

## Section 2: Heaps and Asymptotics

### 0. Big-Oh Proofs

For each of the following, prove that  $f \in \mathcal{O}(g)$ .

(a)  $f(n) = 7n$   $g(n) = \frac{n}{10}$

(b)  $f(n) = 1000$   $g(n) = 3n^3$

(c)  $f(n) = 7n^2 + 3n$   $g(n) = n^4$

(d)  $f(n) = n + 2n \lg n$   $g(n) = n \lg n$

# 1. Is Your Program Running? Better Catch It!

For each of the following, determine the tight  $\Theta(\cdot)$  bound for the worst-case runtime in terms of the free variables of the code snippets.

(a)

```
1 int x = 0
2 for (int i = n; i >= 0; i--) {
3     if ((i % 3) == 0) {
4         break
5     }
6     else {
7         x += n
8     }
9 }
```

(b)

```
1 int x = 0
2 for (int i = 0; i < n; i++) {
3     for (int j = 0; j < (n * n / 3); j++) {
4         x += j
5     }
6 }
```

(c)

```
1 int x = 0
2 for (int i = 0; i < n; i++) {
3     for (int j = 0; j < i; j++) {
4         x += j
5     }
6 }
```

(d)

```
1 int x = 0
2 for (int i = 0; i < n; i++) {
3     if (n < 100000) {
4         for (int j = 0; j < i * i * n; j++) {
5             x += 1
6         }
7     } else {
8         x += 1
9     }
10 }
```

(e)

```
1 int x = 0
2 for (int i = 0; i < n; i++) {
3     if (i % 5 == 0) {
4         for (int j = 0; j < n; j++) {
5             if (i == j) {
6                 for (int k = 0; k < n; k++) {
7                     x += i * j * k
8                 }
9             }
10         }
11     }
12 }
```

## 2. Asymptotics Analysis

Consider the following method which finds the number of unique Strings within a given array of length  $n$ .

```
1 int numUnique(String[] values) {
2     boolean[] visited = new boolean[values.length]
3     for (int i = 0; i < values.length; i++) {
4         visited[i] = false
5     }
6     int out = 0
7     for (int i = 0; i < values.length; i++) {
8         if (!visited[i]) {
9             out += 1
10            for (int j = i; j < values.length; j++) {
11                if (values[i].equals(values[j])) {
12                    visited[j] = true
13                }
14            }
15        }
16    }
17    return out;
18 }
```

Determine the tight  $\mathcal{O}(\cdot)$ ,  $\Omega(\cdot)$ , and  $\Theta(\cdot)$  bounds of each function below. If there is no  $\Theta(\cdot)$  bound, explain why. Start by (1) constructing an equation that models each function then (2) simplifying and finding a closed form.

(a)  $f(n)$  = the worst-case runtime of numUnique

(b)  $g(n)$  = the best-case runtime of numUnique

(c)  $h(n)$  = the amount of memory used by numUnique (the space complexity)

(d)  $k(n)$  = the integer numUnique will return (the output complexity)

### 3. Analyzing Data Structures

- (a) Suppose we have a worklist `list` which contains  $n$  integers. The following code creates a heap which contains only the 25 largest elements:

```
1  PriorityWorkList<Integer> heap = new MinFourHeap<Integer>()
2  while (list.hasWork()) {
3      if (heap.size() >= 25) {
4          heap.removeMin()
5      }
6      heap.add(list.next())
7  }
```

Determine the tight  $\Theta(\cdot)$  bounds for the worst-case runtime complexity and the space complexity of this code snippet. Assume that the given worklist of integers has  $\Theta(1)$  runtime for `hasWork()` and `next()`.

- (b) Suppose we have a worklist `list` which contains  $t$  strings, where each string has an average length  $s$ . Let  $k$  indicate the total number of unique characters in the strings. The following code creates a map containing how frequently any given character appears in all of the strings:

```
1  Map<Character, Integer> frequencies = new HashMap<Character, Integer>()
2  while (list.hasWork()) {
3      String word = list.next()
4      for (int i = 0; i < word.size(); i++) {
5          char c = word.charAt(i)
6          if (!frequencies.containsKey(c)) {
7              frequencies.put(c, 0)
8          }
9          frequencies.put(c, 1 + frequencies.get(c))
10     }
11 }
```

Determine the tight  $\Theta(\cdot)$  bounds for the worst-case runtime complexity and space complexity of this snippet of code. Assume the given worklist of strings has  $\Theta(\lg(t))$  runtime for `hasWork()` and `next()`.

## 4. Oh Snap!

For each question below, explain what's wrong with the provided answer. The problem might be the reasoning, the conclusion, or both!

(a) Determine the tight  $\Theta(\cdot)$  bound for the worst-case runtime of the following piece of code:

```
1 public static int waddup(int n) {
2     if (n > 10000) {
3         return n
4     } else {
5         for (int i = 0; i < n; i++) {
6             System.out.println("It's dat boi!")
7         }
8         return 0
9     }
10 }
```

**Bad answer:** The runtime of this function is  $\mathcal{O}(n)$ , because when searching for an upper bound, we always analyze the code branch with the highest runtime. We see the first branch is  $\mathcal{O}(1)$ , but the second branch is  $\mathcal{O}(n)$ .

(b) Determine the tight  $\Theta(\cdot)$  worst-case runtime of the following piece of code:

```
1 public static void trick(int n) {
2     for (int i = 0; i < Math.pow(2, n); i *= 2) {
3         for (int j = 0; j < n; j++) {
4             System.out.println("(" + i + ", " + j + ")")
5         }
6     }
7 }
```

**Bad answer:** The runtime of this function is  $\mathcal{O}(n^2)$ , because the outer loop is conditioned on an expression with  $n$  and so is the inner loop.

## 5. Look Before You Heap

(a) Insert 10, 7, 15, 17, 12, 20, 6, 32 into a *min heap*.

Now, insert the same values into a *max heap*.

Now, insert the same values into a *min heap*, but use Floyd's `buildHeap` algorithm.

(b) Insert 1, 0, 1, 1, 0 into a *min heap*.