

CSE 332 Spring 2026

Lecture 7: Dictionaries, BSTs

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Dictionary (Map) ADT

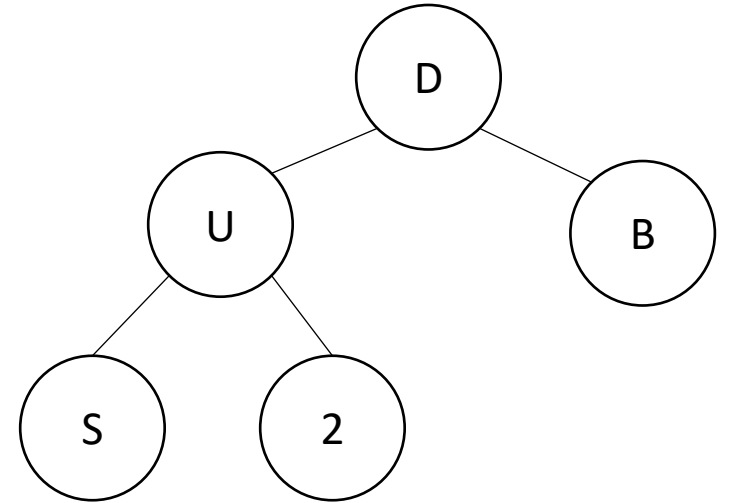
- Contents:
 - Sets of key+value pairs
 - Keys must be comparable
- Operations:
 - insert(key, value)
 - Adds the (key,value) pair into the dictionary
 - If the key already has a value, overwrite the old value
 - Consequence: Keys cannot be repeated
 - find(key)
 - Returns the value associated with the given key
 - delete(key)
 - Remove the key (and its associated value)

Naïve attempts

Data Structure	Time to insert	Time to find	Time to delete
Unsorted Array	$\Theta(n)$	$\Theta(n)$	$\Theta(n)$
Unsorted Linked List	$\Theta(n)$	$\Theta(n)$	$\Theta(n)$
Sorted Array	$\Theta(n)$	$\Theta(\log n)$	$\Theta(n)$
Sorted Linked List	$\Theta(n)$	$\Theta(n)$	$\Theta(n)$
Heap	$\Theta(n)$	$\Theta(n)$	$\Theta(n)$
Binary Search Tree (worst)	$\Theta(n)$	$\Theta(n)$	$\Theta(n)$
Binary Search Tree (expected)	$\Theta(\log n)$	$\Theta(\log n)$	$\Theta(\log n)$

More Tree “Vocab”

- Traversal:
 - An algorithm for “visiting/processing” every node in a tree
- Pre-Order Traversal:
 - Root, Left Subtree, Right Subtree
 - D U S 2 B
- In-Order Traversal:
 - Left Subtree, Root, Right Subtree
 - S U 2 D B
- Post-Order Traversal
 - Left Subtree, Right Subtree, Root
 - S 2 U B D



Name that Traversal!

```
aOrder(root){  
    if (root.left != Null){  
        aOrder(root.left);  
    }  
    if (root.right != Null){  
        aOrder(root.right);  
    }  
    process(root);  
}
```

```
bOrder(root){  
    process(root);  
    if (root.left != Null){  
        bOrder(root.left);  
    }  
    if (root.right != Null){  
        bOrder(root.right);  
    }  
}
```

```
cOrder(root){  
    if (root.left != Null){  
        cOrder(root.left);  
    }  
    process(root)  
    if (root.right != Null){  
        cOrder(root.right);  
    }  
}
```

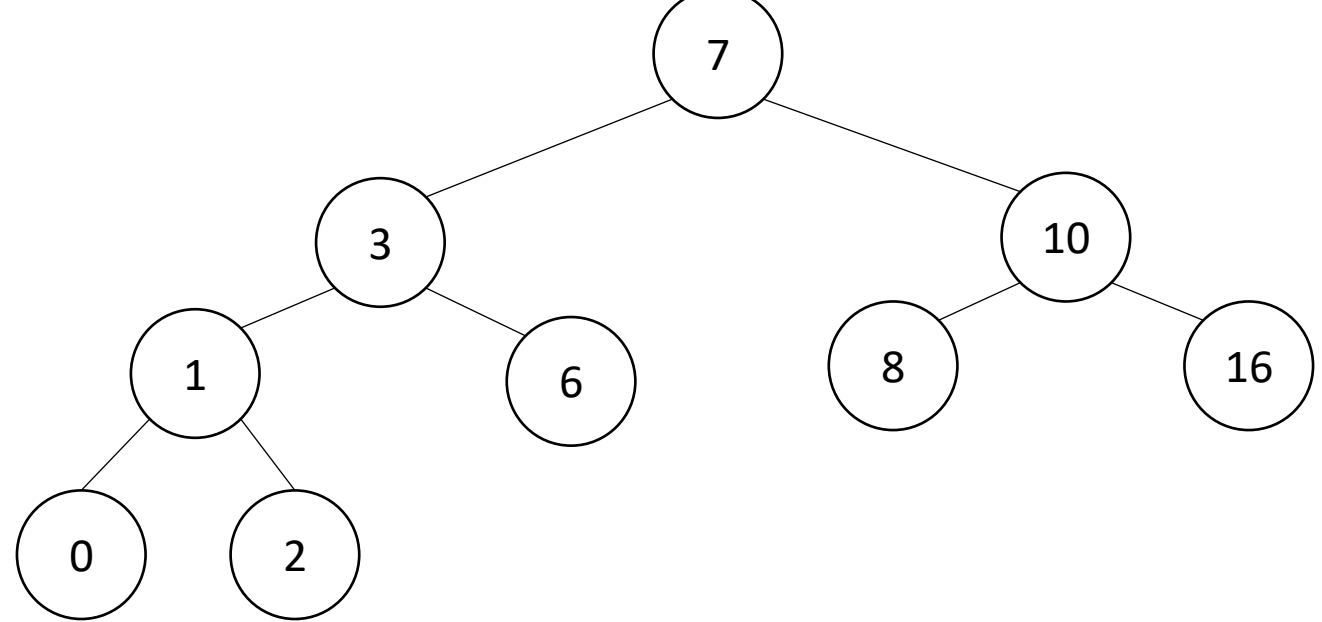
Name that Traversal! (Answers)

```
postOrder(root){  
    if (root.left != Null){  
        postOrder(root.left);  
    }  
    if (root.right != Null){  
        postOrder(root.right);  
    }  
    process(root);  
}
```

```
preOrder(root){  
    process(root);  
    if (root.left != Null){  
        preOrder(root.left);  
    }  
    if (root.right != Null){  
        preOrder(root.right);  
    }  
}
```

