

P vs NP

CSE 332 Spring 2021

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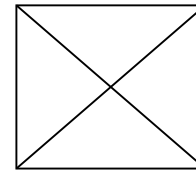
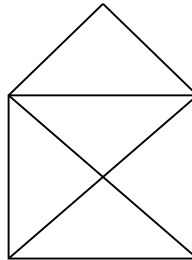
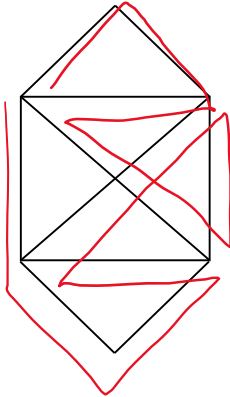
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Hamsa Shankar Nachiket Karmarkar

- ❖ Which of these can you draw (ie, trace all edges) without lifting your pencil, *drawing each line only once*?
 - Can you start and end at the same point?



- ❖ Enumerate 1-2 algorithms with the following worst-case runtimes:
 - $O(\log n)$
 - $O(n)$
 - $O(n^2)$ or $O(V^2)$ or $O(E^2)$
 - $O(V + E)$

Announcements

- ❖ Please fill out course evals!
- ❖ Please nominate your TAs for the Bob Bandes Award!!
 - They deserve it!
- ❖ Quiz review and section showdown tomorrow; winners crowned on Friday

Lecture Outline

- ❖ Circuits
 - **Euler Circuit**
 - Hamiltonian Circuit

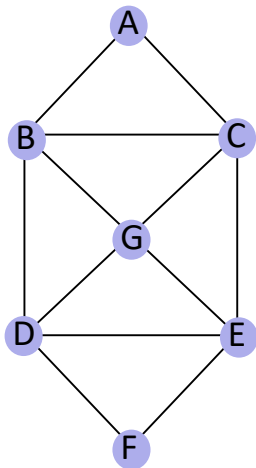
- ❖ Complexity classes
 - P and non-P
 - A Whirlwind Tour of non-P Problems
 - NP

Setting Up A Prank

- ❖ Your friend is organizing a tour of local farmland and wants donors to drive over every road in the Snoqualmie River Valley
- ❖ Driving over the roads costs money (fuel), and there are a lot of roads
- ❖ She wants you to figure out how to drive over each road exactly once, returning to your starting point
- ❖ *(note: this didn't actually happen)*

Euler Circuits

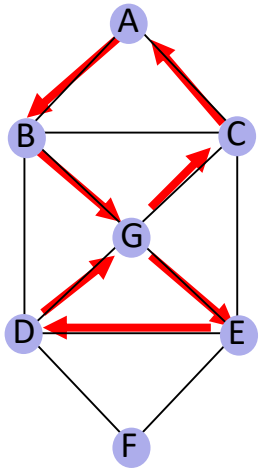
- ❖ **Euler Circuit**: a path through a graph that visits each edge exactly once, and starts and ends at the same vertex
- ❖ Named after Leonhard Euler (1707-1783), who cracked this problem and founded graph theory in 1736
 - This problem is also known as “the Seven Bridges of Königsberg”
- ❖ An Euler circuit exists iff
 - The graph is connected and
 - Each vertex has even degree (= # of edges on the vertex)



Euler(A):

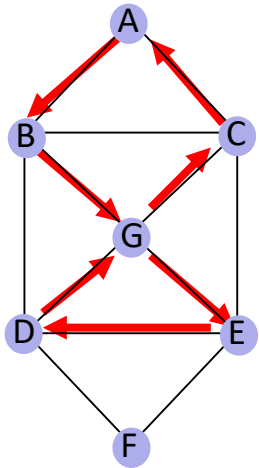
- ❖ Find an Euler circuit starting at A

Euler Circuit: Example

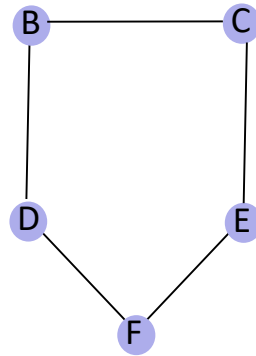


Euler(A):
A B G E D G C A

Euler Circuit: Example

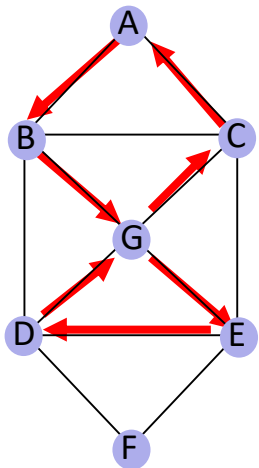


Euler(A):
A B G E D G C A

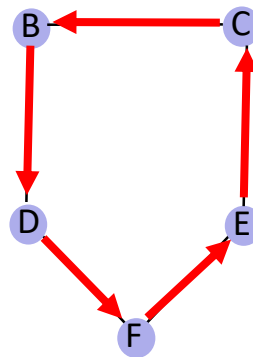


Euler(B):

