Adam Blank



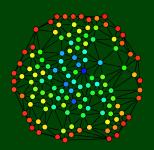
Winter 2017

CSE 332

Lecture 23

Data Structures and Parallelism

Graphs 4: Minimum Spanning Trees



```
dijkstra(G, source) {
       dist = new Dictionary();
       worklist = [];
 4
       for (v : V) {
 5
          if (v == source) { dist[v] = 0; }
6
          else
                              \{ \operatorname{dist}[v] = \infty; \}
          worklist.add((v, dist[v]));
8
9
10
       while (worklist.hasWork()) {
11
          v = next();
12
          for (u : v.neighbors()) {
13
             dist[u] = min(dist[u], dist[v] + w(v, u));
14
             worklist.decreaseKey(u, dist[u]);
15
16
17
18
       return dist;
<u>1</u>9 }
```

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19 }
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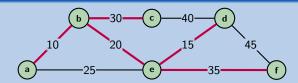
What Does Dijkstra's Algorithm Do Now?

Definition (Minimum Spanning Tree)

Given a graph G = (V, E), find a **subgraph** G' = (V', E') such that

- \blacksquare G' is a tree.
- V = V' (G' is spanning.)
- $\sum_{e \in E'} w(e)$ is minimized.

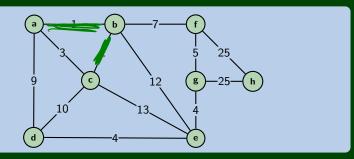
Example



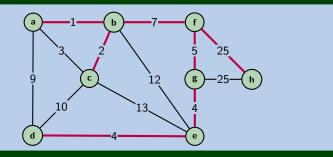
What For? 4

- Given a layout of houses, where should we place the phone lines to minimize cost?
- How can we design circuits to minimize the amount of wire?
- Implementing efficient multiple constant multiplications
- Minimizing the number of packets transmitted across a network
- Machine learning (e.g., real-time face verification)
- Graphics (e.g., image segmentation)

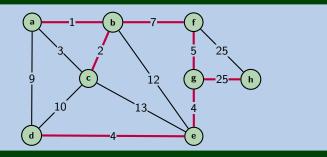
- Find a Minimum Spanning Tree of this graph
- Are there any others?
- Come up with a simple algorithm to find MSTs



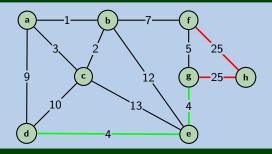
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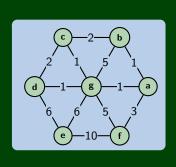
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MST Uniqueness

If a graph has all unique edges, there is a unique MST. Otherwise, there might be multiple MSTs.

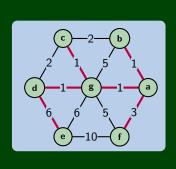
```
prim(G) {
 2
       conns = new Dictionarv():
 3
       worklist = [];
 4
       for (v : V) {
 5
          conns[v] = null;
 6
          worklist.add((v, \infty));
8
       while (worklist.hasWork()) {
9
          v = next():
10
          for (u : v.neighbors()) {
11
             if (w(v, u) < w(conns[u], u)) {
12
                conns[u] = v;
13
                worklist.decreaseKey(
14
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15
16
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       return conns;
20 }
```



This really is almost identical to Dijkstra's Algorithm! We build up an MST by adding vertices to a "done set" and keeping track of what edge got us there.

Do we have to use vertices? Can we use edges instead?

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Do we have to use vertices? Can we use edges instead?

Some Questions!

- How many edges is the MST?
- What is the runtime of this algorithm?

■ What is the slow operation of this algorithm?

```
Simple MST

findMST(G) {
    mst = {};
    for ((v, w) ∈ sorted(E)) {
        foundV = foundW = false;
        for ((a, b) ∈ mst) {
            foundV |= (a == v) || (b == v);
            foundW |= (a == w) || (b == w);
        }
        if (!foundV || !foundW) {
            mst.add((v, w));
        }
    }
    return mst;
}
```

Some Questions!

