

# CSE 332: Data Structures & Parallelism

## Lecture 26: Complexity Classes and Reductions

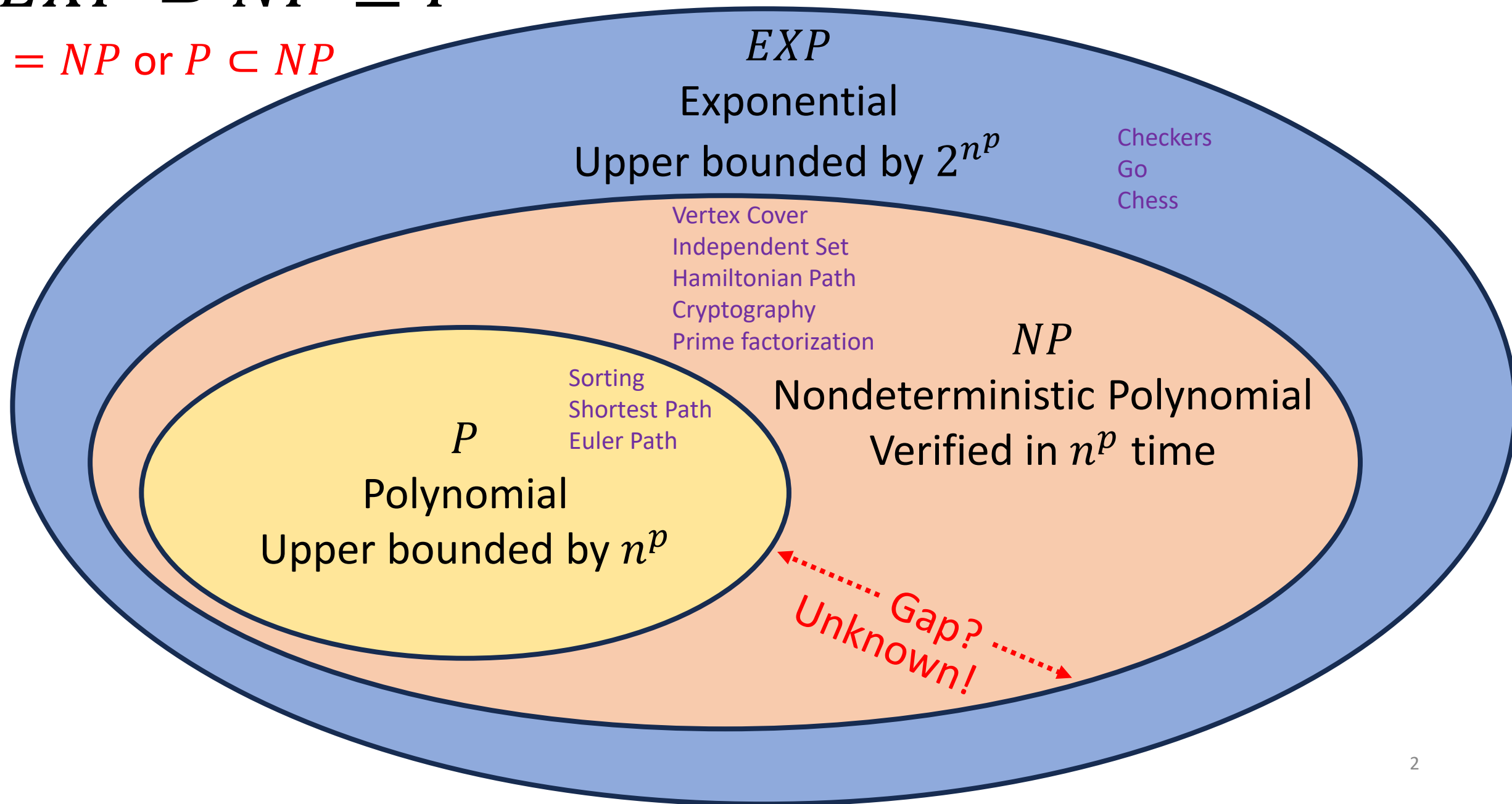
Ruth Anderson

Winter 2025

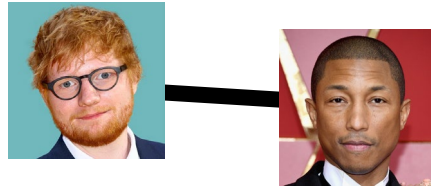
(Slides adapted from Nathan Brunelle)