Euler Circuit: Example



Euler(A):
A B G E D G C A

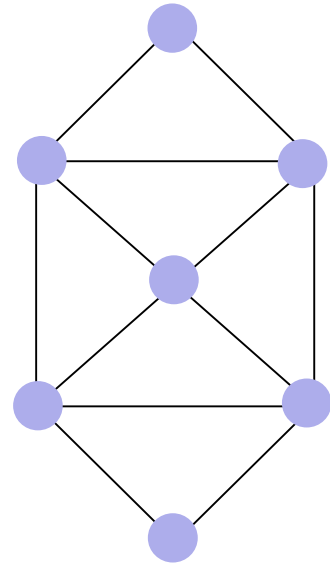


Euler(B):
B D F E C B

Spliced: A B D F E C B G E D G C A

Euler Circuit: Algorithm

- ❖ Given a connected undirected graph $G = (V, E)$
- ❖ Can check if a circuit exists: $O(V)$
 - Do all vertices have even degree?
- ❖ Can find a circuit: $O(V+E)$
 1. Traverse graph from start vertex until you are back
 - Never get stuck because of the even-degree property
 2. “Remove” the cycle, leaving several components each with the even-degree property
 - Recursively find Euler circuits for these
 3. Splice all these circuits into an Euler circuit
- ❖ Can verify a given path is a circuit: $O(E)$
 - Traverse path, marking visited edges
 - Return true if all edges are marked, and $v_0 == v_n$



Lecture Outline

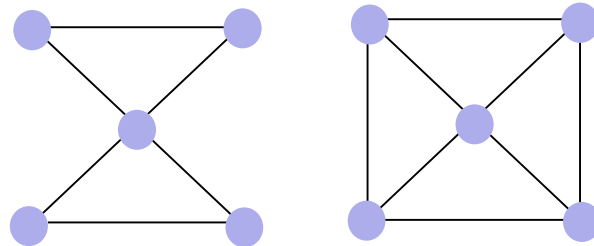
- ❖ Circuits
 - Euler Circuit
 - **Hamiltonian Circuit**
- ❖ Complexity classes
 - P and non-P
 - A Whirlwind Tour of non-P Problems
 - NP

The Actual Prank

- ❖ Instead of a farmland tour, she wanted a farm tour
- ❖ Now you need to figure out how to drive to each farm exactly once, returning in the first farm at the end
- ❖ *(note: this actually DID happen. I still get razzed about it)*

Hamiltonian Circuits

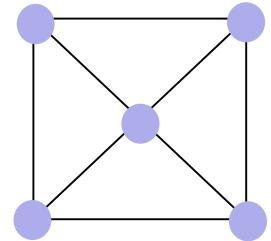
- ❖ **Euler circuit**: a cycle that goes through each edge exactly once
- ❖ **Hamiltonian circuit**: a cycle that goes through each vertex exactly once
- ❖ Does the first graph have:
 - An Euler circuit? **Y**
 - A Hamiltonian circuit? **N**
- ❖ Does the second graph have:
 - An Euler circuit? **N**
 - A Hamiltonian circuit? **Y**



Which problem sounds harder?

