

# CSE 332: Data Structures and Parallelism

Fall 2022

Richard Anderson

Lecture 6: Binary Search Trees

# Announcements

- Reading: Weiss
  - Today: Binary Search Trees, 4.1-4.3, 4.6
  - Wednesday: AVL Trees, 4.4
  - Friday: AVL Trees and B-Trees, 4.7
- Project 1, Due Thursday
- Exercises 3 and 4, Due next week
- Minor change in lecture schedule

# Abstract Data Types seen so far

- Stack
  - Push
  - Pop
- Queue
  - Enqueue
  - Dequeue
- Priority Queue
  - Insert
  - DeleteMin
- None of these support Find(x)
  - Test if x is in the data structure
  - Return data associated with x

# The Dictionary ADT

- Data:
  - a set of (key, value) pairs
- Operations:
  - Insert (key, value)
  - Find (key)
  - Remove (key)

insert(seitz, ....)

find(anderson).

anderson  
Richard, Anderson,...

- seitz  
Steve  
Seitz  
CSE 592

- anderson  
Richard  
Anderson  
CSE 582

- kainby87  
Hyeln  
Kim  
CSE 220

- ...

*The Dictionary ADT is also called the “Map ADT”*

# Dictionary Implementations

insert

find

delete

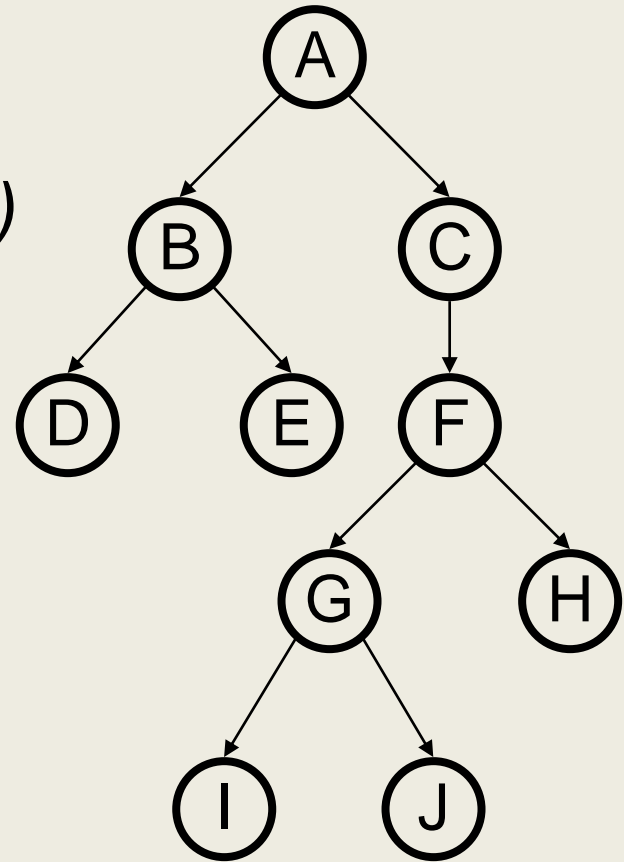
- Unsorted Linked List
- Unsorted Array
- Sorted Array

