating system gives us:
 - Resources such as file handles and sockets
 - Call stack + registers to support (eg, PC, SP)
 - Virtual memory (page tables, TLBs, etc ...)
- ❖ If we want concurrency, what is the “minimal set” of the above list that we need to execute a single line of code?

“Worker” 1

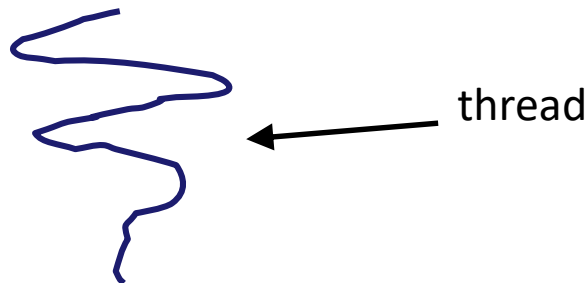
```
bucket = hash(word);  
hitlist = file.read(bucket);
```

“Worker” 2

```
foreach hit in hitlist {  
    doclist.append(file.read(hit));  
}
```

Introducing Threads

- ❖ Separate the concept of a **process** from an individual “*thread of control*”
 - Usually called a **thread** (or a *lightweight process*), this is a sequential execution stream within a process



- ❖ In most modern OS's:
 - Process: address space, OS resources/process attributes
 - Thread: stack, stack pointer, program counter, registers
 - Threads are the *unit of scheduling* and processes are their *containers*; every process has at least one thread running in it

Threads

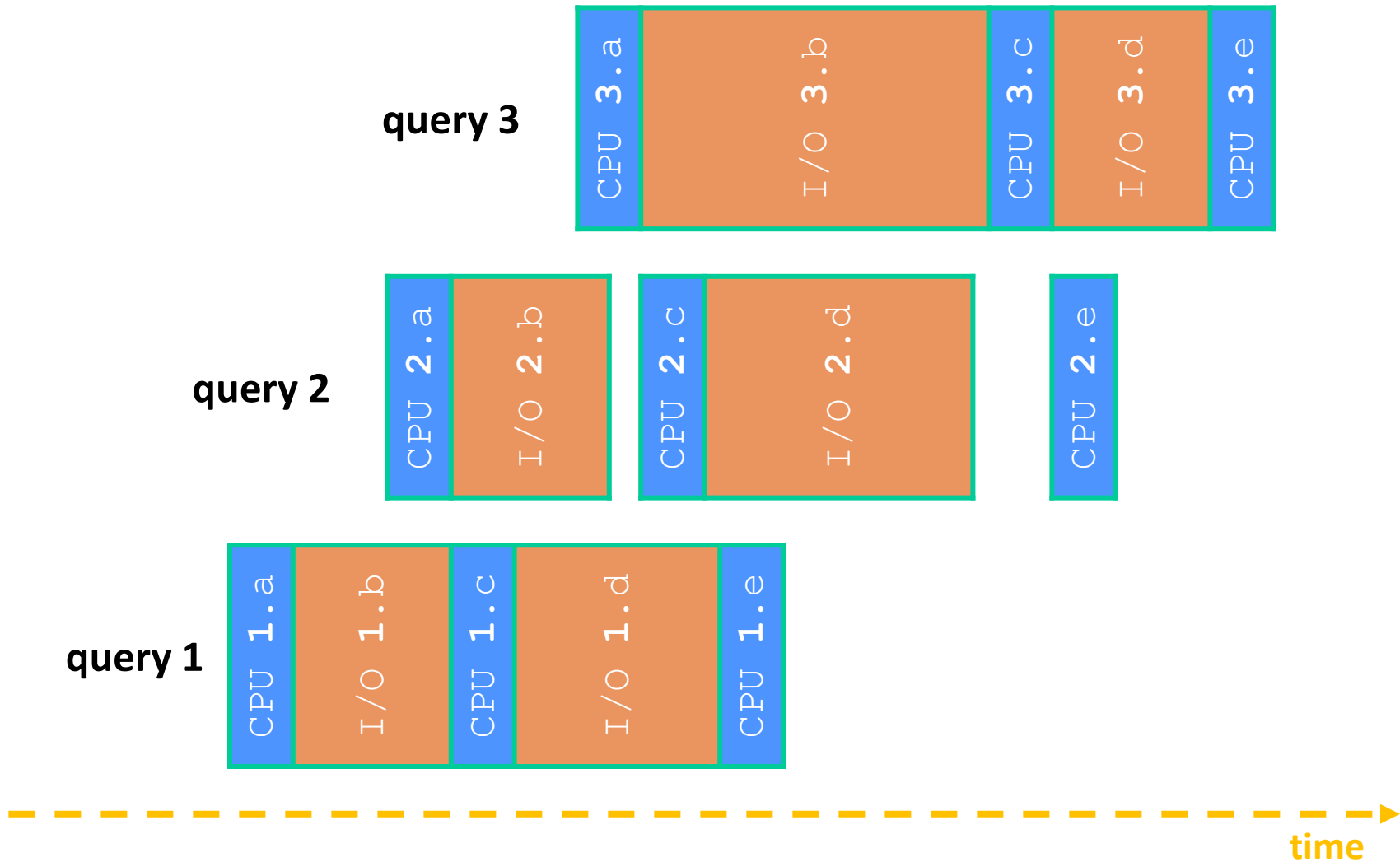
- ❖ Threads were formerly called “lightweight processes”
 - They execute concurrently like processes
 - OS’s often treat them, not processes, as the unit of scheduling
 - Parallelism for free! If you have multiple CPUs/cores, can run them simultaneously
 - Unlike processes, threads cohabit the same address space
 - Threads within a process see the same heap and globals and can communicate with each other through variables and memory
 - But can interfere with each other – need synchronization for shared resources
 - Each thread has its own stack
- ❖ What does the OS do when you switch processes?
 - How does that differ from switching threads?

