# CSE 332: Data Structures \& Parallelism Lecture 12: Sorting 



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## Outline

- Sorting and more sorting


## Sorting

Great general pre-processing step

- Binary Search
- Let's us find the $k^{\text {th }}$ element in $O(1)$ time for any $k$.

Also, a convenient way to discuss algorithm design principles.

## Three goals

Three things you might want in a sorting algorithm:

- In-Place
- Only use $O(1)$ extra memory.
$\left[\begin{array}{lll}0 & 3 & 7117\end{array}\right]$
- Sorted array given back in the input array.
- Stable
- If a appears before $b$ in the initial array and a.compareTo(b) == 0
- Then a appears before $b$ in the final array.
- Example: sort by first name, then by last name.
- Fast


## Insertion Sort

How you sort a hand of cards.

Maintain a sorted subarray at the front. Start with one element.
While(your subarray is not the full array)
Take the next element not in your subarray
Insert it into the sorted subarray

## Insertion Sort

```
for(i from 1 to n-1) {
    int index = i
    while(a[index-1] > a[index]) {
                swap(a[index-1], a[index])
                index = index-1
    }
}
```


## Insertion Sort



Insertion Sort Analysis
Stable? Yes! (If you're careful)
In Place? Yes!
Running time:
$\qquad$
Worst Case: $O\left(n^{2}\right)$
Average Case: $O\left(n^{2}\right)$

$$
\sum_{i=0}^{n} i=o\left(n^{2}\right)
$$

## Sort

Here's another idea for a sorting algorithm:

- Maintain a sorted subarray
- While(subarray is not full array)
- Find the smallest element remaining in the unsorted part.
- Append it at the end of the sorted part.


## Selection Sort

Here's another idea for a sorting algorithm:

- Maintain a sorted subarray
- While(subarray is not full array)
- Find the smallest element remaining in the unsorted part.
- By scanning the remainder of the array
- Append it at the end of the sorted part.

Running time $O\left(n^{2}\right)$

## Selection Sort



## Selection Sort

Here's another idea for a sorting algorithm:

- Maintain a sorted subarray
- While(subarray is not full array)
- Find the smallest element remaining in the unsorted part.
- By scanning the remainder of the array
- Append it at the end of the sorted part.

Running time $O\left(n^{2}\right)$
Can we do better? With a data structure?

## Heap Sort

Here's another idea for a sorting algorithm:

- Maintain a sorted subarray; Make the unsorted part a min-heap
- While(subarray is not full array)
- Find the smallest element remaining in the unsorted part.
- By calling removeMin on the heap
- Append it at the end of the sorted part.

Running time $O(n \log n)$

## Hear sort



Current Item


## Heap Sort (Better)

- We're sorting in the wrong order!
- Could reverse at the end.
- Our heap implementation will implicitly assume that the heap is on the left of the array.
- Switch to a max-heap and keep the sorted stuff on the right.
-What's our running time? $O(n \log n)$


## Heap Sort

- Our first step is to make a heap. Does using buildHeap instead of inserts improve the running time?
- Not in a big-O sense (though we did by a constant factor).

In place: Yes
Stable: No

## Quick Recap

|  | Run-time | Stable | Space |
| :--- | :--- | :--- | :--- |
| Insertion Sort | Best Case: $\mathrm{O}(\mathrm{N})$ <br> Worst Case: $\mathrm{O}\left(\mathrm{N}^{2}\right)$ <br> Average Case: $\mathrm{O}\left(\mathrm{N}^{2}\right)$ | Yes | $\mathrm{O}(1)$ |
| Selection Sort | $\mathrm{O}\left(\mathrm{N}^{2}\right)$ | No | $\mathrm{O}(1)$ |
| Heap Sort | $\mathrm{O}(\mathrm{N} \log \mathrm{N})$ | No | $\mathrm{O}(1)$ |