Hamiltonian Circuit Verification = Good News

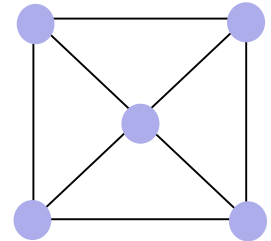
- ❖ Given a connected unweighted undirected graph $G = (V, E)$
- ❖ Can verify a given path is a circuit: $O(V)$
 - Traverse path, marking visited *vertices*
 - Return true if all *vertices* are marked, and $v_0 == v_n$



Hamiltonian Circuit Algorithm = Bad News

❖ Algorithm:

- Enumerate all *paths*, check if one of them is a circuit
 - Can use your favorite graph search algorithm to enumerate paths
- This is known as an exhaustive search (“brute force”) algorithm

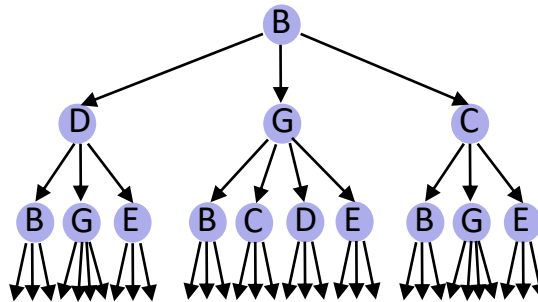
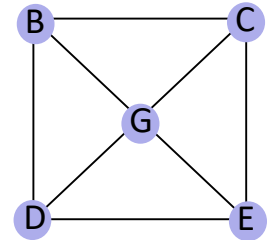


❖ Can find a circuit: $O(V)$

- Enumerate all *paths*, check if one of them is a circuit

Exhaustive Search: Analysis (1 of 2)

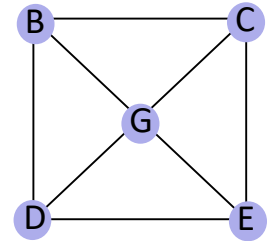
- ❖ Worst case needs to enumerate all paths
 - *How many paths are there??*
- ❖ As with our lower-bound on comparison sorts, let's represent each step on a path as a node in a search tree
 - Number of leaves is the total number of paths



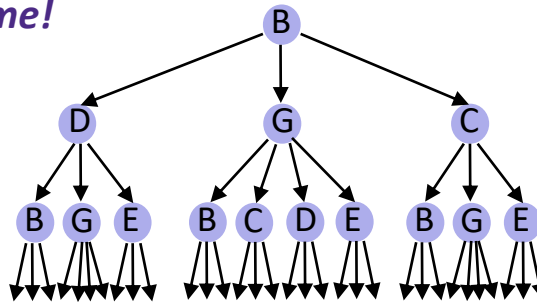
Search tree of paths starting at B

Exhaustive Search: Analysis (2 of 2)

- ❖ Let b be the *average* branching factor of each node in this graph
 - $|V|$ vertices, each with $\approx b$ branches
 - Total number of paths $\approx b \cdot b \cdot b \dots \cdot b$
 - $O(b^{|V|})$
- ❖ Worst case:
 - ***Exponential time!***