$$EXP \supset NP \supseteq P$$

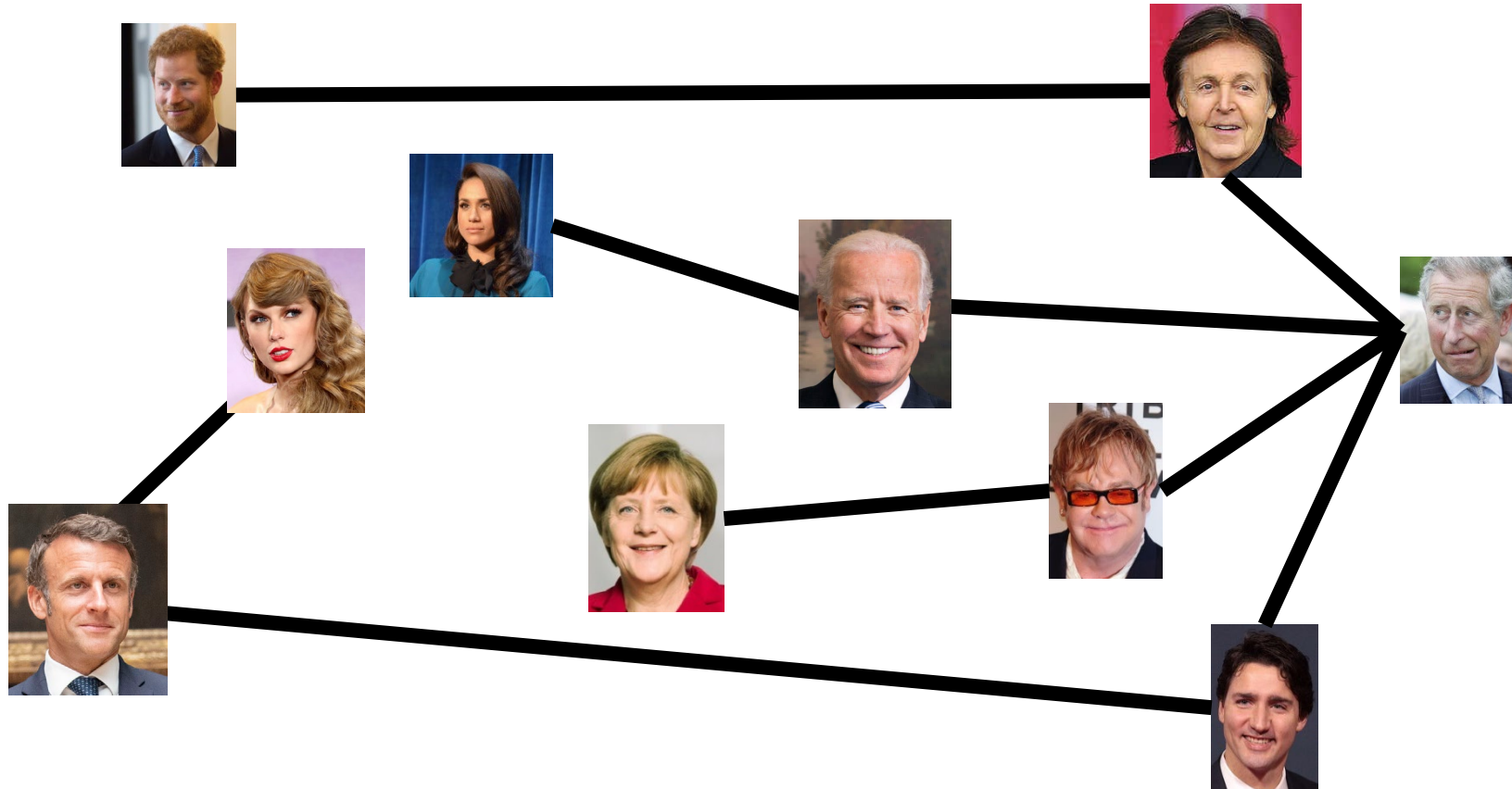
$P = NP$  or  $P \subset NP$



# Party Problem



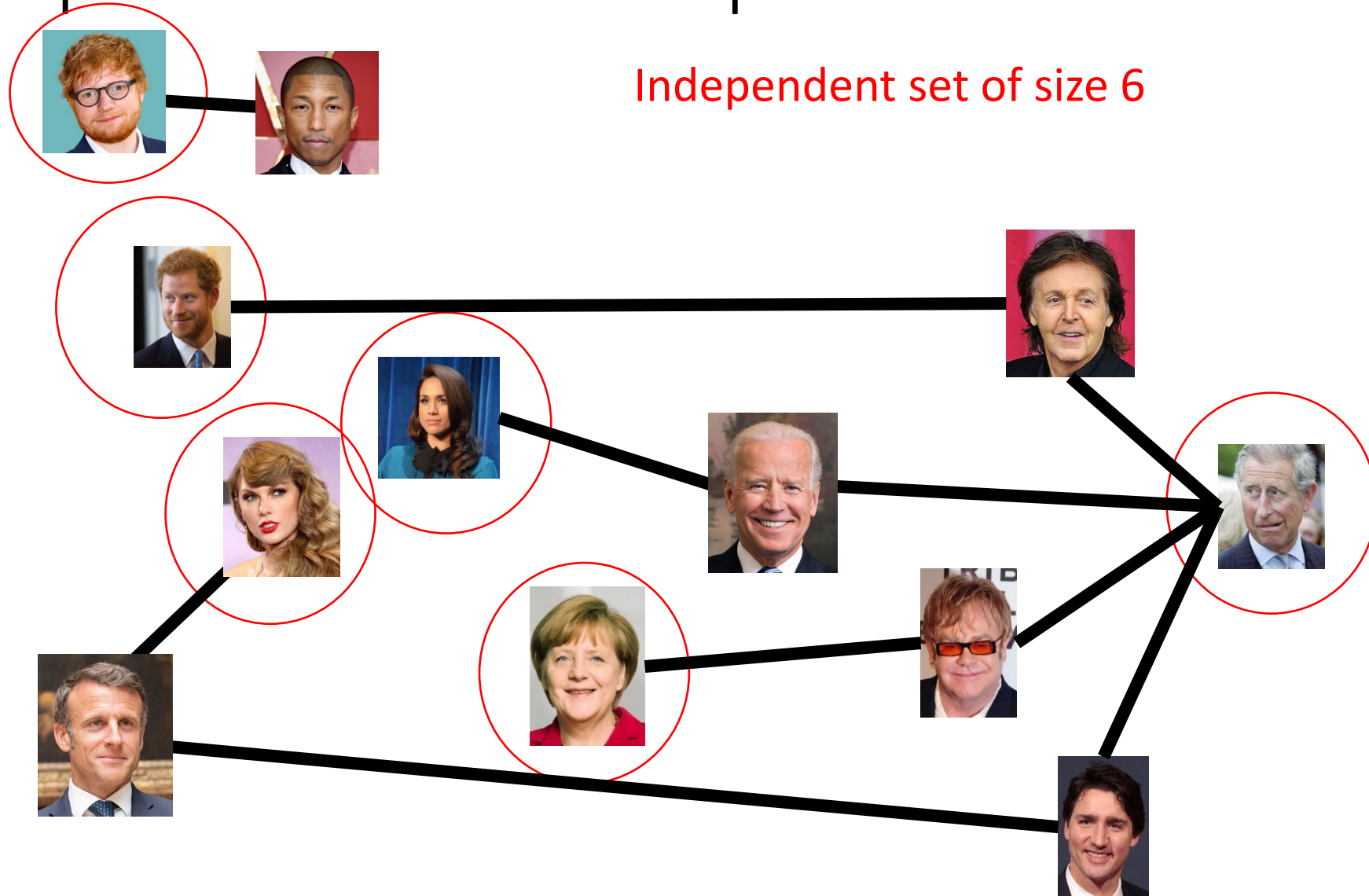
Draw Edges between people who don't get along  
How many people can I invite to a party if everyone must get along?



# Independent Set

- Independent set:
  - $S \subseteq V$  is an independent set if no two nodes in  $S$  share an edge
- Independent Set Problem:
  - Given a graph  $G = (V, E)$  and a number  $k$ , determine whether there is an independent set  $S$  of size  $k$

# Independent Set Example



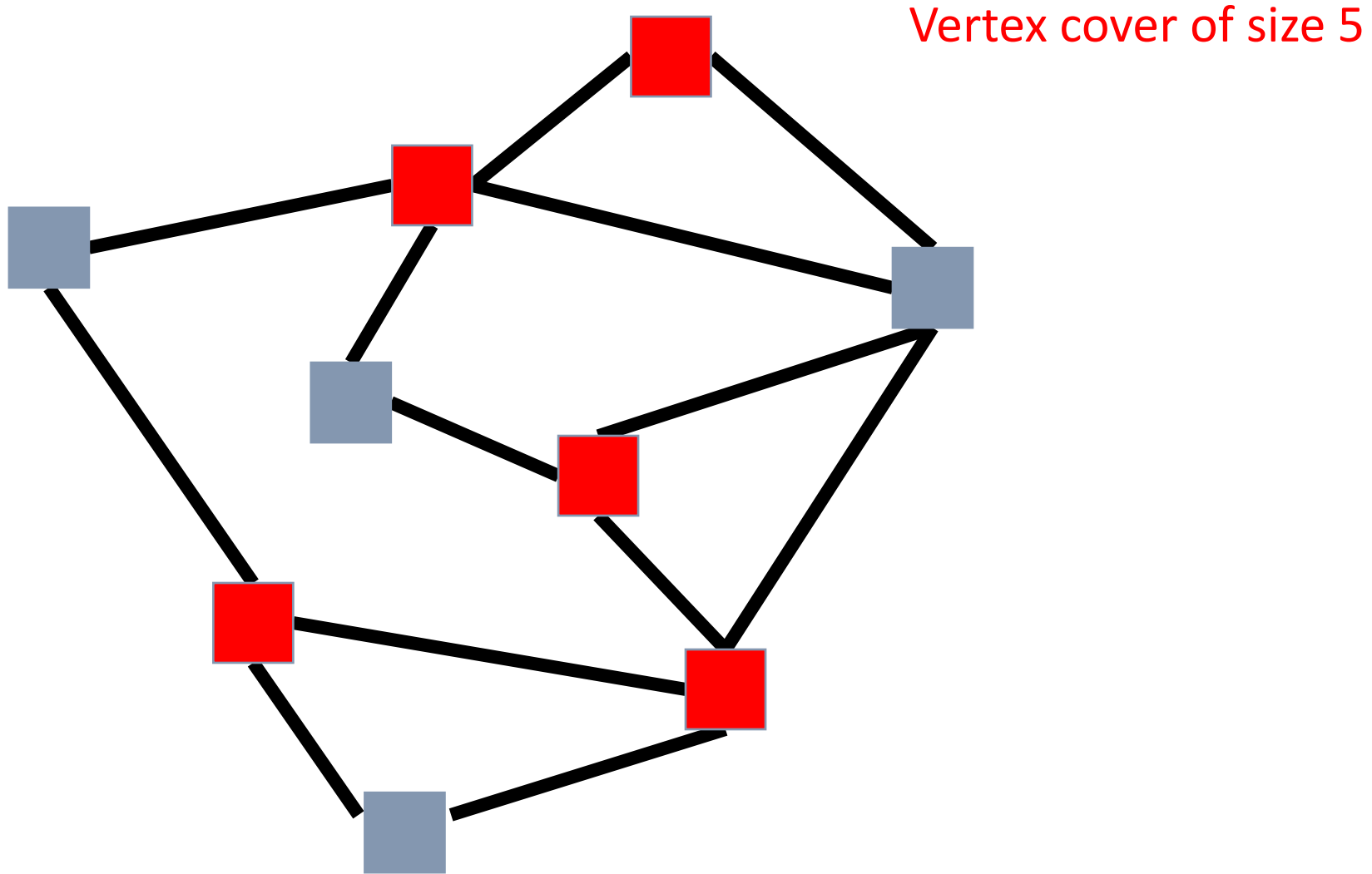
# Solving and Verifying Independent Set

- Give an algorithm to **solve** independent set
  - Input:  $G = (V, E)$  and a number  $k$
  - Output: True if  $G$  has an independent set of size  $k$
- Give an algorithm to **verify** independent set
  - Input:  $G = (V, E)$ , a number  $k$ , and a set  $S \subseteq V$
  - Output: True if  $S$  is an independent set of size  $k$

# Vertex Cover

- Vertex Cover:
  - $C \subseteq V$  is a vertex cover if every edge in  $E$  has one of its endpoints in  $C$
- Vertex Cover Problem:
  - Given a graph  $G = (V, E)$  and a number  $k$ , determine if there is a vertex cover  $C$  of size  $k$