## We just saw Heap Sort, what about an "AVL Sort"?

1. How would the algorithm work?
2. What is the worst-case runtime?
3. Would this be a good alternative to heap sort?

## The Big Picture

| Simple algorithms: $0\left(n^{2}\right)$ | Fancier algorithms: $O(n \log n)$ | Comparison lower bound: $\Omega(n \log n)$ | Specialized algorithms: O(n) |
| :---: | :---: | :---: | :---: |
| Insertion sort Selection sort Shell sort | Heap sort <br> Merge sort Quick sort (avg) |  | Bucket sort <br> Radix sort |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

## Divide and conquer

Very important technique in algorithm design

1. Divide problem into smaller parts

2. Solve the parts independently

- Think recursion
- Or potential parallelism

3. Combine solution of parts to produce overall solution

Ex: Sort each half of the array, combine together; to sort each half, split into halves...

## Divide-and-conquer sorting

Two great sorting methods are fundamentally divide-and-conquer

1. Mergesort: Sort the left half of the elements (recursively) Sort the right half of the elements (recursively) Merge the two sorted halves into a sorted whole
2. Quicksort: Pick a "pivot" element

Divide elements into those less-than pivot and those greater-than pivot Sort the two divisions (recursively on each)
Answer is [sorted-less-than then pivot then sorted-greater-than]

## Mergesort



- To sort array from position lo to position hi:
- If range is 1 element long, it's sorted! (Base case)
- Else, split into two halves:
- Sort from lo to (hi+lo)/2
- Sort from (hi+lo) /2 to hi
- Merge the two halves together
- Merging takes two sorted parts and sorts everything
- $O(n)$ but requires auxiliary space...


## Example, focus on merging

Start

with:

a | 8 | 2 | 9 | 4 | 5 | 3 | 1 | 6 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

wis


Merge:
Use 3 "fingers"

(After merge, copy back to original array)

## Example, focus on merging

Start

a | 8 | 2 | 9 | 4 | 5 | 3 | 1 | 6 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

with:
After recursion:
(not magic - )

Merge:
Use 3 "fingers"

(After merge, copy back to original array)

## Example, focus on merging

Start

a | 8 | 2 | 9 | 4 | 5 | 3 | 1 | 6 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

with:
After recursion:
(not magic - )

Merge:
Use 3 "fingers"

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## Example, focus on merging

Start

a | 8 | 2 | 9 | 4 | 5 | 3 | 1 | 6 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

with:
After recursion:
(not magic :)

Merge:
Use 3 "fingers"

(After merge, copy back to original array)

## Example, focus on merging

Start

a | 8 | 2 | 9 | 4 | 5 | 3 | 1 | 6 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

with:
After recursion:
(not magic - )

Merge:
Use 3 "fingers"

(After merge, copy back to original array)

## Example, focus on merging

Start

a | 8 | 2 | 9 | 4 | 5 | 3 | 1 | 6 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

with:
After recursion:
(not magic - )

Merge:
Use 3 "fingers"

(After merge, copy back to original array)

## Example, focus on merging

Start

a | 8 | 2 | 9 | 4 | 5 | 3 | 1 | 6 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

with:
After recursion:
(not magic - )

Merge:

and 1 more array
(After merge, copy back to original array)

## Example, focus on merging



Merge:
Use 3 "fingers"

(After merge, copy back to original array)

## Example, focus on merging

Start

a | 8 | 2 | 9 | 4 | 5 | 3 | 1 | 6 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

with:
After recursion:
(not magic - )

Merge:
Use 3 "fingers"

| 1 | 2 | 3 | 4 | 5 | 6 | 8 | 9 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | and 1 more array

(After merge, copy back to original array)

## Example, focus on merging

Start

a | 8 | 2 | 9 | 4 | 5 | 3 | 1 | 6 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

with:
After recursion:
(not magic ©)

Merge:
Use 3 "fingers"

(After merge, copy back to a

| 1 | 2 | 3 | 4 | 5 | 6 | 8 | 9 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | original array)

## Mergesort example: Recursively splitting list in half



## Mergesort example: Merge as we return from recursive calls



When a recursive call ends, it's sub-arrays are each in order; just

## Mergesort, some details: saving a little time

- What if the final steps of our merging looked like the following:


Auxiliary array

- Seems kind of wasteful to copy $8 \& 9$ to the auxiliary array just to copy them immediately back...