Multithreaded Pseudocode

```
main() {  
    while (1) {  
        string query_words[] = GetNextQuery();  
        ForkThread(ProcessQuery());  
    }  
}
```

```
doclist Lookup(string word) {  
    bucket = hash(word);  
    hitlist = file.read(bucket);  
    foreach hit in hitlist  
        doclist.append(file.read(hit));  
    return doclist;  
}  
  
ProcessQuery() {  
    results = Lookup(query_words[0]);  
    foreach word in query[1..n]  
        results = results.intersect(Lookup(word));  
    Display(results);  
}
```

Multithreaded Queries – Simplified



Why Threads?

❖ Advantages:

- You (mostly) write sequential-looking code
- Threads can run in parallel if you have multiple CPUs/cores

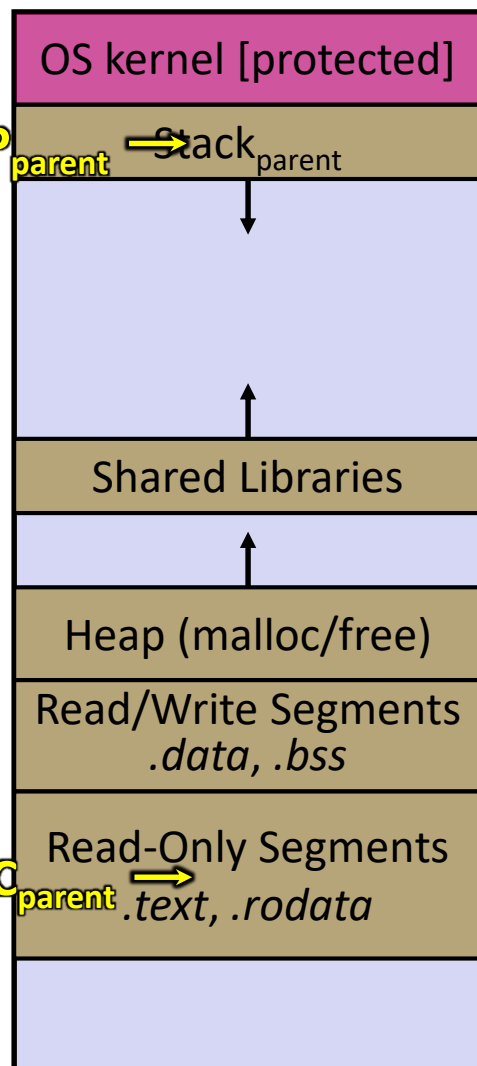
❖ Disadvantages:

- If threads share data, you need **locks** or other **synchronization**
 - Very bug-prone and difficult to debug
- Threads can introduce overhead
 - Lock contention, context switch overhead, and other issues
- Need language support for threads

Alternative: Processes

- ❖ What if we forked processes instead of threads?
- ❖ Advantages:
 - No shared memory between processes
 - No need for language support; OS provides “fork”
- ❖ Disadvantages:
 - More overhead than threads during creation and context switching
 - Cannot easily share memory between processes – typically communicate through the file system

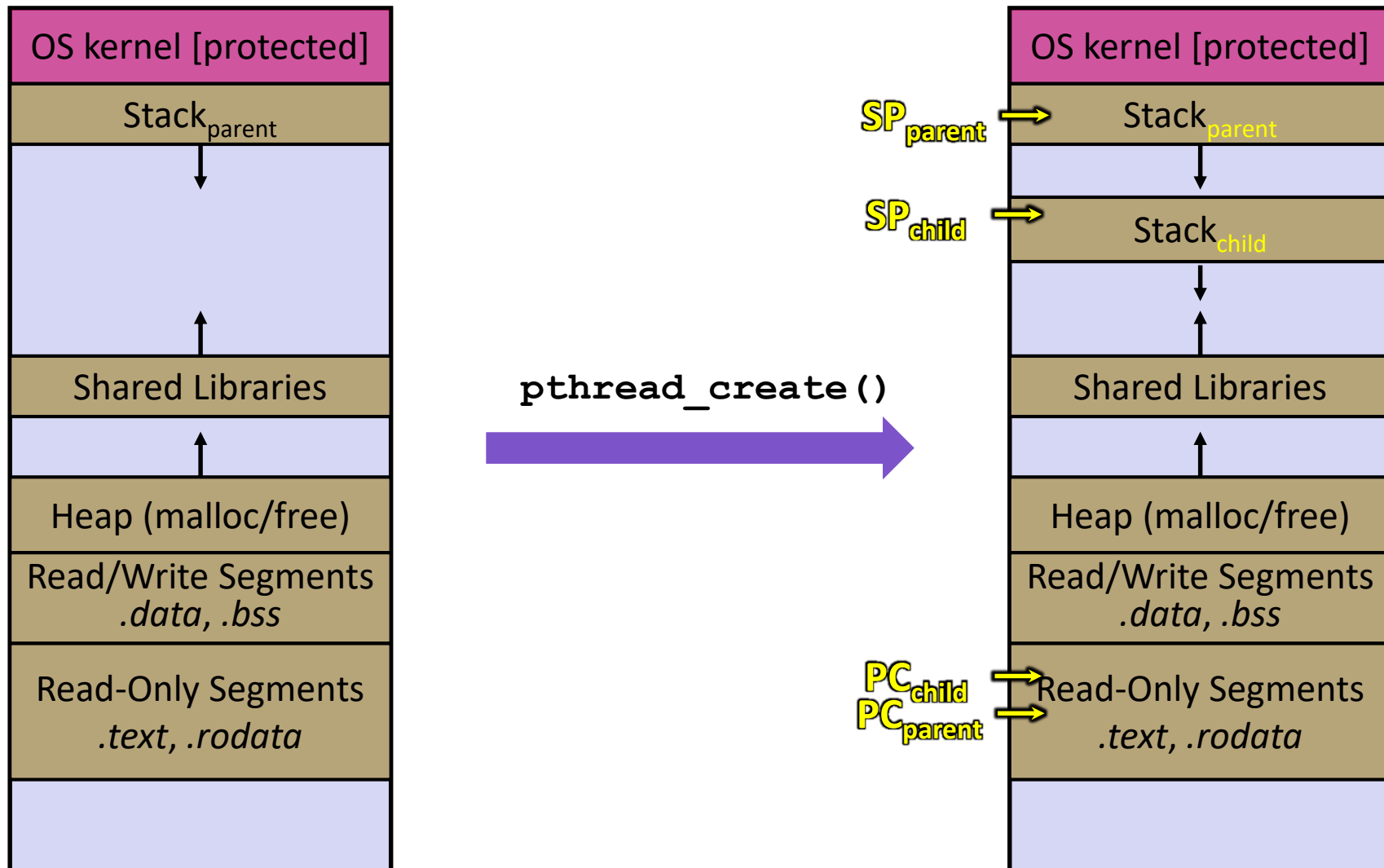
Threads vs. Processes



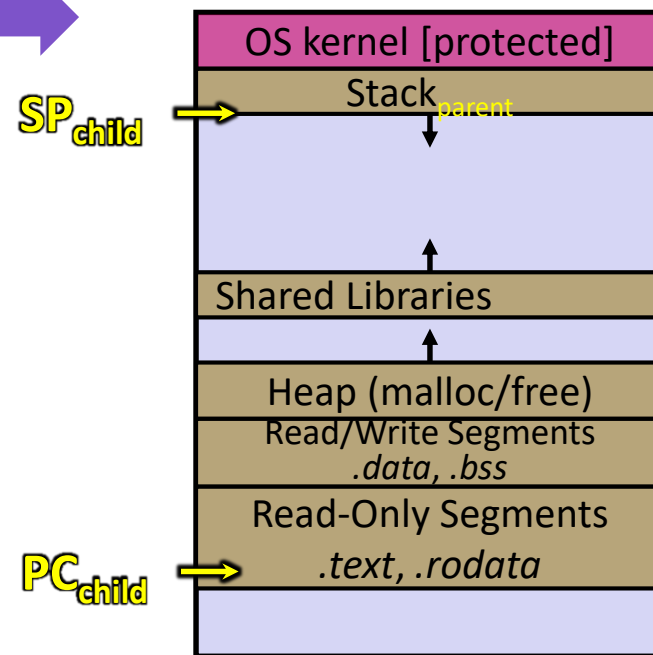
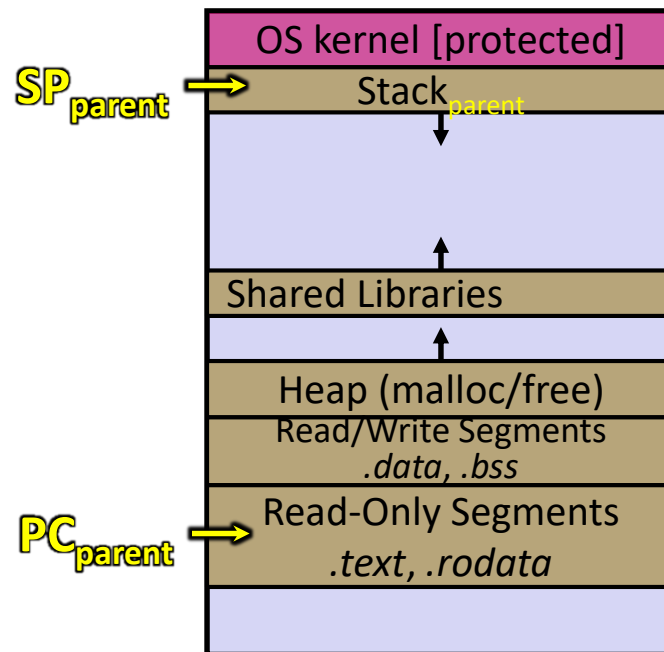
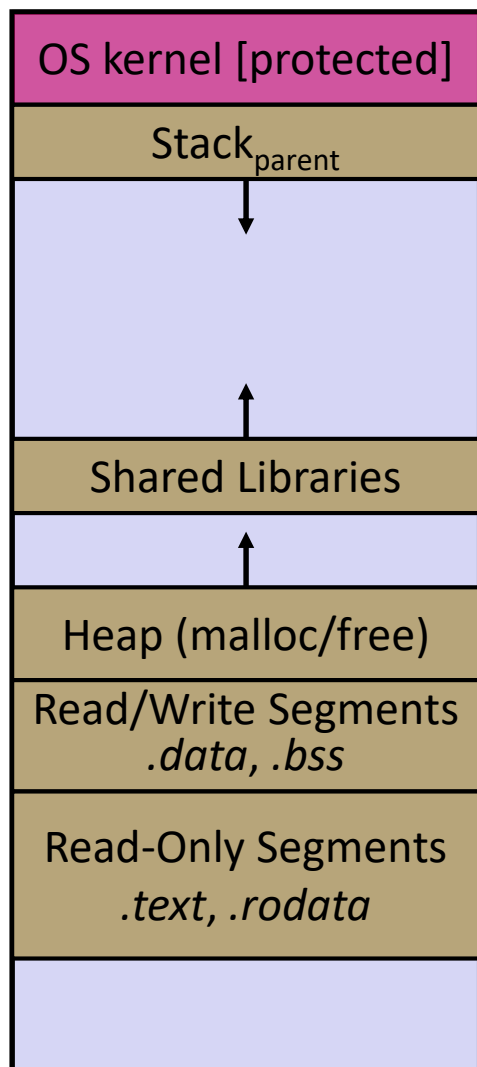
❖ Before creating a thread