# Vertex Cover Example



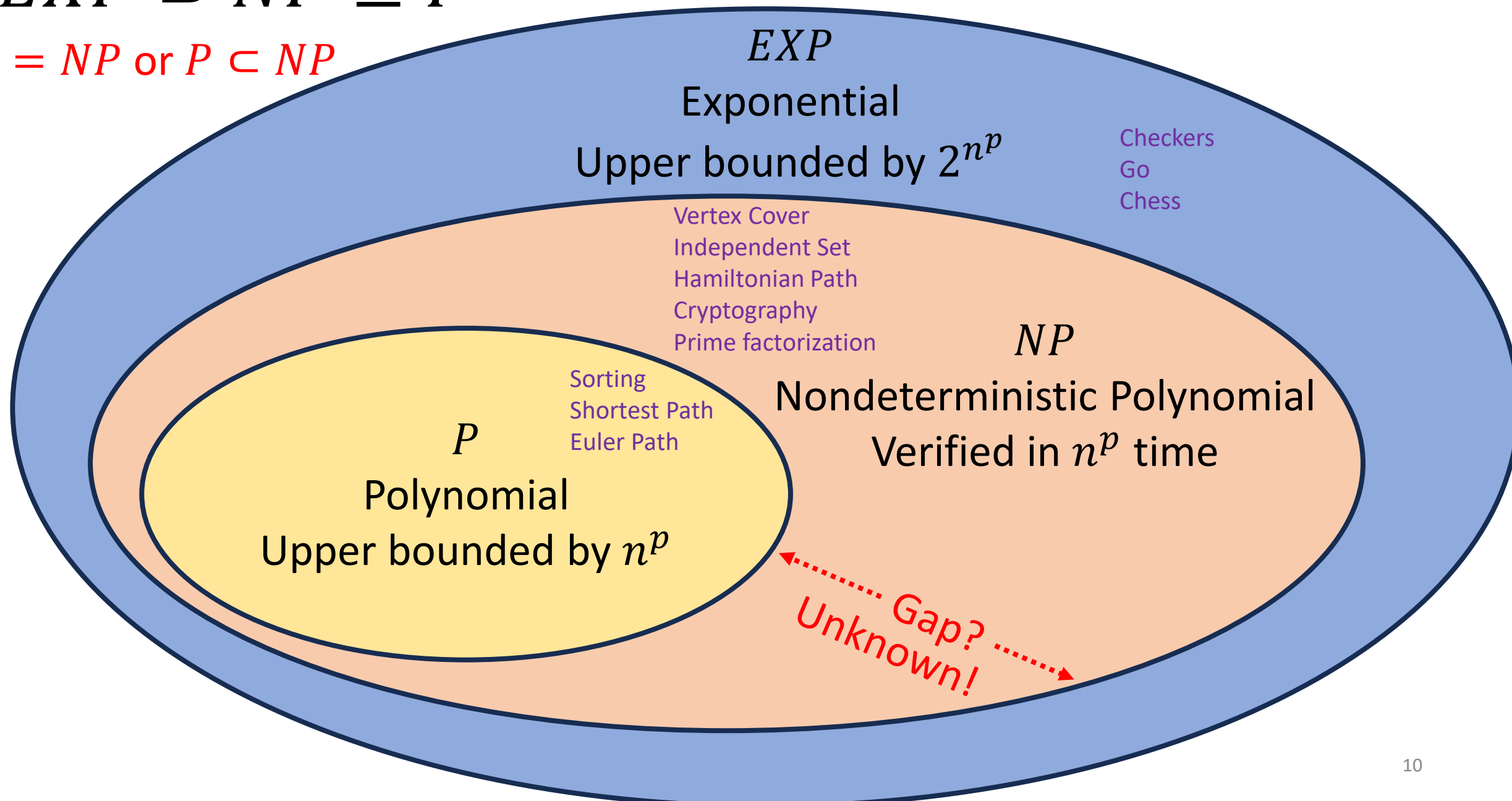


# Solving and Verifying Vertex Cover

- Give an algorithm to **solve** vertex cover
  - Input:  $G = (V, E)$  and a number  $k$
  - Output: True if  $G$  has a vertex cover of size  $k$
- Give an algorithm to **verify** vertex cover
  - Input:  $G = (V, E)$ , a number  $k$ , and a set  $S \subseteq V$
  - Output: True if  $S$  is a vertex cover of size  $k$

$$EXP \supset NP \supseteq P$$

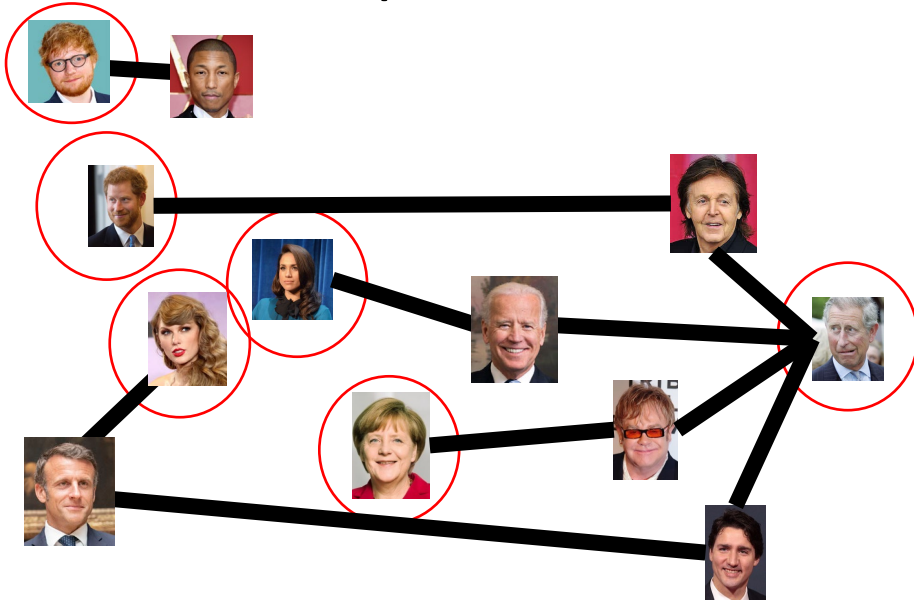
$P = NP$  or  $P \subset NP$



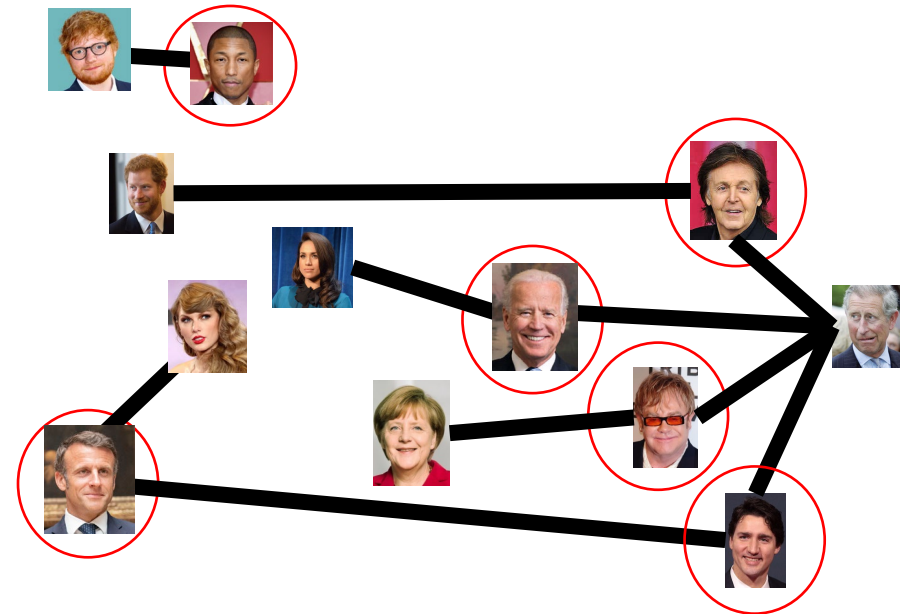
# It's easy to convert an Independent Set into a Vertex Cover!

$S$  is an independent set of  $G$  iff  $V - S$  is a vertex cover of  $G$

Independent Set

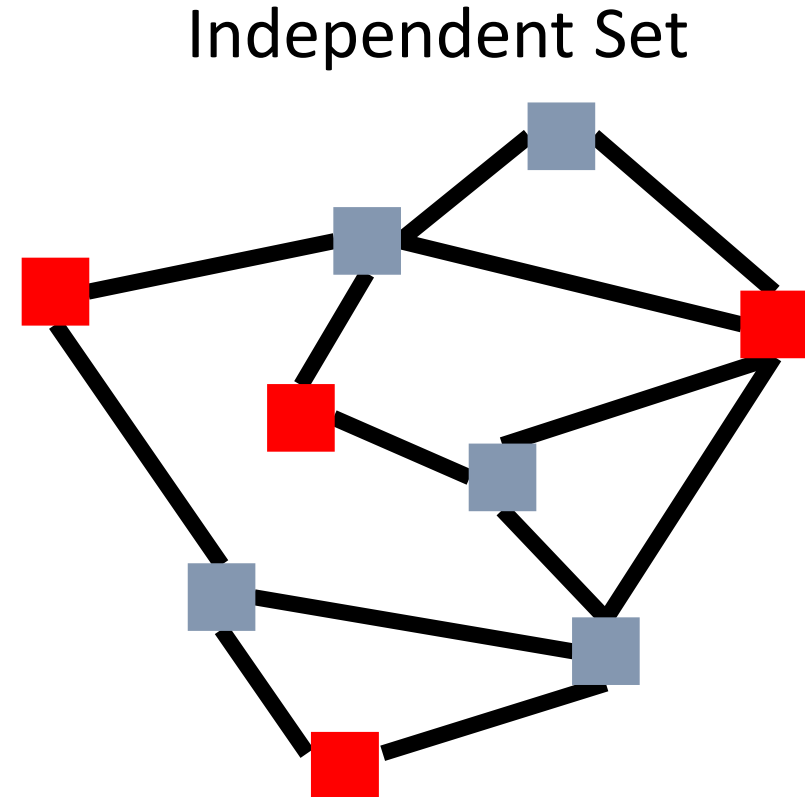
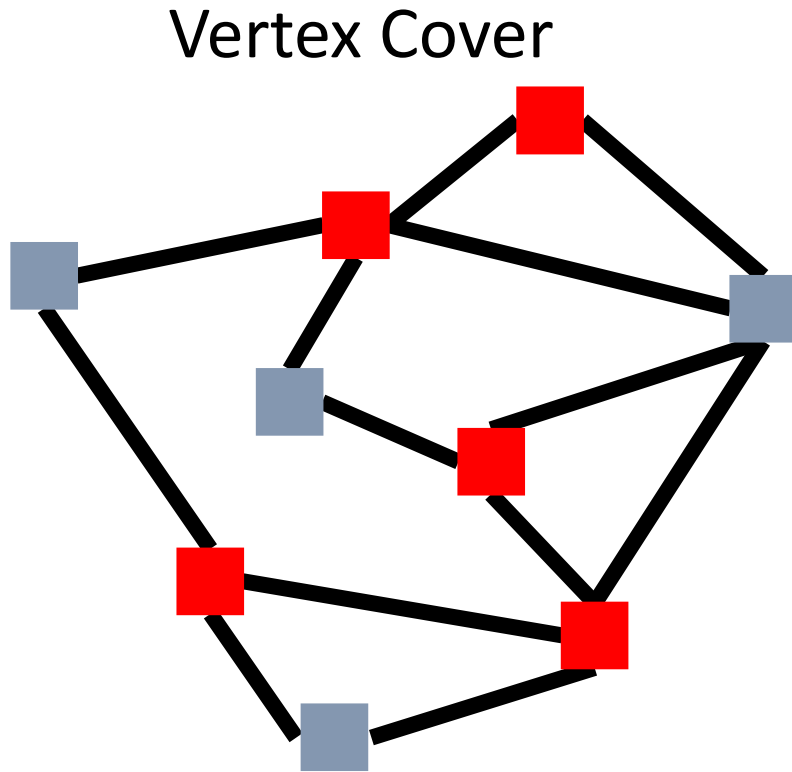


Vertex Cover



# It's easy to convert a **Vertex Cover** into an **Independent Set**!

$S$  is an **independent set** of  $G$  iff  $V - S$  is a **vertex cover** of  $G$



# Solving Vertex Cover and Independent Set

- Algorithm to solve **vertex cover**
  - Input:  $G = (V, E)$  and a number  $k$
  - Output: True if  $G$  has a **vertex cover** of size  $k$ 
    - Check if there is an **Independent Set** of  $G$  of size  $|V| - k$
- Algorithm to solve **independent set**
  - Input:  $G = (V, E)$  and a number  $k$
  - Output: True if  $G$  has an **independent set** of size  $k$ 
    - Check if there is a **Vertex Cover** of  $G$  of size  $|V| - k$

Either both problems belong to  $P$ , or else neither does!