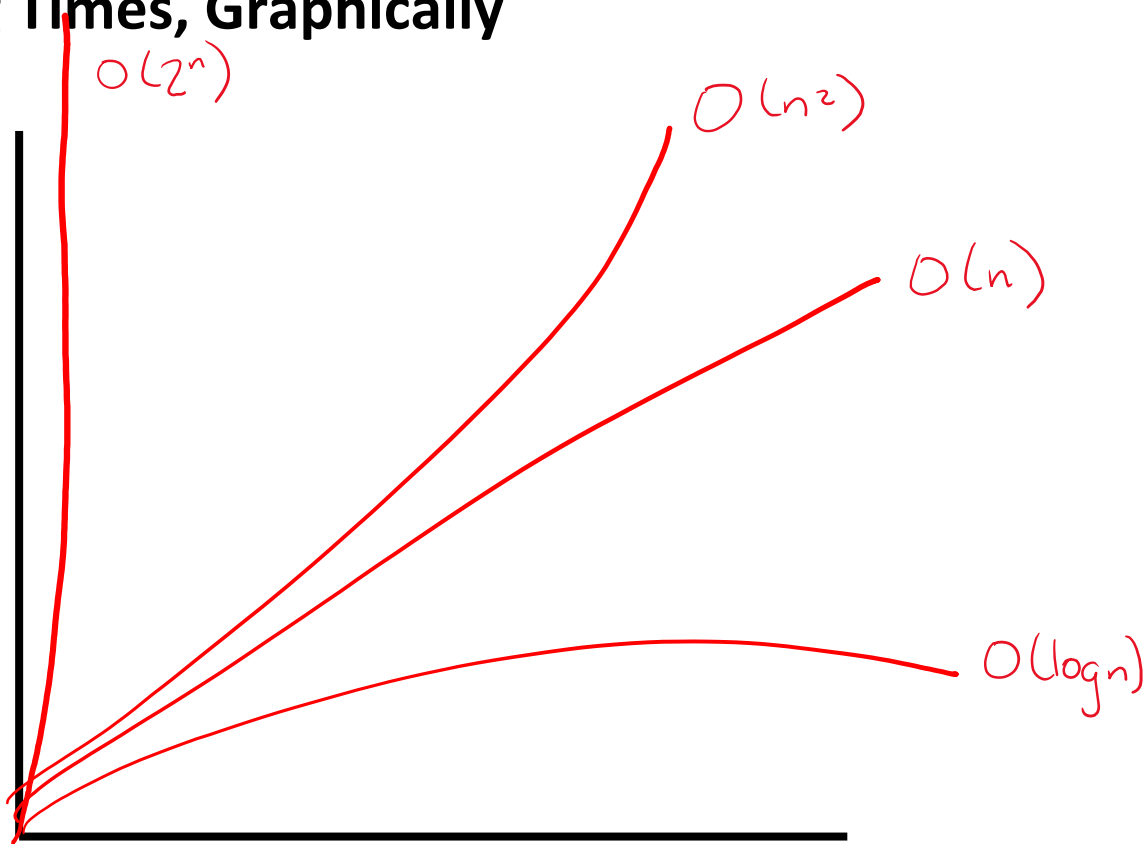


$$b = \frac{16}{5} \approx 3.2$$



Search tree of paths starting at B

Running Times, Graphically

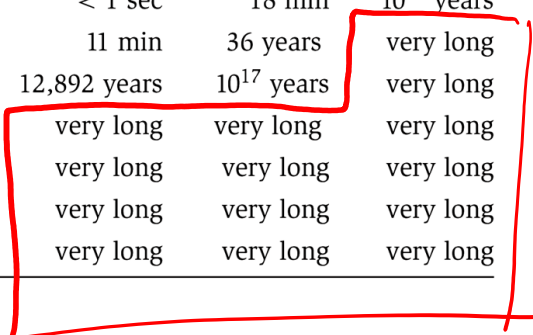


Demo: <https://www.desmos.com/calculator/diufnxyqy>

Running Times, Numerically

Table 2.1 The running times (rounded up) of different algorithms on inputs of increasing size, for a processor performing a million high-level instructions per second. In cases where the running time exceeds 10^{25} years, we simply record the algorithm as taking a very long time.

| | n | $n \log_2 n$ | n^2 | n^3 | 1.5^n | 2^n | $n!$ |
|-----------------|---------|--------------|---------|--------------|--------------|-----------------|-----------------|
| $n = 10$ | < 1 sec | < 1 sec | < 1 sec | < 1 sec | < 1 sec | < 1 sec | 4 sec |
| $n = 30$ | < 1 sec | < 1 sec | < 1 sec | < 1 sec | < 1 sec | 18 min | 10^{25} years |
| $n = 50$ | < 1 sec | < 1 sec | < 1 sec | < 1 sec | 11 min | 36 years | very long |
| $n = 100$ | < 1 sec | < 1 sec | < 1 sec | 1 sec | 12,892 years | 10^{17} years | very long |
| $n = 1,000$ | < 1 sec | < 1 sec | 1 sec | 18 min | very long | very long | very long |
| $n = 10,000$ | < 1 sec | < 1 sec | 2 min | 12 days | very long | very long | very long |
| $n = 100,000$ | < 1 sec | 2 sec | 3 hours | 32 years | very long | very long | very long |
| $n = 1,000,000$ | 1 sec | 20 sec | 12 days | 31,710 years | very long | very long | very long |

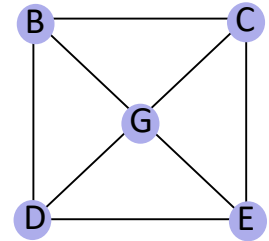


lol

Somewhat old, from Rosen

Summary: Euler vs Hamiltonian Circuits

- ❖ **Euler circuit**: a cycle that goes through each *edge* exactly once
 - Runtime: $O(|V| + |E|)$
- ❖ **Hamiltonian circuit**: a cycle that goes through each *vertex* exactly once
 - Runtime: $O(b^{|V|})$



Summary: Polynomial vs. Exponential Time

- ❖ All the algorithms we've discussed so far are *polynomial time* algorithms:
 - i.e.: algorithms whose running time is $O(N^k)$ for some $k > 0$
 - e.g.: $O(\log N)$, $O(N)$, $O(N \log N)$, $O(N^2)$, etc

