

# CSE 332 Winter 2026

## Lecture 7: Recurrences, Dictionaries, BSTs

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# Analysis of Recursive Algorithms

- Overall structure of recursion:
  - Do some non-recursive “work”
  - Do one or more recursive calls on some portion of your input
  - Do some more non-recursive “work”
  - Repeat until you reach a base case
- Running time:  $T(n) = T(p_1) + T(p_2) + \dots + T(p_x) + f(n)$ 
  - The time it takes to run the algorithm on an input of size  $n$  is:
  - The sum of how long it takes to run the same algorithm on each smaller input
  - Plus the total amount of non-recursive work done in that stack frame
- Usually:
  - $T(n) = a \cdot T\left(\frac{n}{b}\right) + f(n)$ 
    - Called “divide and conquer”
  - $T(n) = T(n - c) + f(n)$ 
    - Called “chip and conquer”

# Recursive List Summation

$$T(n) = 2T\left(\frac{n}{2}\right) + 1$$

```
public int sum(int[] list){  
    return sum_helper(list, 0, list.size());  
}  
  
private int sum_helper(int[] list, int low, int high){  
    if (low == high){ return 0; }  
    if (low == high-1){ return list[low]; }  
    int middle = (high+low)/2;  
    return sum_helper(list, low, middle) + sum_helper(list, middle, high);  
}
```

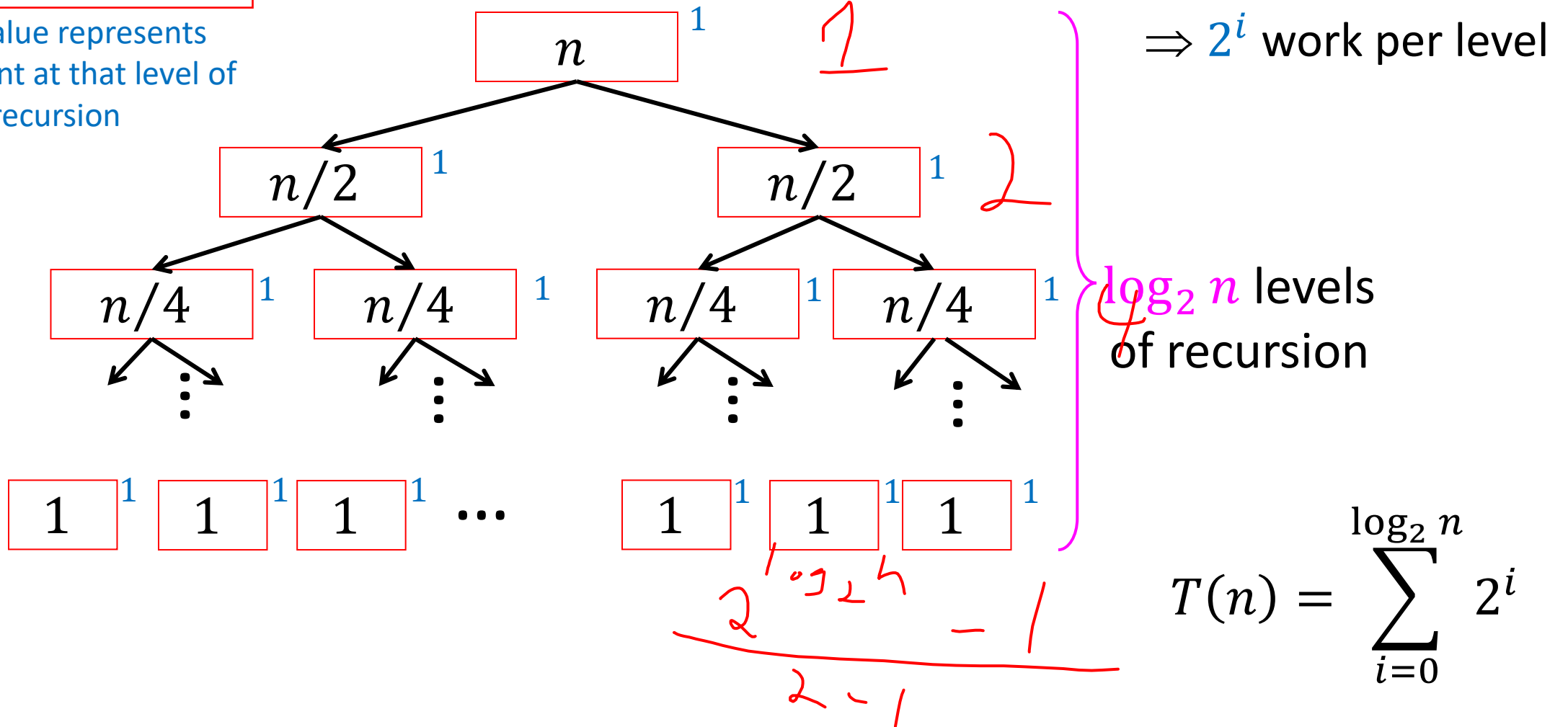
# Tree Method

Red box represents a problem instance

Blue value represents time spent at that level of recursion

$$T(n) = 2T\left(\frac{n}{2}\right) + 1$$

$$\frac{n}{2^i} = 1$$



# Tree Method Summary: Chip and Conquer

- Recurrence looks like  $T(n) = \underline{a}T(\underline{n-b}) + f(n)$
- Use the recurrence to draw a tree
  - $a$  is the branching factor of the tree (e.g. if  $a = 2$  then it's a binary tree)
  - Subtract  $b$  from the parent's input size to get children's input size
  - Work done per node is given by applying  $f(n)$  to that node's input size
  - Height of the tree is  $\frac{n}{b}$ 
    - Because that is the number of times we must subtract  $b$  until reaching a base case
    - Answer to the question "how many times must we subtract  $b$  until we reach 0?"
      - Any base case is a constant, so to reach a larger value would just be a constant change
- Use the tree to express running time as a series
  - Adding work done for each node level-by-level
  - Identify a pattern to express work done at level  $i$  as a function of  $i$
  - Write a series using  $i = 0$  up to  $\frac{n}{b}$
- Solve the series

# Tree Method Summary: Divide and Conquer

- Recurrence looks like  $T(n) = aT\left(\frac{n}{b}\right) + f(n)$
- Use the recurrence to draw a tree
  - $a$  is the branching factor of the tree (e.g. if  $a = 2$  then it's a binary tree)
  - Divide the parent's input size by  $b$  to get children's input size
  - Work done per node is given by applying  $f(n)$  to that node's input size
  - Height of the tree is  $\log_b n$ 
    - Because that is the number of times we must divide by  $b$  until reaching a base case
    - Answer to the question "how many times must we divide by  $b$  until we reach 1?"
      - Any base case is a constant, so to reach a larger value would just be a constant change
- Use the tree to express running time as a series
  - Adding work done for each node level-by-level
  - Identify a pattern to express work done at level  $i$  as a function of  $i$
  - Write a series using  $i = 0$  up to  $\log_b n$
- Solve the series