# Reduction

A strategy for creating algorithms to solve problems by:

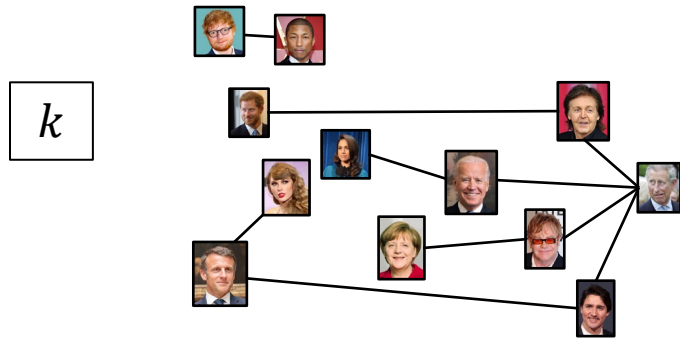
- taking solutions to one problem and using them to solve another problem.

To solve your problem:

1. Convert it into a different problem, then
2. Use an algorithm to solve that other problem
3. Convert the result of the other problem back into the result for your problem

# Independent Set Reduces To Vertex Cover

Independent Set **Input**

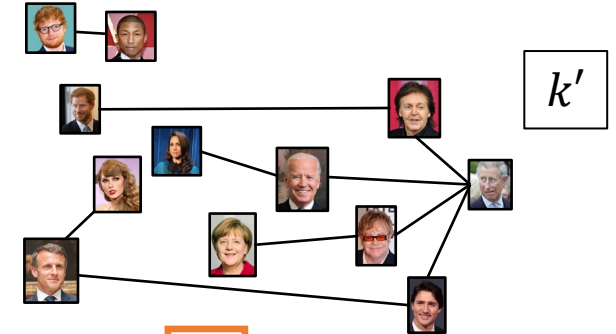


$O(V)$  Time

Relate Independent Set input to Vertex Cover input

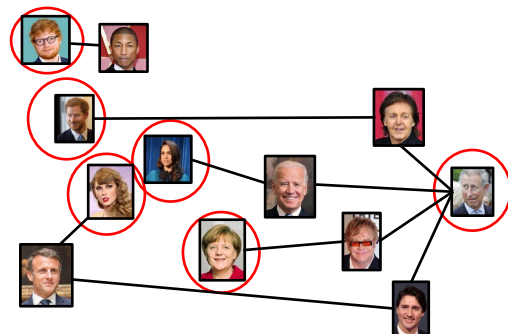
- 1) Use same graph!
- 2) Set  $k' = |V| - k$

Vertex Cover **Input**



Any Algorithm for Vertex Cover

Independent Set **Output** ( $S$ )

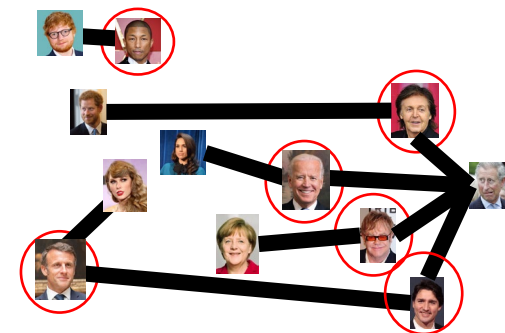


Relate Vertex Cover output to Independent Set output

Return  $S = V - S'$

Reduction

Vertex Cover **Output** ( $S'$ )



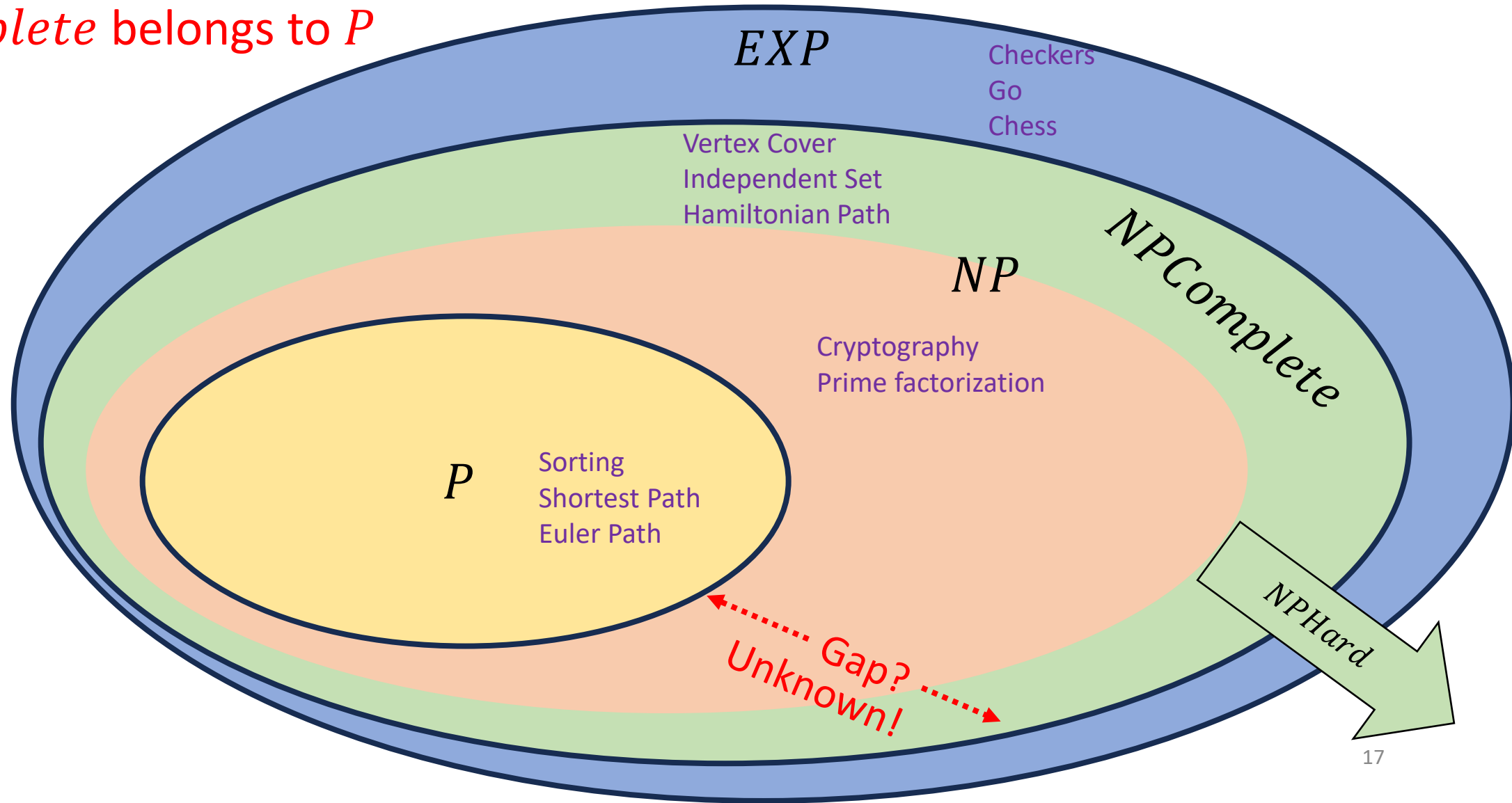
# NP-Complete

- A set of “together they stand, together they fall” problems
- The problems in this set either all belong to  $P$ , or none of them do
- Intuitively, the “hardest” problems in NP
- Collection of problems from  $NP$  that can all be “transformed” into each other in polynomial time
  - Like we could transform independent set to vertex cover, and vice-versa
  - We can also transform vertex cover into Hamiltonian path, and Hamiltonian path into independent set, and ...