# Binary Trees

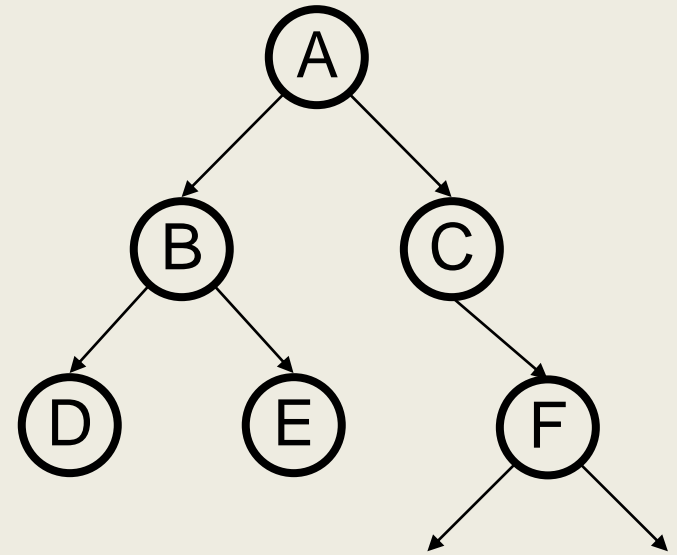
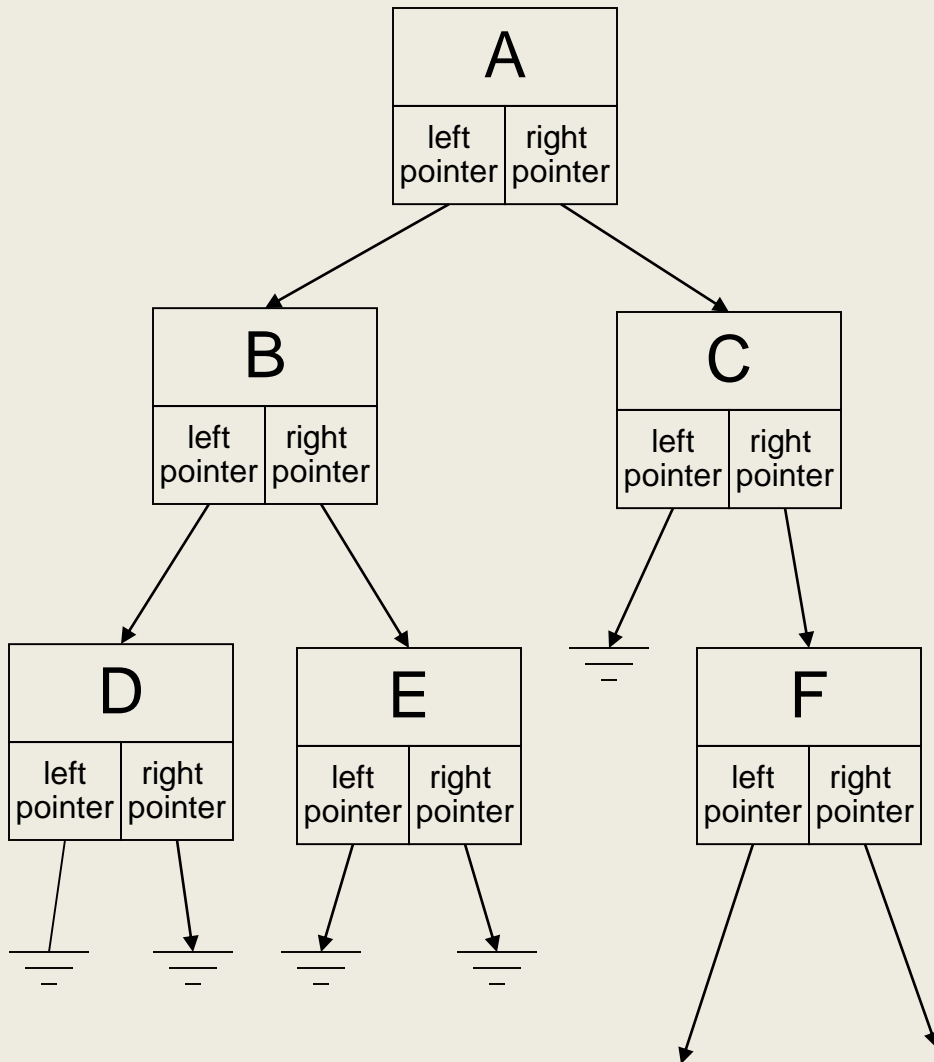
- Binary tree is
  - a root
  - left subtree (*maybe empty*)
  - right subtree (*maybe empty*)

- Representation:

Data	
left pointer	right pointer



# Binary Tree: Representation

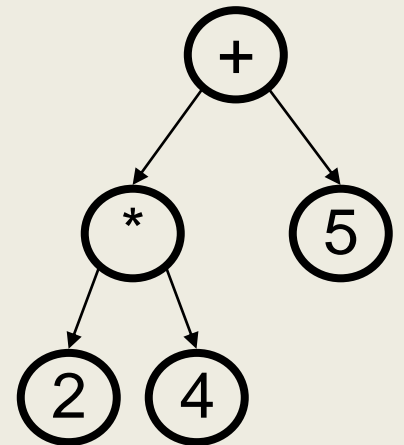


# Tree Traversals

A *traversal* is an order for visiting all the nodes of a tree

Three types:

- Pre-order: Root, left subtree, right subtree
- In-order: Left subtree, root, right subtree
- Post-order: Left subtree, right subtree, root



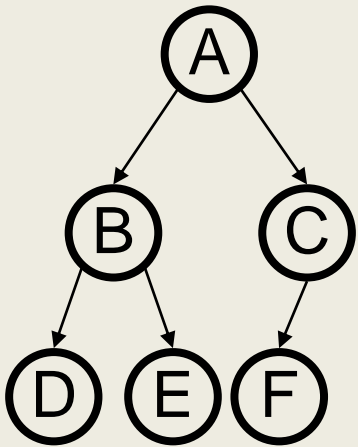
(an expression tree)



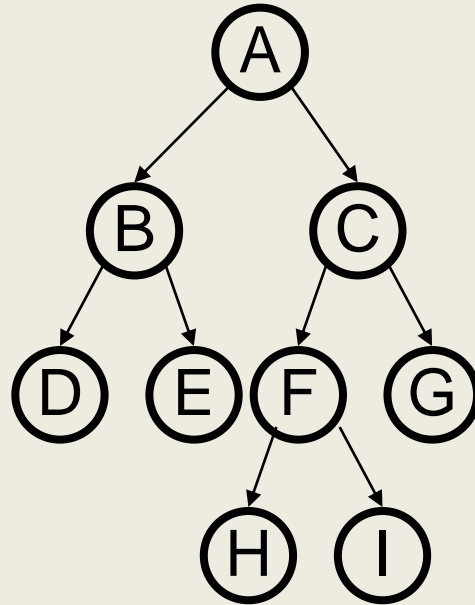
# Inorder Traversal

```
void traverse (BNode t) {  
    if (t != NULL)  
        traverse (t.left);  
    process t.element;  
    traverse (t.right);  
}  
}
```