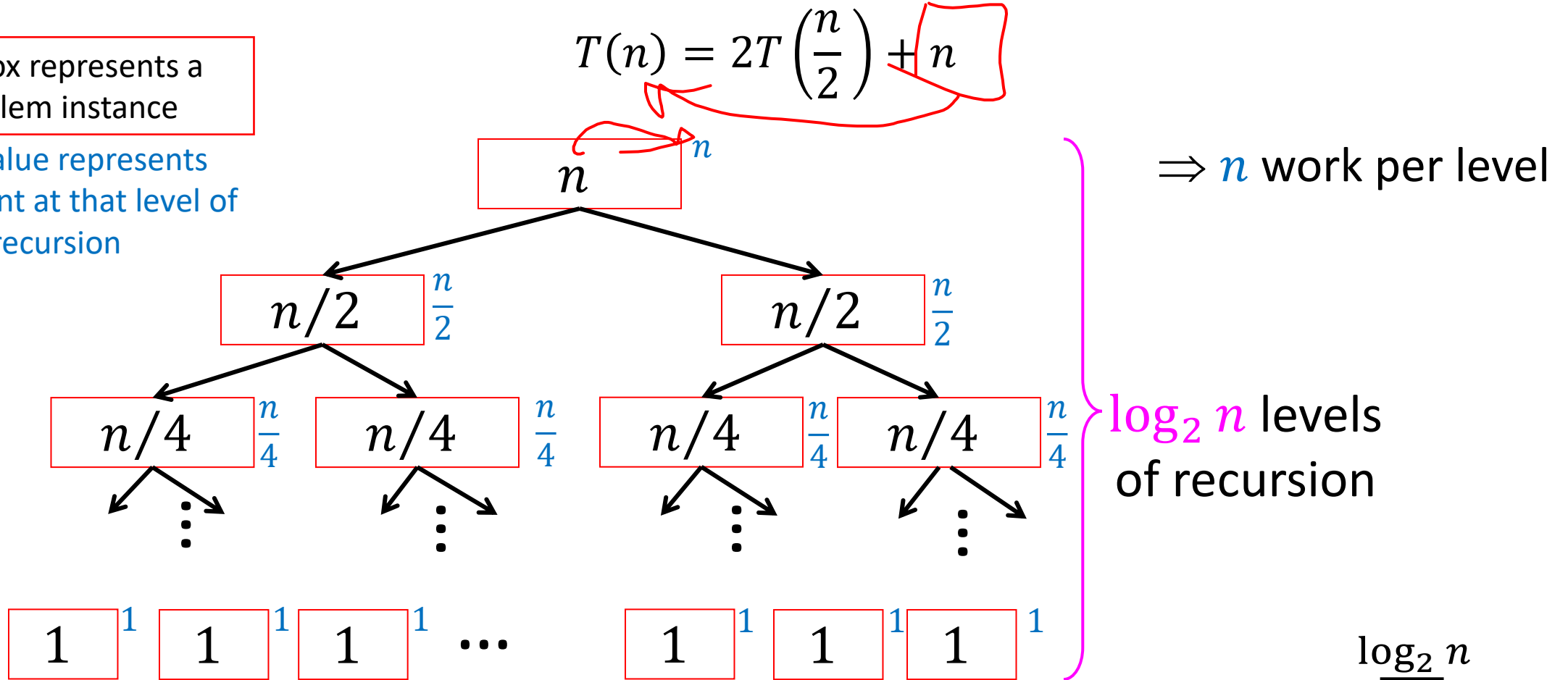
# Let's do some more!

- For each, assume the base case is  $n = 1$  and  $T(1) = 1$
- $T(n) = 2T\left(\frac{n}{2}\right) + n$
- $T(n) = 2T\left(\frac{n}{2}\right) + n^2$
- $T(n) = 2T\left(\frac{n}{8}\right) + 1$

# Tree Method

Red box represents a problem instance

Blue value represents time spent at that level of recursion



$$T(n) = \sum_{i=0}^{\log_2 n} n$$

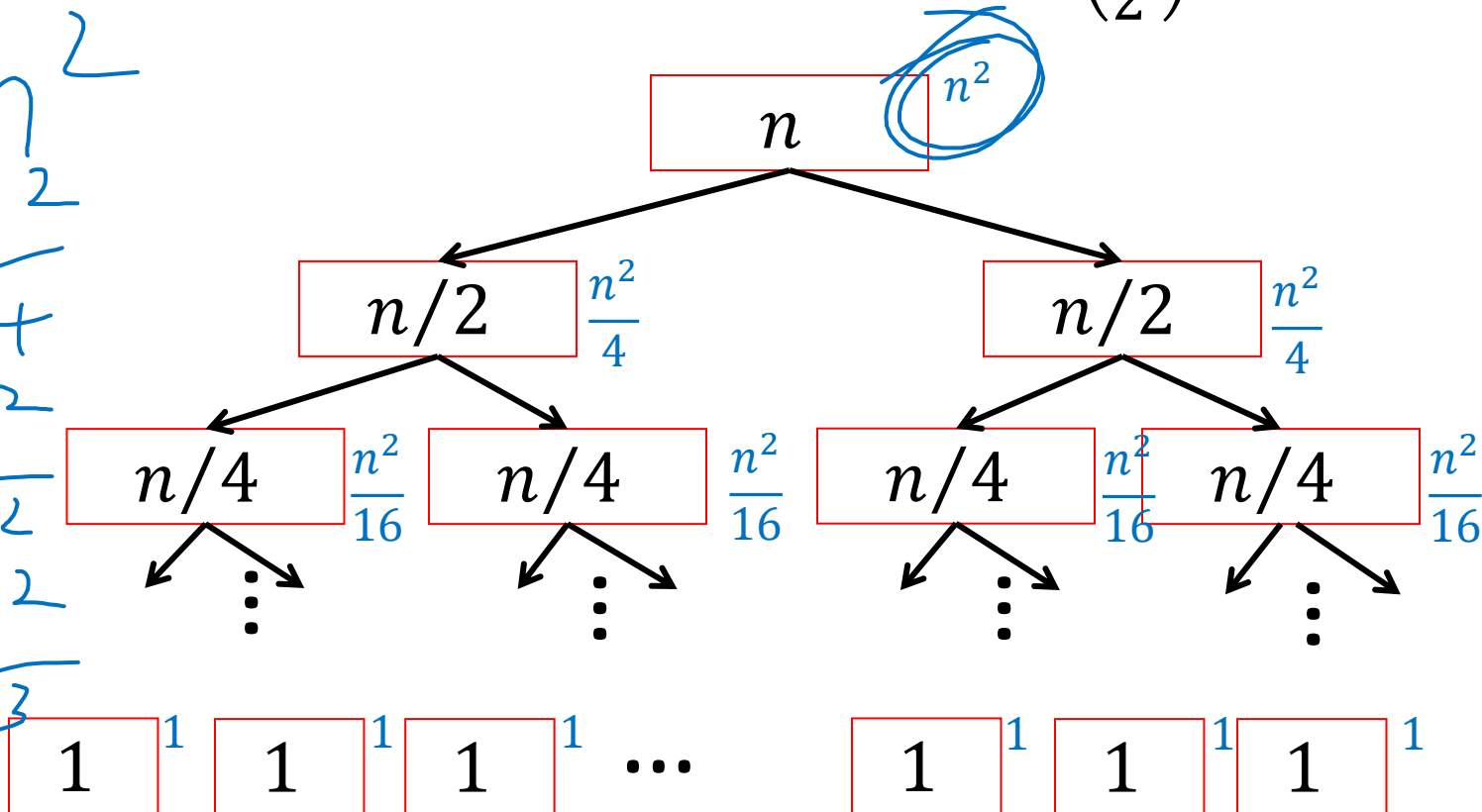


# Tree Method

$$T(n) = 2T\left(\frac{n}{2}\right) + n^2$$

$$\left(\frac{n^2}{4}\right)^i \sim \frac{n^2}{2^i}$$

$$\begin{array}{r} n^2 \\ 2 \quad \frac{n^2}{4} \\ 2 \quad \frac{n^2}{4^2} \\ 2^3 \quad \frac{n^2}{4^3} \end{array}$$