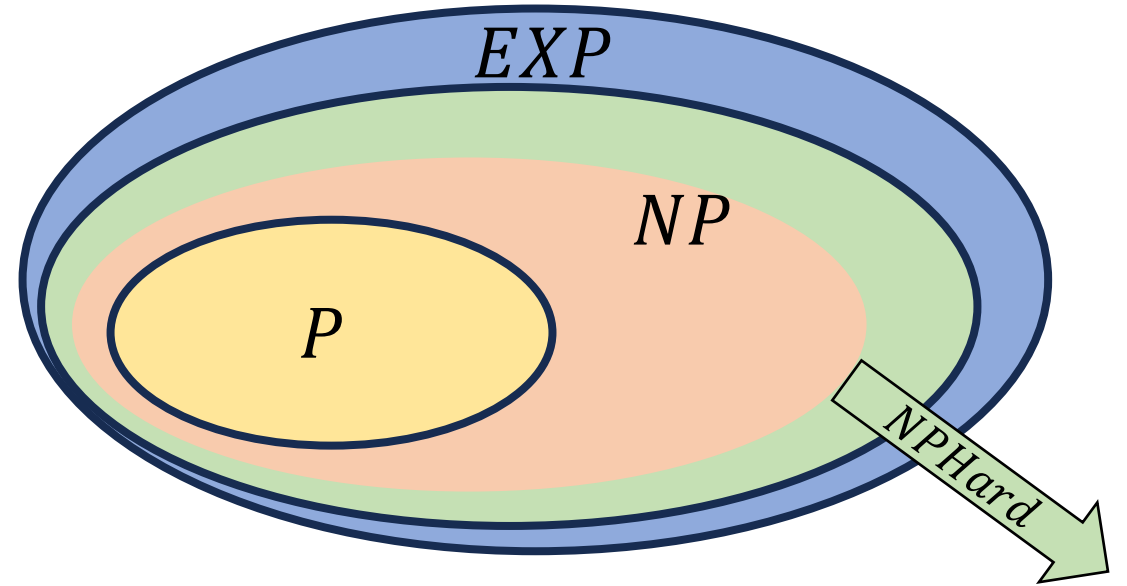


$$EXP \supset NP \supseteq P$$

$P = NP$  iff some problem from  
*NPC* belongs to  $P$

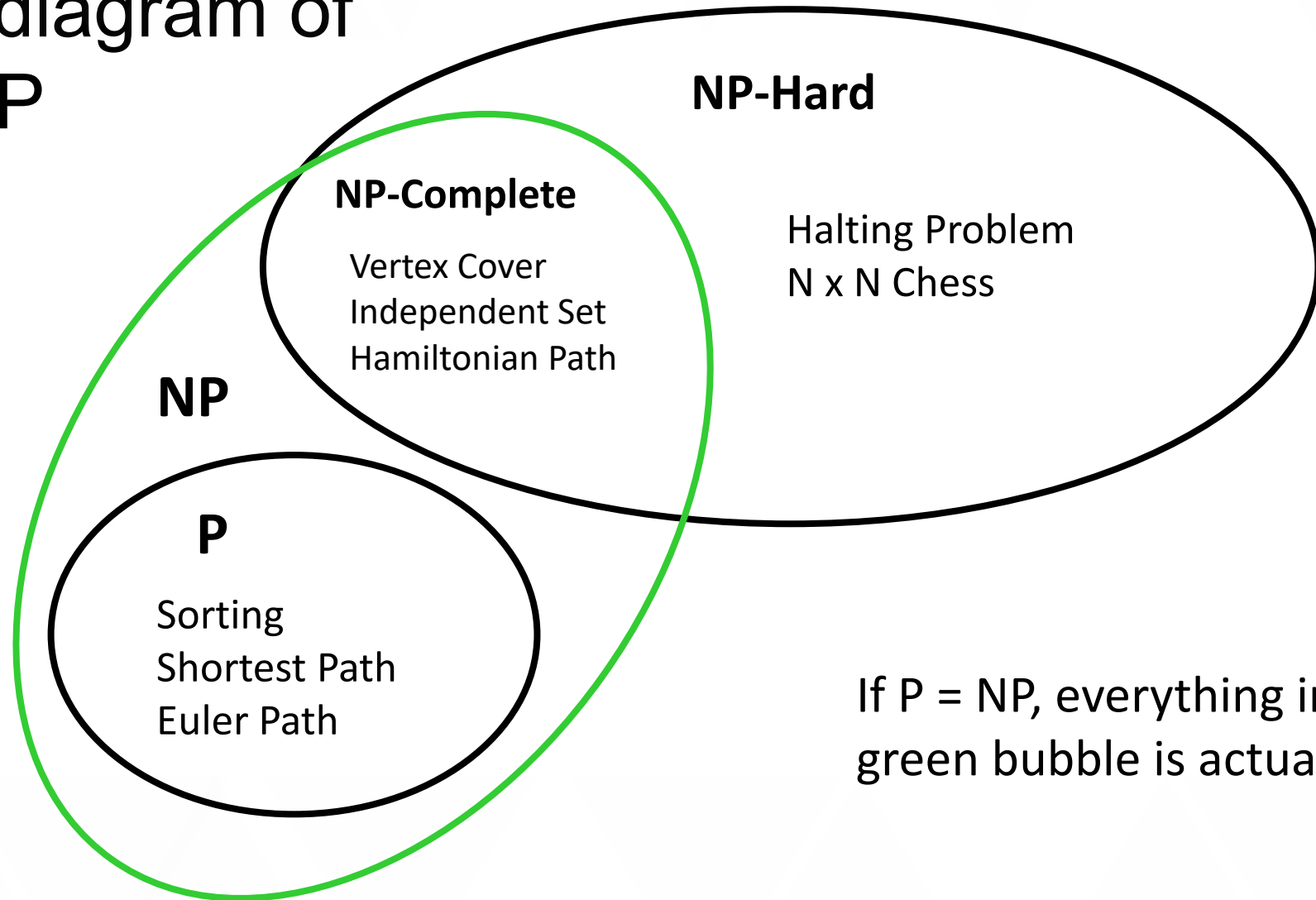


# NP-Hard



- How can we try to figure out if  $P=NP$ ?
- Identify problems at least as “hard” as  $NP$
- NP-Hard: problems at least as hard as any of the problems in  $NP$ 
  - If any of these “hard” problems can be solved in polynomial time, then all NP problems can be solved in polynomial time.
- Definition: NP-Hard:
  - Problem  $B$  is NP-Hard provided EVERY problem within  $NP$  reduces to  $B$  in polynomial time

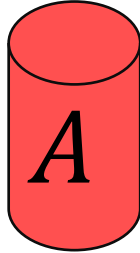
# An alternate diagram of P/NP



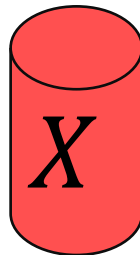
If  $P = NP$ , everything in the  
green bubble is actually  $P$

# NP-Hard Idea

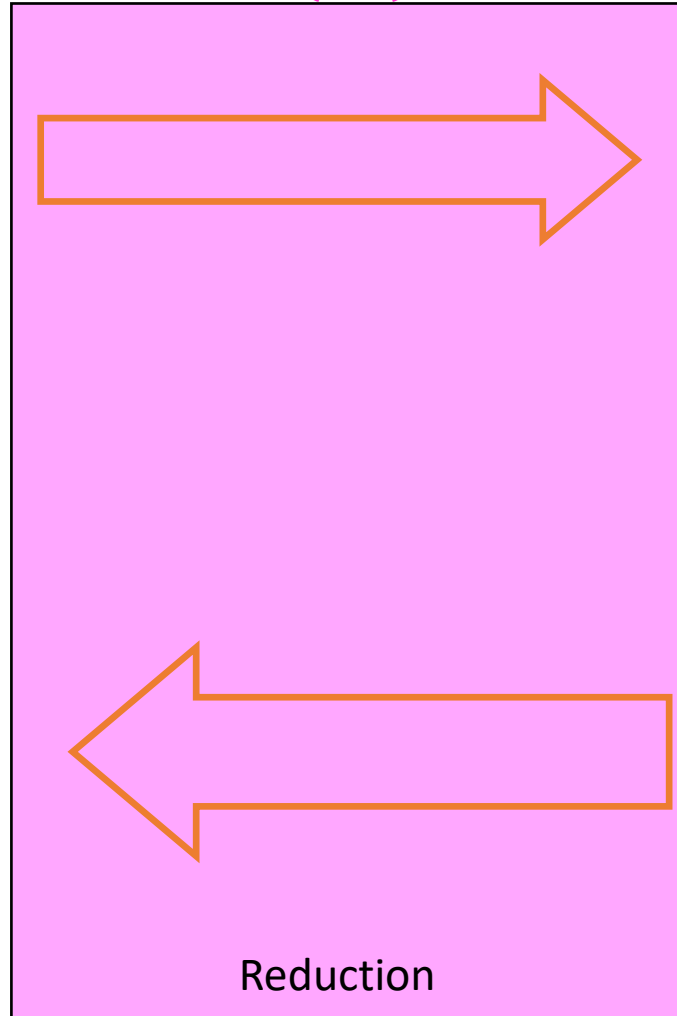
Any NP Problem



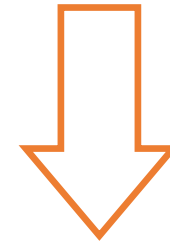
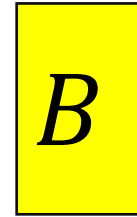
Solution for *A*



$O(n^p)$



An NP-Hard Problem



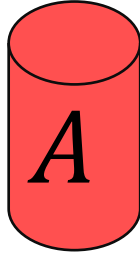
Solution for *B*



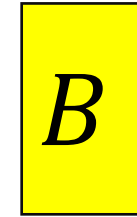
# NP-Hard Implications

For every NP problem

Any NP Problem



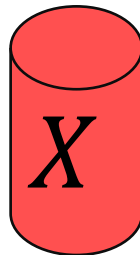
An NP-Hard Problem



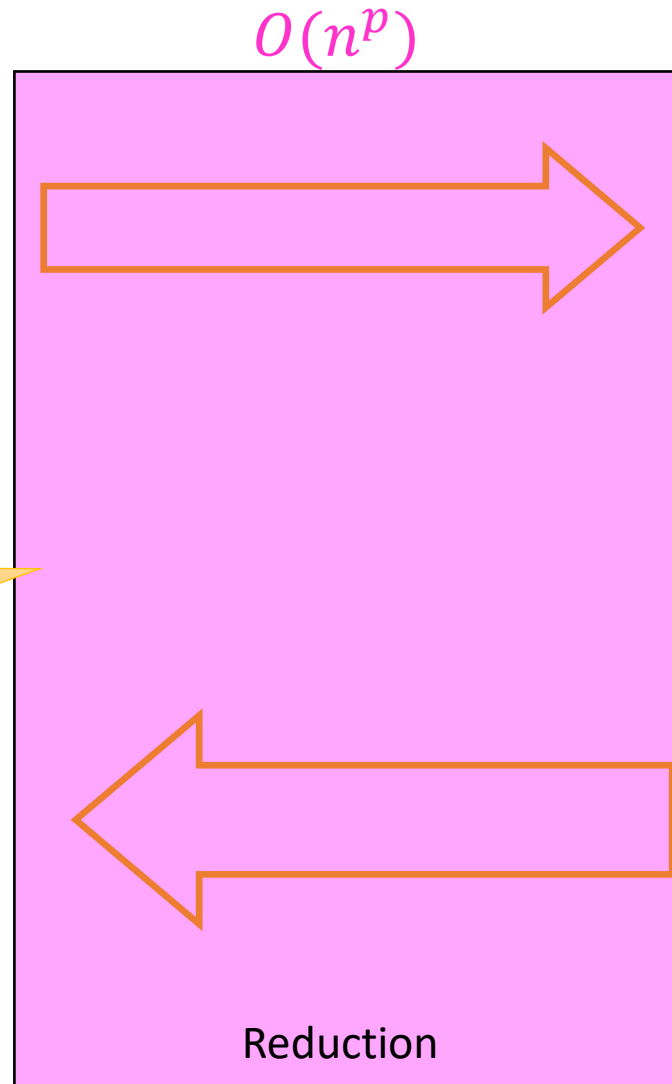
So if this was  $O(n^p)$  we can solve any NP problem in polynomial time