## Mergesort, some details: saving a little time

- Unnecessary to copy remainder over to auxiliary array
- If left-side finishes first, just stop the merge \& copy the auxiliary array:

- If right-side finishes first, copy remainder directly into right side, then copy auxiliary array



## Some details: saving space / copying

Simplest / worst approach:
Use a new auxiliary array of size (hi-lo) for every merge Returning from a recursive call? Allocate a new array!

## Better:

Reuse same auxiliary array of size n for every merging stage Allocate auxiliary array at beginning, use throughout

Best (but a little tricky), (saves time):
Don't copy back - at $2^{\text {nd }}, 4^{\text {th }}, 6^{\text {th }}, \ldots$ merging stages, use the original array as the auxiliary array and vice-versa

- Need one copy at end if number of stages is odd


## Picture of the "best" from previous slide:

 Allocate one auxiliary array, switch each stepFirst recurse down to lists of size 1
As we return from the recursion, switch off arrays


## Linked lists and big data

We defined the sorting problem as over an array, but sometimes you want to sort linked lists

One approach:

- Convert to array: O(n)
- Sort: O(n $\log n$ )
- Convert back to list: $O(n)$

Or: mergesort works very nicely on linked lists directly

- heapsort and quicksort do not
- insertion sort and selection sort do but they're slower

Mergesort is also the sort of choice for external sorting

- Linear merges minimize disk accesses


## Mergesort Analysis

Having defined an algorithm and argued it is correct, we should analyze its running time (and space):

To sort $n$ elements, we:

- Return immediately if $n=1$
- Else do 2 subproblems of size $n / 2$ and then an $O(n)$ merge

Recurrence relation?

## Mergesort Analysis

Having defined an algorithm and argued it is correct, we should analyze its running time (and space):

To sort $n$ elements, we:

- Return immediately if $n=1$
- Else do 2 subproblems of size $n / 2$ and then an $O(n)$ merge

Recurrence relation:

$$
\begin{aligned}
& \mathrm{T}(1)=\mathrm{c}_{1} \\
& \mathrm{~T}(n)=2 \mathrm{~T}(n / 2)+\mathrm{c}_{2} n+\mathrm{c}_{3}
\end{aligned}
$$

## Mergesort Recurrence

(For simplicity let constants be 1 - no effect on asymptotic answer)

$$
\begin{aligned}
T(1) & =1 \\
T(n) & =2 T(n / 2)+n \\
& =2(2 T(n / 4)+n / 2)+n \\
& =4 T(n / 4)+2 n \\
& =4(2 T(n / 8)+n / 4)+2 n \\
& =8 T(n / 8)+3 n \\
& \cdots .(\text { after } k \text { expansions }) \\
& =2^{k T}\left(n / 2^{k}\right)+k n
\end{aligned}
$$

So total is $2^{k T}\left(\mathrm{n} / 2^{\mathrm{k}}\right)+\mathrm{kn}$ where

$$
n / 2^{k}=1 \text {, i.e., } \log n=k
$$

That is, $2^{\log n} T(1)+n \log n$

$$
\begin{aligned}
& =n+n \log n \\
& =0(n \log n)
\end{aligned}
$$

## Or more intuitively...

This recurrence comes up often enough you should just "know" it's O(n $\log n)$

Merge sort is relatively easy to intuit (best, worst, and average):

- The recursion "tree" will have log $n$ height
- At each level we do a total amount of merging equal to $n$



## Quicksort

- Also uses divide-and-conquer
- Recursively chop into halves
- But, instead of doing all the work as we merge together, we'll do all the work as we recursively split into halves
- Also unlike MergeSort, does not need auxiliary space
- $O(n \log n)$ on average $)$, but $O\left(n^{2}\right)$ worst-case $:$
- MergeSort is always O(nlogn)
- So why use QuickSort?
- Can be faster than mergesort
- Often believed to be faster
- Quicksort does fewer copies and more comparisons, so it depends on the relative cost of these two operations!