$\Rightarrow ??$  work per level

$\log_2 n$  levels of recursion

$$T(n) = \sum_{i=0}^{\log_2 n} ?? \quad \frac{n^2}{2^i}$$

Solving  $T(n) = 2T\left(\frac{n}{2}\right) + n^2$

$$T(n) = \sum_{i=0}^{\log_2 n} \frac{n^2}{2^i}$$

$$= n^2 \cdot \sum_{i=0}^{\log_2 n} \left(\frac{1}{2}\right)^i$$

$$O(n^2)$$

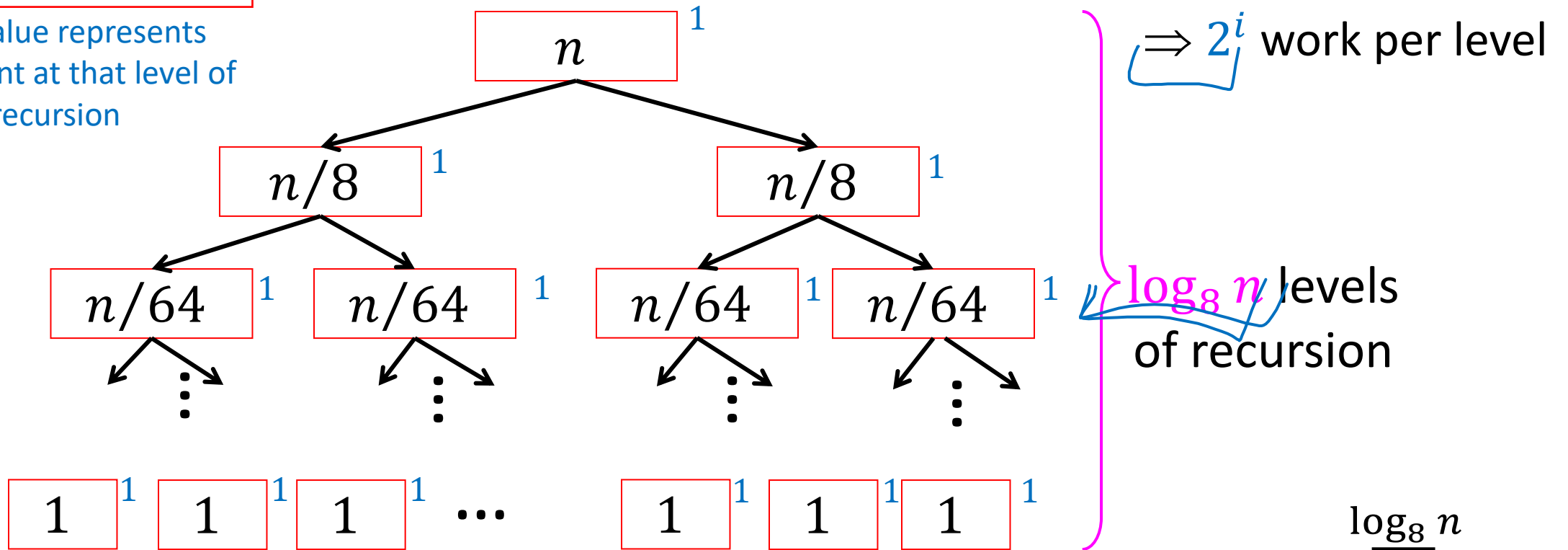
$$\Theta(n^2)$$

# Tree Method

Red box represents a problem instance

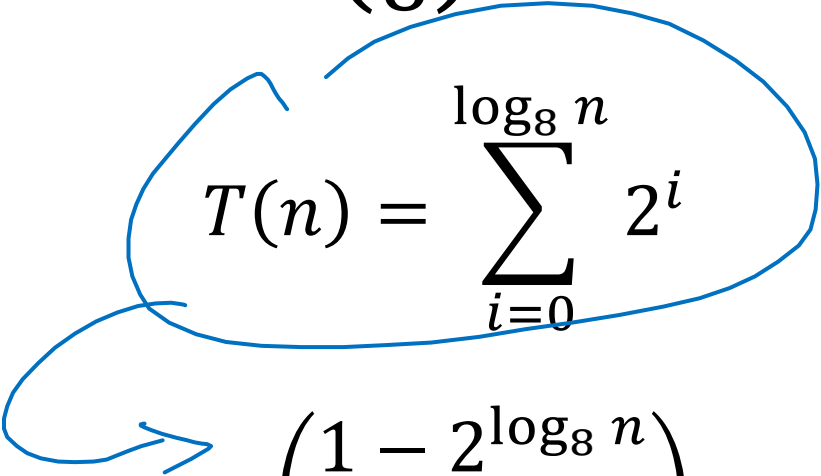
Blue value represents time spent at that level of recursion