There exists a polynomial-time reduction to each NP-Hard Problem

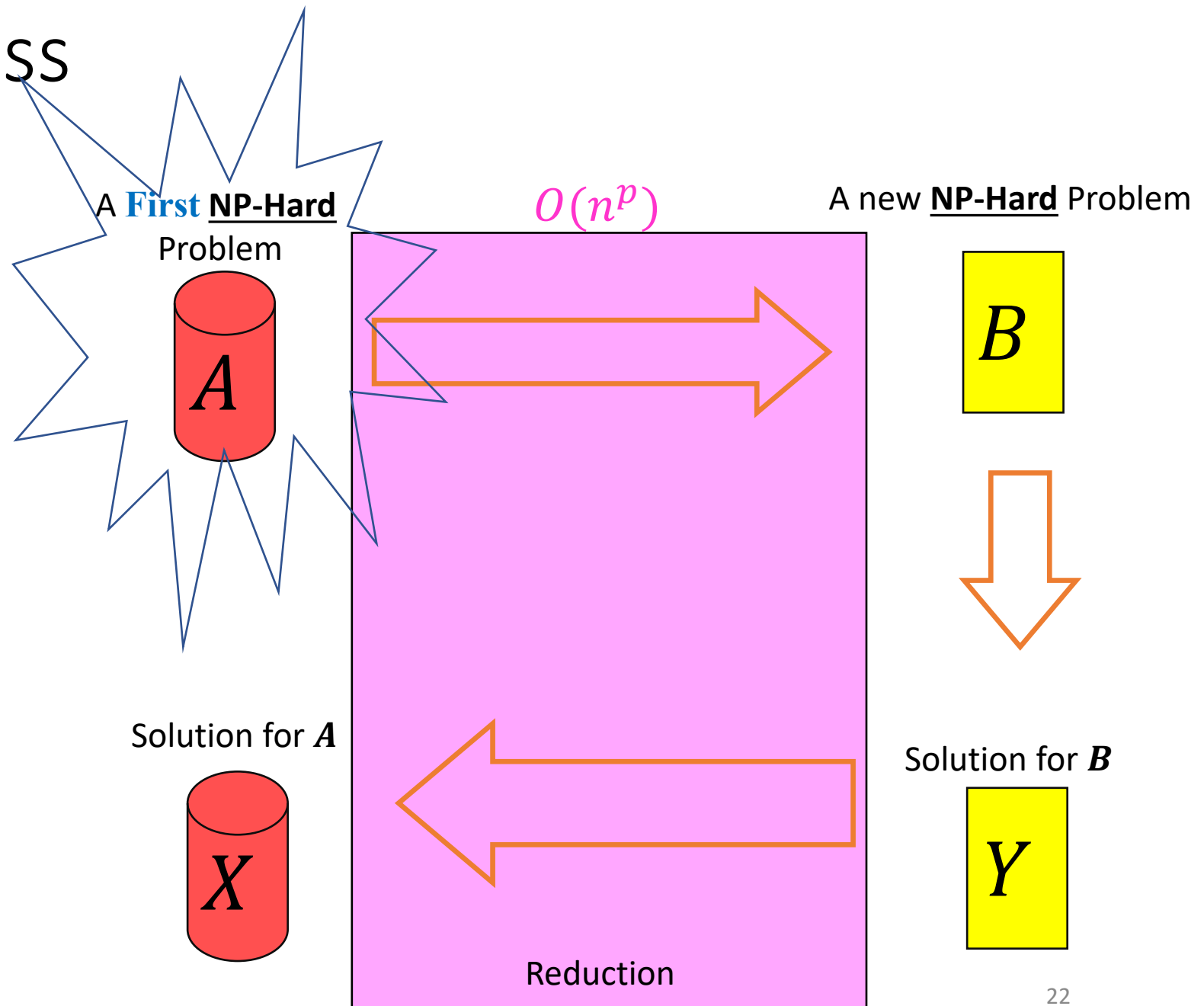
Solution for *A*



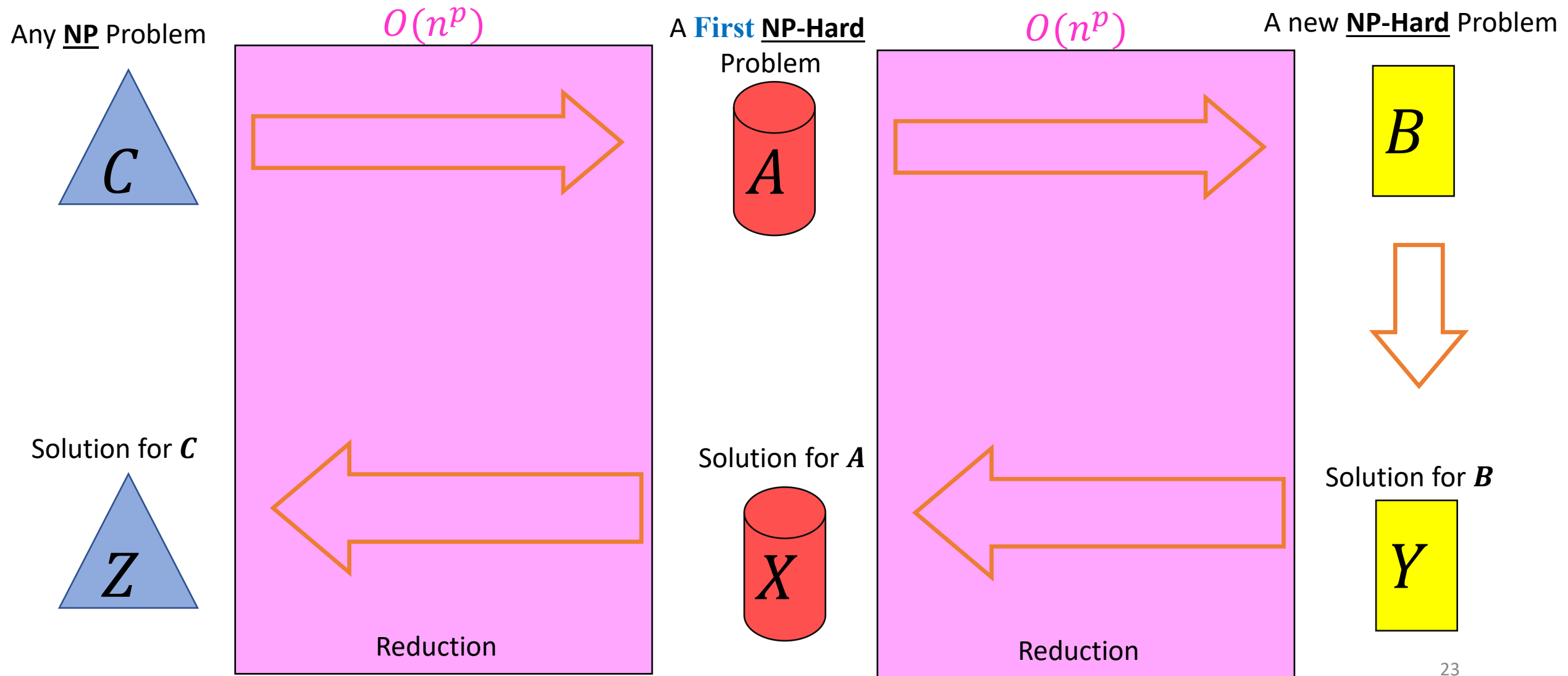
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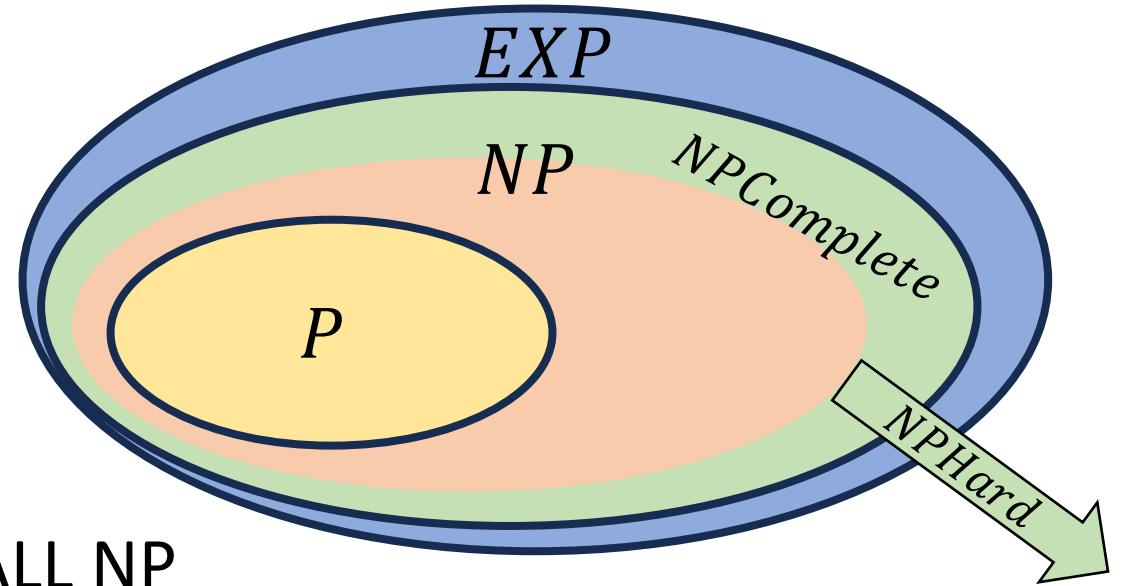
# Showing NP-Hardness