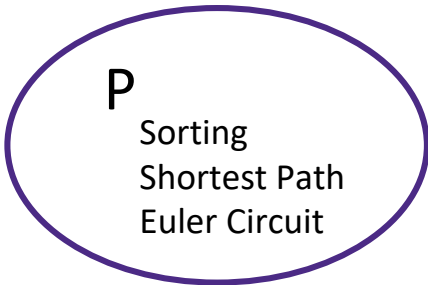
- ❖ *Exponential time* algorithms run in $O(b^N)$ for some $b > 1$
 - Any exponential time algorithm is asymptotically worse than any polynomial function N^k
 - Holds true for any k and any b !
 - e.g.: $O(2^N)$

Lecture Outline

- ❖ Circuits
 - Euler Circuit
 - Hamiltonian Circuit
- ❖ Complexity classes
 - **P and non-P**
 - A Whirlwind Tour of non-P Problems
 - NP

The Complexity Class P

- ❖ P is the set of all problems that can be solved in polynomial *worst-case time*
 - i.e.: all problems that have some algorithm with runtime $O(N^k)$
- ❖ Examples of problems in P:
 - Sorting, shortest path, Euler circuit, etc.
- ❖ Examples of problems that are (probably) not in P:
 - Hamiltonian circuit, satisfiability (SAT), vertex cover, travelling salesman, Tower of Hanoi, etc.



Hamiltonian Circuit
Satisfiability (SAT)
Vertex Cover
Travelling Salesman

Tower of Hanoi
Best chess move ($N \times N$)

Halting

Lecture Outline

- ❖ Circuits
 - Euler Circuit
 - Hamiltonian Circuit

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 - **A whirlwind tour of (probably) non-P problems**
 - NP

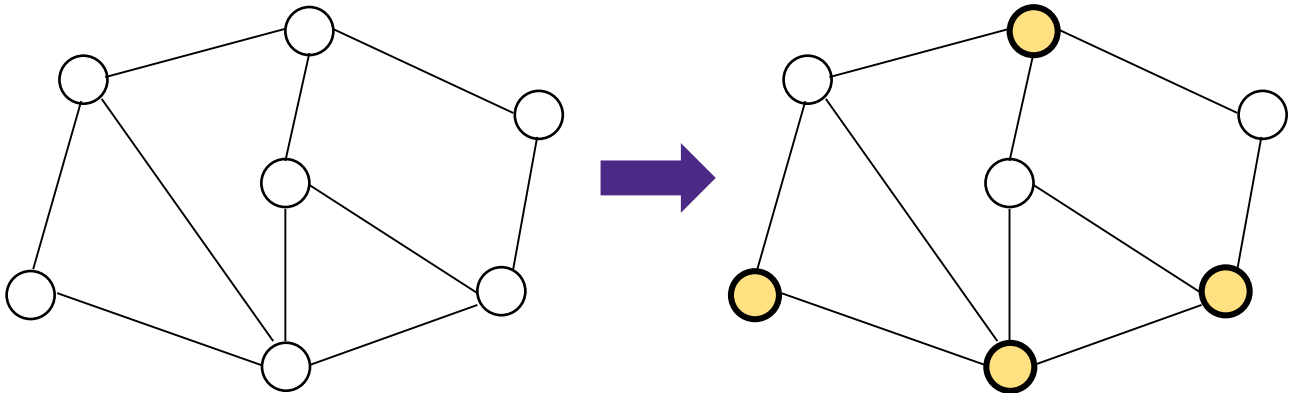
Satisfiability

- ❖ *Input*: a logic formula of size m containing n variables
 - e.g. $(\neg x_1 \vee x_2 \vee x_4) \wedge (x_1 \vee \neg x_3 \vee x_4) \wedge (x_2 \vee \neg x_4 \vee \neg x_5)$
- ❖ *Output*: An assignment of boolean values to the n variables such that the formula is true
- ❖ *Algorithm*: Try every variable assignment

| | Soln 1 | Soln 2 | ... | Soln 2^n |
|-------|--------|--------|-----|------------|
| x_1 | T | F | ... | F |
| x_2 | T | T | ... | F |
| x_3 | T | T | ... | F |
| x_4 | T | T | ... | F |
| x_5 | T | T | ... | F |