$$T(n) = 2T\left(\frac{n}{8}\right) + 1$$



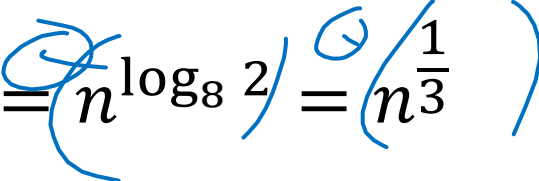
$$T(n) = \sum_{i=0}^{\log_8 n} 2^i$$

Solving  $T(n) = 2T\left(\frac{n}{8}\right) + 1$


$$T(n) = \sum_{i=0}^{\log_8 n} 2^i$$

$$= \left( \frac{1 - 2^{\log_8 n}}{1 - 2} \right)$$

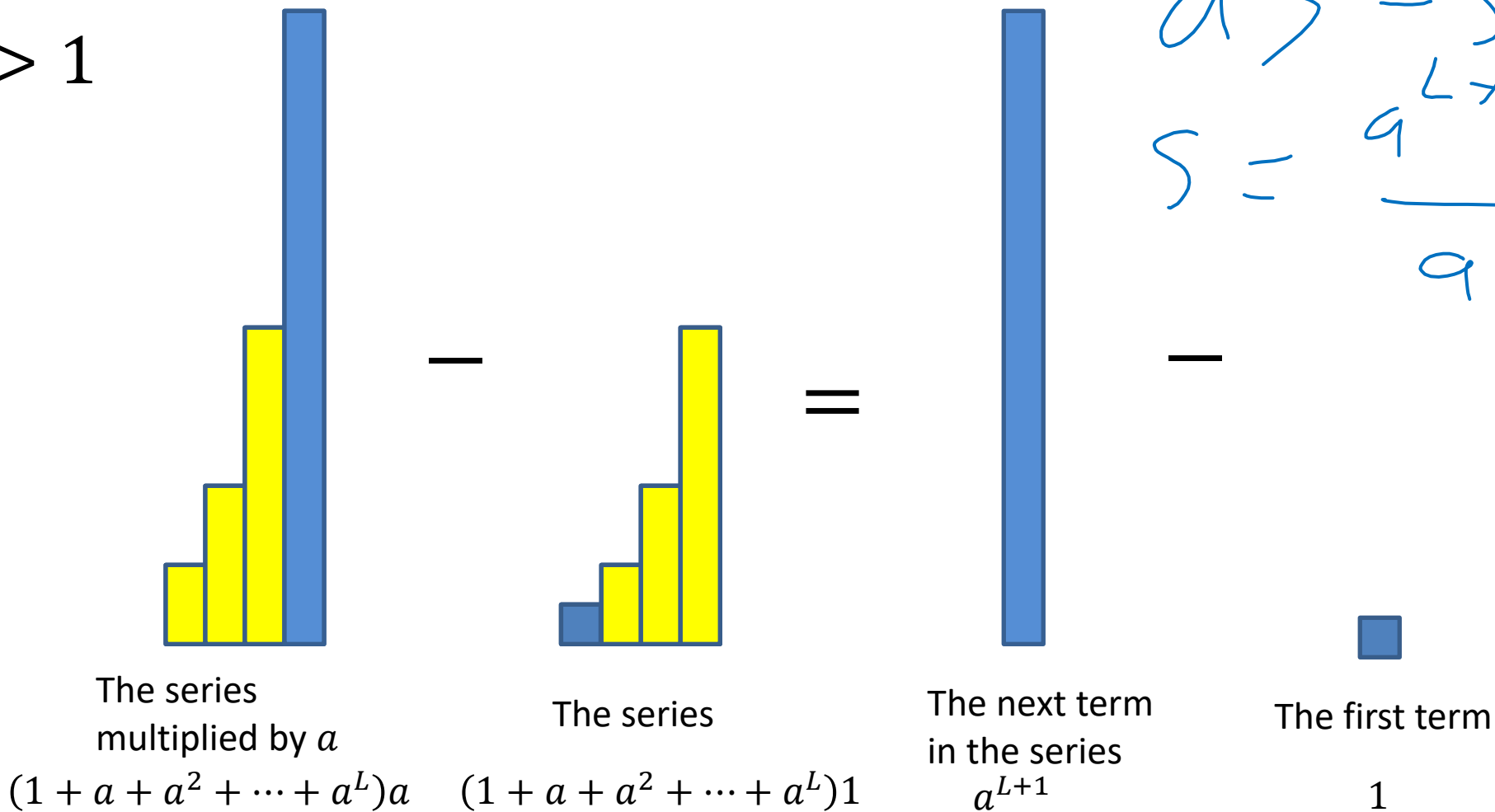
$$= 2^{\log_8 n} - 1$$


$$= n^{\log_8 2} = n^{\frac{1}{3}}$$

$$\sum_{i=0}^L a^i$$

# Finite Geometric Series

If  $a > 1$



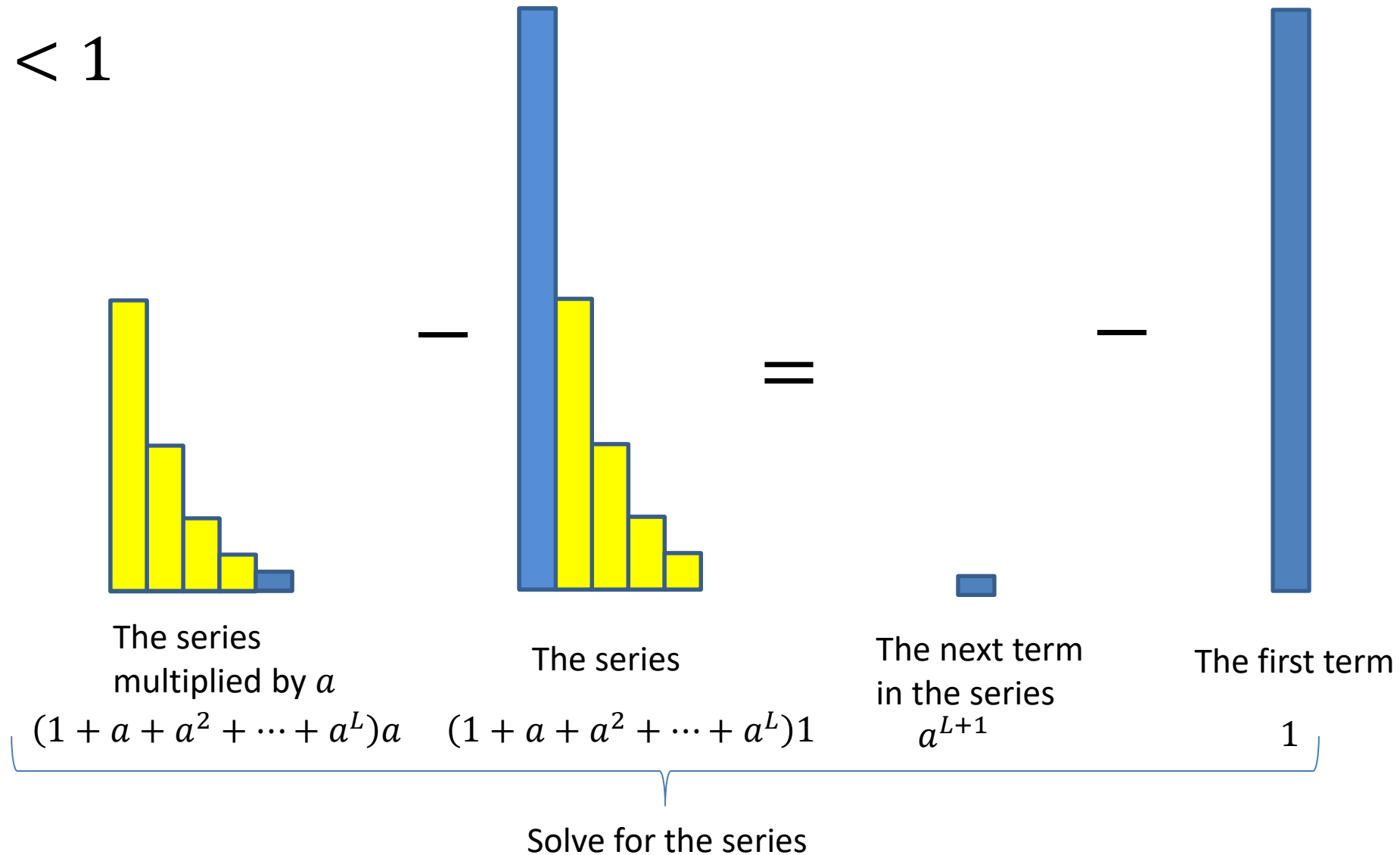
$$aS - S = a^{L+1} - 1$$

$$S = \frac{a^{L+1} - 1}{a - 1}$$

$$\sum_{i=0}^L a^i$$

# Finite Geometric Series

If  $a < 1$



# Dictionary (Map) ADT

- Contents:
  - Sets of key+value pairs
  - Keys must be comparable
- Operations:
  - insert(key, value)
    - Adds the (key,value) pair into the dictionary
    - If the key already has a value, overwrite the old value
      - Consequence: Keys cannot be repeated
  - find(key)
    - Returns the value associated with the given key
  - delete(key)
    - Remove the key (and its associated value)