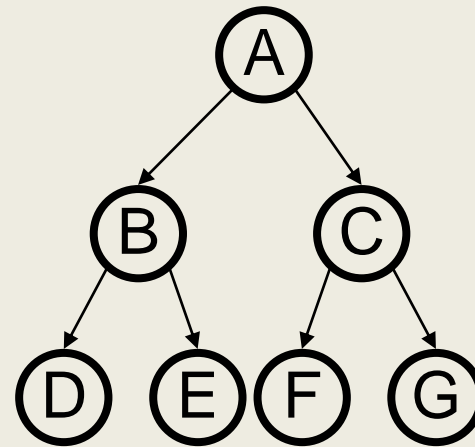
# Binary Trees: Special Cases



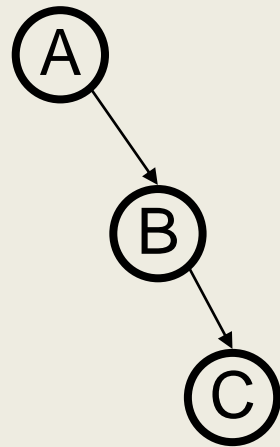
*Complete Tree*



*Full Tree*



*Perfect Tree*



*"List" Tree*

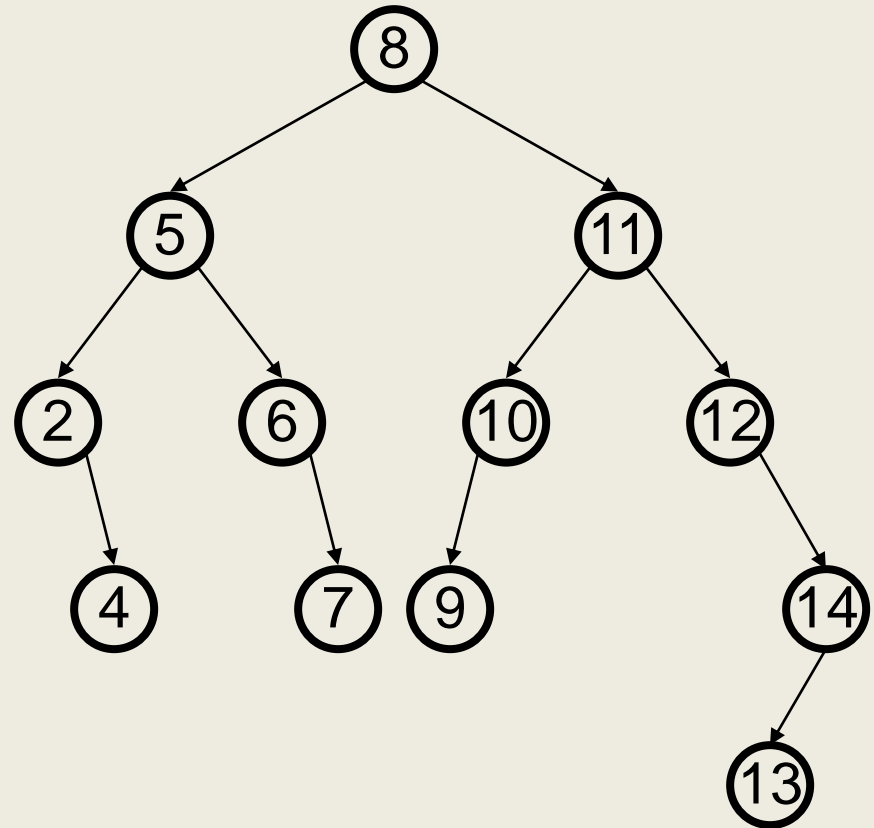
# Binary Tree of height $h$

Height of a tree: longest path from root to leaf

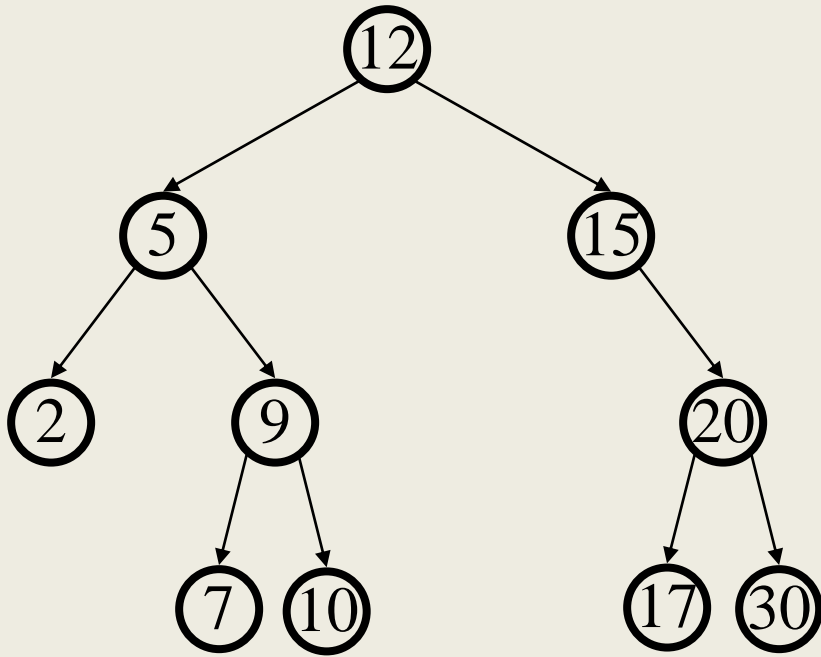
- max # of leaves:
- max # of nodes:
- min # of leaves:
- min # of nodes:

# Binary Search Tree Data Structure

- Structural property
  - each node has  $\leq 2$  children
- Order property
  - all keys in left subtree smaller than root's key
  - all keys in right subtree larger than root's key