## Quicksort Overview

1. Pick a pivot element

- Hopefully an element ~median
- Good QuickSort performance depends on good choice of pivot; we'll see why later, and talk about good pivot selection later

2. Partition all the data into:
A. The elements less than the pivot
B. The pivot
C. The elements greater than the pivot
3. Recursively sort $A$ and $C$
4. The answer is, "as simple as A, B, C"
(Alas, there are some details lurking in this algorithm)

## Quicksort: Think in terms of sets


[Weiss]

## Quicksort Example, showing recursion



## Quicksort Details

We have not yet explained:

- How to pick the pivot element
- Any choice is correct: data will end up sorted
- But as analysis will show, want the two partitions to be about equal in size
- How to implement partitioning
- In linear time
- In place


## Pivots

- Best pivot?
- Median
- Halve each time

- Worst pivot?
- Greatest/least element
- Reduce to problem of size 1 smaller
- $O\left(n^{2}\right)$


## Quicksort: Potential pivot rules

While sorting arr from 10 (inclusive) to hi (exclusive)...

- Pick arr [lo] or arr [hi-1]
- Fast, but worst-case is (mostly) sorted input
- Pick random element in the range
- Does as well as any technique, but (pseudo)random number generation can be slow
- (Still probably the most elegant approach)
- Median of 3, e.g., arr[lo], arr[hi-1], arr[(hi+lo)/2]
- Common heuristic that tends to work well


## Partitioning

- That is, given 8, 4, 2, 9, 3, 5, 7 and pivot 5
- Dividing into left half \& right half (based on pivot)
- Conceptually simple, but hardest part to code up correctly
- After picking pivot, need to partition
- Ideally in linear time
- Ideally in place
- Ideas?


## Hoare Partitioning

- One approach (there are slightly fancier ones):

1. Swap pivot with arr [lo]; move it 'out of the way'
2. Use two fingers i and j, starting at lo+1 and hi-1 (start \& end of range, apart from pivot)
3. Move from right until we hit something less than the pivot; belongs on left side Move from left until we hit something greater than the pivot; belongs on right side
Swap these two; keep moving inward
while (i < j)
```
if (arr[j] > pivot) j--
```

else if (arr[i] <= pivot) i++
else swap arr[i] with arr[j]
4. Put pivot back in middle (Swap with arr [i])

## Quicksort Example

- Step one: pick pivot as median of 3
- lo = 0, hi = 10

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $\mathbf{8}$ | $\mathbf{1}$ | $\mathbf{4}$ | $\mathbf{9}$ | $\mathbf{0}$ | $\mathbf{3}$ | $\mathbf{5}$ | $\mathbf{2}$ | $\mathbf{7}$ | $\mathbf{6}$ |

- Step two: move pivot to the lo position

| 0 | 1 |  | 2 | 3 | 4 | 5 |  |  | 7 | 8 | 9 | 9 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 6 | 1 | 4 | 4 | 9 | 0 | 3 |  |  | 2 | 7 |  | 8 |
|  |  |  |  |  |  |  |  |  |  |  |  |  |

## Quicksort Example

Often have more than one swap during partition this is a short example

Now partition in place


Move fingers


Swap


Move fingers


Move pivot

| 5 | 1 | 4 | 2 | 0 | 3 | 6 | 9 | 7 | 8 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

## Quicksort Analysis

Best-case?

Worst-case?

Average-case?

## Quicksort Analysis

Best-case: Pivot is always the median

$$
\begin{aligned}
& T(0)=T(1)=1 \\
& T(n)=2 T(n / 2)+n) \text { (linear-time partition) } \\
& \text { Same recurrence as mergesort: } O(n \log n)
\end{aligned}
$$

Worst-case: Pivot is always smallest or largest element

```
T(0) = T(1) = 1
T(n)=T(n-1) + n
```

Basically same recurrence as selection sort: $O\left(n^{2}\right)$

Average-case: (e.g., with random pivot)

- O( $N \log \mathrm{~N}$ ), not responsible for proof (in text)


## Is QuickSort Stable?

It depends on how we partition the elements...