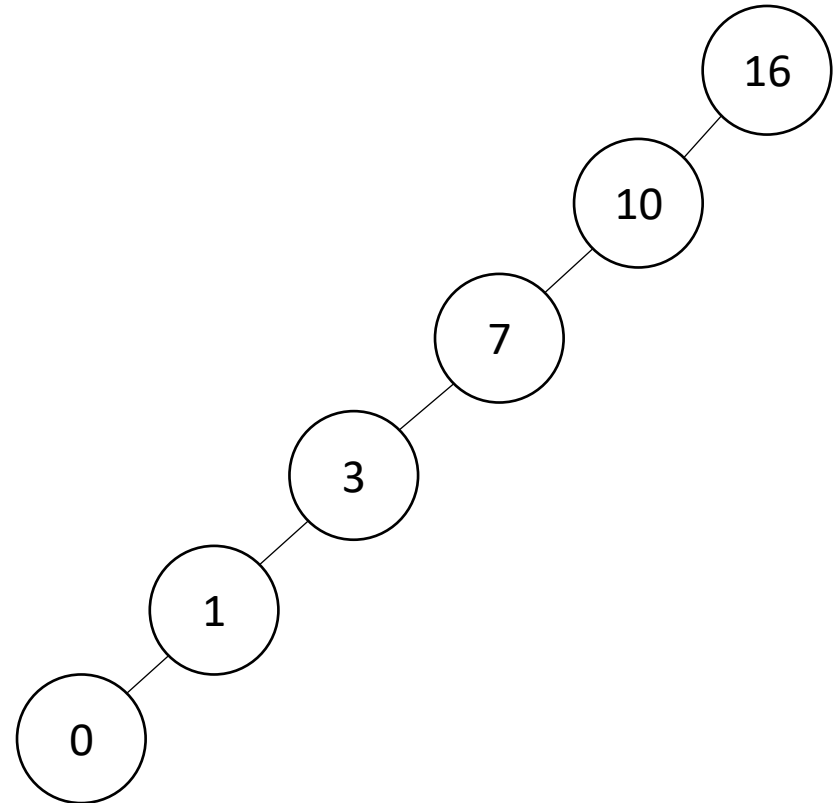
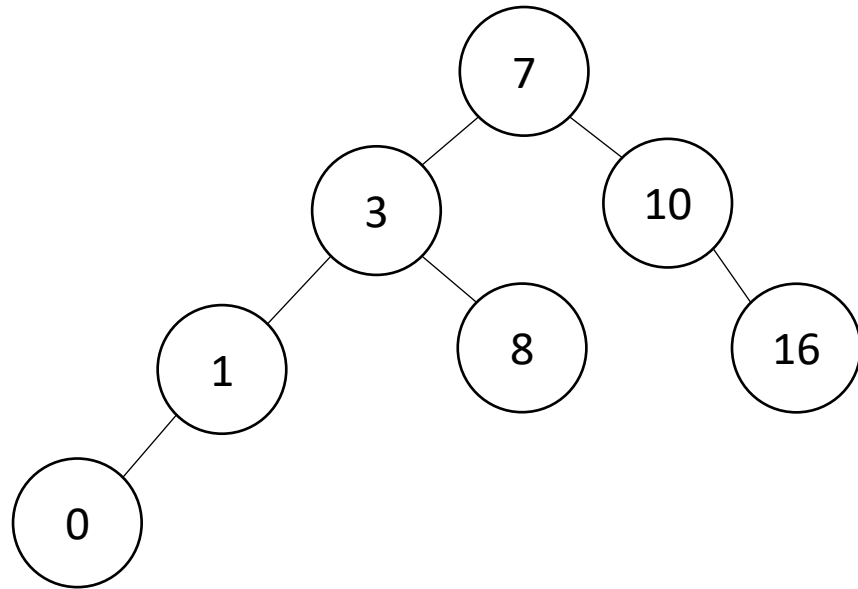
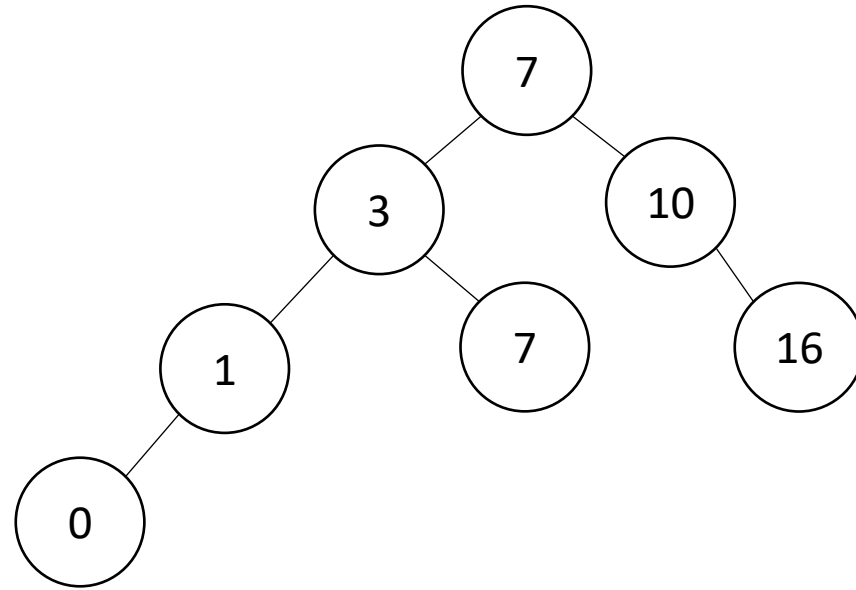
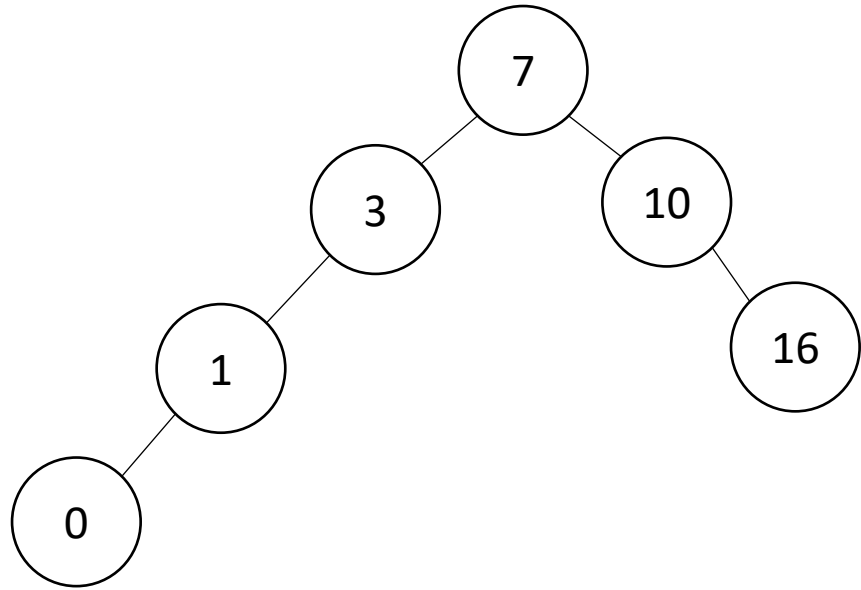
```
inOrder(root){  
    if (root.left != Null){  
        inOrder(root.left);  
    }  
    process(root)  
    if (root.right != Null){  
        inOrder(root.right);  
    }  
}
```

Binary Search Tree

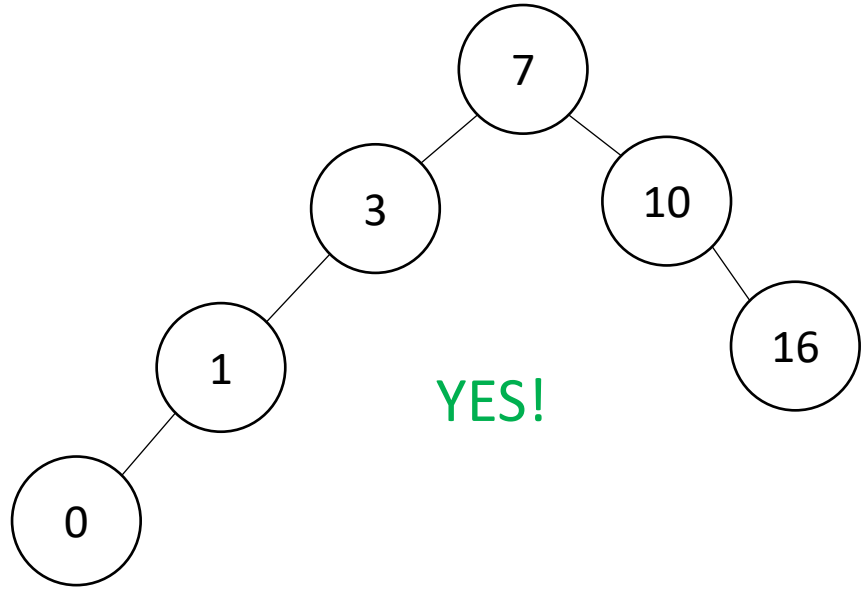


- Binary Tree
 - Definition:
 - Tree where each node has at most 2 children
- Order Property
 - All keys in the left subtree are smaller than the root
 - All keys in the right subtree are larger than the root
 - Consequence: cannot have repeated values

