## 1. ( $\mathbf{1 8} \mathbf{p t s}$ ) Big-Oh

( 2 pts each) For each of the functions $f(N)$ given below, indicate the tightest bound possible (in other words, giving $\mathrm{O}\left(2^{\mathrm{N}}\right)$ as the answer to every question is not likely to result in many points). Unless otherwise specified, all logs are base 2. Your answer should be as "tight" and "simple" as possible.

You do not need to explain your answer.
a) $f(N)=\mathrm{N} \log \mathrm{N}+\mathrm{N} \log \log \mathrm{N}$
b) $f(N)=50 \log \mathrm{~N}^{2}+100(\log \mathrm{~N})^{2}$
c) $f(N)=N \log _{2}\left(4^{\mathrm{N}}\right)$
d) Push in a stack containing $N$ elements implemented using linked list nodes (worst case) $\qquad$
e) A preorder traversal in a binary search tree containing $N$ elements (worst case)
f) Insert in a separate chaining hash table containing $N$ elements where each bucket points to an AVL tree (worst case)
g) IncreaseKey ( $k, v$ ) on a binary min heap containing $N$ elements. Assume you have a reference to the key $k$. $v$ is the amount that $k$ should be increased. (worst case) $\qquad$
h) $T(N)=T(N-1)+N$
i) $T(N)=T(N / 2)+100$
2. ( 6 pts) Big-Oh and Run Time Analysis: Describe the worst case running time of the following pseudocode functions in Big-Oh notation in terms of the variable $n$. Your answer should be as "tight" and "simple" as possible.

## Showing your work is not required

```
I. int sunny (int n) {
    if (n < 10)
            return n - 1;
    else {
            return sunny (n / 2);
    }
    }
```

II. int funny (int $n$, int sum) \{
for (int $k=0 ; k<n * n ;++k)$
for (int $j=0 ; j<k ; j++$ )
sum++;
return sum;
\}

```
III. int happy (int n, int sum) {
    for (int k = n; k > 0; k = k - 1) {
        for (int i = 0; i < k; i++)
                sum++;
        for (int j = n; j > 0; j--)
                sum++;
    }
    return sum;
    }
```


## 6. (9 pts) Recurrences

Give a base case and a recurrence for the runtime of the following function. Use variables appropriately for constants (e.g. $\mathrm{c}_{1}, \mathrm{c}_{2}$, etc.) in your recurrence (you do not need to attempt to count the exact number of operations). YOU DO NOT NEED TO SOLVE this recurrence.

```
int onion(int n) {
    if (n < 10) {
        return n * n;
    }
    else {
        for (int i = 0; i < n; i++) {
            print "Keep trie-ing!";
            print "Onions rule!"
        }
        return n * onion(n / 3) + 10 * onion(n / 3);
    }
}
```

$\qquad$
$\mathrm{T}(\mathrm{n})=\ldots$ For $\mathrm{n}>=10$

Yipee!!!! you do NOT need to solve this recurrence...

## 7. (10 pts) Solving Recurrences

Suppose that the running time of an algorithm satisfies the recurrence relationship:

$$
\mathrm{T}(1)=10 .
$$

and

$$
\mathrm{T}(\mathrm{~N})=2 * \mathrm{~T}(\mathrm{~N} / 2)+9 \mathrm{~N} \quad \text { for integers } \mathrm{N}>1
$$

Find the closed form for $\mathrm{T}(\mathrm{N})$. You may assume that $\mathbf{N}$ is a power of $\mathbf{2}$. Your answer should not be in Big-Oh notation - show the relevant exact constants and bases of logarithms in your answer (e.g. do NOT use " $\mathrm{c}_{1}$, $\mathrm{c}_{2}$ " in your answer). You should not have any summation symbols in your answer. The list of summations on the last page of the exam may be useful. You must show your work to receive any credit.

## 8. (10 pts) B-Trees

a) ( 5 pts ) Given $\mathrm{M}=7$ and $\mathrm{L}=20$, what is the minimum number of data items in a B -Tree (as defined in lecture and in Weiss) of height 4? Give a single number for your answer, not a formula. Explain briefly how you got your answer.
b) ( 5 pts ) Given the following parameters for a $B$-tree with $\mathrm{M}=22$ and $\mathrm{L}=5$ :

Key Size $=8$ bytes
Pointer Size $=4$ bytes
Data Size $=50$ bytes per record (includes the key)
Assuming that M and L were chosen appropriately, what is the likely size of a disk block on the machine where this implementation will be deployed? Give a numeric answer and a short justification based on two equations using the parameter values above.

## 4. ( 8 pts) 3 Heaps

Given a 3-heap of height h , what are the minimum and maximum number of nodes in the middle sub-tree of the root? Give your answer in closed form (there should not be any summation symbols).

Min nodes in middle sub-tree:

Max nodes in middle sub-tree:

## 5. ( 10 pts) Binary Max Heaps

Use Floyd's build heap to create a Max heap out of the following array. (Hint: a binary max heap would have the largest value at the root of the tree.) For any credit, show your tree one step at a time. You do not need to show the array. THIS IS A BINARY MAX HEAP!

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 2 | 4 | 10 | 3 | 7 | 6 | 13 | 1 | 8 | 21 |

## 5) ( 6 pts ) AVL Trees

You are given an AVL-Tree of height 5 and you are told what the largest key in the tree is. If you are doing a pre-order traversal of the tree, how many nodes in the tree will you visit before you encounter the largest key? Give your answer as a single number (not a mathematical expression) but explain your answer briefly.
a) In the BEST case, what is the minimum number of nodes you would visit (include the largest key in your count of nodes)? Explain your answer briefly.
b) In the WORST case, what is the maximum number of nodes you would visit? (include the largest key in your count of nodes) Explain your answer briefly.