# Find in BST, Recursive

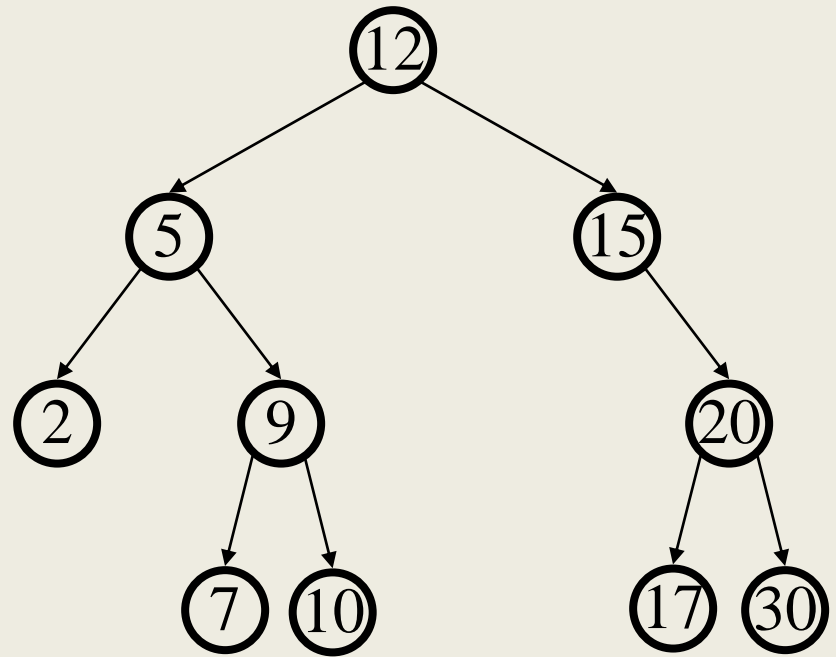


*Runtime:*

```
Node Find(Object key,  
           Node root) {  
    if (root == NULL)  
        return NULL;  
  
    if (key < root.key)  
        return Find(key,  
                    root.left);  
    else if (key > root.key)  
        return Find(key,  
                    root.right);  
    else  
        return root;  
}
```

# Find in BST, Iterative

```
Node Find(Object key,  
          Node root) {  
  
    while (root != NULL &&  
          root.key != key) {  
        if (key < root.key)  
            root = root.left;  
        else  
            root = root.right;  
    }  
  
    return root;  
}
```