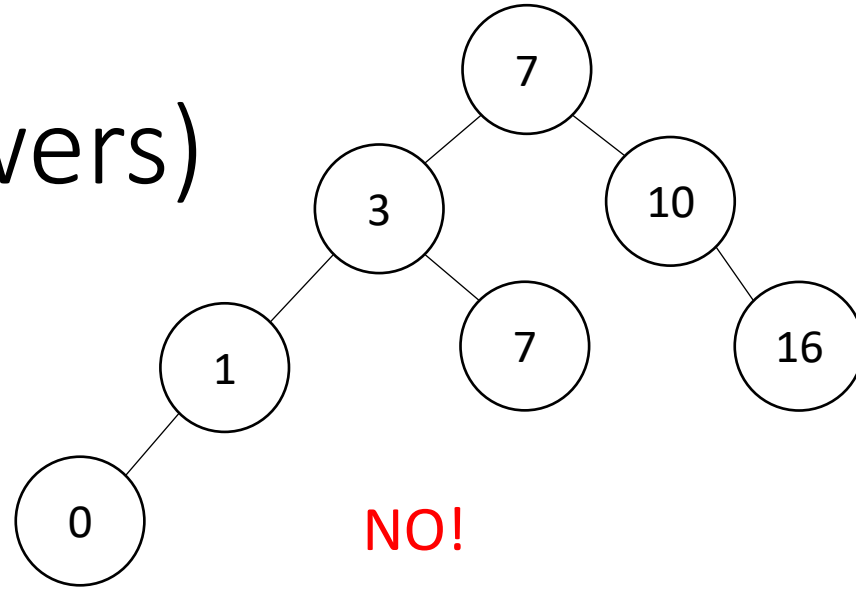
Are these BSTs?



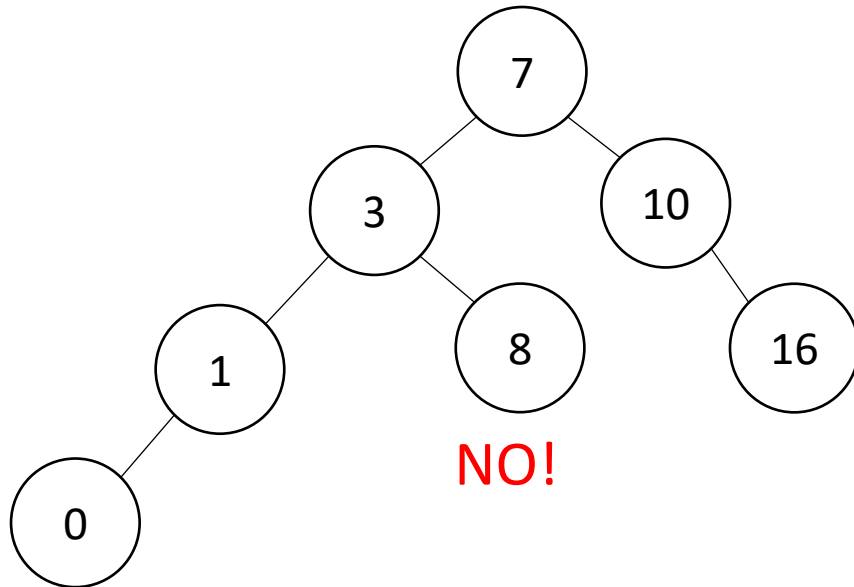
Are these BSTs? (Answers)



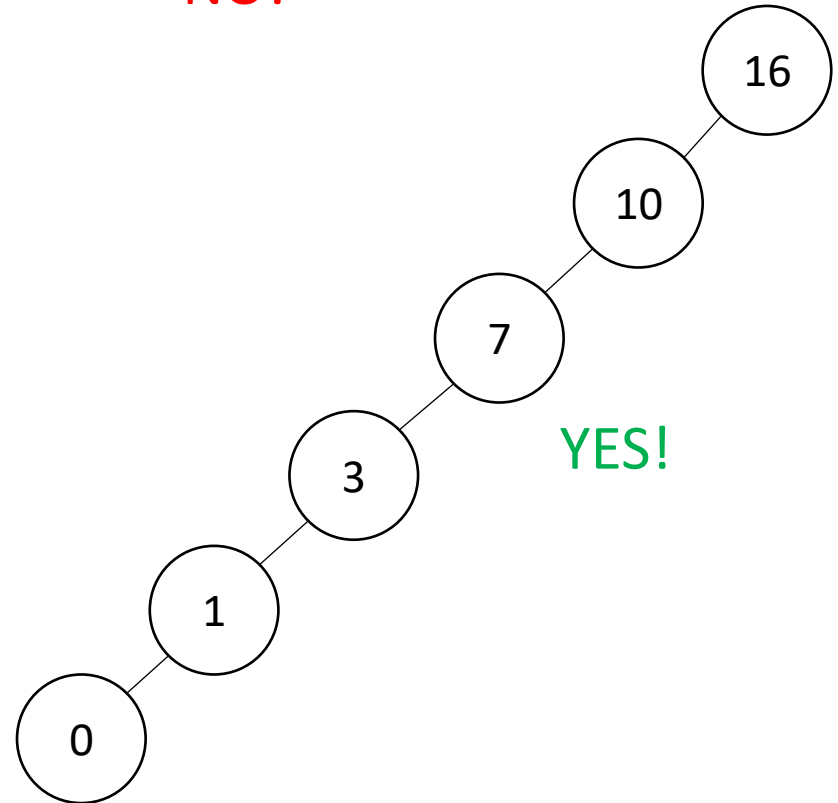
YES!



NO!



NO!



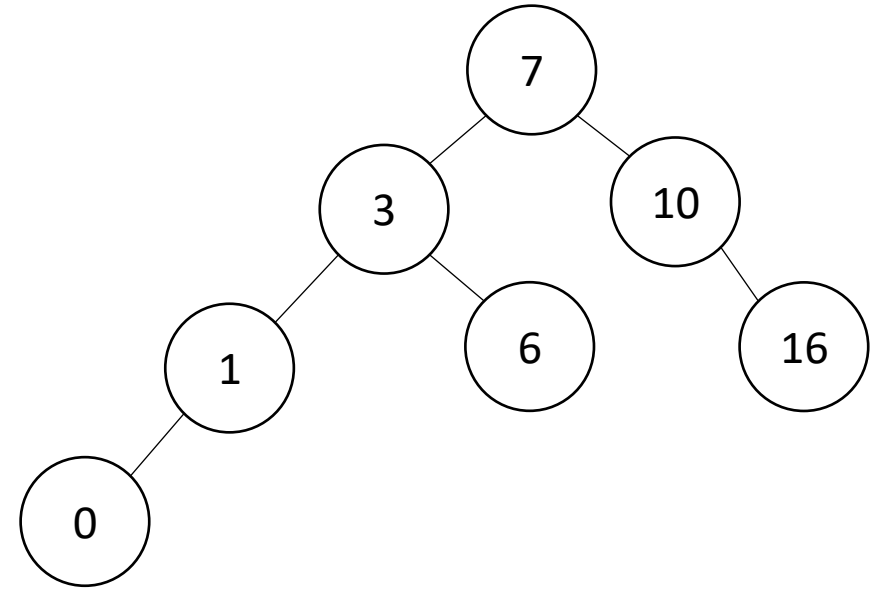
YES!