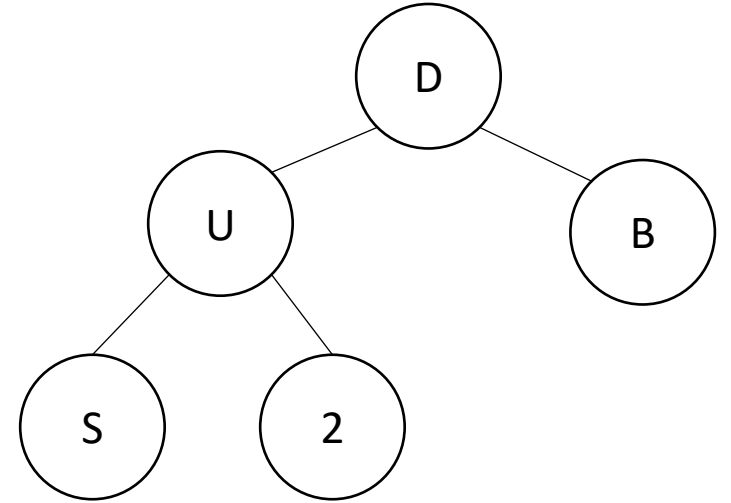
# Naïve attempts

Data Structure	Time to insert	Time to find	Time to delete
Unsorted Array	$\Theta(n)$	$\Theta(n)$	$\Theta(n)$
Unsorted Linked List	$\Theta(n)$	$\Theta(n)$	$\Theta(n)$
Sorted Array	$\Theta(n)$	$\Theta(\log n)$	$\Theta(n)$
Sorted Linked List	$\Theta(n)$	$\Theta(n)$	$\Theta(n)$
Heap	$\Theta(\log n)$	$\Theta(n)$	$\Theta(n)$
Binary Search Tree (worst)	$\Theta(n)$	$\Theta(n)$	$\Theta(n)$
Binary Search Tree (expected)	$\Theta(\log n)$	$\Theta(\log n)$	$\Theta(\log n)$



# More Tree “Vocab”

- Traversal:
  - An algorithm for “visiting/processing” every node in a tree
- Pre-Order Traversal:
  - Root, Left Subtree, Right Subtree
  - D U S 2 B
- In-Order Traversal:
  - Left Subtree, Root, Right Subtree
  - S U 2 D B
- Post-Order Traversal
  - Left Subtree, Right Subtree, Root
  - S 2 U B D



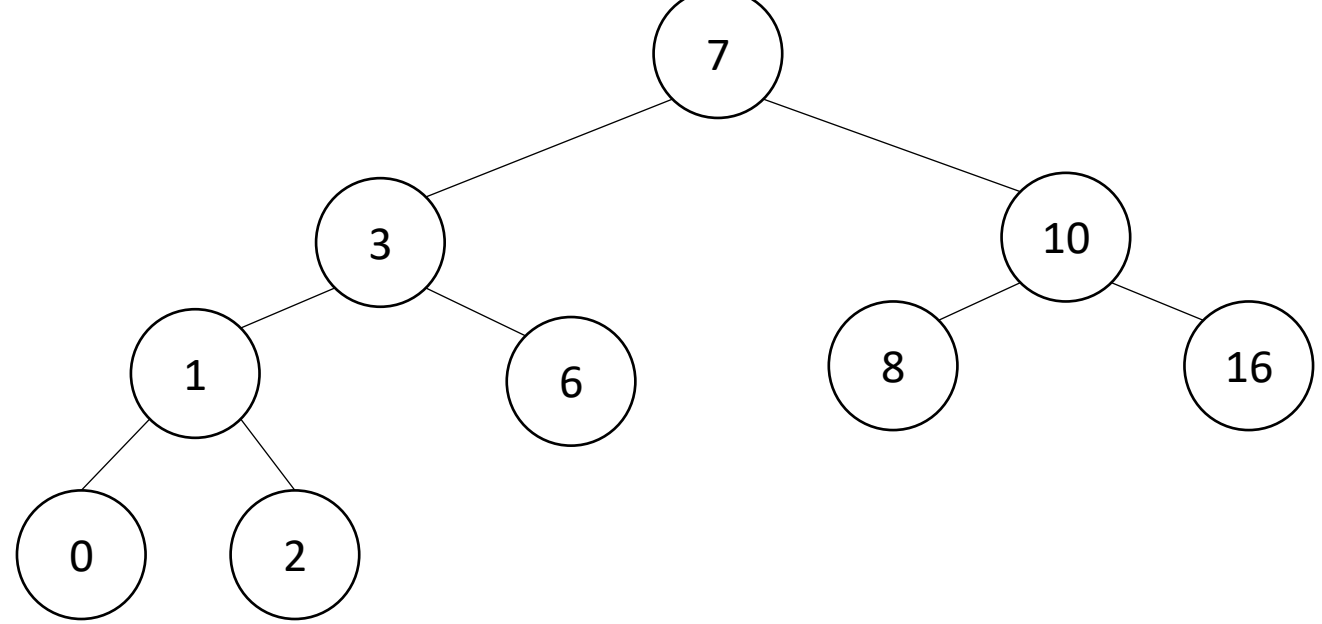
# Name that Traversal!

```
AorderTraversal(root){  
    if (root.left != Null){  
        process(root.left);  
    }  
    if (root.right != Null){  
        process(root.right);  
    }  
    process(root);  
}
```

```
BorderTraversal(root){  
    process(root);  
    if (root.left != Null){  
        process(root.left);  
    }  
    if (root.right != Null){  
        process(root.right);  
    }  
}
```