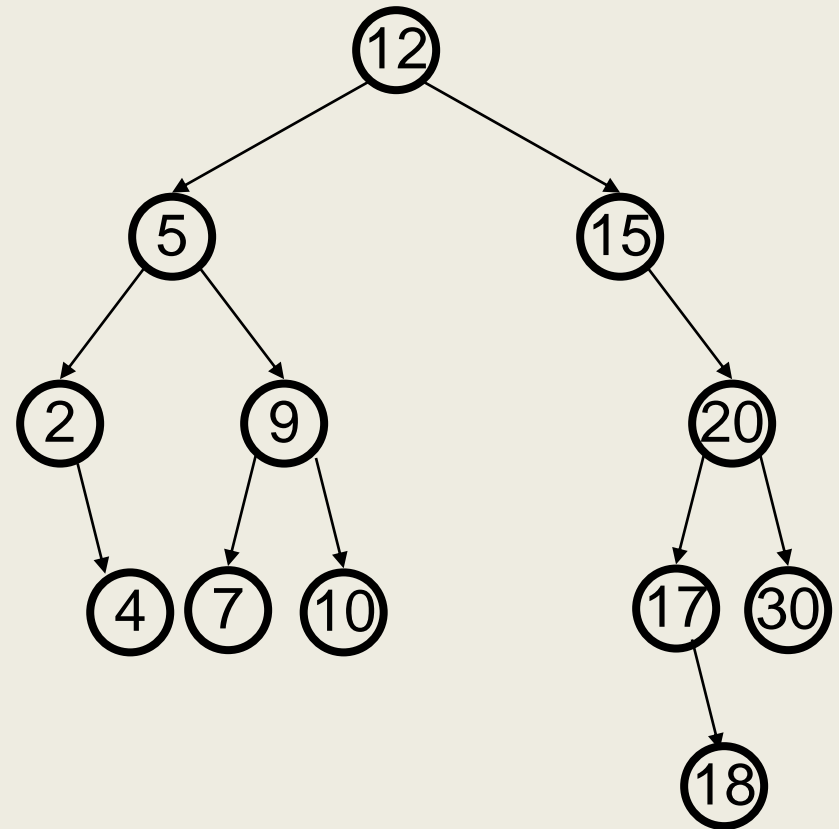


*Runtime:*

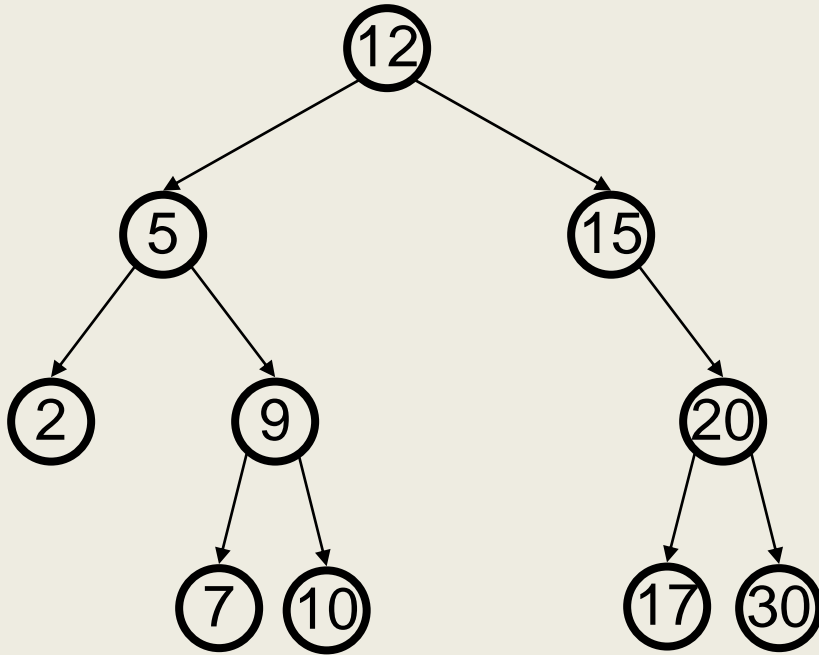
# Bonus: FindMin/FindMax

- Find minimum

- Find maximum



# Insert in BST



Insert(13)  
Insert(8)  
Insert(31)

Insertions happen only  
at the leaves – easy!

*Runtime:*



# BuildTree for BST

- Suppose keys 1, 2, 3, 4, 5, 6, 7, 8, 9 are inserted into an initially empty BST.

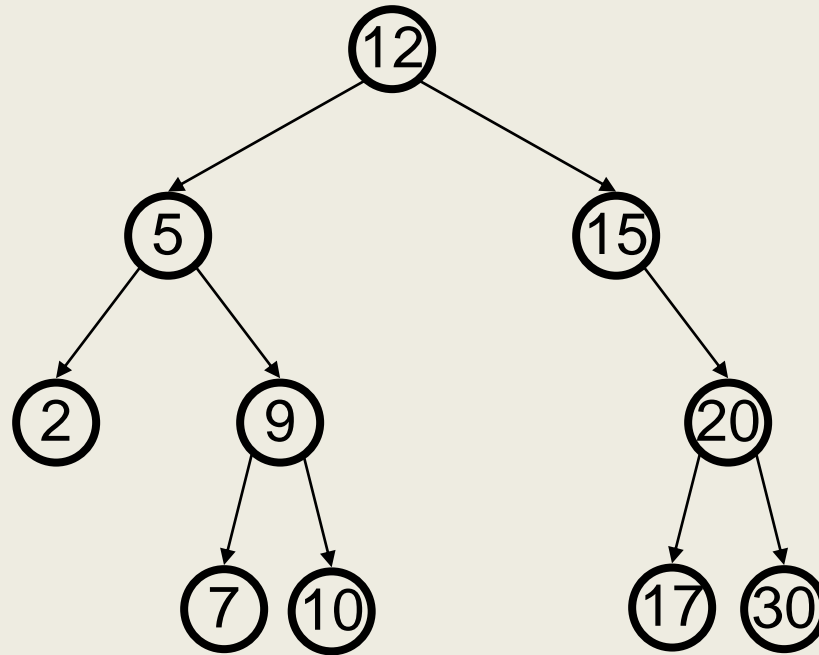
If inserted in given order, what is the tree? What big-O runtime for this kind of sorted input?

If inserted in reverse order, what is the tree? What big-O runtime for this kind of sorted input?

# BuildTree for BST

- Suppose keys 1, 2, 3, 4, 5, 6, 7, 8, 9 are inserted into an initially empty BST.
  - If inserted median first, then left median, right median, etc., what is the tree? What is the big-O runtime for this kind of sorted input?