Aside: Why not use an array?

- We represented a heap using an array, finding children/parents by index
- We will represent BSTs with nodes and references. Why?
 - We might have “gaps” in our tree
 - Memory!
 - 2^n

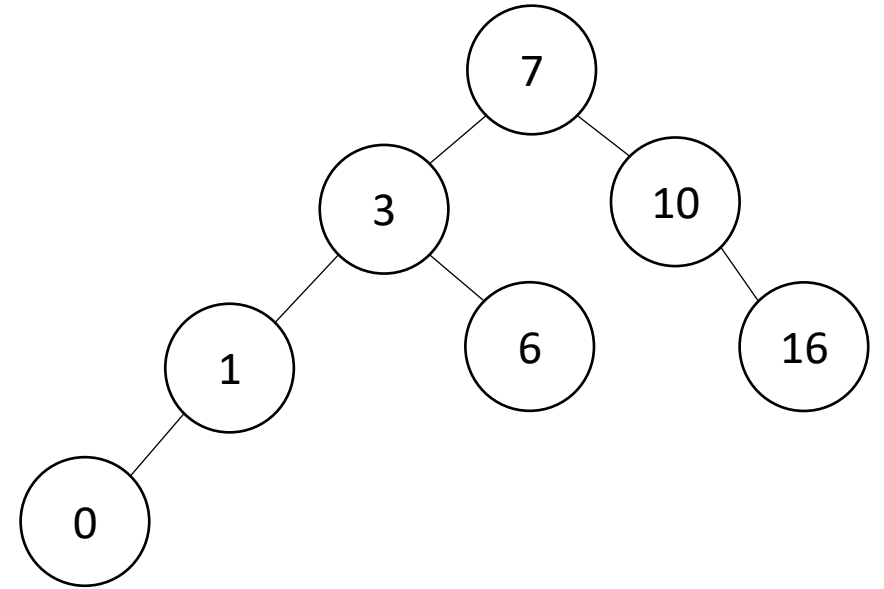
Find Operation (recursive)

```
find(key, root){  
    if (root == Null){  
        return Null;  
    }  
    if (key == root.key){  
        return root.value;  
    }  
    if (key < root.key){  
        return find(key, root.left);  
    }  
    if (key > root.key){  
        return find(key, root.right);  
    }  
    return Null;  
}
```



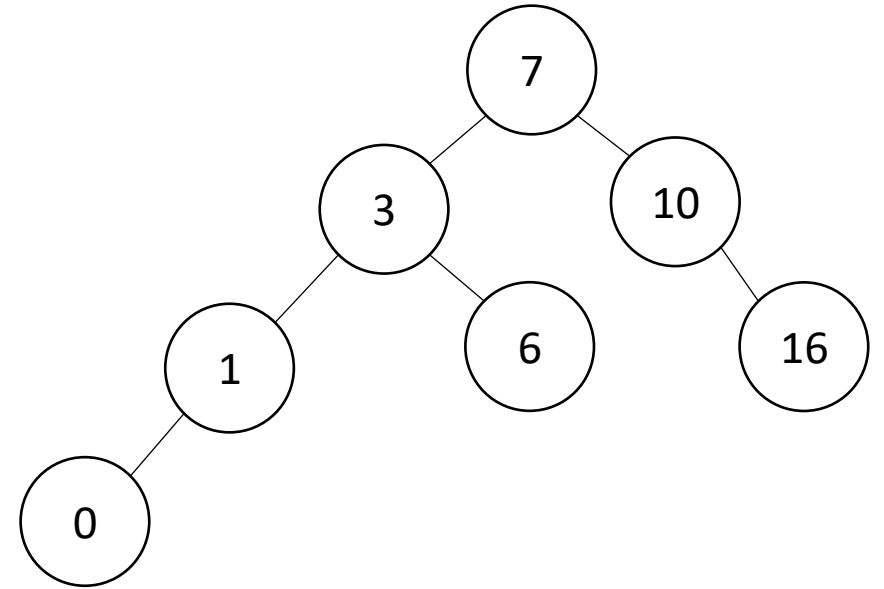
Find Operation (iterative)

```
find(key, root){  
    while (root != Null && key != root.key){  
        if (key < root.key){  
            root = root.left;  
        }  
        else if (key > root.key){  
            root = root.right;  
        }  
    }  
    if (root == Null){  
        return Null;  
    }  
    return root.value;  
}
```



Insert Operation (recursive)

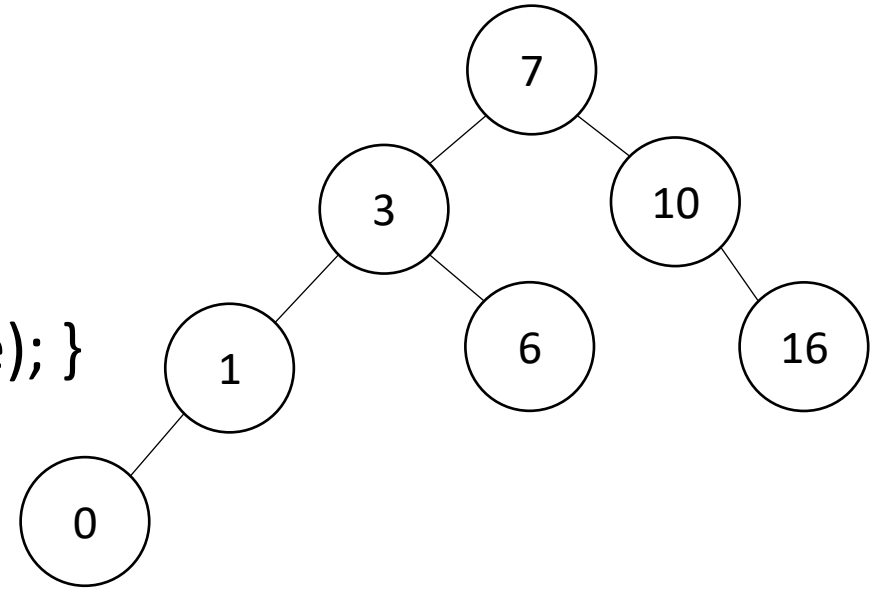
```
insert(key, value, root){
    root = insertHelper(key, value, root);
}
insertHelper(key, value, root){
    if(root == null)
        return new Node(key, value);
    if (root.key < key)
        root.right = insertHelper(key, value, root.right);
    else
        root.left = insertHelper(key, value, root.left);
    return root;
}
```



Note: Insert happens only at the leaves!