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}
```

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- What is the runtime of this algorithm? $\mathcal{O}(|E|\lg(|E|) + |E||V|)$, because sorting takes $\mathcal{O}(|E|\lg(|E|))$, the MST has at worst $\mathcal{O}(|V|)$ edges, and we have to iterate through the MST |E| times.
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- What is the slow operation of this algorithm? Checking if a vertex is already in our MST is very slow here. Can we do better?

A disjoint sets data structure keeps track of multiple sets which do not share any elements. Here's the ADT:

UnionFind ADT

find(x)	Returns a number representing the set that \mathbf{x} is in.
union(x, y)	Updates the sets so whatever sets x and y were in are now considered the same sets.

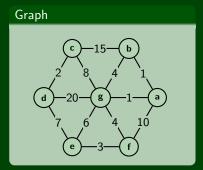
Example

```
list = [1, 2, 3, 4, 5, 6];
2 UF uf = new UF(list); // State: {1}, {2}, {3}, {4}, {5}, {6}
3 uf.find(1);
                  // Returns 1
4 uf.find(2);
                    // Returns 2
5 uf.union(1, 2);
                 // State: {1, 2}, {3}, {4}, {5}, {6}
6 uf.find(1);
                     // Returns 1
   uf.find(2);
                    // Returns 1
8 uf.union(3, 5); // State: {1, 2}, {3, 5}, {4}, {6}
9 uf.union(1, 3);
                 // State: {1, 2, 3, 5}, {4}, {6}
10 uf.find(3);
                      // Returns 1
  uf.find(6);
                       // Returns 6
```

```
kruskal(G) {
    mst = {};
    forest = new UnionFind(V);

for ((v, w) \in sorted(E)) {
        if (forest.find(v) != forest.find(w)) {
            mst.add((v, w));
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        }
    }
    return mst;
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```

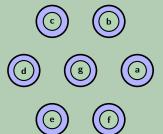


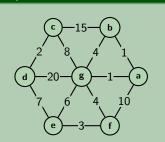


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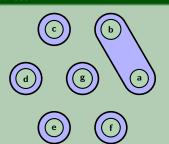
Forest

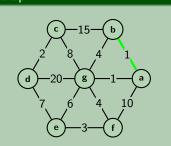




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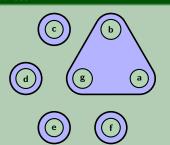


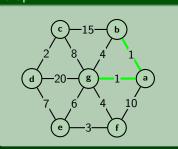


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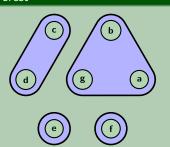


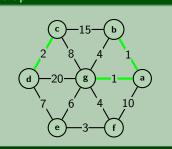


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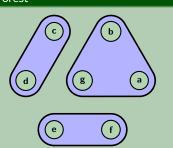


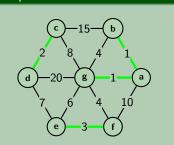


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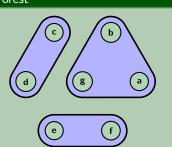


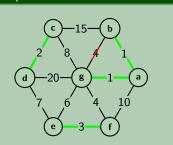


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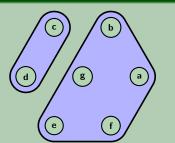


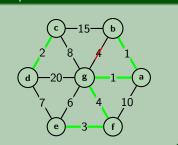


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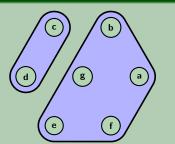


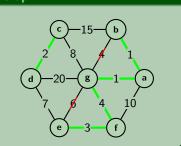


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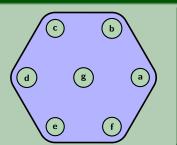


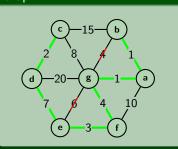


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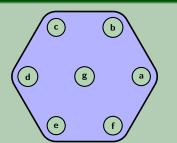


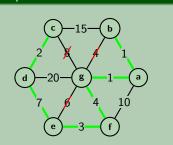


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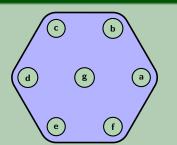


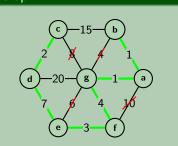


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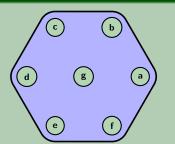


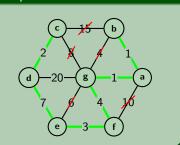


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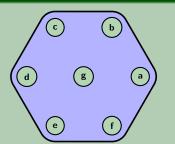


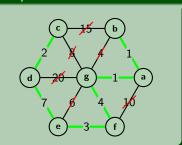


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To prove that Kruskal's Algorithm is correct, we must prove:

- The output is some spanning tree
- The output has minimum weight

Kruskal's Algorithm Outputs SOME Spanning Tree

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Kruskal's Algorithm Outputs SOME Spanning Tree

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Kruskal's Algorithm Outputs SOME Spanning Tree

We must show that the output, G' is spanning, connected, and acyclic.

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 - Consider the first edge that we look at which is on some path between u and v.
 - Since we haven't previously considered any edge on any path between u and v.