# Showing NP-Hardness



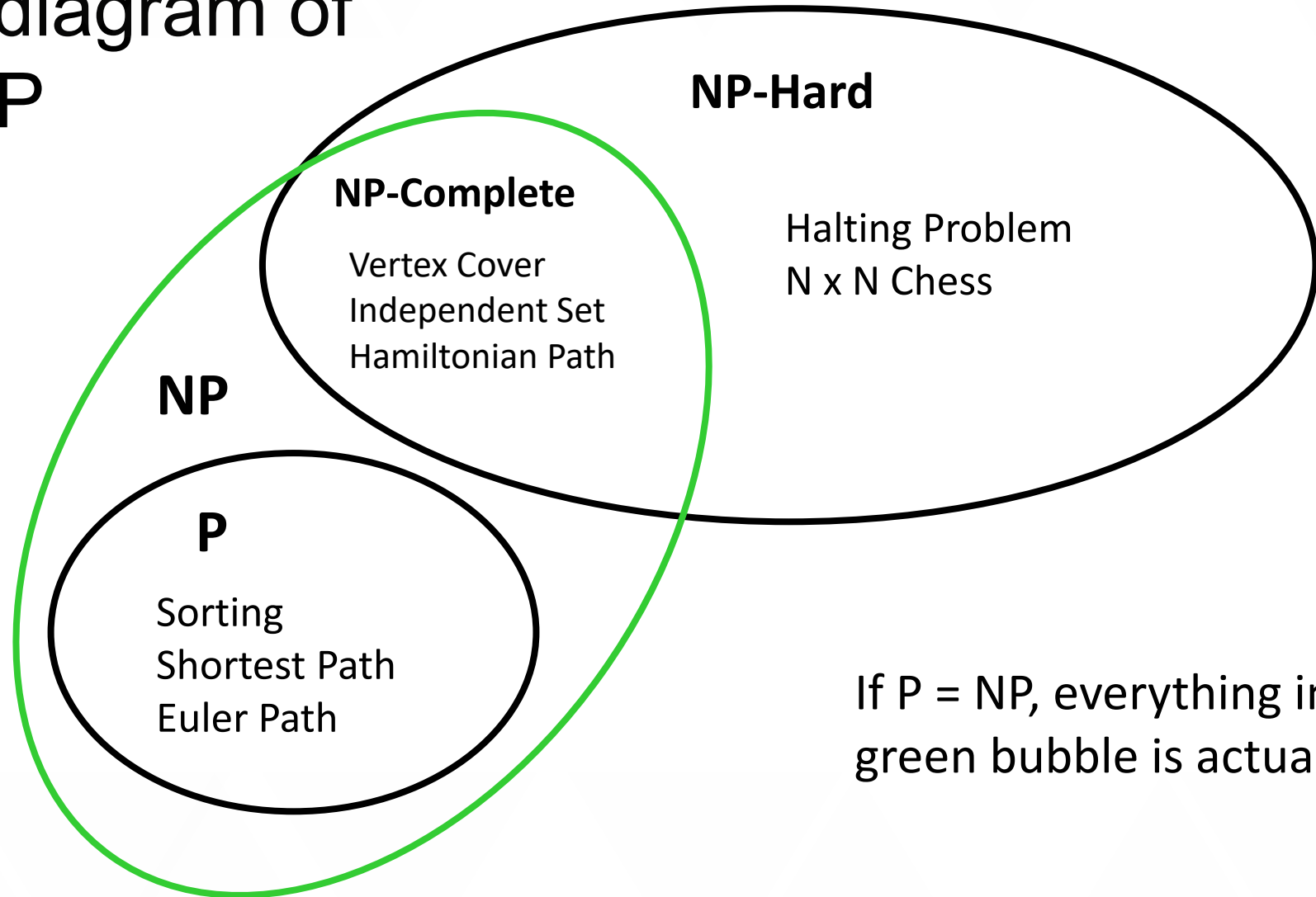
# NP-Complete



- “Together they stand, together they fall”
- Problems solvable in polynomial time iff ALL NP problems are
- $NP\text{-Complete} = NP \cap NP\text{-Hard}$
- **How to show a problem is NP-Complete?**
  - Show it belongs to NP
    - Give a polynomial time verifier
  - Show it is NP-Hard
    - Give a reduction from another NP-Hard problem



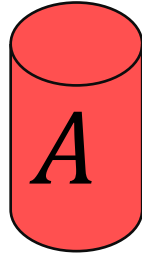
# An alternate diagram of P/NP



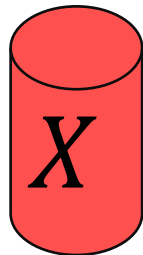
If  $P = NP$ , everything in the green bubble is actually P

# NP-Completeness

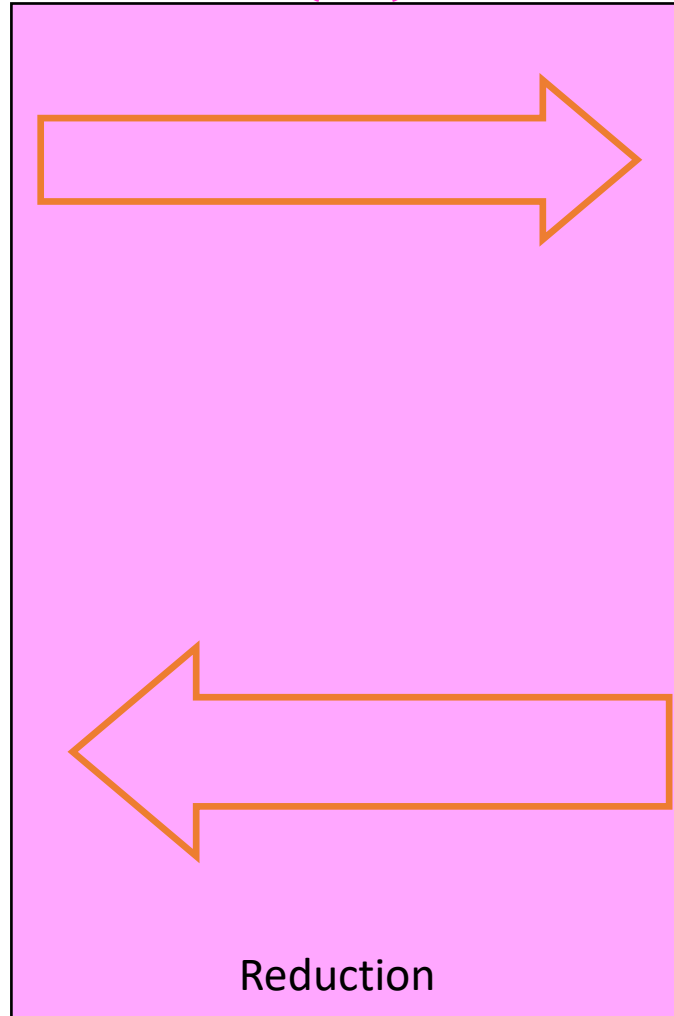
Any NP-Complete Problem



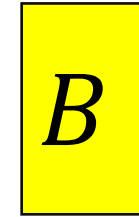
Solution for *A*



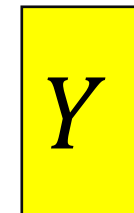
$O(n^p)$



Any other NP-Complete Problem

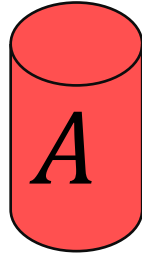


Solution for *B*



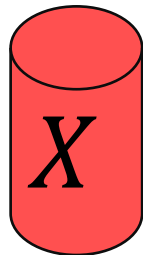
# NP-Completeness

Any NP-Complete Problem

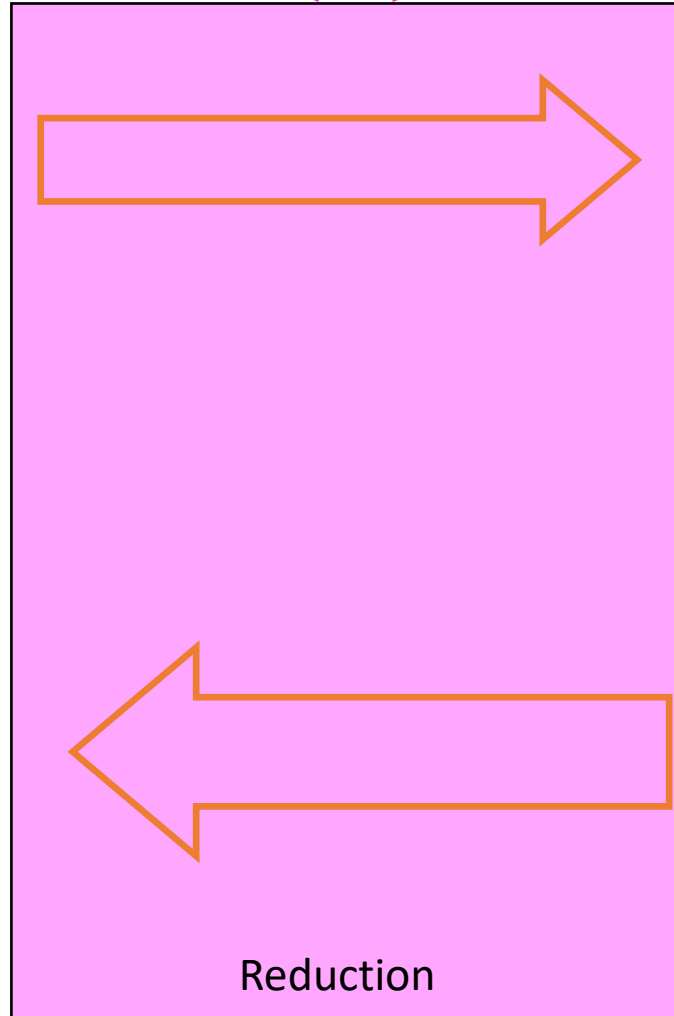


Then this **could** be done in polynomial time

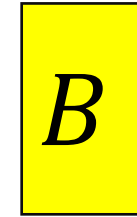
Solution for *A*



$O(n^p)$



Any other NP-Complete Problem



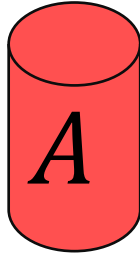
If this **could** be done in polynomial time