Insert Operation (iterative)

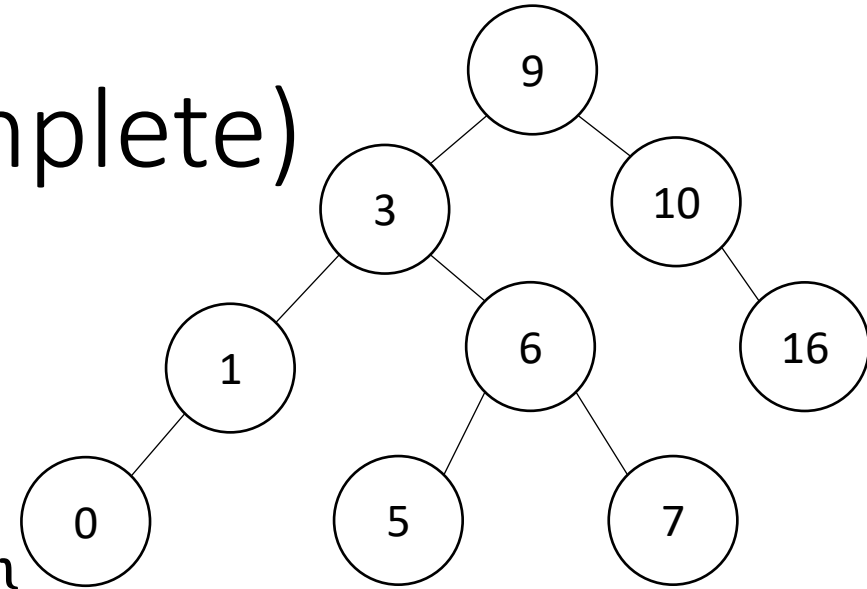
```
insert(key, value, root){  
  if (root == Null){ this.root = new Node(key, value); }  
  parent = Null;  
  while (root != Null && key != root.key){  
    parent = root;  
    if (key < root.key){ root = root.left; }  
    else if (key > root.key){ root = root.right; }  
  }  
  if (root != Null){ root.value = value; }  
  else if (key < parent.key){ parent.left = new Node(key, value); }  
  else{ parent.right = new Node (key, value); }  
}
```



Note: Insert happens only at the leaves!

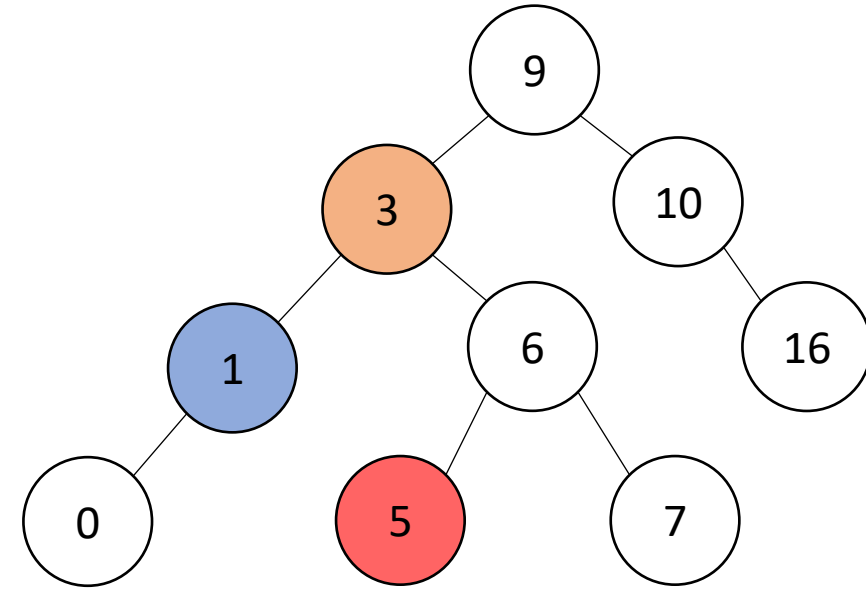
Delete Operation (iterative, incomplete)

```
delete(key, root){  
  while (root != Null && key != root.key){  
    if (key < root.key){ root = root.left; }  
    else if (key > root.key){ root = root.right; }  
  }  
  if (root == Null){ return; }  
  // Now root is the node to delete, what happens next?  
}
```



Delete – 3 Cases

- 0 Children (i.e. it's a leaf)
- 1 Child
 - Replace the deleted node with its child
- 2 Children
 - Replace the deleted with the largest node to its left or else the smallest node to its right

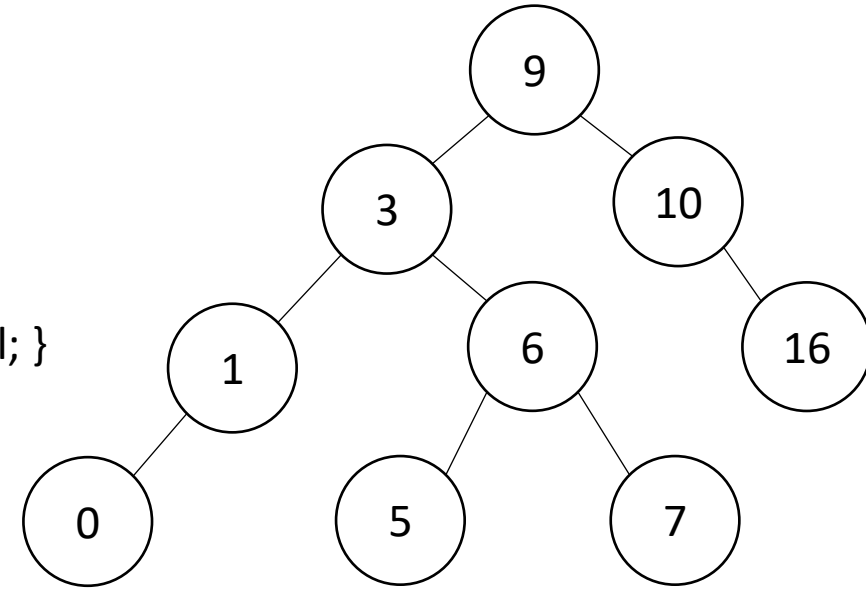


Finding the Max and Min

- Max of a BST:
 - Right-most Thing
- Min of a BST:
 - Left-most Thing

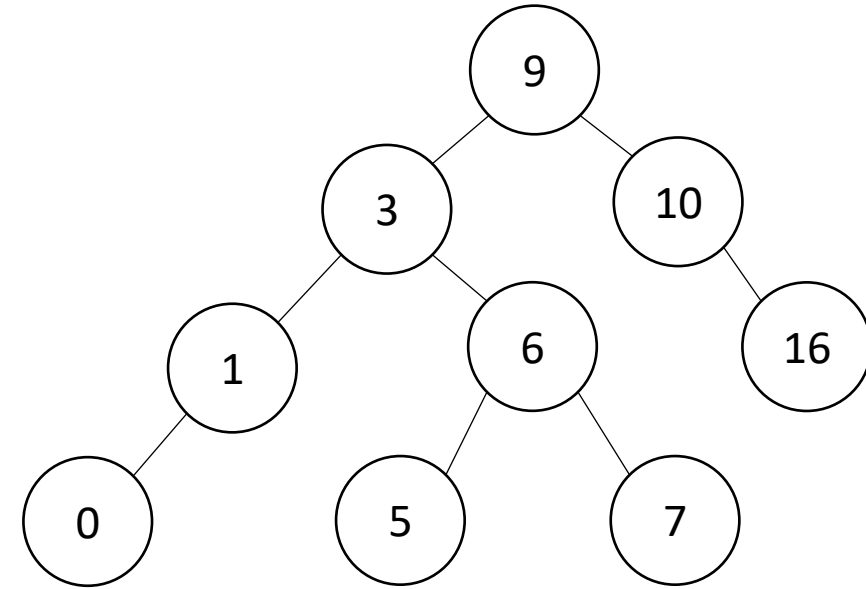
```
maxNode(root){  
    if (root == Null){ return Null; }  
    while (root.right != Null){  
        root = root.right;  
    }  
    return root;  
}
```

```
minNode(root){  
    if (root == Null){ return Null; }  
    while (root.left != Null){  
        root = root.left;  
    }  
    return root;  
}
```