Vertex Cover

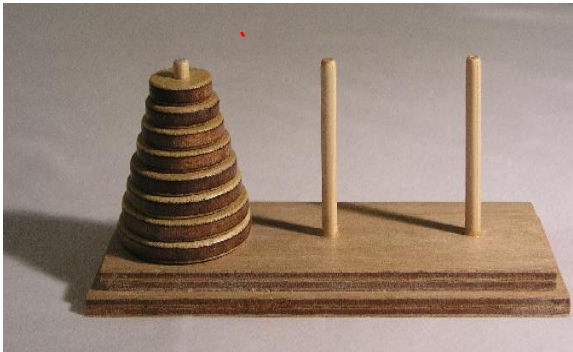
- ❖ *Input:* A graph $G = (V, E)$ and a number m
- ❖ *Output:* A subset S of V , such that:
 - For every edge (u, v) in E , at least one of u or v is in S
 - $|S| = m$ (if such an S exists)



- ❖ *Algorithm:* Try every subset of vertices of size m

Tower of Hanoi

- ❖ *Input*: n disks of increasing size and 3 pegs
- ❖ *Output*: A series of moves transferring n disks to any other peg without placing a larger disk over a smaller one



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<https://commons.wikimedia.org/w/index.php?curid=228623>

- ❖ **Algorithm:**

```
while (!done):  
    transferDisk(peg A, peg B)  
    transferDisk(peg A, peg C)  
    transferDisk(peg B, peg C)
```

Runtime: $O(2^n)$

Length of solution: $O(2^n)$

Travelling Salesman

- ❖ *Input*: A complete weighted undirected graph $G=(V,E)$ and a number m
- ❖ *Output*: A circuit visiting each vertex exactly once and has total cost $< m$ (if such a circuit exists)
- ❖ *Algorithm*: Enumerate *all paths*, check if one of them is a circuit with appropriate weight

Lecture Outline

- ❖ Circuits
 - Euler Circuit
 - Hamiltonian Circuit
- ❖ Complexity classes
 - P and non-P
 - A whirlwind tour of (probably) non-P problems
 - **NP**

A Glimmer of Hope?


- ❖ If we have:
 - a *candidate solution* to a problem
 - the ability to verify the solution in polynomial timethen maybe a polynomial-time algorithm exists?

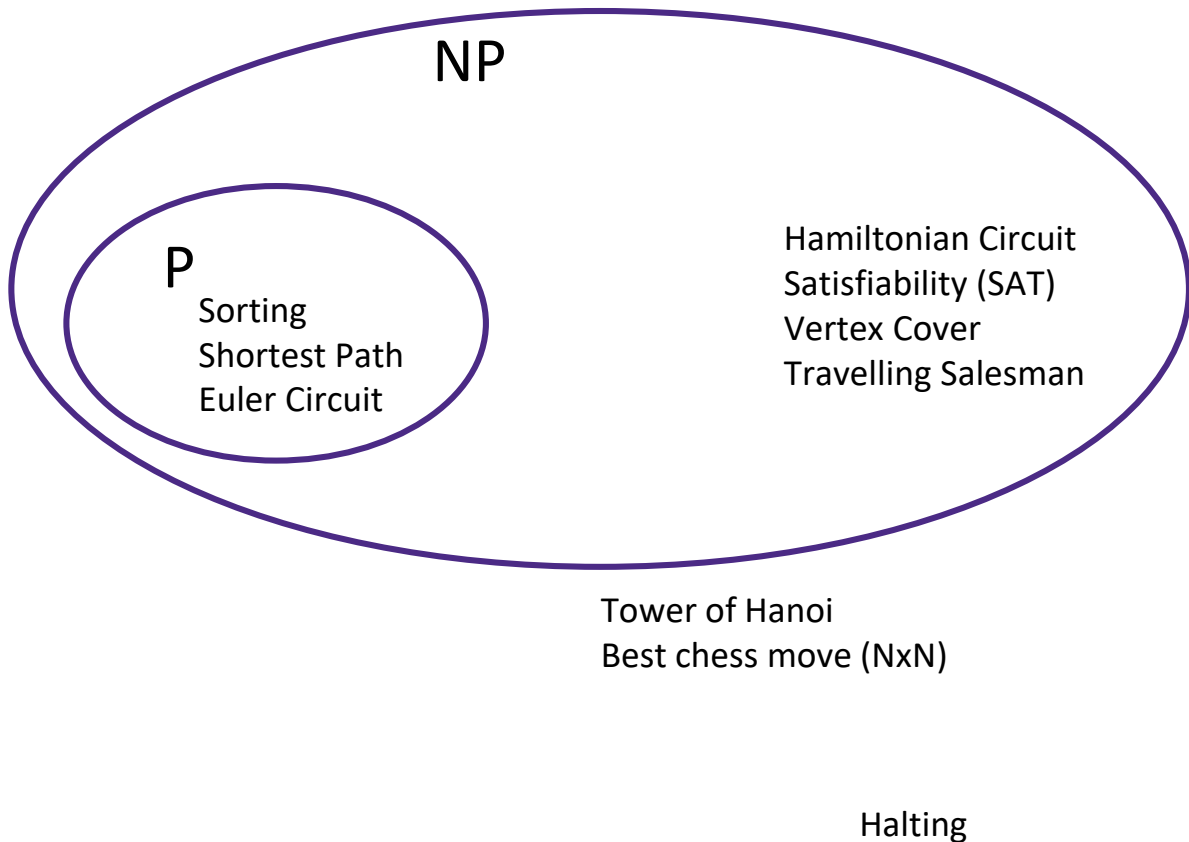
- ❖ Does this hold true for the Hamiltonian Circuit problem?
 - Given a candidate path, how do we verify it's a Hamiltonian Circuit?
 - Check if all vertices are visited exactly once in the candidate path
 - Runtime: $O(|V|)$