Naïve Partitioning (Stable, requires more space!)

- Two passes over data

1. Store all values in temporary array that are smaller than pivot
2. (Add pivot, then) Store all values in temporary array that are larger than pivot

## Hoare's Partitioning Scheme (NOT Stable)

- Pointer swapping (what we just saw)


## Quicksort Cutoffs

- For small $n$, all that recursion tends to cost more than doing a quadratic sort
- Remember asymptotic complexity is for large $n$
- Also, recursive calls add a lot of overhead for small $n$
- Common engineering technique: switch to a different algorithm for subproblems below a cutoff
- Reasonable rule of thumb: use insertion sort for $n<10$
- Notes:
- Could also use a cutoff for merge sort
- Cutoffs are also the norm with parallel algorithms
- switch to sequential algorithm
- None of this affects asymptotic complexity


## Quicksort Cutoff skeleton

```
void quicksort(int[] arr, int lo, int hi) {
    [if(hi - lo < CUTOFF)
    insertionSort(arr,lo,hi);
    else
}
```

Notice how this cuts out the vast majority of the recursive calls

- Think of the recursive calls to quicksort as a tree
- Trims out the bottom layers of the tree


## Quick Recap

|  | Run-time | Stable | Space |
| :--- | :--- | :--- | :--- |
| Insertion Sort | Best Case: O(N) <br> Worst Case: $\mathrm{O}\left(\mathrm{N}^{2}\right)$ <br> Average Case: $\mathrm{O}\left(\mathrm{N}^{2}\right)$ | Yes | $\mathrm{O}(1)$ |
| Selection Sort | $\mathrm{O}\left(\mathrm{N}^{2}\right)$ | No | $\mathrm{O}(1)$ |
| Heap Sort | $\mathrm{O}(\mathrm{N} \log \mathrm{N})$ | No | $\mathrm{O}(1)$ |
| Merge Sort | $\mathrm{O}(\mathrm{N} \log \mathrm{N})$ | Yes | $\mathrm{O}(\mathrm{N})$ |
| Quick Sort <br> (Hoare's Partition) | Best Case: O(N log N) <br> Worst Case: $\mathrm{O}\left(\mathrm{N}^{2}\right)$ <br> Average Case: $\mathrm{O}(\mathrm{N} \log \mathrm{N})$ | No | $\mathrm{O}(1)$ |

## The Big Picture

| Simple algorithms: $0\left(n^{2}\right)$ | Fancier algorithms: $O(n \log n)$ | Comparison lower bound: $\Omega(n \log n)$ | Specialized algorithms: O(n) |
| :---: | :---: | :---: | :---: |
| Insertion sort Selection sort Shell sort | Heap sort <br> Merge sort Quick sort (avg) |  | Bucket sort <br> Radix sort |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

## Lower Bound

- We keep hitting $O(n \log n)$ in the worst case.
- Can we do better?
- Or is this $O(n \log n)$ pattern a fundamental barrier?
- Without more information about our data set, we cannot do better.

Comparison Sorting Lower Bound
Any sorting algorithm which only interacts with its input by comparing elements must take $\Omega(\mathrm{n} \log \mathrm{n})$ time.

## Decision Trees

- Suppose we have a siz 3 array to sort.
- We will figure out which array to return by comparing elements.
- When we know what the correct order is, we'll return that array.




## Complete the Proof

- How many operations can we guarantee in the worst case?
(In terms of the decision tree diagram of our arbitrary sorting algorithm)
- How tall is the tree if the array is length $n$ ?
- What's the simplified $\Omega()$ ?