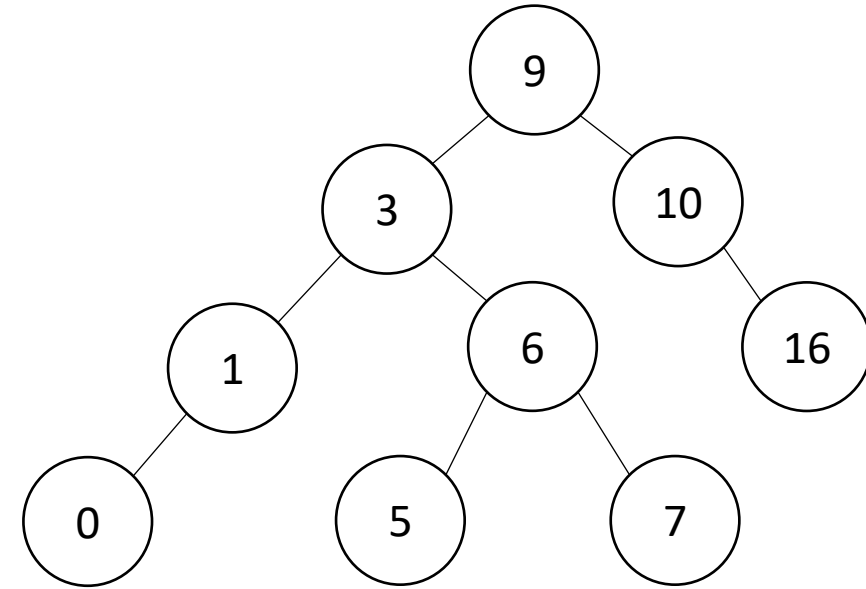
Delete Operation (iterative)

```
delete(key, root){  
  while (root != Null && key != root.key){  
    if (key < root.key){ root = root.left; }  
    else if (key > root.key){ root = root.right; }  
  }  
  if (root == Null){ return; }  
  if (root has no children){  
    make parent point to Null Instead;  
  }  
  if (root has one child){  
    make parent point to that child instead;  
  }  
  if (root has two children){  
    make parent point to either the max from the left or min from the right  
  }  
}
```



Delete Operation (recursive)

```
delete(key, root){  
  if (root == Null){ return; } // key not present  
  if (root.key == key){  
    if (root has no children) { return Null; }  
    if (root has one child) { return that child; }  
    if (root has two children) {return removeMax(root.left);}  
  }  
  if (root.key < key) { root.right = delete(key, root.right); }  
  else { root.left = delete(key, root.left); }  
}
```



Worst Case Analysis

- For each of Find, insert, Delete:
 - Worst case running time matches height of the tree
- What is the maximum height of a BST with n nodes?
 - $\Theta(n)$

Improving the worst case

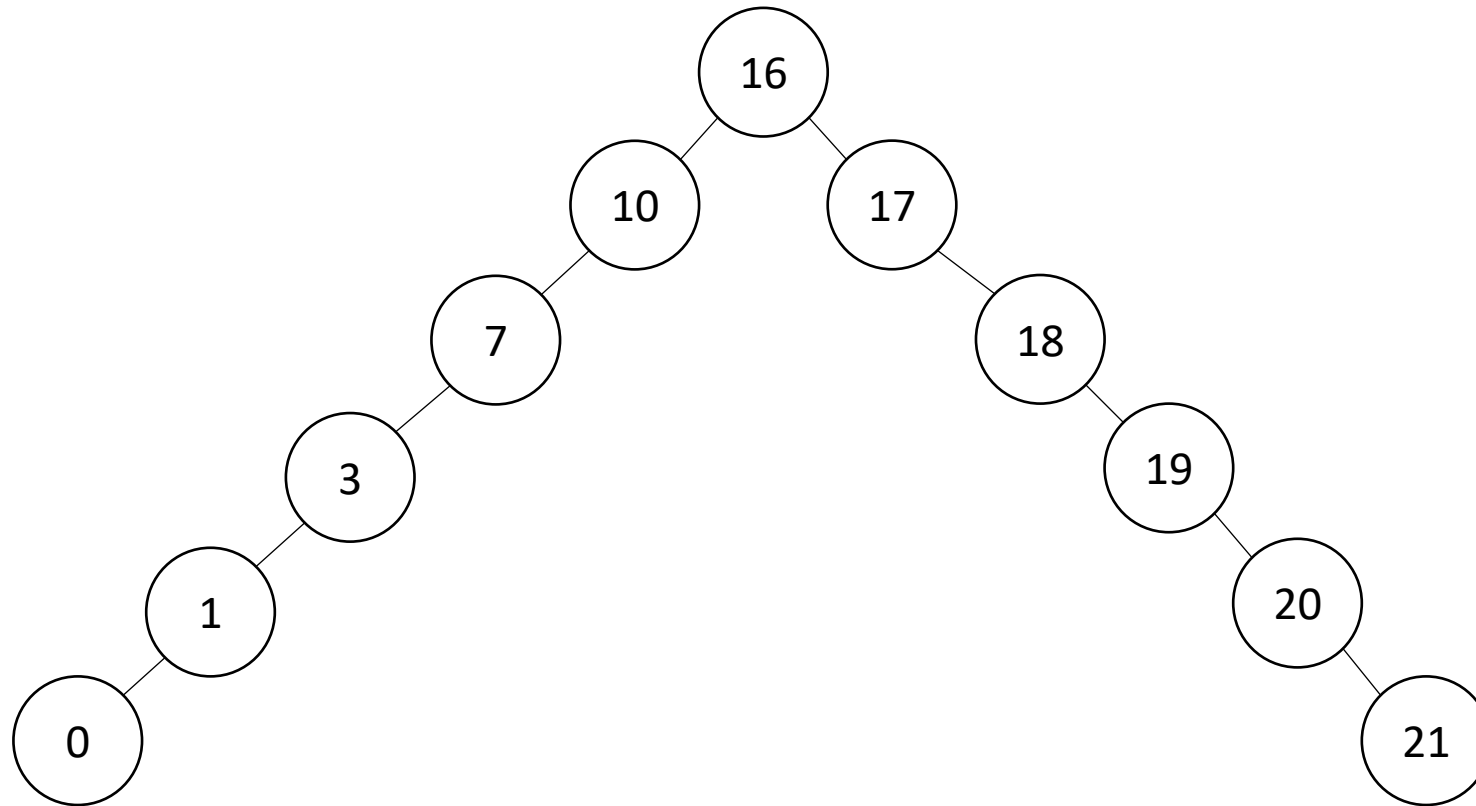
- How can we get a better worst case running time?
 - Add rules about the shape of our BST
- AVL Tree
 - A BST with some shape rules
 - Algorithms need to change to accommodate those

“Balanced” Binary Search Trees

- We get better running times by having “shorter” trees
- Trees get tall due to them being “sparse” (many one-child nodes)
- Idea: modify how we insert/delete to keep the tree more “full”
 - Encourage Branches!