- One thread of execution running in the address space
 - One PC, stack, SP
- That main thread invokes a function to create a new thread
 - Typically `pthread_create()`

Threads vs. Processes



Threads vs. Processes



Lecture Outline

- ❖ Understanding Concurrency
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 - **Asynchronous or non-blocking I/O**
 - aka “Event-driven programming”

Event-Driven Programming

- ❖ Your program is structured as an *event-loop*

```
void dispatch(task, event) {
    switch (task.state) {
        case READING_FROM_CONSOLE:
            query_words = event.data;
            async_read(index, query_words[0]);
            task.state = READING_FROM_INDEX;
            return;
        case READING_FROM_INDEX:
            ...
    }
}

while (1) {
    event = OS.GetNextEvent();
    task = lookup(event);
    dispatch(task, event);
}
```

Event-Driven Programming

- ❖ Change how we do I/O!
- ❖ Your program begins processing a query
 - When your program needs to read data to make further progress, it registers interest in the data with the OS and then switches to a different query
 - The OS handles the details of issuing the read on the disk, or waiting for data from the console (or other devices, like the network)
 - When data becomes available, the OS lets your program know via a new event

One Way to Think About It

❖ Threaded code:

- OS and thread scheduler switch between threads for you
- Each thread executes its task sequentially, and per-task state is naturally stored in the thread's stack

❖ Event-driven code:

- **You** (or your framework) are the scheduler
 - You (or your framework) also manages scheduling-related resources, such as the connection
- You have to bundle up task state into *continuations* (data structures describing what-to-do-next); tasks do not have their own stacks
- ... what if your logic required multiple steps?
 - Read from one index, then read from another index, then ...

the "state" in our
Pseudocode