Complete the Proof

- How many operations can we guarantee in the worst case? height of thee
- How tall is the tree if the array is length $n$ ? $n$ !
-What's the simplified $\Omega()$ ?

$$
\begin{aligned}
& \text { at's the simplified } \Omega() ? \\
& \log (n!)=\log (n \cdot n-1 n-2 \ldots)=\log (n)+\log (n-1)+\log (n-2) \ldots \log (1)
\end{aligned}
$$

$$
\geqslant \log \left(\frac{n}{2}\right)+\log \left(\frac{n}{2}\right) \cdots \log \left(\frac{n}{2}\right) \quad \frac{n}{2} \text { time o }
$$

$$
\geqslant \frac{n}{2} \log \left(\frac{n}{2}\right)
$$

$$
\Omega(n \log n)
$$

## Complete the Proof

- How many operations can we guarantee in the worst case?
- Equal to the height of the tree.
- How tall is the tree if the array is length $n$ ?
- One of the children has at least half of the possible inputs.
- What level can we guarantee has an internal node? $\log _{2}(n!)$
-What's the simplified $\Omega()$ ?

$$
\log _{2}(n!)=\log _{2}(n)+\log _{2}(n-1)+\log _{2}(n-2)+\cdots+\log _{2}(1)
$$

$\geq \log _{2}\left(\frac{n}{2}\right)+\log _{2}\left(\frac{n}{2}\right)+\cdots+\log _{2}\left(\frac{n}{2}\right)$ (only $n / 2$ copies)

- $\geq \frac{n}{} \frac{n}{2 / 722 / 2022} \log _{2}\left(\frac{n}{2}\right)=n / 2\left(\log _{2}(n)-1\right)=\Omega(n \log n)$


## Takeaways



A tight lower bound like this is very rare.
This proof had to argue about every possible algorithm

- that's really hard to do.

We can't come up with a more clever recurrence to sort faster.
Unless we make some assumptions about our input.
And get information without doing the comparisons.

## Avoiding the Lower Bound

Can we avoid using comparisons?
In general, probably not.
But what if we know that all of our data points are small integers?

| Simple <br> algorithms: <br> $\mathrm{O}\left(n^{2}\right)$ | Fancier <br> algorithms: <br> $\mathrm{O}(n \log n)$ | Comparison <br> lower bound: <br> $\Omega(n \log n)$ |
| :--- | :---: | :---: | | Specialized |
| :---: |
| algorithms: |
| $\mathrm{O}(n)$ |

## Bucket Sort (aka Bin Sort)

| 4 | 3 | 1 | 2 | 1 | 1 | -2 | 3 | 4 | 2 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

Assumption: We only have values 1,2,3, 4


## Formalizing: BucketSort (a.k.a. BinSort)

- If all values to be sorted are known to be integers between 1 and $K$ (or any small range),
- Create an array of size K, and put each element in its proper bucket (a.ka. bin)
- If data is only integers, no need to store more than a count of how many times that bucket has been used
- Output result via linear pass through array of buckets

| count array |  |
| :--- | :--- |
| 1 |  |
| 2 |  |
| 3 |  |
| 4 |  |
| 5 |  |

- Example:

K=5
Input: (5,1,3,4,3,2,1,1,5,4,5)
output:

## Analyzing bucket sort

- Overall: $O(n+K)$
- Linear in $n$, but also linear in $K$
- $\Omega(n \log n)$ lower bound does not apply because this is not a comparison sort
- Good when range, $K$, is smaller (or not much larger) than $n$
- (We don't spend time doing lots of comparisons of duplicates!)
- Bad when $K$ is much larger than $n$
- Wasted space; wasted time during final linear $O(K)$ pass
- For data in addition to integer keys, use list at each bucket


## Bucket Sort with Data

- Most real lists aren't just \#'s; we have data
- Each bucket is a list (say, linked list)

Bucket sort illustrates a more general trick: How might you implement a heap for a small range of integer priorities in a similar manner...

- To add to a bucket, place at end O(1) (keep pointer to last element)

- Example: Movie ratings:

1=bad,...5=excellent

- Input=

5: Casaidianca
3: Harry Potter Movies

1. The Bee Movie

5: Star Wars

Result: 1: The Bee Movie, 3: Harry Potter, 5: Casablanca, 5: Star Wars
This result is stable; Casablanca still before Star Wars

## Radix Sort

- For each digit (starting at the ones place)
- Run a "bucket sort" with respect to that digit
- Keep the sort stable!