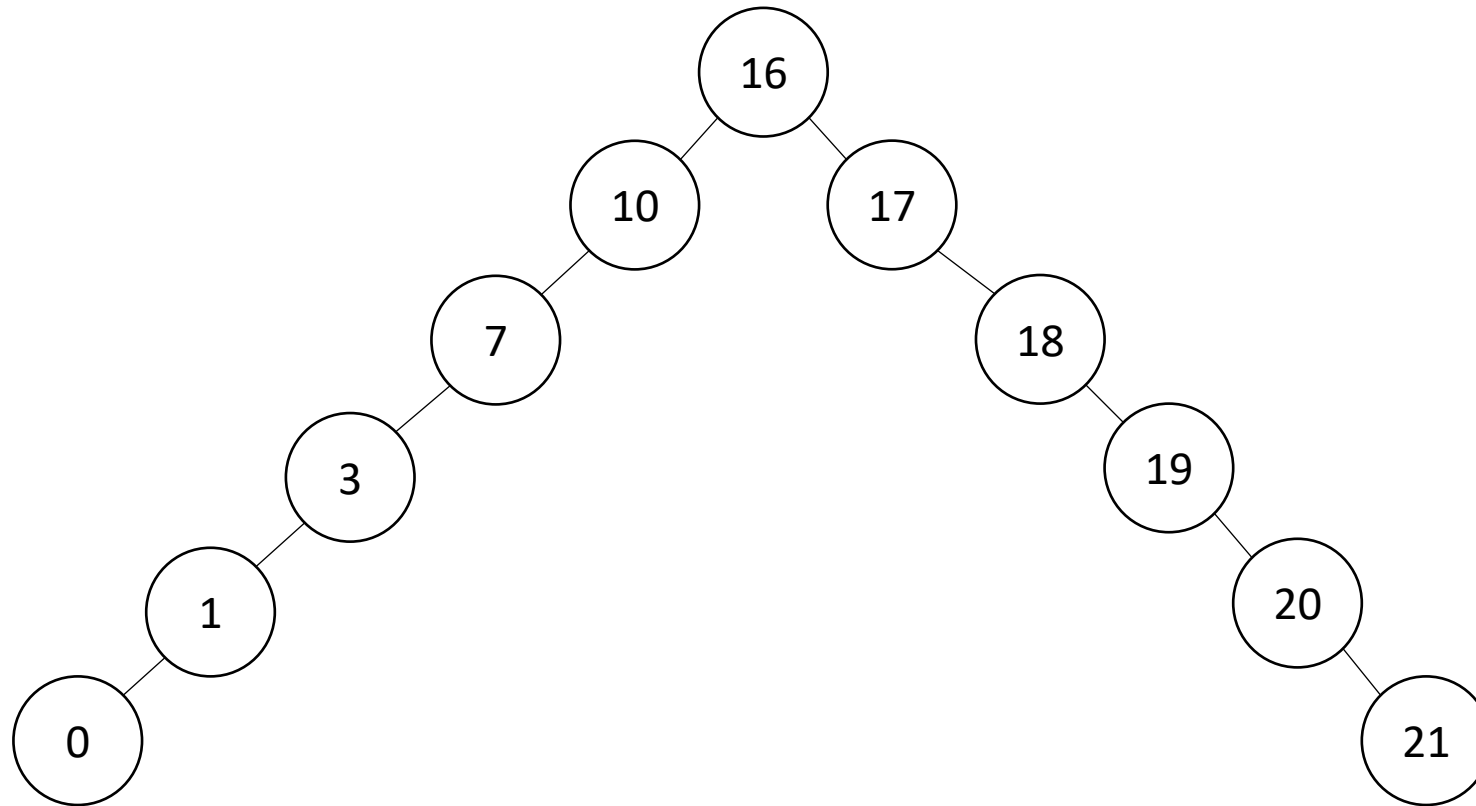
Idea 1: Both Subtrees of Root have same #
Nodes

Idea 1: Both Subtrees of Root have same #
Nodes - Issue



Idea 2: Both Subtrees of Root have same height

Idea 2: Both Subtrees of Root have same height - Issue



Idea 3: Both Subtrees of every Node have same # Nodes

Idea 3: Both Subtrees of every Node have same # Nodes - Issue

Not all tree sizes are possible!

For example, cannot have a tree of size 3.

Idea 4: Both Subtrees of every Node have same height

Idea 4: Both Subtrees of every Node have same height - Issue

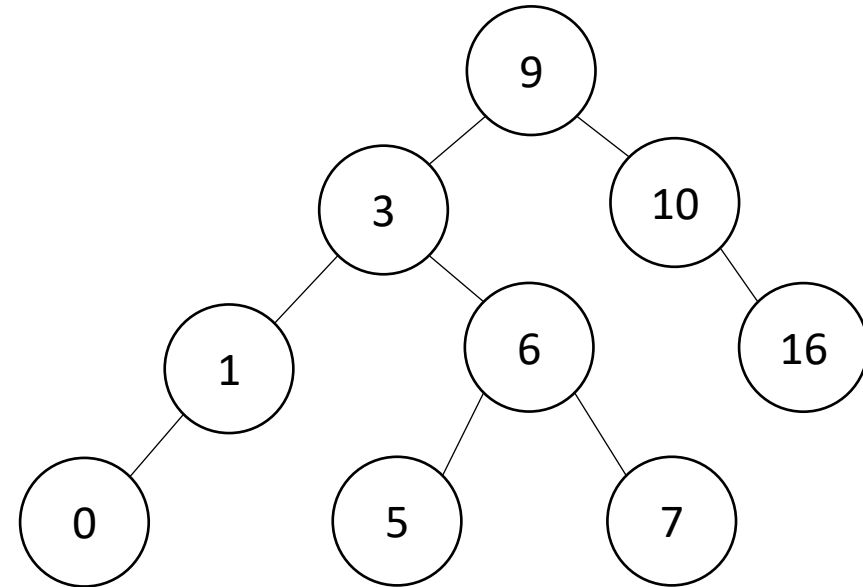
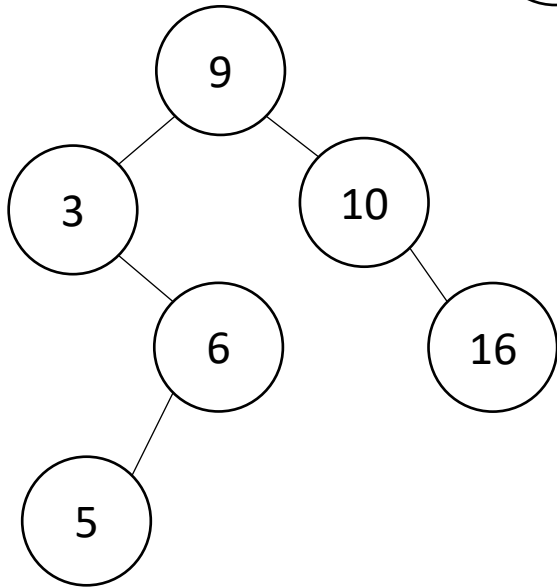
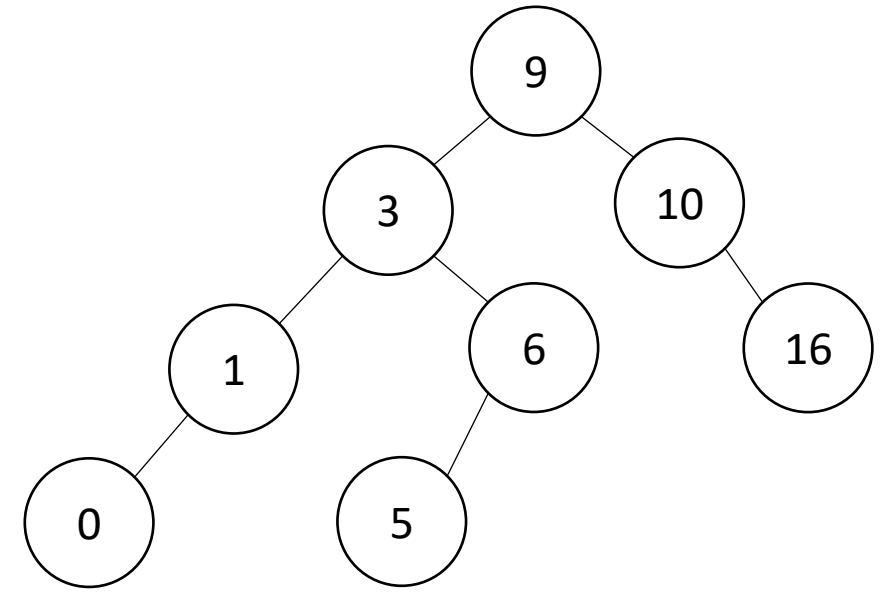
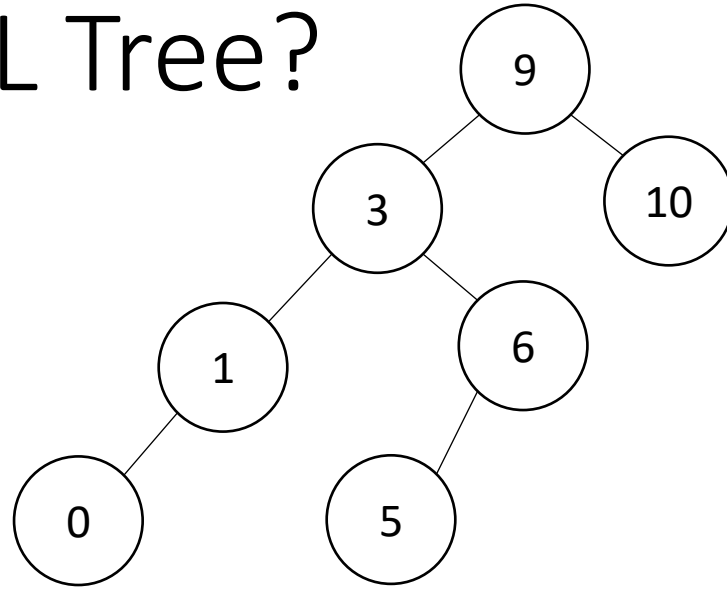
Not all tree sizes are possible!