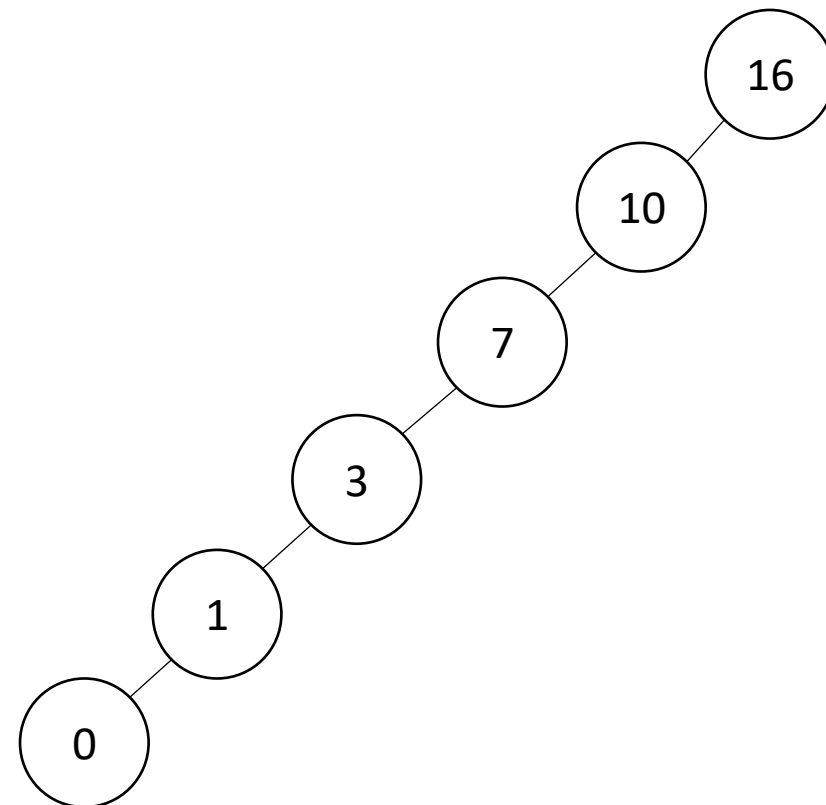
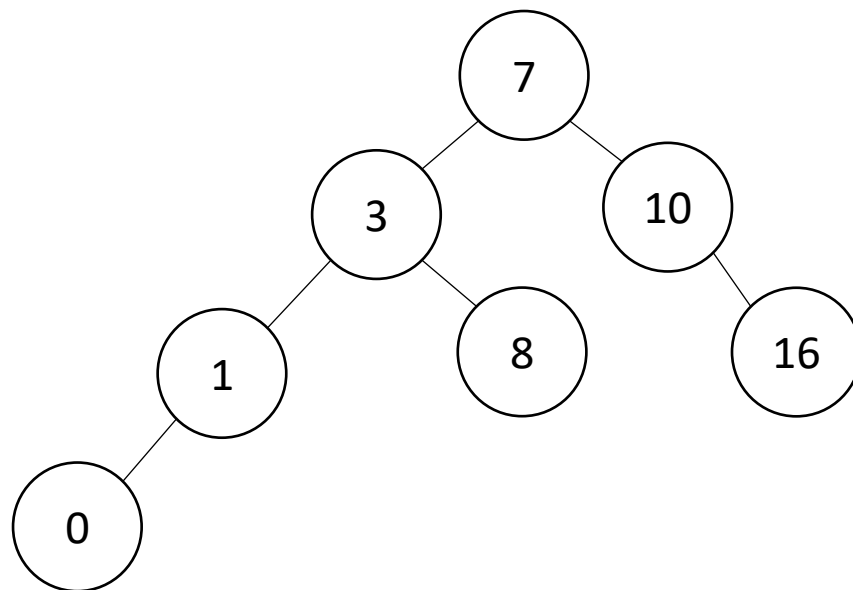
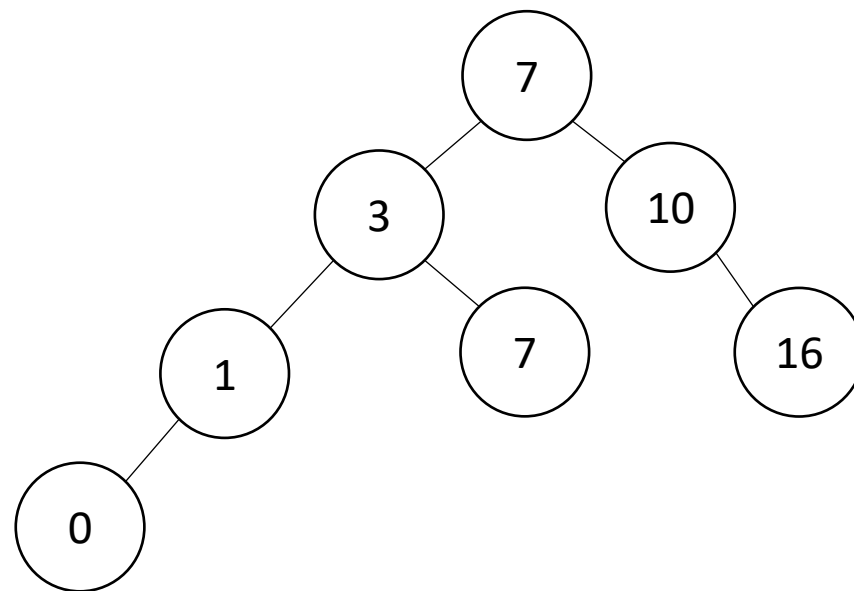
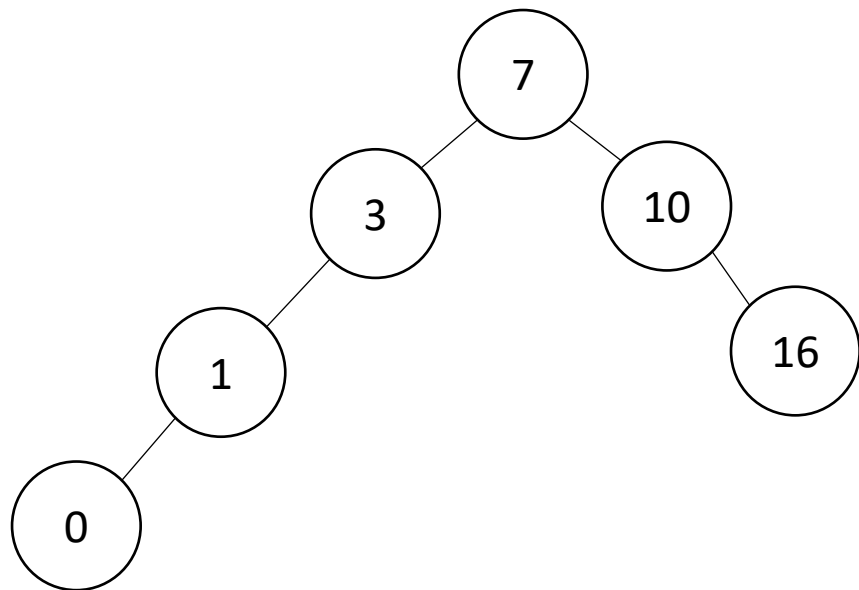
```
CorderTraversal(root){  
    if (root.left != Null){  
        process(root.left);  
    }  
    process(root)  
    if (root.right != Null){  
        process(root.right);  
    }  
}
```

# Binary Search Tree



- Binary Tree
  - Definition:
    - Tree where each node has at most 2 children
- Order Property
  - All keys in the left subtree are smaller than the root
  - All keys in the right subtree are larger than the root
  - Consequence: cannot have repeated values

# Are these BSTs?

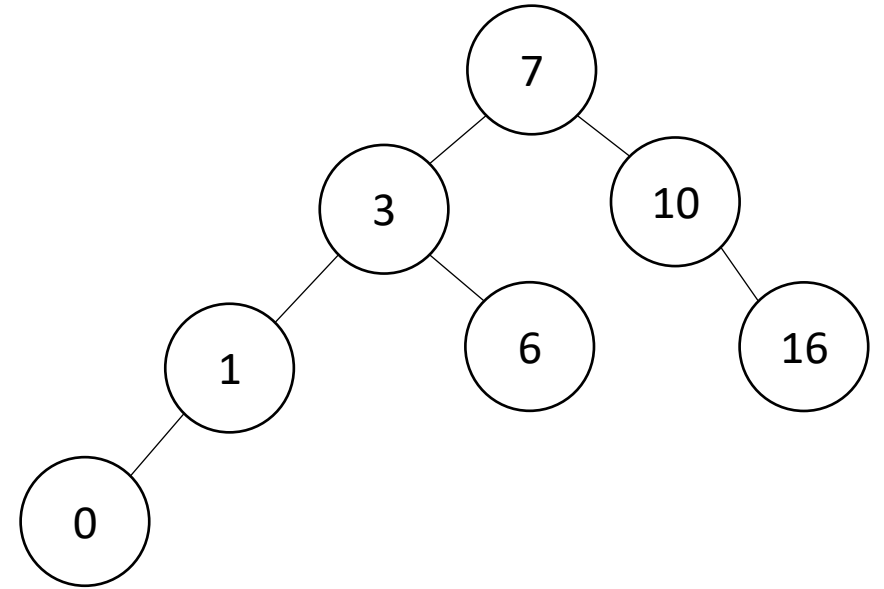


## Aside: Why not use an array?

- We represented a heap using an array, finding children/parents by index
- We will represent BSTs with nodes and references. Why?
  - We might have “gaps” in our tree
  - Memory!
    - $2^n$