The Complexity Class NP

- ❖ **NP** is the set of all problems for which a given candidate solution can be verified in *polynomial worst-case time*
 - Compare against **P**, which are the problems that can be solved in *polynomial worst-case time*
- ❖ Examples of problems in NP:
 - *Hamiltonian circuit*: Given a candidate path, can verify in $O(|V|)$ time if it is a Hamiltonian circuit
 - *Satisfiability*: Given a candidate set of n values, can verify in $O(m)$ time if the expression is true
 - *Vertex Cover*: Given a subset of vertices, can verify in $O(|V|)$ time if it covers all vertices
 - *All problems that are in P* (why???)

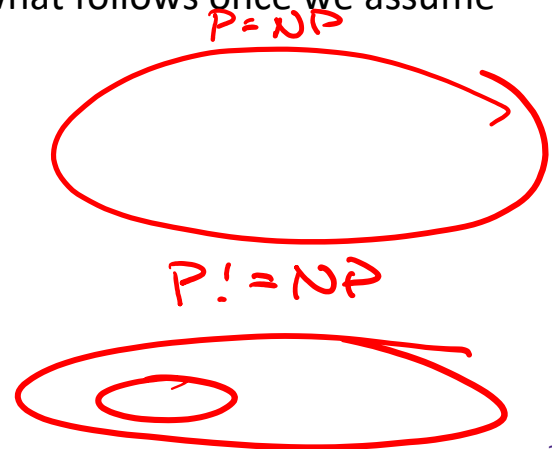
Why do we call it “NP”?

- ❖ NP stands for *Nondeterministic Polynomial* time
 - Unlike P, these problems are characterized by their *verification time*
 - Allows us to assume a solution exists (regardless of its runtime)
- ❖ Why “nondeterministic”?
 - If we don’t know a polynomial time solution (yet?), we can still imagine a special operation that allows the algorithm to magically guess the right choice at each branch point
 - Nondeterministic algorithms don’t exist – purely theoretical idea invented to understand how hard a problem could be
- ❖ “NP” is **NOT** an abbreviation for “not polynomial”




Your Chance to Win a Turing Award!

- ❖ It is generally believed that $P \neq NP$
 - i.e. there are problems in NP that are not in P
- ❖ But no one has been able to show even one such problem!
 - This is the fundamental open problem in theoretical computer science
 - Nearly everyone has given up trying to prove it. Instead, theoreticians prove theorems about what follows once we assume $P \neq NP$!



Summary

- ❖ One small change from *edges* to *vertices* changed the Euler Circuit problem into the Hamiltonian Circuit problem
 - ... and had a huge impact on the algorithm's runtime
- ❖ P is characterized by the runtime of its *solutions*
 - Must be able to solve in polynomial time
- ❖ NP is characterized by the runtime of its *verifications*
 - No constraints on time to solve
 - Must be able to verify in polynomial time