For example, cannot have a tree of size 3.

AVL Tree

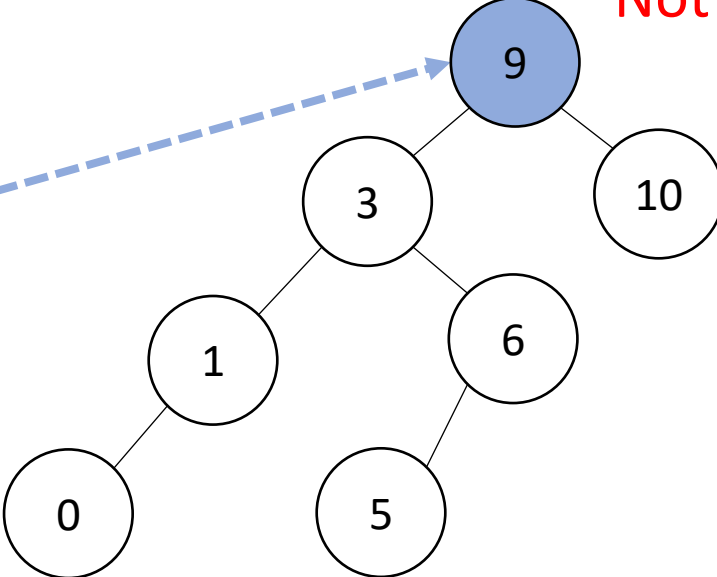
- A Binary Search tree that maintains that the left and right subtrees of every node have heights that differ by at most one.
 - height of left subtree and height of right subtree off by at most 1
 - Not too weak (ensures trees are short)
 - Not too strong (works for any number of nodes)
- Idea of AVL Tree:
 - When you insert/delete nodes, if tree is “out of balance” then modify the tree
 - Modification = “rotation”

Is it an AVL Tree?

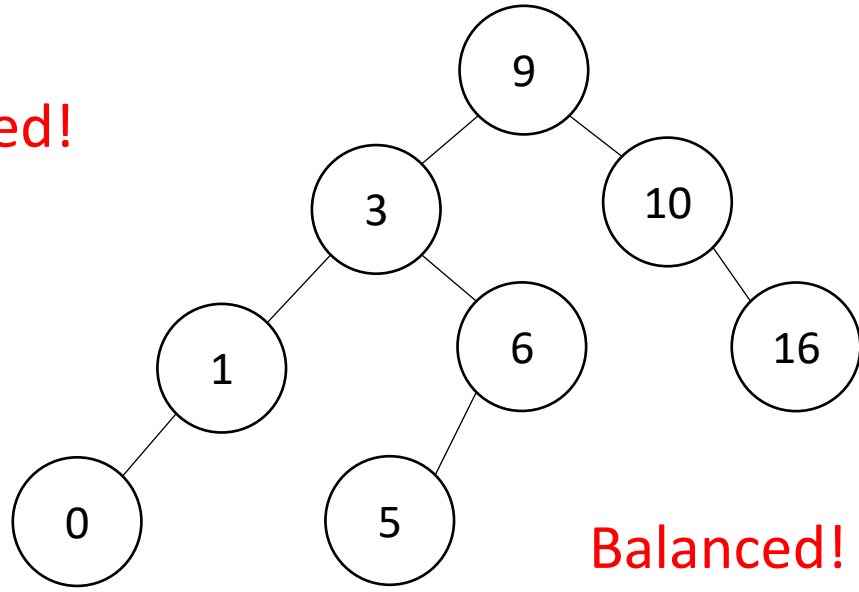


Is it an AVL Tree? (Answers)

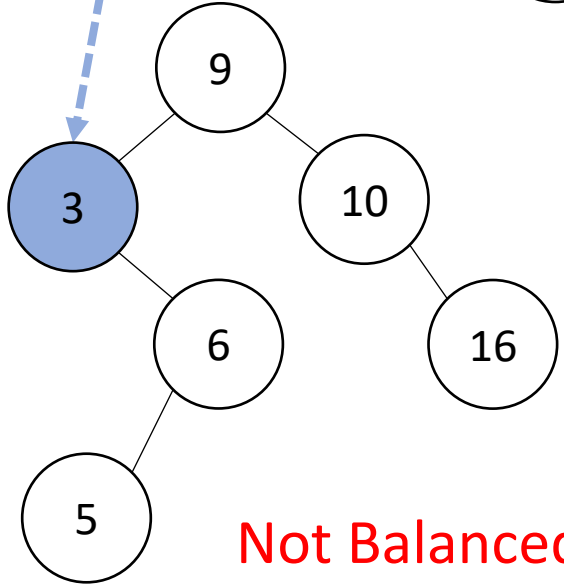
“Problem” Node
Its children’s heights differ by more than 1



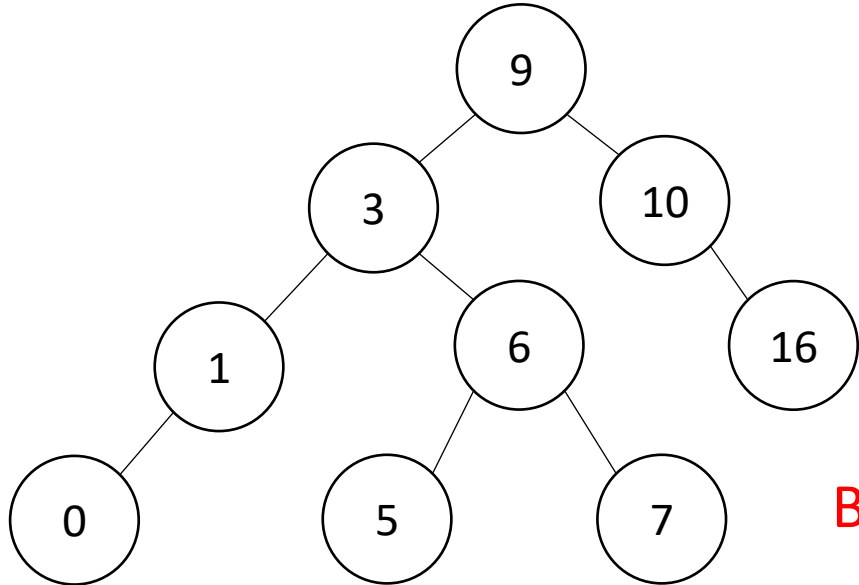
Not Balanced!



Balanced!



Not Balanced!



Balanced!