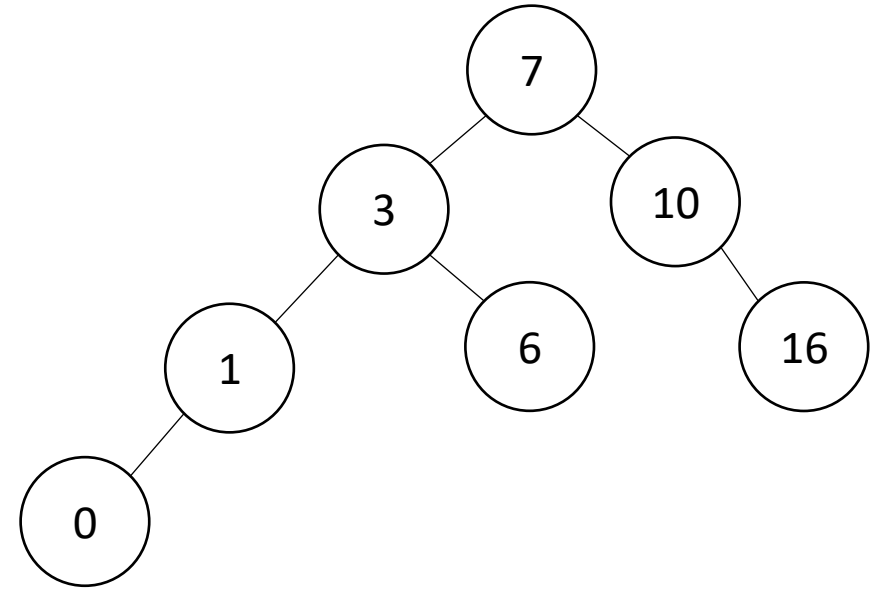
# Find Operation (recursive)

```
find(key, root){  
    if (root == Null){  
        return Null;  
    }  
    if (key == root.key){  
        return root.value;  
    }  
    if (key < root.key){  
        return find(key, root.left);  
    }  
    if (key > root.key){  
        return find(key, root.right);  
    }  
    return Null;  
}
```



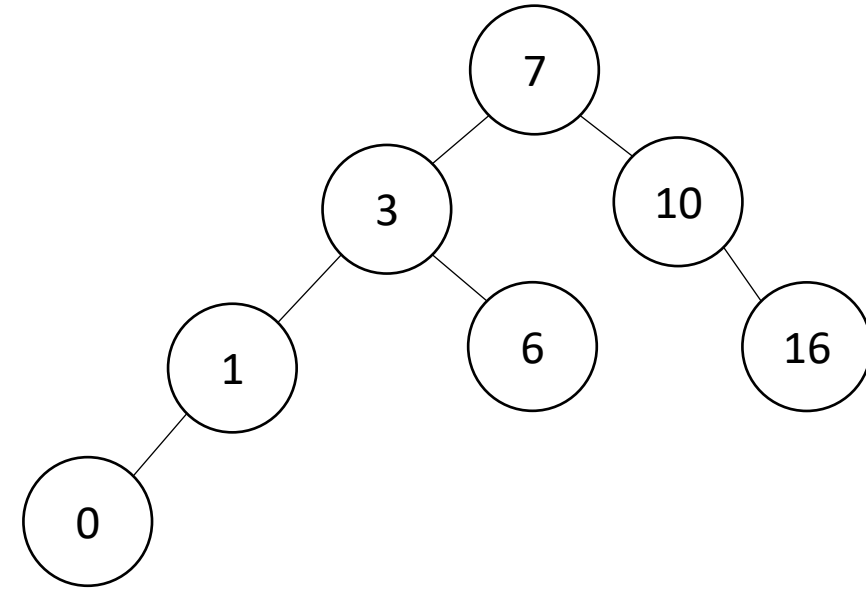
# Find Operation (iterative)

```
find(key, root){  
    while (root != Null && key != root.key){  
        if (key < root.key){  
            root = root.left;  
        }  
        else if (key > root.key){  
            root = root.right;  
        }  
    }  
    if (root == Null){  
        return Null;  
    }  
    return root.value;  
}
```



# Insert Operation (recursive)

```
insert(key, value, root){  
    root = insertHelper(key, value, root);  
}  
insertHelper(key, value, root){  
    if(root == null)  
        return new Node(key, value);  
    if (root.key < key)  
        root.right = insertHelper(key, value, root.right);  
    else  
        root.left = insertHelper(key, value, root.left);  
    return root;  
}
```

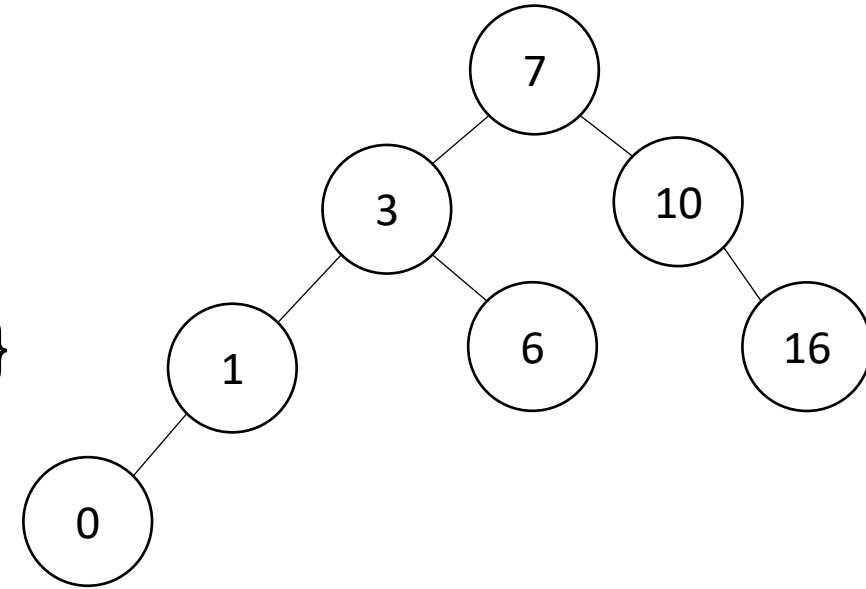


**Note: Insert happens only at the leaves!**



# Insert Operation (iterative)

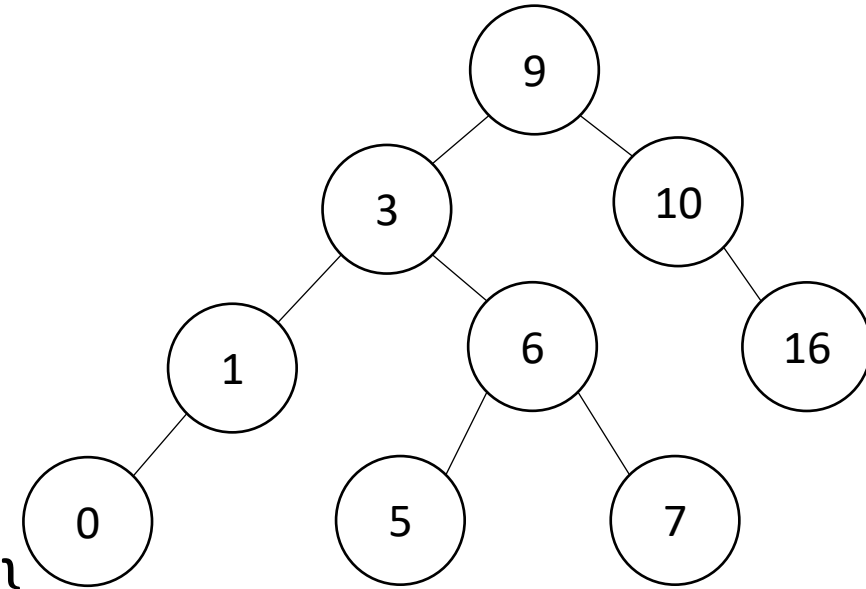
```
insert(key, value, root){  
    if (root == Null){ this.root = new Node(key, value); }  
    parent = Null;  
    while (root != Null && key != root.key){  
        parent = root;  
        if (key < root.key){ root = root.left; }  
        else if (key > root.key){ root = root.right; }  
    }  
    if (root != Null){ root.value = value; }  
    else if (key < parent.key){ parent.left = new Node(key, value); }  
    else{ parent.right = new Node (key, value); }  
}
```