Solution for *B*



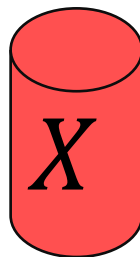
# NP-Completeness

Any NP-Complete Problem

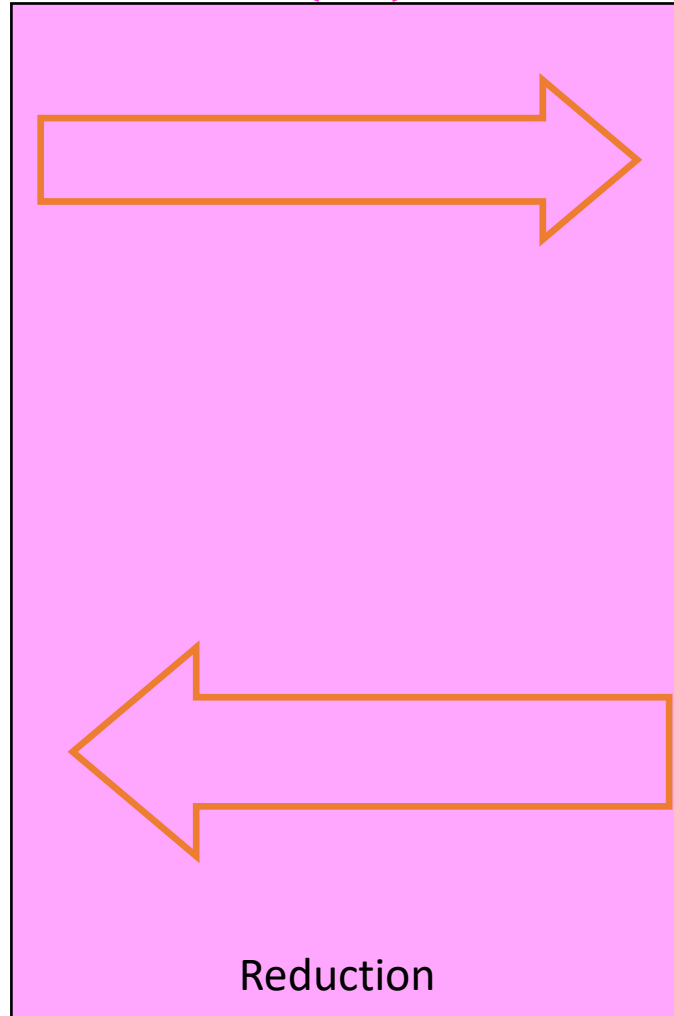


If this **cannot** be done in polynomial time

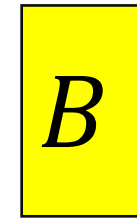
Solution for *A*



$O(n^p)$



Any other NP-Complete Problem



Then this **cannot** be done in polynomial time

Solution for *B*



# Overview

- Problems not belonging to  $P$  are considered intractable
- The problems within  $NP$  have some properties that make them seem like they might be tractable, but we've been unsuccessful with finding polynomial time algorithms for many
- The class  *$NPComplete$*  contains problems with the properties:
  - All members are also members of  $NP$
  - All members of  $NP$  can be transformed into every member of  *$NPComplete$* 
    - Because  *$NPComplete$*  problems are both in  $NP$  and  $NPHard$
  - If any one member of  *$NPComplete$*  belongs to  $P$ , then  $P = NP$
  - If any one member of  *$NPComplete$*  is outside of  $P$ , then  $P \neq NP$

# Why should YOU care?

- If you can find a polynomial time algorithm for any *NPComplete* problem then:
  - You will win \$1million
  - You will win a Turing Award
  - You will be world famous
- What if you are asked to write an algorithm for a problem that is known to be *NPComplete*?
  - You can tell that person everything above to set expectations
  - What if the problem sounds like it is *NPComplete* but you are not sure?

# Travelling Salesman Problem (TSP)

- Given complete weighted graph  $G$ , integer  $k$ .
- Is there a cycle that visits all vertices with cost  $\leq k$ ?
- One of the canonical problems.
  
- Note difference from Hamiltonian cycle:
  - graph is complete
  - we care about weight.

# Transforming Hamiltonian Cycle to TSP

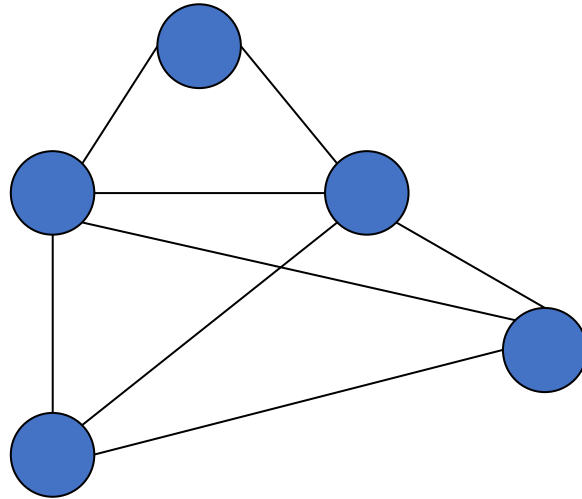
- We can “reduce” Hamiltonian Cycle to TSP.
- Given graph  $G=(V, E)$ :
  - Assign weight of 1 to each edge
  - Augment the graph with edges until it is a complete graph  $G'=(V, E')$
  - Assign weights of 2 to the new edges
  - Let  $k = |V|$ .

## Notes:

- The transformation must take polynomial time
- You reduce the known NP-complete problem into your problem (not the other way around)
- In this case we are assuming Hamiltonian Cycle is our known NP-complete problem (in reality, both are known NP-complete)



# Known NP-Complete Problem: Hamiltonian Cycle

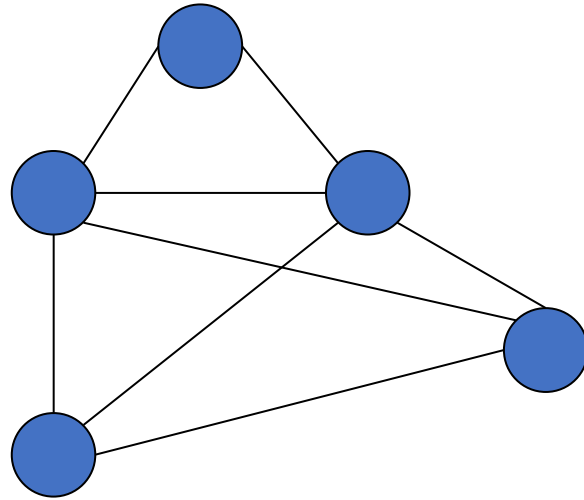


G

Input to Hamiltonian  
Cycle Problem

(Known to be NP-Complete)

# Reduce Hamiltonian Cycle to TSP



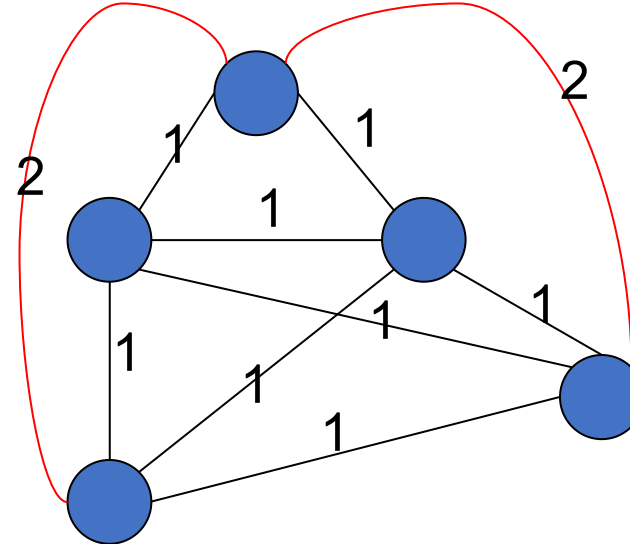
**G**

Input to Hamiltonian  
Cycle Problem

(Known to be NP-Complete)



Polynomial time  
transformation



**G'**

Input to Traveling  
Salesman Problem

(Your problem)

$k = 5$

# Polynomial-time transformation

- $G'$  has a TSP tour of weight  $|V|$  iff  $G$  has a Hamiltonian Cycle.
- What was the cost of transforming HC into TSP?
- In the end, because there is a polynomial time transformation from HC to TSP, we say *TSP is “at least as hard as” Hamiltonian cycle.*

# What if still have to solve this problem?!?

- Approximation Algorithm:
  - Can we get an efficient algorithm that guarantees something *close* to optimal? (e.g. Answer is guaranteed to be within 1.5x of Optimal, but solved in polynomial time).
- Restrictions:
  - Many hard problems are easy for restricted inputs (e.g. graph is always a tree, degree of vertices is always 3 or less).
- Heuristics:
  - Can we get something that seems to work well (good approximation/fast enough) *most* of the time? (e.g. In practice,  $n$  is small-ish)