## Radix Sort: Ones Place

| 012 | 234 | 789 | 555 | 678 | 200 | 777 | 562 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |


| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |  |  |  |  |  |
| 200 | 0,12 | 234 | 555 |  | 777 | 678 | 789 |  |  |
|  | 562 |  |  |  |  |  |  |  |  |

## Radix Sort: Ones Place



## Radix Sort: Tens Place



## Radix Sort: Tens Place



## Radix Sort: Hundreds Place

$$
\begin{array}{|l|l|l|l|l|l|l|l|}
\hline 200 & 012 & 234 & 555 & 562 & 777 & 678 & 789 \\
\hline
\end{array}
$$



## Radix Sort: Hundreds Place

| 200 | 012 | 234 | 555 | 562 | 777 | 678 | 789 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |



| 012 | 200 | 234 | 555 | 562 | 678 | 777 | 789 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

## Radix Sort

Performance depends on:

- Input size: $n$
- Number of buckets = Radix: $B$
- e.g. Base 10 number: 10; binary number: 2; Alpha-numeric char: 62
- Number of passes = "Digits": $P$
- e.g. Phone Number: 10; Person's name: ?

- Work per pass is 1 bucket sort: $O(B+n)$
- Each pass is a Bucket Sort
- Total runtime is $O(P(B+n))$
- We do 'P’ passes, each of which is a Bucket Sort


## Sorting Summary

- Simple $O\left(n^{2}\right)$ sorts can be fastest for small $n$
- selection sort, insertion sort (latter linear for mostly-sorted)
- good for "below a cut-off" to help divide-and-conquer sorts
- $O(n \log n)$ sorts
- heap sort, in-place but not stable nor parallelizable
- merge sort, not in place but stable and works as external sort
- quick sort, in place but not stable and $O\left(n^{2}\right)$ in worst-case
- often fastest, but depends on costs of comparisons/copies
- $\Omega(n \log n)$ is worst-case and average lower-bound for sorting by comparisons
- Non-comparison sorts
- Bucket sort good for small number of key values
- Radix sort uses fewer buckets and more phases
- Best way to sort? It depends!


## ■ Do Ererywhere

## Sorting our Sorts! Try to do this without looking at your notes

|  | Run-time | Stable | Space |
| :--- | :--- | :--- | :--- |
| Insertion Sort |  |  |  |
| Selection Sort |  |  |  |
| Heap Sort |  |  |  |
| Merge Sort |  |  |  |
| Quick Sort <br> (Hoare's Partition) |  |  |  |
| Radix Sort |  |  |  |

## ■ Do Ererywhere

## Sorting our Sorts! Try to do this without looking at your notes

|  | Run-time | Stable | Space |
| :--- | :--- | :--- | :--- |
| Insertion Sort | Best Case: $\mathrm{O}(\mathrm{N})$ <br> Worst Case: $\mathrm{O}\left(\mathrm{N}^{2}\right)$ <br> Average Case: $\mathrm{O}\left(\mathrm{N}^{2}\right)$ | Yes | $\mathrm{O}(1)$ |
| Selection Sort | $\mathrm{O}\left(\mathrm{N}^{2}\right)$ | No | $\mathrm{O}(1)$ |
| Heap Sort | $\mathrm{O}(\mathrm{N}$ log N$)$ | No | $\mathrm{O}(1)$ |
| Merge Sort | $\mathrm{O}(\mathrm{N}$ log N$)$ | Yes | $\mathrm{O}(\mathrm{N})$ |
| Quick Sort <br> (Hoare's Partition) | Best Case: $\mathrm{O}(\mathrm{N} \log \mathrm{N})$ <br> Worst Case: $\mathrm{O}\left(\mathrm{N}^{2}\right)$ <br> Average Case: $\mathrm{O}(\mathrm{N}$ log N$)$ | No | $\mathrm{O}(1)$ |
| Radix Sort | $\mathrm{O}(\mathrm{P}(\mathrm{B}+\mathrm{N}))$ | $\mathrm{O})$ | $\mathrm{B}+\mathrm{N})$ |