**Note: Insert happens only at the leaves!**

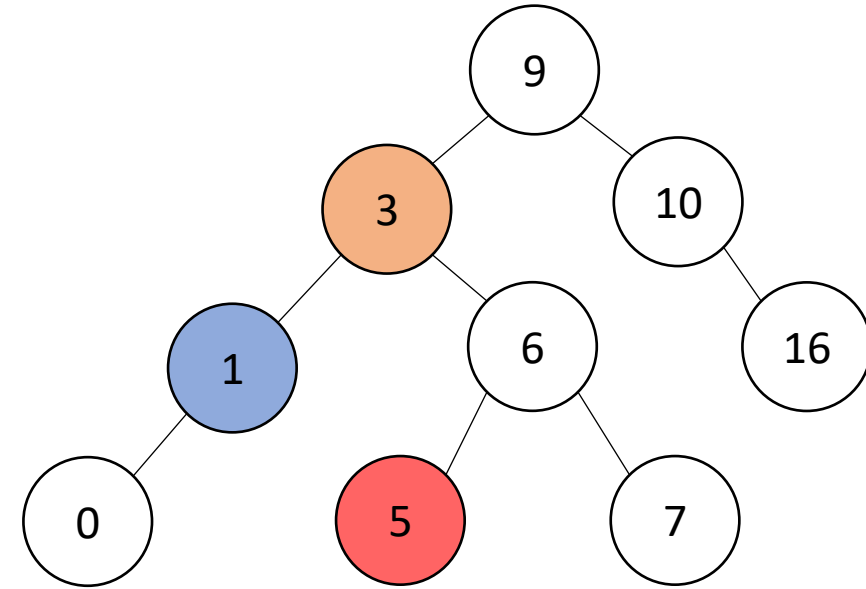
# Delete Operation (iterative)

```
delete(key, root){  
    while (root != Null && key != root.key){  
        if (key < root.key){ root = root.left; }  
        else if (key > root.key){ root = root.right; }  
    }  
    if (root == Null){ return; }  
    // Now root is the node to delete, what happens next?  
}
```



# Delete – 3 Cases

- 0 Children (i.e. it's a leaf)
- 1 Child
  - Replace the deleted node with its child
- 2 Children
  - Replace the deleted with the largest node to its left or else the smallest node to its right

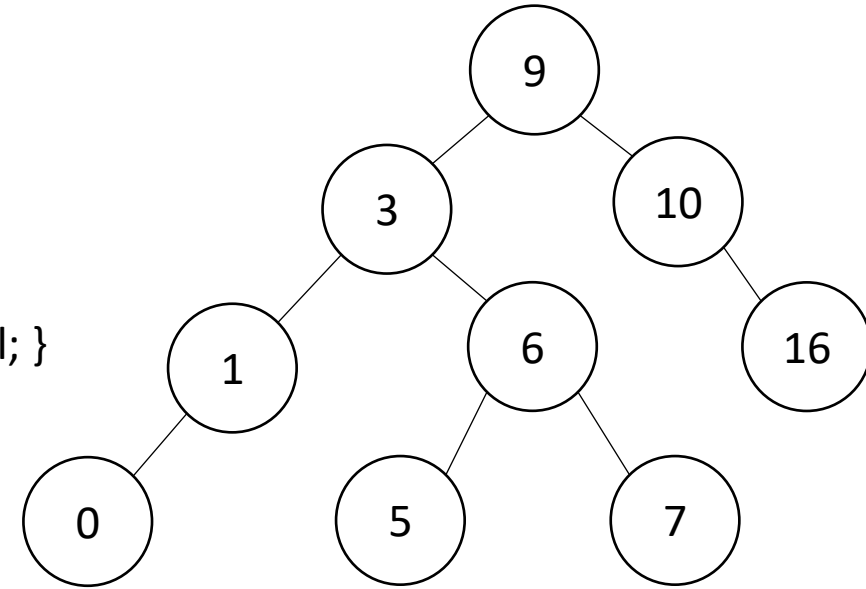


# Finding the Max and Min

- Max of a BST:
  - Right-most Thing
- Min of a BST:
  - Left-most Thing

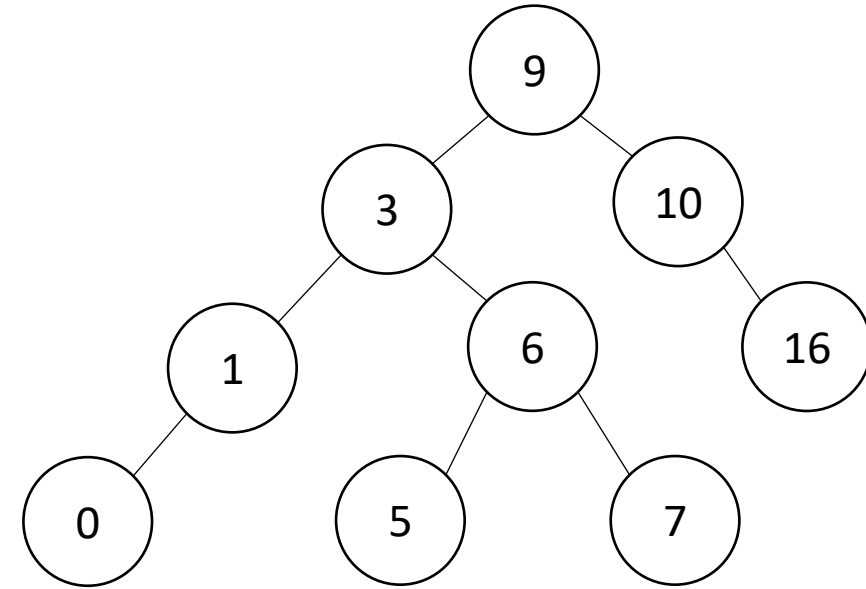
```
maxNode(root){  
    if (root == Null){ return Null; }  
    while (root.right != Null){  
        root = root.right;  
    }  
    return root;  
}
```

```
minNode(root){  
    if (root == Null){ return Null; }  
    while (root.left != Null){  
        root = root.left;  
    }  
    return root;  
}
```



# Delete Operation (iterative)

```
delete(key, root){  
    while (root != Null && key != root.key){  
        if (key < root.key){ root = root.left; }  
        else if (key > root.key){ root = root.right; }  
    }  
    if (root == Null){ return; }  
    if (root has no children){  
        make parent point to Null Instead;  
    }  
    if (root has one child){  
        make parent point to that child instead;  
    }  
    if (root has two children){  
        make parent point to either the max from the left or min from the right  
    }  
}
```



# Delete Operation (recursive)

```
delete(key, root){  
    if (root == Null){ return; } // key not present  
    if (root.key == key){  
        if (root has no children) { return Null; }  
        if (root has one child) { return that child; }  
        if (root has two children) {return removeMax(root.left);}  
    }  
    if (root.key < key) { root.right = delete(key, root.right); }  
    else { root.left = delete(key, root.left); }  
}
```