# Deletion in BST



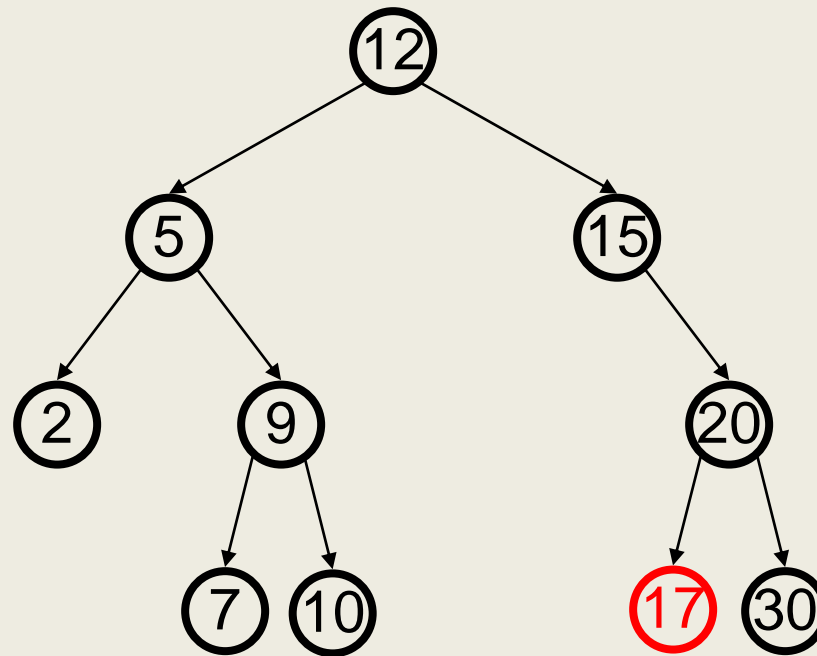
Why might deletion be harder than insertion?

# Deletion

- Removing an item disrupts the tree structure.
- Basic idea: **find** the node that is to be removed. Then “fix” the tree so that it is still a binary search tree.
- Three cases:
  - node has no children (leaf node)
  - node has one child
  - node has two children

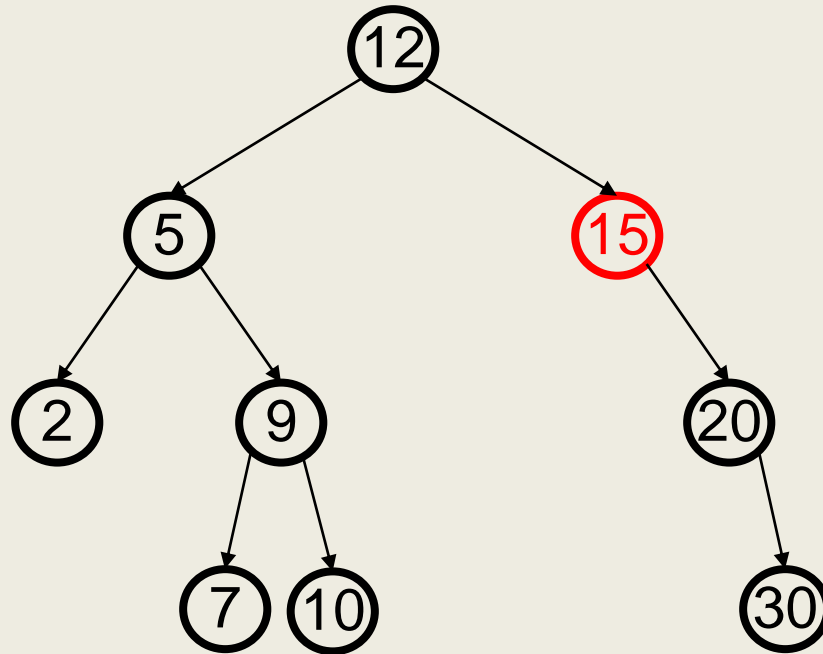
# Deletion – The Leaf Case

Delete(17)