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To prove that Kruskal's Algorithm is correct, we must prove:

- **1** The output is some spanning tree
- The output has minimum weight

Kruskal's Algorithm Outputs SOME Spanning Tree

We must show that the output, G' is spanning, connected, and acyclic.

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Since there is a path between every u and v in the graph in G', G' is connected by definition.

To prove that Kruskal's Algorithm is correct, we must prove:

- The output is some spanning tree
- 2 The output has minimum weight

So, now, we know that G' is a spanning tree!

Kruskal's Algorithm Outputs Some MINIMUM Spanning Tree

Let the edges we add to G' be, in order, $e_1, e_2, \dots e_k$. Claim:

To prove that Kruskal's Algorithm is correct, we must prove:

- The output is some spanning tree
- **2** The output has minimum weight

So, now, we know that G' is a spanning tree!

Kruskal's Algorithm Outputs Some MINIMUM Spanning Tree

Let the edges we add to G' be, in order, $e_1, e_2, \dots e_k$.

Claim: For all $0 \le i \le k$, $\{e_1, e_2, \dots e_i\} \subseteq T_i$ for some MS i T_i .

Proof:

To prove that Kruskal's Algorithm is correct, we must prove:

- The output is some spanning tree
- 2 The output has minimum weight

So, now, we know that G' is a spanning tree!

Kruskal's Algorithm Outputs Some MINIMUM Spanning Tree

Let the edges we add to G' be, in order, $e_1, e_2, \dots e_k$.

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Proof: We go by induction.

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Induction Hypothesis. Suppose the claim is true for iteration i.

Induction Step. By our IH, we know that $\{e_1, \ldots, e_i\} \subseteq T_i$, where T_i is

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We consider two cases:

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We consider two cases:

- If $e_{i+1} \in T_i$, then we choose $T_{i+1} = T_i$, and we're done.
- Otherwise...

- T_i is a spanning tree of G. (earlier proof)
- $\{e_1,...,e_i\} \subseteq T_i$, where T_i is some MST of G. (induction hypothesis)
- \bullet $e_{i+1} \notin T_i$. (handled that case)

Kruskal's Algorithm Outputs Some MINIMUM Spanning Tree (cont.)

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Claim: For all $0 \le i \le k$, $\{e_1, e_2, \dots e_i\} \subseteq T_i$ for **some** MST T_i .

■ Since T_i is a spanning tree, it must have some other edge (call it e') which was added in place of e_{i+1} .

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- Note that $w(T_i e' + e_{i+1}) = w(T_i) w(e') + w(e_{i+1})$.
- Since we considered e_{i+1} before e', and the edges were sorted by weight, we know $w(e_{i+1}) \le w(e') \leftrightarrow w(e_{i+1}) w(e') \le 0$.
- So,

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- So, $w(T_i e' + e_{i+1}) = w(T_i) + w(e_{i+1}) w(e') \le w(T_i)$

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Kruskal's Algorithm Outputs Some MINIMUM Spanning Tree (cont.)

Claim: For all $0 \le i \le k$, $\{e_1, e_2, \dots e_i\} \subseteq T_i$ for **some** MST T_i .

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- So, $w(T_i e' + e_{i+1}) = w(T_i) + w(e_{i+1}) w(e') \le w(T_i)$

So, choose $T_{i+1} = T_i - e' + e_{i+1}$ since its weight is no more than any MST!

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Kruskal's Algorithm Outputs Some MINIMUM Spanning Tree (cont.)

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We already know it has the weight of an MST.

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Claim: For all $0 \le i \le k$, $\{e_1, e_2, \dots e_i\} \subseteq T_i$ for **some** MST T_i . So, choose $T_{i+1} = T_i - e' + e_{i+1}$.

- We already know it has the weight of an MST.
- Note that *e* connects the same nodes as *e'*; so, it's also a spanning tree.

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Claim: For all $0 \le i \le k$, $\{e_1, e_2, \dots e_i\} \subseteq T_i$ for **some** MST T_i . So, choose $T_{i+1} = T_i - e' + e_{i+1}$.

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That's it! For each i, we found an MST that extends the previous one. So, the last one must also be an MST!

■ Sort takes $\mathcal{O}(n \lg n)$

■ We don't know how UnionFind works, but if we know...

- \blacksquare find is $\mathcal{O}(\lg n)$
- \blacksquare union takes $\mathcal{O}(\lg n)$ time

The runtime is $\mathcal{O}(|E|\lg(|E|) + |E|\lg(|V|))$

Due to time constraints, we won't explore how union-find works, but you can do some very interesting amortized analysis with it...