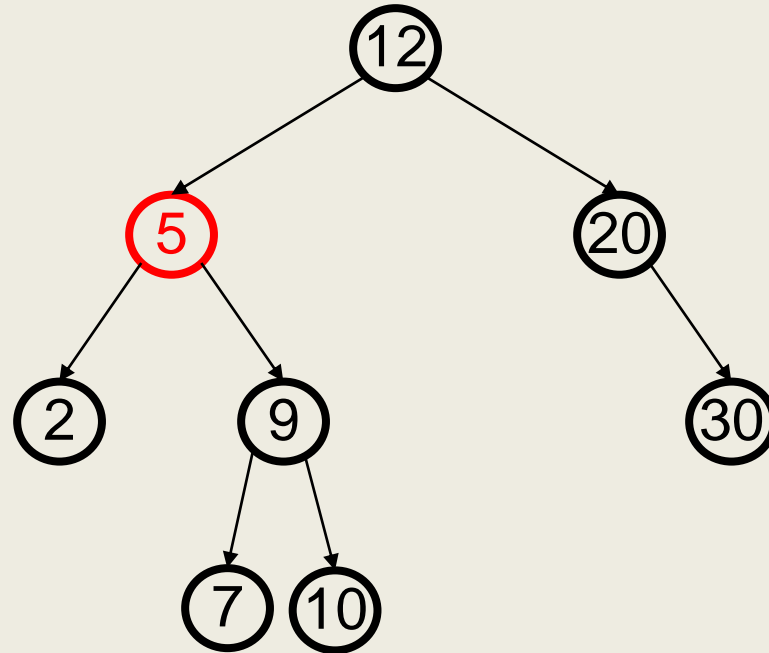
# Deletion – The One Child Case

Delete(15)



# Deletion: The Two Child Case

Delete(5)



What can we replace 5 with?

# Deletion – The Two Child Case

Idea: Replace the deleted node with a value *between* the two child subtrees

Options:

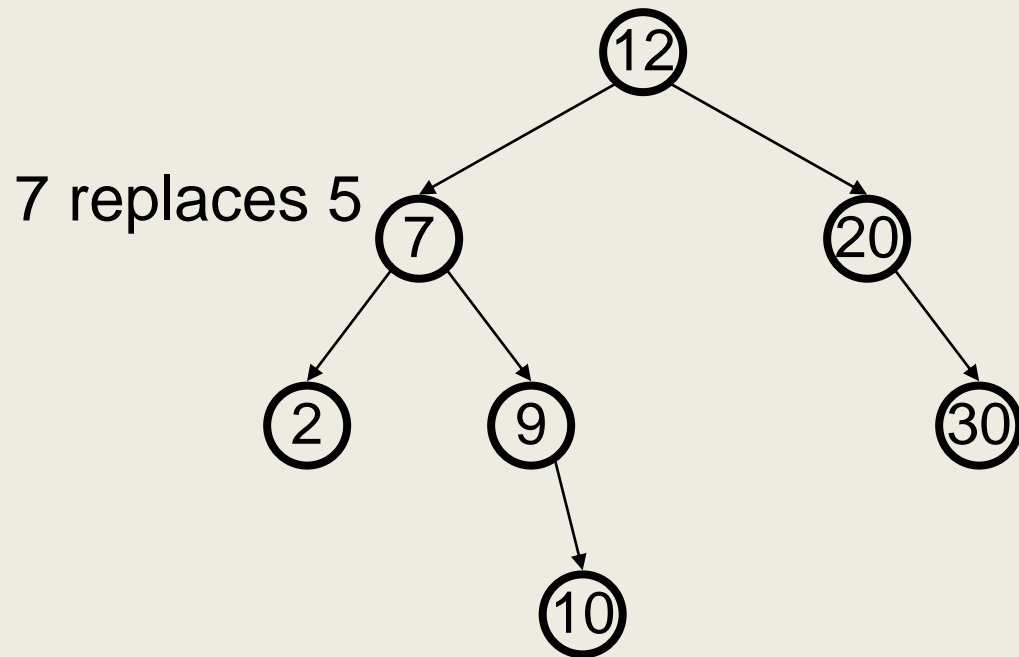
- *succ* from right subtree: `findMin(t.right)`
- *pred* from left subtree: `findMax(t.left)`

Now delete the original node containing *succ* or *pred*

- Leaf or one child case – easy!



# Finally...



Original node containing  
7 gets deleted

# Balanced BST

## Observations

- BST: the shallower the better!
- For a BST with  $n$  nodes
  - Average depth (averaged over all possible insertion orderings) is  $O(\log n)$
  - Worst case maximum depth is  $O(n)$
- Simple cases such as  $\text{insert}(1, 2, 3, \dots, n)$  lead to the worst case scenario

## Solution: Require a **Balance Condition** that

1. ensures depth is  $O(\log n)$       – strong enough!
2. is easy to maintain                      – not too strong!